

# The Riemannian deformation sequence revisited

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- Besides its obvious relevance for Riemannian geometry, the Riemannian deformation sequence also plays an important role in applied mathematics under the name “fundamental complex of linear elasticity”.
- The usual construction goes back to a 1961 article of E. Calabi which mainly discusses the case of constant sectional curvature, in which one gets a complex. In the late 1990’s it was observed that this is a special case of a projective BGG sequence, which was one of the starting points of metric projective geometry.
- While this is a nice conceptual construction, a relation to deformations can only be obtained on a computational level.
- In my talk, I will discuss a construction based on the Cartan geometry description of Riemannian manifolds and analog of the BGG machinery. In this version the relation to deformations is manifest from the Cartan picture.

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# Cartan geometries

The starting point here is the *homogeneous model*, which is Euclidean space  $\mathbb{R}^n$  viewed as a homogeneous space of  $G := \text{Euc}(n)$ , the group of rigid motions. Hence  $\mathbb{R}^n = G/H$ , where  $H = O(n) \subset \text{Euc}(n)$  the subgroup of motions fixing  $0 \in \mathbb{R}^n$ .

A *Cartan geometry* of type  $(G, H)$  on an  $n$ -manifold  $M$  is a pair  $(\mathcal{G} \rightarrow M, \omega)$  of a principal  $H$ -bundle and a Cartan connection  $\omega \in \Omega^1(\mathcal{G}, \mathfrak{g})$ , where  $\mathfrak{g} = \mathfrak{euc}(n)$  is the Lie algebra of  $G$ . So  $\omega$  induces an  $H$ -equivariant trivialization  $T\mathcal{G} \cong \mathcal{G} \times \mathfrak{g}$  and reproduces the generators of fundamental vector fields.

Observe that by definition, the difference of two Cartan connections lies in  $\Omega_h^1(\mathcal{G}, \mathfrak{g})^H$  (horizontal and  $H$ -equivariant  $\mathfrak{g}$ -valued 1-forms). More precisely, Cartan connections form an open subset of an affine space modelled on  $\Omega_h^1(\mathcal{G}, \mathfrak{g})^H$ .

# Normalization

The curvature  $K \in \Omega^2(\mathcal{G}, \mathfrak{g})$  of the Cartan connection  $\omega$  is defined by  $K(\xi, \eta) := d\omega(\xi, \eta) + [\omega(\xi), \omega(\eta)]$ . The defining properties of  $\omega$  easily imply that  $K$  is horizontal and  $H$ -equivariant, i.e.  $K \in \Omega_h^2(\mathcal{G}, \mathfrak{g})^H$ . We call  $\omega$  *torsion-free* if  $K$  has values in  $\mathfrak{o}(n) \subset \mathfrak{g}$ .

## Theorem

There is a categorical equivalence between  $n$ -dimensional Riemannian manifolds  $(M, g)$  and torsion-free Cartan geometries  $(\mathcal{G} \rightarrow M, \omega)$  of type  $(G, H)$ .

**Sketch of proof:**  $\text{Euc}(n) = O(n) \ltimes \mathbb{R}^n$ , so  $\text{euc}(n) \cong_{O(n)} \mathbb{R}^n \oplus \mathfrak{o}(n)$ . Given  $(\mathcal{G} \rightarrow M, \omega)$  and decomposing  $\omega = \theta \oplus \gamma$  accordingly,  $\theta$  and  $\gamma$  are  $O(n)$ -equivariant.  $\theta$  is strictly horizontal and hence identifies  $\mathcal{G}$  as a reduction of the frame bundle of  $M$  to the structure group  $O(n) \Leftrightarrow$  Riemannian metric  $g$  on  $M$ .  $\gamma$  is a principal connection on  $\mathcal{G}$  and hence induces  $\nabla$  on  $TM$  with  $\nabla g = 0$ .

## Sketch of proof (continued)

By definition, the components of  $K$  in  $\mathbb{R}^n$  and  $\mathfrak{o}(n)$  encode the torsion and the curvature of this connection, so if  $\omega$  is torsion-free,  $\gamma$  is the Levi-Civita connection of  $g$ .

