

Homomorphisms between multidimensional substitutive subshifts

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Let (X, S, \mathbb{Z}^d) and (Y, S, \mathbb{Z}^d) be two subshifts.

Let $M \in GL(d, \mathbb{Z})$. **Homomorphism associated with M :** Surjective continuous map $\phi : X \rightarrow Y$ s.t. $\forall \mathbf{n} \in \mathbb{Z}^d, \phi \circ S^{\mathbf{n}} = S^{M\mathbf{n}} \circ \phi$.

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Question

How to characterize the homomorphisms between two subshifts?

Examples: If $M = \text{id}_{\mathbb{R}^d}$.

- **Factor:** Surjective continuous map $\phi : X \rightarrow Y$ commuting with the action, i.e.,
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The notion of homomorphisms generalizes the notion of factors via $GL(d, \mathbb{Z})$ -conjugacies.

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We have $\langle S \rangle \trianglelefteq \text{Aut}(X, S, \mathbb{Z}^d) \trianglelefteq N^*(X, S, \mathbb{Z}^d)$, and

$$N^*(X, S, \mathbb{Z}^d) / \text{Aut}(X, S, \mathbb{Z}^d) \cong \vec{N}^*(X, S, \mathbb{Z}^d) \leq GL(d, \mathbb{Z}).$$

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Question

How to characterize the symmetry semigroup of a subshift?

Theorem (M. Curtis, G. Hedlund, R. Lyndon (1969))

If $\phi : (X, S, \mathbb{Z}^d) \rightarrow (Y, S, \mathbb{Z}^d)$ is a factor, there exists $r > 0$ and a map $\Phi : \mathcal{L}_{B(\mathbf{0}, r)}(X) \rightarrow \mathcal{A}_Y$ such that for all $\mathbf{n} \in \mathbb{Z}^d$, $\phi(x)_{\mathbf{n}} = \Phi(x|_{\mathbf{n}+B(\mathbf{0}, r) \cap \mathbb{Z}^d})$.

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For homomorphisms we have a similar result: If $\phi : X \rightarrow Y$ is a homomorphism associated with a matrix $M \in GL(d, \mathbb{Z})$, then there exists $r > 0$ and a map $\Phi : \mathcal{L}_{B(\mathbf{0}, r)}(X) \rightarrow \mathcal{A}_Y$ such that $\phi(x)_{\mathbf{n}} = \Phi(x|_{M^{-1}\mathbf{n}+B(\mathbf{0}, r)} \cap \mathbb{Z}^d)$.

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In particular the semigroup $N(X, S, \mathbb{Z}^d)$ is countable and $N^*(X, S, \mathbb{Z}^d)$ is a discrete subset in $\text{Homeo}(X)$.

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- Let $F \subseteq \mathbb{Z}^d$ be a fundamental domain of $L(\mathbb{Z}^d)$ in \mathbb{Z}^d , i.e., a set of representatives classes of $\mathbb{Z}^d / L(\mathbb{Z}^d)$ with $\mathbf{0} \in F$.

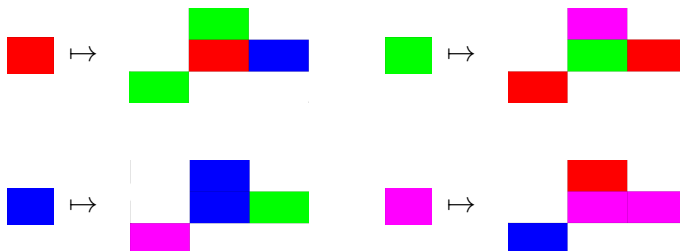
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- Let \mathcal{A} be a finite alphabet.

A **multidimensional constant-shape substitution** ζ is a map $\mathcal{A} \rightarrow \mathcal{A}^F$. F is the **support** of the substitution.

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Example of a multidimensional constant-shape substitution:

$$L = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}, \quad F = \left\{ \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \begin{pmatrix} -1 \\ -1 \end{pmatrix} \right\} :$$



For any $n > 0$ the n -th iteration is defined as $\zeta^n : \mathcal{A} \rightarrow \mathcal{A}^{F_n^\zeta}$, with $F_{n+1}^\zeta = L_\zeta(F_n^\zeta) + F_1^\zeta$.

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Remark

We assume the sequence (F_n^ζ) is a Følner^a sequence, i.e., for all $\mathbf{n} \in \mathbb{Z}^d$ we have

$$\lim_{n \rightarrow \infty} \frac{|F_n \Delta(\mathbf{n} + F_n)|}{|F_n|} = 0.$$

^aThis is not the exact definition of Følner sequence in group theory.

Example of iterations of a substitution:

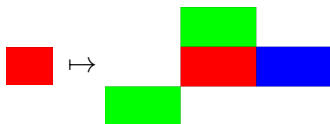


Figure: First and second iteration of a substitution.

