

Self-similarity in the entropy graph for a family of piecewise linear maps.

Henk Bruin (University of Vienna)

Carlo Carminati (University of Pisa)

Stefano Marmi (Scuola Normale di Pisa)

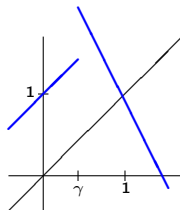
Alessandro Profeti (University of Pisa)

Sarajevo, July 2018

The family Q_γ

For fixed slope $s > 1$ and $\gamma \in [0, 1]$, take:

$$Q_\gamma(x) = \begin{cases} x + 1, & x \leq \gamma \\ 1 + s(1 - x), & x > \gamma \end{cases}$$



There is **matching** if there are $\kappa^\pm \geq 1$ such that

$$\begin{aligned} Q_\gamma^{\kappa^+}(\gamma^+) &= Q_\gamma^{\kappa^-}(\gamma^-), \\ (Q_\gamma^{\kappa^+})'(\gamma^+) &= (Q_\gamma^{\kappa^-})'(\gamma^-). \end{aligned}$$

The number $\Delta = \kappa^+ - \kappa^-$ is the **matching index**.

The family Q_γ

Facts about matching for Q_γ (proved in paper, not in this talk):

- ▶ Matching holds for an **open dense set of full Lebesgue measure**.

The family Q_γ

Facts about matching for Q_γ (proved in paper, not in this talk):

- ▶ Matching holds for an **open dense set of full Lebesgue measure**.
- ▶ Matching occurs for every s -adic rational: $\gamma = ps^{-q}$ for $p, q \in \mathbb{N}$.

(In this case $Q_\gamma^n(\gamma^+) = Q_\gamma^n(\gamma^-) = 1$ is fixed for all n large enough.)

The family Q_γ

Facts about matching for Q_γ (proved in paper, not in this talk):

- ▶ Matching holds for an **open dense set of full Lebesgue measure**.
- ▶ Matching occurs for every s -adic rational: $\gamma = ps^{-q}$ for $p, q \in \mathbb{N}$.
(In this case $Q_\gamma^n(\gamma^+) = Q_\gamma^n(\gamma^-) = 1$ is fixed for all n large enough.)
- ▶ The non-matching set \mathcal{E} has Hausdorff dimension

$$\dim_H(\mathcal{E}) = 1,$$

but $\dim_H(\mathcal{E} \setminus [0, \delta)) < 1$ for every $\delta > 0$.

The family Q_γ

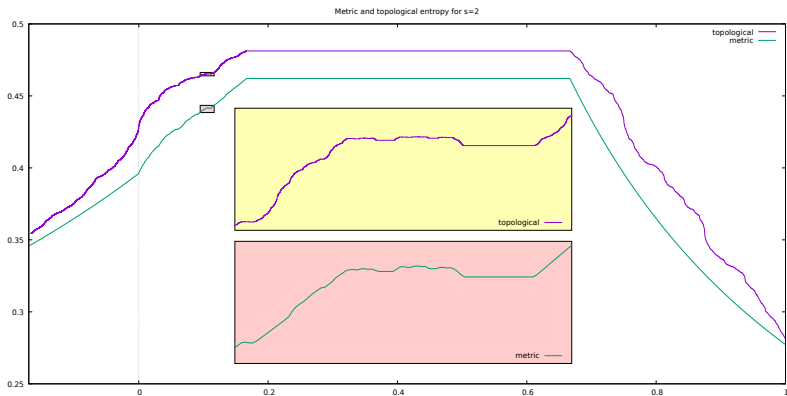


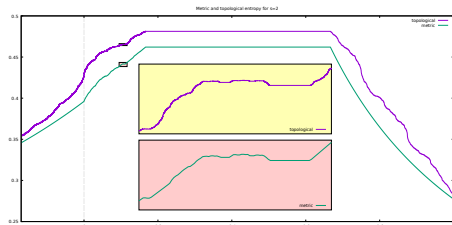
Figure: Topological & metric entropies of Q_γ for $s = 2$ as functions of γ .

