# **Characters on Algebras of Smooth Functions**

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### Abstract

For a huge class of spaces it is shown that the real characters on the algebra of differentiable functions are exactly the evaluations at points.

### Introduction

"Milnor and Stasheff's exercise" [17] says that for a smooth manifold M each algebra homomorphism  $C^{\infty}(M) \to \mathbf{R}$  is given by evaluation at some point of M. Although the classical proof of this statement depends heavily on locally compactness arguments we were able to extend it to a wide class of spaces M. In fact, the existence of partitions of unity is sufficient, even in the infinite dimensional situation. We use a setting which hopefully encompasses all existing notions of "differentiable spaces" and within which we identify the statement above as a completeness property (smoothly real-compactness) of M.

## **1. General Setting**

**1.1 Definition:** A smooth space is a pair  $(M, \mathcal{S})$  where M is a set and  $\mathcal{S}$  a set of real valued functions on M which separates points and has the following properties:

1. The set  $\mathscr{S}$  is closed under composition with  $C^{\infty}$ -functions: For any  $f_1, \ldots, f_n$  in  $\mathscr{S}$  and F in  $C^{\infty}(U, \mathbb{R})$ , where U is open in  $\mathbb{R}^n$ , such that  $(f_1, \ldots, f_n)(M) \subseteq U$ , we have  $F \circ (f_1, \ldots, f_n) \in \mathscr{S}$ .

2. If  $\mathscr{F}$  is a subset of  $\mathscr{S}$  such that the family of carriers carr  $(f) := \{x \in M : f(x) \neq 0\}$  of functions  $f \in \mathscr{F}$  is locally finite in the initial topology induced by  $\mathscr{S}$ , then  $\sum_{f \in \mathscr{F}} f$  is in  $\mathscr{S}$ .

Note that condition 1 implies in particular that  $\mathscr{S}$  is a  $C^{\infty}$ -algebra in the sense of [18]. The converse is not true: let M be the open unit intervall and let  $\mathscr{S}$  be the set of restrictions of global smooth functions; then  $\mathscr{S}$  is a  $C^{\infty}$ -algebra but does not satisfy 1.

In the following we will always equip M with the initial topology with respect to  $\mathcal{S}$  without further notice, and we will call it the  $\mathcal{S}$ -topology.

1.2 We may also remark that an important class of smooth spaces may be described in the following manner: A Hausdorff topological space M together with a sheaf of  $C^{\infty}$ -algebras consisting of continuous functions such that the topology is initial for the global sections. Given  $(M, \mathscr{S})$  as in 1.1 and U open in M let  $\mathscr{S}(U)$  be the set of all real functions f on U such that for each  $x \in U$  there is an open neighborhood  $U_x$  of x and an  $f_x \in \mathscr{S}$  with  $f \mid U_x = f_x \mid U_x$ . Then  $\mathscr{S}(M)$  contains  $\mathscr{S}$  and we have equality in the most important cases.

#### 1.3 Examples of smooth spaces:

1. Any completely regular topological space M, with the algebra of continuous functions  $\mathcal{S}$ .

2. Finite dimensional manifolds of class  $C^k$ ,  $k < \omega$ , with  $C^k$ -functions.

3. Locally convex vector spaces with all standard notions of  $C^k$ -functions on them. In particular we consider  $C_c^{\infty}$  in the sense of [7] and  $C^{\infty}$  in the sense of [3], [9,10] (attention: the topologies generated by these smooth maps are in general not the locally convex ones, in the latter case it might be even incompatible, since  $C^{\infty}$ -maps need not be continuous with respect to the locally convex topology).

4. Manifolds with charts modelled on open subsets of locally convex vector spaces using one of the  $C^k$  notions mentioned in 3 (in particular manifolds of mappings, see [14].

5. Vector sets of [11], smooth structures in the sense of [3], differential spaces in the sense of [19], and "manifolds" in the sense of [16].