Conversely, given  $(M, g)$ , define  $\mathcal{G} := \mathcal{O}M$ , the orthonormal frame bundle of  $M$  with respect to  $g$ . This comes with a soldering form  $\theta \in \Omega^1(\mathcal{O}M, \mathbb{R}^n)$ , and the Levi-Civita connection of  $g$  is induced by a principal connection  $\gamma \in \Omega^1(\mathcal{O}M, \mathfrak{o}(n))$ . Putting  $\omega := \theta \oplus \gamma$ , one obtains a torsion-free Cartan geometry as required.  $\square$

The Cartan setup suggests looking at  $\Omega_h^k(\mathcal{G}, \mathfrak{g})^H$ . For  $k = 1, 2$ , we can directly interpret these as infinitesimal changes of Cartan connections and curvatures by the above observations. For  $k = 0$ ,  $f : \mathcal{G} \rightarrow \mathfrak{g}$  corresponds to  $\xi \in \mathfrak{X}(\mathcal{G})$  via  $\omega(\xi(u)) = f(u)$ .  $H$ -equivariance of  $f$  is equivalent to  $\xi$  being  $H$ -invariant. So here we obtain infinitesimal principal bundle automorphisms.

# Cohomology

$[\cdot, \cdot] : \mathbb{R}^n \times \mathfrak{g} \rightarrow \mathfrak{g}$  is an  $O(n)$ -equivariant representation of the Abelian Lie algebra  $\mathbb{R}^n$  on the vector space  $\mathfrak{g}$ . This induces  $O(n)$ -equivariant maps  $\partial : \Lambda^k \mathbb{R}^{n*} \otimes \mathfrak{g} \rightarrow \Lambda^{k+1} \mathbb{R}^{n*} \otimes \mathfrak{g}$  computing  $H^*(\mathbb{R}^n, \mathfrak{g})$ . These cohomology spaces are representations of  $O(n)$  and thus define natural bundles on Riemannian  $n$ -manifolds.

$[\mathbb{R}^n, \mathbb{R}^n] = 0$  and  $[\mathbb{R}^n, \mathfrak{o}(n)] \subset \mathbb{R}^n$  (standard action of  $\mathfrak{o}(n)$  on  $\mathbb{R}^n$ ), and similarly for  $\partial$ . In degree 1, one thus obtains a map  $\mathbb{R}^{n*} \otimes \mathfrak{o}(n) \rightarrow \Lambda^2 \mathbb{R}^{n*} \otimes \mathbb{R}^n$ . This is the classical Spencer differential which is a linear isomorphism ( $\Leftrightarrow$  existence and uniqueness of the Levi-Civita connection).

One easily shows that the spaces  $H^k(\mathbb{R}^n, \mathfrak{g})$  are  $\mathbb{R}^n \subset \mathfrak{g}$  for  $k = 0$ ,  $\mathcal{S}(\mathbb{R}^n) \subset \mathbb{R}^{n*} \otimes \mathbb{R}^n$  (symmetric endomorphisms) for  $k = 1$ , and  $\ker(\text{Alt}) \subset \Lambda^k \mathbb{R}^{n*} \otimes \mathfrak{o}(n)$  for  $k \geq 2$ .

## Remark

One can realize  $\text{Euc}(n)$  as a Lie subgroup of  $GL(n+1, \mathbb{R})$ , thus obtaining a natural representation on  $\mathbb{R}^{n+1}$  and hence a representation on  $\mathbb{V} := \Lambda^2 \mathbb{R}^{(n+1)*}$ . Playing the same game as before, we get an action of  $\mathbb{R}^n$  on  $\mathbb{V}$  which is  $O(n)$ -equivariant and hence  $\partial : \Lambda^k \mathbb{R}^{n*} \otimes \mathbb{V} \rightarrow \Lambda^{k+1} \mathbb{R}^{n*} \otimes \mathbb{V}$  and  $H^*(\mathbb{R}^n, \mathbb{V})$ .

While  $\mathfrak{g}$  and  $\mathbb{V}$  are not isomorphic as representations of  $\text{Euc}(n)$ , there is an  $O(n)$ -equivariant isomorphism of representations of  $\mathbb{R}^n$  between them. Hence  $H^k(\mathbb{R}^n, \mathfrak{g})$  and  $H^k(\mathbb{R}^n, \mathbb{V})$  are isomorphic as representations of  $O(n)$  for each  $k$ . But the differential  $\partial$  for  $\mathbb{V}$  is exactly the one arising in the BGG construction in projective geometry that produces the Calabi sequence, so it is equivariant for the natural action of  $SL(n, \mathbb{R})$ . Hence each  $H^k(\mathbb{R}^n, \mathfrak{g})$  is isomorphic to a representation of  $SL(n, \mathbb{R})$  (which is irreducible by Kostant's theorem).



Two natural operations  $C^\infty(\mathcal{G}, \mathfrak{g})^H \cong \mathfrak{X}(\mathcal{G})^H \rightarrow \Omega_h^1(\mathcal{G}, \mathfrak{g})^H$ :

- ① For  $f : \mathcal{G} \rightarrow \mathfrak{g}$  consider  $d^\omega f(\eta) := \eta \cdot f + [\omega(\eta), f]$ .
- ② For  $\xi \in \mathfrak{X}(\mathcal{G})^H$  consider  $\tilde{d}^\omega \xi := \mathcal{L}_\xi \omega$  (Lie derivative). One easily computes that  $\tilde{d}^\omega \xi = d^\omega \xi + K(\xi, \cdot)$ .