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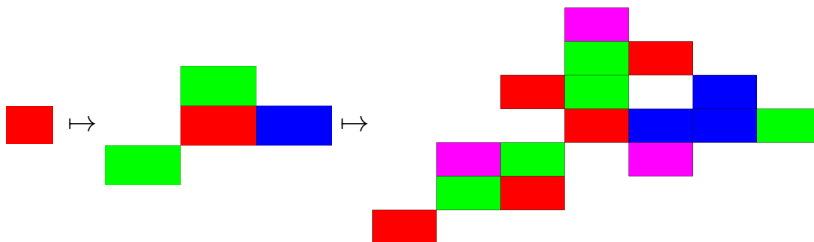


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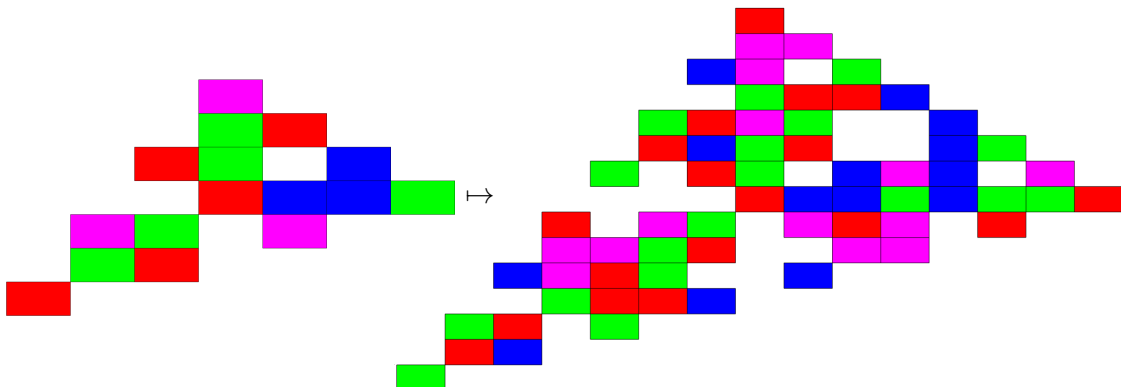


Figure: Second and third iteration of a substitution.

A substitution is **primitive** if there exists a positive integer $n > 0$, such that for every $a, b \in \mathcal{A}$, b occurs in $\zeta^n(a)$.

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$$\mathcal{L}_\zeta = \{p : p \text{ occurs in } \zeta^n(a), \text{ for some } n > 0, a \in \mathcal{A}\}.$$

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With the language we define the subshift $X_\zeta \subseteq \mathcal{A}^{\mathbb{Z}^d}$ as the set of all sequences $x \in \mathcal{A}^{\mathbb{Z}^d}$ such that every pattern of x is in \mathcal{L}_ζ .

The action of \mathbb{Z}^d on X_ζ is defined by shifts:

$$\forall \mathbf{n} \in \mathbb{Z}^d, (S^{\mathbf{n}}x)_{\mathbf{k}} = x_{\mathbf{n}+\mathbf{k}}, \forall \mathbf{k} \in \mathbb{Z}^d.$$

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We say that the substitution ζ is **aperiodic** if there are no non-trivial periods, i.e., if $S^{\mathbf{p}}x = x$ for some $x \in X_\zeta$, then $\mathbf{p} = 0$.

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- 1 *Any homomorphism in the normalizer semigroup $N(X_\zeta, S, \mathbb{Z}^d)$ is invertible.*

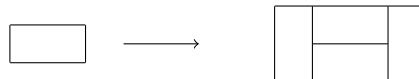
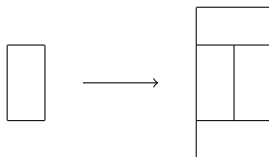
Theorem (C. (2021))

Let ζ be an aperiodic primitive substitution **satisfying certain geometric and dynamical properties**. Then

- ① Any homomorphism in the normalizer semigroup $N(X_\zeta, S, \mathbb{Z}^d)$ is invertible.
- ② The group $N(X_\zeta, S, \mathbb{Z}^d) / \langle S \rangle$ is finite.