### 2. Smoothly Real Compact Spaces

**2.1 Definition:** A smooth space  $(M, \mathcal{S})$  is called *smoothly real-compact* iff any algebra homomorphism  $\mathcal{S} \to \mathbf{R}$  is an evaluation at a point of M, i.e. iff the canonial map ev:  $M \to \text{Alg}(\mathcal{S}, \mathbf{R})$ , ev  $(x): f \mapsto f(x)$  is a bijection onto the set Alg  $(\mathcal{S}, \mathbf{R})$  of algebra homomorphisms.

So we require that the conclusion of "Milnor and Stasheff's exercise" is true.

If M is a completely regular topological space, then the smooth space (M, C(M)) is smoothly real-compact if and only if it is real-compact in the usual sense. See [6].

**2.2 Lemma:** Let  $(M, \mathscr{S})$  be a smooth space and consider the mappings  $\iota: M \to \prod_{f \in \mathscr{S}} \mathbf{R}$ ,  $\iota(x)_f := f(x)$  and  $ev: M \to Alg(\mathscr{S}, \mathbf{R})$ ,  $ev(x): f \mapsto f(x)$ .

The set Alg ( $\mathscr{S}$ , **R**) can be considered as a subset of  $\prod_{f \in \mathscr{S}} \mathbf{R}$  and the map ev composed with

this inclusion gives 1. The map 1 is topological embedding and the closure of its image  $\iota(M)$  is Alg ( $\mathscr{S}$ , **R**).

*Proof*: That ev composed with the inclusion of Alg ( $\mathscr{S}$ , **R**) into  $\prod_{\mathscr{S}}$  **R** gives  $\iota$  is obvious.

This map  $\iota$  is an embedding, since the topology of M is by definition initial with respect to the maps  $f \in \mathscr{S}$  and that of  $\prod \mathbf{R}$  is initial with respect to  $\operatorname{pr}_f$  for  $f \in \mathscr{S}$  and  $\operatorname{pr}_f \circ \iota = f$ .

Let  $\varphi: \mathscr{S} \to \mathbf{R}$  be an algebra homomorphism. We claim that  $\varphi$  considered as the point  $x_{\varphi} \in \prod_{\mathscr{S}} \mathbf{R}$  with coordinates  $(x_{\varphi})_f = \varphi(f)$  is in the closure of  $\iota(M)$ . For  $f \in \mathscr{S}$  let  $Z_f$  be the set  $\{x \in M: f(x) - \varphi(f) = 0\}$ . The sets  $Z_f$  are not empty for otherwise

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 $f - \varphi(f) \cdot 1$  is invertible in  $\mathscr{S}$  but  $\varphi(f - \varphi(f) \cdot 1) = 0$ . Since  $Z_f \cap Z_g$ =  $Z_{(f-\varphi(f))^{2+}(g-\varphi(g))^2}$ , the family  $\{Z_f: f \in \mathscr{S}\}$  has the finite intersection property. For any finite subset  $\mathscr{F} \subseteq \mathscr{S}$  and  $x_{\mathscr{F}} \in \bigcap_{f \in \mathscr{F}} Z_f$  we have  $\iota(x_{\mathscr{F}})_f = (x_{\varphi})_f$  for all  $f \in \mathscr{F}$ . So  $\iota(x_{\mathscr{F}})$  converges to  $x_{\varphi}$  in  $\prod_{\alpha} \mathbf{R}$ .