The family Q_γ

Theorem: Topological and metric entropy

$h_\mu(Q_\gamma)$ and $h_{top}(Q_\gamma)$ are $\begin{cases} \text{decreasing} & \text{if } \Delta < 0; \\ \text{constant} & \text{if } \Delta = 0; \\ \text{increasing} & \text{if } \Delta > 0, \end{cases}$

as function of γ within matching intervals. (Recall $\Delta = \kappa^+ - \kappa^-$.)



Plan of this talk

Aim of this talk:

- ▶ Explain why matching happens;

Plan of this talk

Aim of this talk:

- ▶ Explain why matching happens;
- ▶ Describe the **matching intervals** (components of $[0, 1] \setminus \mathcal{E}$) precisely
 - ▶ **pseudo-centers**
 - ▶ period doubling cascades
 - ▶ computing the **matching index**

Plan of this talk

Aim of this talk:

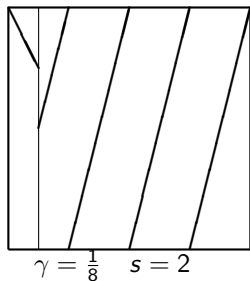
- ▶ Explain why matching happens;
- ▶ Describe the **matching intervals** (components of $[0, 1] \setminus \mathcal{E}$) precisely
 - ▶ **pseudo-centers**
 - ▶ period doubling cascades
 - ▶ computing the **matching index**
- ▶ **Tuning windows** and explanation of the self-similarity of the entropy graphs.

Why there is matching?

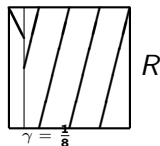
Let $g(x) := s(1-x) \bmod 1$ and $R : (0, 1) \rightarrow (0, 1)$ be the first return of Q_γ to $[0, 1)$.

Lemma:

$$R(x) = \begin{cases} g(x) & \text{if } x \in (0, \gamma) \\ g^2(x) & \text{if } x \in (\gamma, 1) \end{cases}$$



Why there is matching?



Lemma: For fixed $\gamma \in [0, 1]$, the following conditions are equivalent:

- (i) $g^k(\gamma) < \gamma$ for some $k \in \mathbb{N}$;
- (ii) matching holds for γ .

In other words, the bifurcation set is

$$\mathcal{E} = \{\gamma \in [0, 1] : g^k(\gamma) \geq \gamma \forall k \in \mathbb{N}\}.$$

Pseudo-centers

Motivation: Find exact formulas for matching intervals J and their matching indices Δ for **slope $s = 2$** (also works for integers $s \geq 2$).

Pseudo-centers

Motivation: Find exact formulas for matching intervals J and their matching indices Δ for **slope** $s = 2$ (also works for integers $s \geq 2$).

Let \mathbb{Q}_{dyd} be the set of **dyadic rationals** in $(0, 1]$.

Definition The **pseudo-center** of an interval $J \subset (0, 1)$ is the (unique) dyadic rational $\xi \in \mathbb{Q}_{\text{dyd}}$ with minimal denominator.

Pseudo-centers

Motivation: Find exact formulas for matching intervals J and their matching indices Δ for **slope** $s = 2$ (also works for integers $s \geq 2$).

Let \mathbb{Q}_{dyd} be the set of **dyadic rationals** in $(0, 1]$.

Definition The **pseudo-center** of an interval $J \subset (0, 1)$ is the (unique) dyadic rational $\xi \in \mathbb{Q}_{\text{dyd}}$ with minimal denominator.

Theorem For $\xi \in \mathbb{Q}_{\text{dyd}} \setminus \{1\}$, let w be the shortest **even** binary expansion of ξ and v be the shortest **odd** binary expansion of $1 - \xi$.

The matching interval containing ξ is

$$I_\xi := (\xi_L, \xi_R)$$

where $\xi_L := \overline{.v\bar{v}}$, $\xi_R := \overline{.w}$ (where \bar{v} is bitwise negation of v).

Pseudo-centers

In short: $I_\xi := (\xi_L, \xi_R)$ with $\xi_L := \overline{.vV}$, $\xi_R := \overline{.w}$.