A. Bustos, D. Luz, N. Mañibo (2021): Point (2) for bijective block substitutions.

The table tiling:



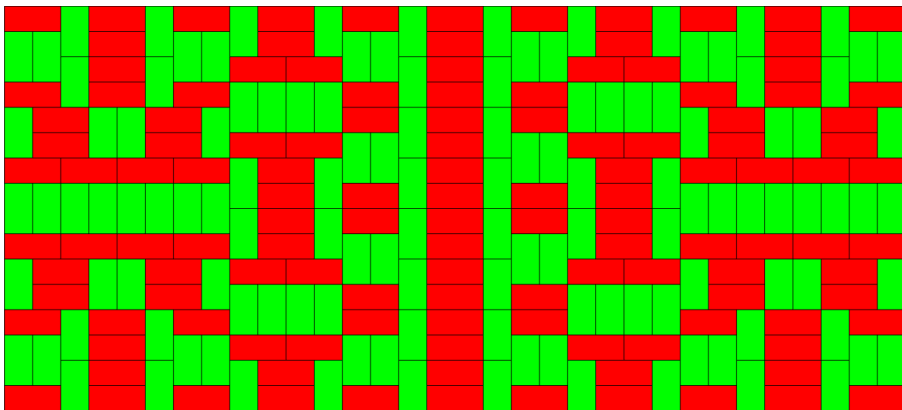


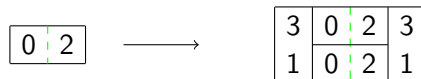
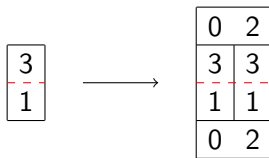
Figure: A pattern of the table tiling

The table tiling: $L_t = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$, $F_1^t = [0, 1]^2 \cap \mathbb{Z}^2$

$$0 \mapsto \begin{pmatrix} 3 & 0 \\ 1 & 0 \end{pmatrix}, \quad 1 \mapsto \begin{pmatrix} 1 & 1 \\ 0 & 2 \end{pmatrix}, \quad 2 \mapsto \begin{pmatrix} 2 & 3 \\ 2 & 1 \end{pmatrix}, \quad 3 \mapsto \begin{pmatrix} 0 & 2 \\ 3 & 3 \end{pmatrix}.$$

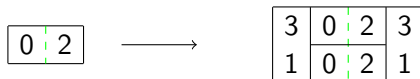
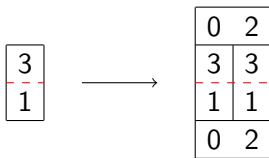
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Proposition

For the table tiling, we have $N(X_t, S, \mathbb{Z}^2) \cong \mathbb{Z}^2 \rtimes D_4$.

Geometric property: Polytope

The matrix L_ζ^{-1} is a contraction in \mathbb{R}^d . We define a contraction in the collection $\mathcal{C}(\mathbb{R}^d)$ of nonempty compact subsets of \mathbb{R}^d equipped with the Hausdorff metric h

$$\begin{aligned} F : (\mathcal{C}(\mathbb{R}^d), h) &\rightarrow (\mathcal{C}(\mathbb{R}^d), h) \\ A &\mapsto \bigcup_{\mathbf{g} \in F_1^\zeta} (L_\zeta^{-1}(A) + \mathbf{g}), \end{aligned}$$

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We can approximate the digit tile: $T_\zeta = \lim_{n \rightarrow \infty} L_\zeta^{-n}(F_n^\zeta)$.

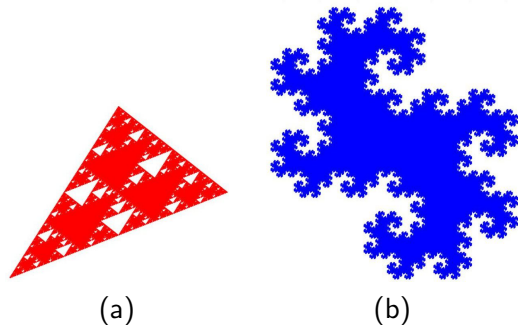


Figure: Approximation of some digit tiles: (a) Gasket, (b) Twin Dragon.

(a)

$$L_{\zeta(a)} = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$$

$$F_1^{\zeta(a)} = \{(0, 0), (1, 0), (0, 1), (-1, -1)\}.$$

(b)

$$L_{\zeta(b)} = \begin{pmatrix} 1 & -1 \\ 1 & 1 \end{pmatrix}$$

$$F_1^{\zeta(b)} = \{(0, 0), (1, 0)\}.$$

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The first condition only depend on the expansion matrix and the support.

$$L = \begin{pmatrix} 2 & 0 \\ 0 & 3 \end{pmatrix} \text{ and } F_1 = \left\{ \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \begin{pmatrix} 0 \\ 2 \end{pmatrix}, \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \begin{pmatrix} 1 \\ 2 \end{pmatrix}, \begin{pmatrix} 1 \\ -2 \end{pmatrix} \right\}.$$