Let conversely  $\iota(x_{\alpha})$  be a net that converges to  $x_{\infty}$  in  $\prod_{\mathscr{S}} \mathbf{R}$ . We have to show that the map  $\varphi \colon \mathscr{S} \to \mathbf{R}$  which corresponds to  $x_{\infty}$  is an algebra homomorphism. Since  $\iota(x_{\alpha})$ corresponds to the algebra homomorphism ev  $(x_{\alpha})$  and the net ev  $(x_{\alpha})$  converges pointwise to  $\varphi$  on f for all  $f \in \mathscr{S}$ , the limit point  $\varphi$  is also an algebra homomorphism.  $\Box$ 

**2.3 Corollary:** Let  $(M, \mathcal{S})$  be a smooth space. Then M is smoothly real-compact if and only if  $\iota(M)$  is closed in  $\prod_{\mathcal{S}} \mathbf{R}$ . Furthermore, the algebra  $\operatorname{Alg}(\mathcal{S}, \mathbf{R})$  of algebra homomor-

phisms can be made into a smooth space which is smoothly real-compact and is the universal solution for extending smooth functions.

*Proof*: The space M is by definition smoothly real-compact iff the map ev is onto, and this corresponds via lemma (2.2) to the statement that the map i has closed image.

Every  $f \in \mathscr{S}$  defines a map  $f^{\sim}$ : Alg  $(\mathscr{S}, \mathbf{R}) \to \mathbf{R}$  by  $\varphi \mapsto \varphi(f)$ . We consider as structure on Alg  $(\mathscr{S}, \mathbf{R})$  the family  $\{f^{\sim}: f \in \mathscr{S}\} = : \mathscr{S}^{\sim}$ .  $\mathscr{S}^{\sim}$  is point separating since different  $\varphi \in \text{Alg}(\mathscr{S}, \mathbf{R})$  differ at least at one  $f \in \mathscr{S}$ .

The initial topology induced on Alg ( $\mathscr{S}$ , **R**) by the family  $\mathscr{S}^{\sim}$  is just the trace topology inherited as a subset of  $\Pi_{\mathscr{S}} \mathbf{R}$ . In particular M is dense in Alg ( $\mathscr{S}$ , **R**).

 $\mathscr{S}^{\sim}$  satisfies condition 1: Let  $f^{\sim} \in \mathscr{S}^{\sim}$ ,  $(f_1^{\sim}, ..., f_n^{\sim})$  (Alg  $(\mathscr{S}, \mathbf{R})$ )  $\subseteq U$ ,  $F: U \to \mathbf{R}$  smooth. Then  $(f_1, ..., f_n)$  (M)  $\subseteq U$  hence  $F \circ (f_1, ..., f_n) \in \mathscr{S}$ , and since M is dense in Alg  $(\mathscr{S}, \mathbf{R})$ , we have  $F \circ (f_1^{\sim}, ..., f_n^{\sim}) = (F \circ (f_1, ..., f_n))^{\sim}$ .

 $\mathscr{S}^{\sim}$  satisfies condition 2: Let  $\mathscr{F}^{\sim} \subseteq \mathscr{S}$  such that {carrier  $f^{\sim}: f^{\sim} \in \mathscr{F}^{\sim}$ } is locally finite, then {carrier  $f: f \in \mathscr{F}$ } is locally finite and hence  $\sum f \in \mathscr{S}$ , i.e.  $(\sum f)^{\sim} \in \mathscr{S}^{\sim}$  and by density of M in Alg  $(\mathscr{S}, \mathbf{R})$  we have  $(\sum f)^{\sim} = \sum f^{\sim}$ .

(Alg  $(\mathscr{G}, \mathbf{R}), \mathscr{G}^{\sim}$ ) is smoothly real compact: Let  $\varphi^{\sim}: \mathscr{G}^{\sim} \to \mathbf{R}$  be an algebra homomorphism, then  $\varphi: \mathscr{G} \to \mathbf{R}$  defined by  $\varphi f:=\varphi^{\sim}f^{\sim}$  is an algebra homomorphism, hence  $\varphi \in \text{Alg }(\mathscr{G}, \mathbf{R})$  and  $\varphi^{\sim}f^{\sim} = \varphi f = f^{\sim}\varphi$ .  $\Box$ 

**2.4 Corollary:** If a smooth space  $(M, \mathcal{S})$  is smoothly real-compact, then the  $\mathcal{S}$ -topology on M is real-compact.