Pseudo-centers

In short: $I_\xi := (\xi_L, \xi_R)$ with $\xi_L := \overline{.v\check{v}}$, $\xi_R := \overline{.w}$.

If $\xi = 1/2$ then $w = 10$, $v = 1$ and $\xi_L = \overline{.01}$, $\xi_R = \overline{.10}$.

$$(01) \quad w = u01 \Rightarrow \xi_L = \overline{.u001\check{u}110};$$

$$(11) \quad w = u11 \Rightarrow \xi_L = \overline{.u101\check{u}010};$$

$$(010) \quad w = u010 \Rightarrow \xi_L = \overline{.u00\check{u}11};$$

$$(110) \quad w = u110 \Rightarrow \xi_L = \overline{.u10\check{u}01}.$$

Pseudo-centers

In short: $I_\xi := (\xi_L, \xi_R)$ with $\xi_L := \overline{.v\bar{v}}$, $\xi_R := \overline{.w}$.

If $\xi = 1/2$ then $w = 10$, $v = 1$ and $\xi_L = \overline{.0\bar{1}}$, $\xi_R = \overline{.1\bar{0}}$.

$$(01) \quad w = u01 \Rightarrow \xi_L = \overline{.u001\check{u}110};$$

$$(11) \quad w = u11 \Rightarrow \xi_L = \overline{.u101\check{u}010};$$

$$(010) \quad w = u010 \Rightarrow \xi_L = \overline{.u00\check{u}11};$$

$$(110) \quad w = u110 \Rightarrow \xi_L = \overline{.u10\check{u}01}.$$

ξ	ξ_R	ξ_L
$\frac{1}{2} = .10$	$\frac{2}{3} = \overline{.10}$	$\frac{1}{3} = \overline{.01}$
$\frac{1}{4} = .01$	$\frac{1}{3} = \overline{.01}$	$\frac{2}{9} = \overline{.001110}$
$\frac{7}{32} = .001110$	$\frac{2}{9} = \overline{.001110}$	$\frac{7}{33} = \overline{.0011011001}$
$\frac{3}{16} = .0011$	$\frac{1}{5} = \overline{.0011}$	$\frac{2}{11} = \overline{.0010111010}$
$\frac{9}{64} = .001001$	$\frac{1}{7} = \overline{.001}$	$\frac{4334}{16383} = \overline{.00100011101110}$
$\frac{1}{8} = .0010$	$\frac{2}{15} = \overline{.0010}$	$\frac{1}{9} = \overline{.000111}$

Pseudo-centers (matching index)

Theorem: The matching index is

$$\Delta(\xi) = \frac{3}{2}(|w|_0 - |w|_1),$$

where $|w|_a$ is the number of symbols a in w .

Pseudo-centers (matching index)

Theorem: The matching index is

$$\Delta(\xi) = \frac{3}{2}(|w|_0 - |w|_1),$$

where $|w|_a$ is the number of symbols a in w .

Proposition: If $\gamma \geq \frac{1}{6}$, then $|w_0| = |w|_1$. In particular, all matching intervals in $(\frac{1}{6}, \frac{2}{3})$ have matching index $\Delta = 0$.

Pseudo-centers (period doubling)

Pseudo-center $\xi = .w$ (even exp.) and $1 - \xi = .v$ (odd exp.).

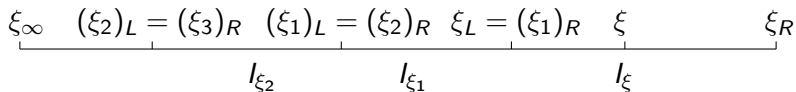
The **matching interval** is $I_\xi = [\xi_L, \xi_R]$ for $\xi_L = .\check{v}v$ and $\xi_R = .\bar{w}$.

Pseudo-centers (period doubling)

Pseudo-center $\xi = .w$ (even exp.) and $1 - \xi = .v$ (odd exp.).

The **matching interval** is $I_\xi = [\xi_L, \xi_R]$ for $\xi_L = .\check{v}\overline{v}$ and $\xi_R = .\overline{w}$.

But ξ_L is also the right end-point of I_{ξ_1} for $\xi_1 = .\check{v}v$. We call this “**period doubling**”. It repeats countably often, converging to ξ_∞ .