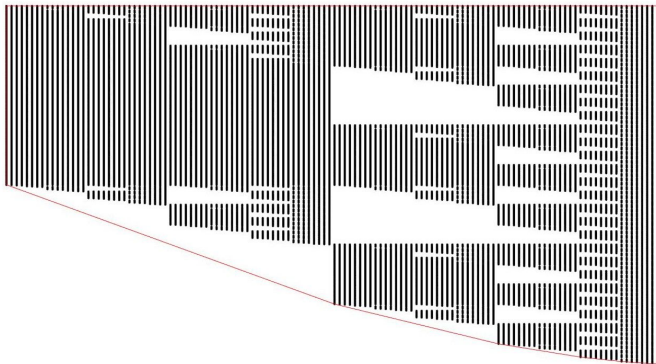
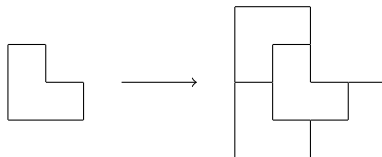
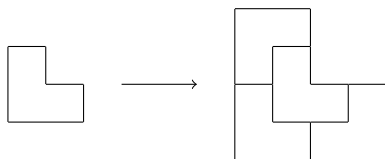


Figure: Example $\text{conv}(T_\zeta)$ **not** a polytope.

The chair tiling:



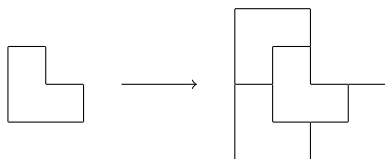
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Discrete chair tiling: $L_t = \begin{pmatrix} 2 & 0 \\ 0 & 2 \end{pmatrix}$, $F_1^t = [0, 1]^2 \cap \mathbb{Z}^2$

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Example of a **not** bijective substitution.

Dynamical property: Non-deterministic directions for $(X_\zeta, S, \mathbb{Z}^d)$.

A vector $\mathbf{v} \in \mathbb{S}^{d-1}$ is **deterministic** for $(X_\zeta, T, \mathbb{Z}^d)$ if and only if

$$\forall x, y \in X_\zeta : x|_{H_{\mathbf{v}} \cap \mathbb{Z}^d} = y|_{H_{\mathbf{v}} \cap \mathbb{Z}^d} \implies x = y,$$

where $H_{\mathbf{v}} = \{\mathbf{t} \in \mathbb{R}^d : \langle \mathbf{v}, \mathbf{t} \rangle < 0\}$.

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M. Boyle, D. Lind (1997): Introduction of the notion of expansive subdynamics.

V. Cyr, B. Kra (2015): Use of non-expansive directions for a weak version of the Nivat's conjecture.

P. Guillon, J. Kari, C. Zinoviadis (2015): Determinism for 2D-subshifts.

Proposition

Let ζ be an aperiodic primitive constant-shape substitution. Then for all $\mathbf{v} \in \text{ND}(X_\zeta, S, \mathbb{Z}^d)$ and $M \in \vec{N}(X_\zeta, S, \mathbb{Z}^d)$, we have $M^\mathbf{v}/\|M^*\mathbf{v}\| \in \text{ND}(X_\zeta, S, \mathbb{Z}^d)$.*

Theorem (C., 2021)

Let ζ be an aperiodic primitive polytope substitution. The non-deterministic directions $\text{ND}(X_\zeta, S, \mathbb{Z}^d)$ is the intersection of \mathbb{S}^{d-1} with a non-empty union of opposite normal cones of the form $\hat{N}_{\mathbf{G}}(\text{conv}(T_\zeta))$, where \mathbf{G} is a face of $\text{conv}(T_\zeta)$.

$$\hat{N}_{\mathbf{G}}(\text{conv}(T_\zeta)) = \{\mathbf{v} \in \mathbb{R}^d : \min_{\mathbf{t} \in \text{conv}(T_\zeta)} \langle \mathbf{v}, \mathbf{t} \rangle = \langle \mathbf{v}, \mathbf{p} \rangle, \forall \mathbf{p} \in \mathbf{G}\}.$$

Theorem (C. (2021))

Let ζ be an aperiodic primitive polytope substitution with $\text{rank}(\text{ND}(X_\zeta, S, \mathbb{Z}^d)) = d$.
Then

- 1 Any homomorphism in the normalizer semigroup $N(X_\zeta, S, \mathbb{Z}^d)$ is invertible.
- 2 The group $N(X_\zeta, S, \mathbb{Z}^d) / \langle S \rangle$ is finite.

Question

Does there exist an aperiodic d -dimensional constant-shape substitution with less than d linearly independent nondeterministic directions? **Partially answered.**

Question

What can be said about the normalizer group for nonpolytope constant-shape substitutions?

Question

What subgroups of $GL(d, \mathbb{Z})$ can be realized as the symmetry group of a constant-shape substitution?

Question

Is it decidable the question of whether a constant-shape substitution satisfies $ND(X_\zeta, S, \mathbb{Z}^d) = \mathbb{S}^{d-1}$?

and so on...

THANKS