*Proof*: By the previous corollary a smoothly real-compact space is embedded as a closed subspace of  $\prod \mathbf{R}$ , hence it is real-compact, see [6] or [2, p. 154].

**2.5 Remark:** If one defines a map  $\varphi: M_0 \to M_1$  between smooth spaces  $(M_0, \mathscr{S}_0)$  and  $(M_1, \mathscr{S}_1)$  to be smooth iff  $f \circ \varphi \in \mathscr{S}_0$  for all  $f \in \mathscr{S}_1$ , then the following can be said:

1. The smooth space Alg  $(\mathcal{S}, \mathbf{R})$  with the structure  $\{ev_f: f \in \mathcal{S}\}$  defined in (2.3) is the universal solution for extending smooth maps into smoothly real-compact spaces.

2. Smoothly real-compact spaces are completely determined by the algebra Alg ( $\mathscr{S}$ , **R**), since ev:  $M \to \text{Alg}(\mathscr{S}, \mathbf{R})$  is for these spaces a diffeomorphism.

3. If for two smoothly real-compact spaces  $(M_0, \mathscr{S}_0)$  and  $(M_1, \mathscr{S}_1)$  the algebras Alg  $(\mathscr{S}_0, \mathbf{R})$  and Alg  $(\mathscr{S}_1, \mathbf{R})$  are isomorphic, then the smooth spaces are diffeomorphic.

### 3. The Main Theorem

**3.1 Lemma:** For a smooth space  $(M, \mathcal{S})$  the following four conditions are equivalent:

1. Let  $f: M \to \mathbf{R}$  be continuous in the  $\mathscr{G}$ -topology and a < b. Then there is some  $g \in \mathscr{G}$  with  $g|_{\{x: f(x) \le a\}} = 0$ ,  $g|_{\{x: f(x) \ge b\}} = 1$ .

2. For any continuous function f and a < b there is some  $g \in \mathcal{G}$  such that  $\{x \in M : f(x) \le a\} \subseteq \{x \in M : g(x) = 0\} \subseteq \{x \in M : f(x) < b\}.$ 

3. The algebra  $\mathcal{G}$  is dense in the set of all continuous functions in the topology of uniform convergence.

4. The bounded functions in  $\mathcal{S}$  are dense in the space of all bounded continuous functions on M with respect to the sup-norm.

**Proof:**  $(2 \Rightarrow 4)$  We want to apply the Stone-Weierstrass theorem to the Stone-Čech compactification  $\beta M$  of M and the algebra of bounded functions in  $\mathscr{S}$ . So let  $x, y \in \beta M$ . Then there is a bounded continuous f with f(x) < f(y). Choose a smooth g according to (2) for a := f(x) and b := f(y). Make it bounded and non-negative by composing with a suitable real function. Then g(x) = 0 and g(y) > 0. Thus the algebra of bounded functions in  $\mathscr{S}$  separates points in  $\beta M$  and hence is by the Stone-Weierstrass theorem dense in the algebra  $C(\beta M)$  of continuous functions on  $\beta M$ . But  $C(\beta M)$  is the algebra of continuous bounded functions on M.

 $(4 \Rightarrow 1)$  Choose a  $g \in \mathscr{S}$  with  $|g - f| < \frac{b - a}{3}$ . Then  $g(x) \leq \frac{2a + b}{3}$  for  $f(x) \leq a$  and  $g(x) \geq \frac{a + 2b}{3}$  for  $f(x) \geq b$ . By composing with a smooth function one obtains everything needed.