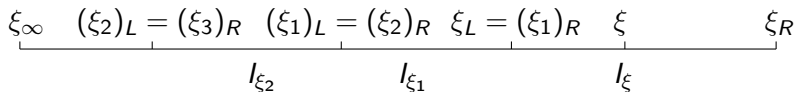


Pseudo-centers (period doubling)

Pseudo-center $\xi = .w$ (even exp.) and $1 - \xi = .v$ (odd exp.).

The **matching interval** is $I_\xi = [\xi_L, \xi_R]$ for $\xi_L = .\check{v}\overline{v}$ and $\xi_R = .\overline{w}$.

But ξ_L is also the right end-point of I_{ξ_1} for $\xi_1 = .\check{v}v$. We call this “**period doubling**”. It repeats countably often, converging to ξ_∞ .



Lemma: The pseudo-center of the next period doubling can be obtained from the previous using the substitution:

$$\chi: \begin{array}{ll} w \mapsto \check{v}v & \check{w} \mapsto v\check{v} \\ v \mapsto vw & \check{v} \mapsto \check{v}\check{w} \end{array} .$$

Thus the limit ξ_∞ has s -adic expansion

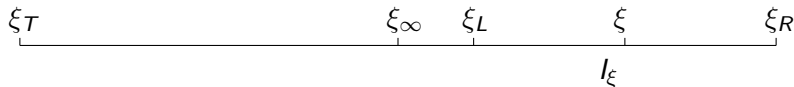
$$\xi_\infty = .\check{v}\check{w}v\check{v}vw\check{v}\check{w}vw\check{v}\check{w}\check{v}\check{w}\dots$$

Pseudo-centers (tuning windows)

Pseudo-center $\xi = .w$ (even expansion) and $1 - \xi = .v$ (odd exp).

The **matching interval** is $I_\xi = [\xi_L, \xi_R] = [.\check{v}\check{v}, .\check{w}]$.

The **tuning interval** is $T_\xi = [\xi_T, \xi_R]$ for $\xi_T = .\check{v}\check{w}$.

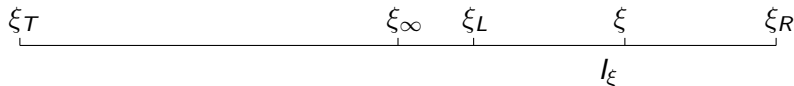


Pseudo-centers (tuning windows)

Pseudo-center $\xi = .w$ (even expansion) and $1 - \xi = .v$ (odd exp).

The **matching interval** is $I_\xi = [\xi_L, \xi_R] = [.\check{v}\check{v}, .\check{w}]$.

The **tuning interval** is $T_\xi = [\xi_T, \xi_R]$ for $\xi_T = .\check{v}\check{w}$.

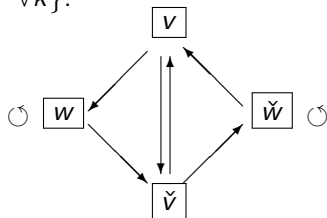


Theorem: Let $K(\xi_T) = \{x : g^k(x) \geq \xi_T \forall k\}$.

Then $x \in K(\xi_T) \cap T_\xi$ if and only if

$$x = .\sigma_1\sigma_2\sigma_3\sigma_4\dots$$

for $\sigma_1 \in \{w, \check{v}\}$, $\sigma_j \in \{w, v, \check{w}, \check{v}\}$
describing a path in the diagram.

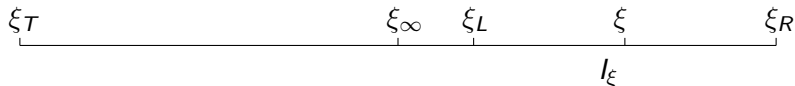


Pseudo-centers (tuning windows)

Pseudo-center $\xi = .w$ (even expansion) and $1 - \xi = .v$ (odd exp).

The **matching interval** is $I_\xi = [\xi_L, \xi_R] = [.\check{v}\check{v}, .\check{w}\check{w}]$.