 $(1 \Rightarrow 3)$  Let f be continuous, without loss of generality we may assume  $f \ge 0$ (decompose  $f = f_+ - f_-$ ). Let  $\varepsilon > 0$  and choose smooth  $g_k$  with image in [0, 1] and  $g_k(x) = 0$  for x with  $f(x) \le k\varepsilon$  and  $g_k(x) = 1$  for x with  $f(x) \ge (k + 1)\varepsilon$ . Then the sum  $g := \sum_{k \in \mathbb{N}} \varepsilon g_k$  is locally finite and  $|f - g| < 2\varepsilon$ .

 $(3 \Rightarrow 2)$  Choose a  $g \in \mathscr{S}$  with  $|g - f| < \frac{b - a}{2}$  and an appropriate map  $\varrho \in C^{\infty}(\mathbf{R}, \mathbf{R})$ . Then  $\varrho \circ \left(g - \frac{a + b}{2}\right)$  satisfies (2).  $\Box$ 

**3.2 Theorem:** Let  $(M, \mathcal{S})$  be a smooth space such that:

- 1. M is real-compact in the *S*-topology.
- 2. The (equivalent) properties of 3.1 hold.
- Then  $(M, \mathcal{S})$  is smoothly real-compact.

First Proof: Let  $\varphi$  be an algebra homomorphism. Then  $I := \ker \varphi$  is an ideal in  $\mathscr{S}$ .

Step 1: If  $f_1, \ldots, f_n \in I$  and  $g \in C^{\infty}(\mathbb{R}^n, \mathbb{R})$  with g(0) = 0, then  $g \circ (f_1, \ldots, f_n) \in I$ , because  $g(x) = \int_0^1 \sum_{i=1}^n \frac{\partial g}{\partial x^i}(tx) dt \cdot x^i =: \sum h_i \cdot x^i$  and  $g \circ (f_1, \ldots, f_n) = \sum h_i(f_1, \ldots, f_n) \cdot f_i \in I$ . Step 2: For  $f \in \mathscr{S}$  let again  $Z_f := \{x: f(x) = \varphi f\}$ . Then  $\mathscr{Z} := \{Z_f : f \in \mathscr{S}\}$ =  $\{Z_f : f \in I\}$ , since  $Z_f = Z_{f-\varphi f \cdot 1}$  and  $f - \varphi f \cdot 1 \in I$ .

 $\mathscr{Z}$  has the finite intersection property (see the proof of 2.2). We claim that it has the countable intersection property. If not there is a sequence  $(f_n)_{n \in \mathbb{N}}$  with  $\bigcap Z_{f_n} = \emptyset$  and we may assume that  $Z_{f_n} \supseteq Z_{f_{n+1}}$  and  $f_n \in I$  for all n.

Step 3: Put  $U_n := \{x \in M : |f_i(x)| < \frac{1}{n} \text{ for } i < n \text{ and } f_n(x) \neq 0\}$ . We claim that  $\{U_n : n \in \mathbb{N}\}$  is a locally finite cover of M: Let  $x \in M$ . There is a minimal n with  $f_n(x) \neq 0$ , so  $x \in U_n$ . Let  $V := \{y \in M : |f_n(y) - f_n(x)| < \frac{1}{2} |f_n(x)|\}$ . Then  $V \cap U_m = \emptyset$  if m > n and  $\frac{1}{m} \leq \frac{1}{2} |f_n(x)|$ .

Step 4: Choose  $\varrho_n \in C^{\infty}(\mathbb{R}^n, [0, 1])$  such that  $\varrho_n(t_1, \ldots, t_n) > 0$  iff  $|t_i| < \frac{1}{n}$  for i < n and  $t_n \neq 0$ .

By step 1 we have that  $\varrho_n \circ (f_1, ..., f_n) =: g_n \in I$  and carr  $(g_n) = U_n$ . Let  $g := \sum_{n=1}^{\infty} \frac{1}{2^n} g_n$ . Then  $g \in \mathscr{S}$  by 1.1.2, and g(x) > 0 for all  $x \in M$ . Hence  $\frac{1}{g} \in \mathscr{S}$  and  $\alpha := \varphi\left(\frac{1}{g}\right) > 0$ . Let  $2^n > \alpha$ . Hence there exists a point  $x_0 \in Z_{g_1} \cap ... \cap Z_{g_n} \cap Z_{g^{-1}-\alpha} \neq \emptyset$ . Therefore  $0 = g_1(x_0) = ... = g_n(x_0)$  and  $\frac{1}{\alpha} = g(x_0) = \sum_{k>n} \frac{1}{2^k} g_k(x_0) \leq \frac{1}{2^n}$  yields a contradiction. Hence  $\mathscr{X}$  has the countable intersection property.

Step 5: Let now  $x_{\infty} \in \bigcap_{Z \in \mathscr{X}} Z^{\beta M}$ , where  $\beta M$  denotes the Stone-Čech compactification. We claim that  $x_{\infty} \in M$ : Otherwise the real-compactness of M implies the existence of a function  $f \in C(\beta M, I)$  with  $f|_{M} > 0$  and  $f(x_{\infty}) = 0$  (vide [2, p. 152]). Since M is assumed to have the property of the lemma above there exists a smooth  $f_i \in \mathscr{S}$  with  $\left\{ x \in M : f(x) \leq \frac{1}{i+1} \right\} \subseteq \left\{ x \in M : f_i(x) = 0 \right\} \subseteq \left\{ x \in M : f(x) < \frac{1}{i} \right\}$ . Consider  $Z_i \coloneqq \left\{ x \in M : f_i(x) = 0 \right\}$ .  $Z_i \in \mathscr{X}$  since  $f_i - \varphi f_i \cdot 1 \in \text{Ker } \varphi$  and  $Z_{f_i - \varphi f_i \cdot 1} \cap Z_i \neq \emptyset$  because  $\left\{ x \in \beta M : f(x) < \frac{1}{i+1} \right\} \subseteq Z_i$  has non empty intersection with  $Z_{f_i - \varphi f_i \cdot 1}$  as neighborhood of  $x_{\infty}$ . But this implies that  $\varphi(f_i) = 0$ , i.e.  $Z_{f_i} = Z_i$ . Hence by the countable intersection property  $\emptyset \neq \bigcap Z_i$ . Which is contradicted by the fact that  $x \in Z_i$  implies  $0 < f(x) < \frac{1}{i}$ .

Step 6: The point  $x_{\infty}$  is in  $\bigcap_{Z \in \mathscr{Z}} Z$ . Therefore  $x_{\infty} \in Z$  for all  $Z \in \mathscr{Z}$ , i.e. for all  $f \in \mathscr{S}$  we have  $fx_{\infty} - \varphi f \cdot 1 = 0$  or  $\varphi f = fx_{\infty}$ .  $\Box$ 

Second Proof: Condition 3.1.3 implies that the uniformity generated by the continuous maps and that generated by the smooth maps is equal. (For a continuous f and

an  $\varepsilon > 0$  choose a smooth g with  $|g - f| < \varepsilon$ . Then  $\{(x, y): |g(x) - g(y)| < \varepsilon\} \subseteq \{(x, y): |f(x) - f(y)| < 3\varepsilon\}$ .)

*M* real-compact implies that the uniformity generated by the family of continuous mappings is complete, hence the uniformity generated by  $\mathscr{S}$  is complete, i.e.  $\iota(M)$  is closed in  $\prod_{\mathscr{S}} \mathbf{R}$  and hence *M* is smoothly real-compact.  $\Box$ 

**3.3 Corollary:** Let  $(M, \mathcal{S})$  be a smooth space with smooth partitions of unity (i.e. to every open covering U of M there is a family  $\mathcal{F} \subseteq \mathcal{S}$  such that all  $f \in \mathcal{F}$  are non negative and the family  $\{x: f(x) > 0\}_{f \in \mathcal{F}}$  is a locally finite covering subordinated to U and  $\sum_{f \in \mathcal{F}} f = 1$ ), then M is smoothly real-compact.