The **tuning interval** is $T_\xi = [\xi_T, \xi_R]$ for $\xi_T = .\check{v}\check{w}$.



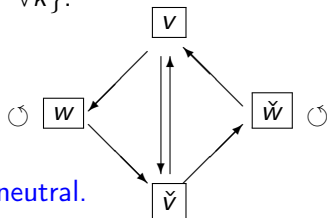
Theorem: Let $K(\xi_T) = \{x : g^k(x) \geq \xi_T \forall k\}$.

Then $x \in K(\xi_T) \cap T_\xi$ if and only if

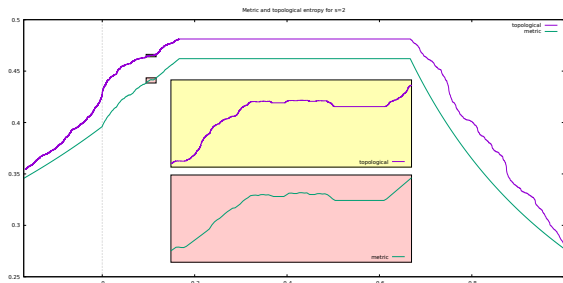
$$x = .\sigma_1\sigma_2\sigma_3\sigma_4\dots$$

for $\sigma_1 \in \{w, \check{v}\}$, $\sigma_j \in \{w, v, \check{w}, \check{v}\}$
describing a path in the diagram.

If $\Delta(\xi) = 0$, then all matching in T_ξ is neutral.



Pseudo-centers (tuning window)

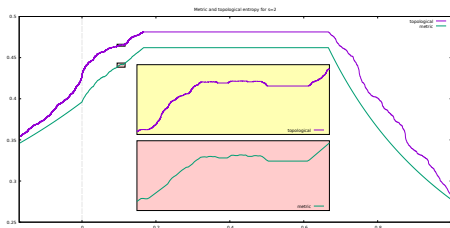


Remark: This theorem explains constant entropy on all matching intervals in $M = (\frac{1}{6}, \frac{2}{3})$. A **no devil's staircase** argument gives:

$$h_{\mu}(Q_{\gamma}) = \log\left(\frac{1 + \sqrt{5}}{2}\right) \quad \text{and} \quad h_{top}(Q_{\gamma}) = \frac{2}{3} \log 2,$$

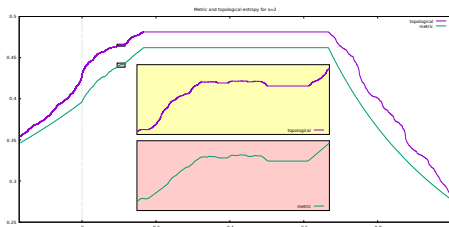
for all $\gamma \in [\frac{1}{6}, \frac{2}{3}]$.

Shape of the entropy function



Question: Known: $\gamma \mapsto h(\mu_\gamma)$ is Hölder. Is $\gamma \mapsto h_{top}(Q_\gamma)$ Hölder?

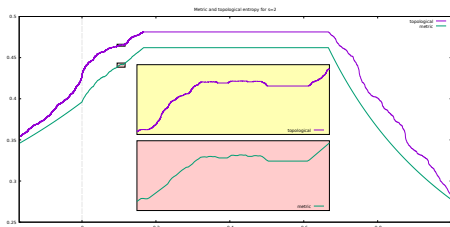
Shape of the entropy function



Question: Known: $\gamma \mapsto h(\mu_\gamma)$ is Hölder. Is $\gamma \mapsto h_{top}(Q_\gamma)$ Hölder?

Conjecture: The neutral tuning windows are exactly the plateaus of (topological and metric) entropy.

Shape of the entropy function



Question: Known: $\gamma \mapsto h(\mu_\gamma)$ is Hölder. Is $\gamma \mapsto h_{top}(Q_\gamma)$ Hölder?

Conjecture: The neutral tuning windows are exactly the plateaus of (topological and metric) entropy.

Corollary: The shape of the entire entropy function (i.e., pattern of increase/decrease) is repeated in every tuning window T_ξ with $\Delta(\xi) > 0$, and reversed in every tuning window T_ξ with $\Delta(\xi) < 0$.