*Proof*: Since *M* admits smooth partitions of unity, *M* is paracompact and therefore real-compact (see [2, p. 337]). This corollary depends on the set-theory: beware of measurable cardinals!). Furthermore *M* has the property (1) of the lemma, since  $A_0 := \{x: f(x) \leq a\}$  and  $A_1 := \{x: f(x) \geq b\}$  are disjoint closed subsets hence by partition of unity there is an  $f_0 \in \mathscr{S}$  with  $f_0|_{A_0} = 0$ ,  $f_0|_{A_1} = 1$ .  $\Box$ 

#### 3.4 Remark:

1. For finite dimensional paracompact manifolds this gives the classical "Exercise of Milnor and Stasheff".

2. Every paracompact manifold modelled on a locally convex space with smooth partitions of unity has itself smooth partitions of unity, hence is smoothly real-compact. This applies especially to the NLF-manifolds considered by [15], as well as to paracompact manifolds modelled on arbitrary Hilbertspaces or  $c_0(\Gamma)$  with any set  $\Gamma$  (see [20]).

3. Results for finite order differentiability can be obtained along similar lines. We are content with the arche-typical  $C^{\infty}$ -case.

**3.5 Proposition:** Any product of real lines with the smooth functions in the sense  $C_c^{\infty}$  of [7] and  $C^{\infty}$  of [3] and [9, 10] is smoothly real-compact.

**Proof:** First for  $C^{\infty}$ : Any continuous map  $f: \prod \mathbf{R} \to \mathbf{R}$  factorizes over  $\prod_{A} \mathbf{R}$  with A countable (see [2]) this is even true for sequentially continuous maps provided the index set of the product has a non-real-measurable cardinal (see [13]) and for Mackey sequentially continuous maps by a similar proof. Since every smooth map in the sense of  $C^{\infty}$  is continuous with respect to Mackey converging sequences (cf. [10]) it is thus continuous with respect to the product topology, and hence the initial topology induced by the smooth maps is just the product topology. Obviously  $\prod \mathbf{R}$  is real-compact. So it remains to verify condition (2) of the lemma. Let  $f: \prod \mathbf{R} \to \mathbf{R}$  be continuous. Then f can be factorized into  $f \circ pr_A$  for some countable A. Thus we have to verify the property (2) only for smooth functions on  $\mathbf{R}^{\mathbf{N}}$ , but this is obvious, since this space is a nuclear Frechet space and hence has smooth partitions of unity.

Now for  $C_c^{\infty}$ : We proceed directly, so let  $\varphi: C_c^{\infty}(\mathbf{R}^{\Gamma}) \to \mathbf{R}$  be an algebra homomorphism.

Step 1: Consider the restriction of  $\varphi$  to the linear subspace  $C_c^{\infty}(\mathbf{R}^{\Gamma}) \supseteq \mathbf{R}^{(\Gamma)} = \bigoplus_{\Gamma} \mathbf{R}$ . Being a linear functional this restriction is an element of  $(\mathbf{R}^{(\Gamma)})' = \mathbf{R}^{\Gamma}$ . Call this point  $x_{\varphi}$  and we have  $\varphi(g) = \langle g, x_{\varphi} \rangle$  for every continuous linear g on  $\mathbf{R}^{\Gamma}$ .

Step 2: Let  $f \in C^{\infty}(\mathbf{R}^{r}, \mathbf{R})$  be such that  $f|_{U} = 0$  for some neighborhood U of  $x_{\varphi}$ . We claim that  $\varphi(f) = 0$ : Without loss of generality let us assume that  $x_{\varphi} = 0$ . Then U contains a neighborhood  $\{x = (x^i) \in \mathbf{R}^T : |x^i| < \frac{1}{n} \text{ for all } i \in F\}$  where F is a finite subset of  $\Gamma$ . Let  $g \in C^{\infty}(\mathbf{R}^F)$  be such that g(0) = 0 and g(t) = 1 for all t with some coordinate  $t_i \ge \frac{1}{n}$ .  $g(u) = \sum_j \int_0^1 \frac{\partial g}{\partial y^j}(ty) \cdot y^j dt = \sum_j h_j(y) y^j$ . Then  $h := g \circ pr_F$  has the property h(0) = 0 and h(x) = 1 for  $x \notin U$ . Thus  $h \cdot f = f$ . So  $\varphi(f) = \sum_j \varphi(h_j \circ pr_F) \varphi(pr_j) \varphi(f) = 0$ , since  $pr_j$  is linear and thus  $\mu(pr_j) = 0$ .

is linear and thus  $\varphi(pr_j) = 0$ .

Step 3: Now let  $f \in C_c^{\infty}(\mathbf{R}^r, \mathbf{R})$  be arbitrary. We claim that  $\varphi(f) = f(x_{\varphi})$ :

By step 2,  $\varphi(f)$  depends only on  $f|_U$  for some neighborhood U of  $x_{\varphi}$  in  $\mathbf{R}^r$  and we take U so small that  $f|_U$  depends only on finitely many coordinates  $(x^i)_{i \in F}$ . Then

$$f(x) = f(x_{\varphi}) + \int_{0}^{1} \sum_{i} \frac{\partial f}{\partial x^{i}} (x_{\varphi} + t(x - x_{\varphi})) (x^{i} - x_{\varphi}^{i}) dt \quad \text{for } x \in U$$

and so:

$$f|_{U} = f(x_{\varphi}) \cdot 1 + \sum_{i} h_{i} \operatorname{pr}_{i}(\cdot - x_{\varphi})$$
$$\varphi(f) = \varphi(f|_{U}) = f(x_{\varphi}) \cdot 1 + \sum_{i} \varphi(h_{i}) \varphi(\operatorname{pr}_{i}(\cdot - x_{\varphi})) = f(x_{\varphi}). \quad \Box$$

#### 3.6 Remarks:

1. For a measurable cardinal  $\Gamma$  the smooth functions on  $\mathbf{R}^{\Gamma}$  in the sense of  $C_c^{\infty}$  and  $C^{\infty}$  are different.

2. The subspace of an uncountable product of **R**'s given by all vectors with countable support is not smoothly real-compact if structured with the  $C^{\infty}$ -functions, because it is not real-compact [2, p. 148, 153] although it is a convenient vector space in the sense of [3], [9].

3. An uncountable product  $\Pi \mathbf{R}$  does not satisfy the following property stronger than the one in Lemma 3.1: For two closed disjoint subsets  $A_i \subseteq \Pi \mathbf{R}$  there is a continuous function  $f: \Pi \mathbf{R} \to \mathbf{R}$  with  $f(A_1) \cap f(A_2) = \emptyset$ .

Hence such a product is neither paracompact nor normal, although the smooth maps do generate the topology.

**Proof:** Let  $A_i := \{x \in \prod_{\Gamma} \mathbb{N}: \text{ for every } j \neq i \text{ there is at most one } s \in \Gamma \text{ with } x_s = j\}$ . Clearly the sets  $A_i$  are closed and disjoint and  $\operatorname{pr}_A(A_1) \cap \operatorname{pr}_A(A_2) \neq \emptyset$  for any countable subset A of  $\Gamma$ . Since any continuous function f depends only on countably many coordinates it cannot separate these two sets.  $\Box$ 

#### 3.7 Open problems:

1. Is  $(l^1, C^{\infty})$  not smoothly real-compact? The  $C^{\infty}$ -topology on  $l^1$  is coarser than the norm topology. More generally, is any Banach space with rough norm [12] not smoothly real-compact?

2. We suspect that for any smooth space real-compactness and smoothly realcompactness are equivalent.

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