$\Omega_h^k(\mathcal{G}, \mathfrak{g})^H \cong \Omega^k(M, \mathcal{AM})$ , where  $\mathcal{AM} := \mathcal{G} \times_H \mathfrak{g}$  is the *adjoint tractor bundle*.  $d^\omega$  and  $\tilde{d}^\omega$  induce linear connections  $\nabla^{\mathcal{A}}$  and  $\tilde{\nabla}^{\mathcal{A}}$  on  $\mathcal{AM}$ . Since  $\mathfrak{g} = \mathbb{R}^n \oplus \mathfrak{o}(n)$  as a representation of  $H$ , we get  $\mathcal{AM} = TM \oplus \mathfrak{o}(TM)$ . Here  $\mathfrak{o}(TM)$  is the space of endomorphisms of  $TM$  that are skew symmetric with respect to  $g$ . We write sections of  $\mathcal{AM}$  as  $\begin{pmatrix} \eta \\ \Phi \end{pmatrix}$ ,  $\eta \in \mathfrak{X}(M)$  and  $\Phi \in \Gamma(\mathfrak{o}(TM))$ .

### Theorem

$$\nabla_\xi^{\mathcal{A}} \begin{pmatrix} \eta \\ \Phi \end{pmatrix} = \begin{pmatrix} \nabla_\xi \eta - \Phi(\xi) \\ \nabla_\xi \Phi \end{pmatrix} \quad \tilde{\nabla}_\xi^{\mathcal{A}} \begin{pmatrix} \eta \\ \Phi \end{pmatrix} = \begin{pmatrix} \nabla_\xi \eta - \Phi(\xi) \\ \nabla_\xi \Phi - R(\xi, \eta) \end{pmatrix}$$

From these formulae, it is easy to compute the curvatures  $R^{\mathcal{A}}$  of  $\nabla^{\mathcal{A}}$  and  $\tilde{R}^{\mathcal{A}}$  of  $\tilde{\nabla}^{\mathcal{A}}$ .  $R^{\mathcal{A}}$  is just the row-wise action of the Riemann curvature, but for  $\tilde{R}^{\mathcal{A}}$  the result is very surprising.

Let  $\bullet$  be the bundle map obtained from the infinitesimal action of  $\mathfrak{o}(n)$  on  $\Lambda^2 \mathbb{R}^{n*} \otimes \mathbb{R}^{n*} \otimes \mathbb{R}^n$ . Then

$$\tilde{R}^{\mathcal{A}}(\xi_1, \xi_2) \begin{pmatrix} \eta \\ \Phi \end{pmatrix} = \begin{pmatrix} 0 \\ (\nabla_{\eta} R)(\xi_1, \xi_2) - (\Phi \bullet R)(\xi_1, \xi_2) \end{pmatrix}.$$

In particular,  $\tilde{\nabla}^{\mathcal{A}}$  is flat iff  $g$  has constant sectional curvature.

Extending to operations on higher degree forms is straightforward in both pictures, and the tensorial part in  $d^{\nabla^{\mathcal{A}}}$  is induced by  $\partial$ . In degree zero, the kernel of  $\tilde{d}^{\omega}$  by definition are the infinitesimal automorphisms of  $(\mathcal{G} \rightarrow M, \omega)$ . In degree 1, up to a subtlety in interpretation, one easily shows that  $\tilde{d}^{\omega}$  computes the infinitesimal change of  $K$  caused by an infinitesimal change of  $\omega$ . The subtlety has a counterpart ( $R_{ijk\ell}$  vs.  $R_{ij}{}^k{}_{\ell}$ ) in the picture of  $(M, g)$ .

From here on, one follows the BGG machinery in a simplified setting. The bundles induced by  $H^k(\mathbb{R}^n, \mathfrak{g})$  sit in  $\Lambda^k T^*M \otimes \mathcal{A}M$  as  $\mathcal{H}^0 := TM \subset \mathcal{A}M$ ,  $\mathcal{H}^1 := \mathcal{S}(TM) \subset T^*M \otimes TM$ , and  $\mathcal{H}^k := \ker(\text{Alt}) \subset \Lambda^k T^*M \otimes \mathfrak{o}(TM)$  for  $k \geq 2$ . Two notions:

Interpret  $\begin{pmatrix} \psi \\ \Psi \end{pmatrix} \in \Omega^1(M, \mathcal{A}M)$  (so  $\psi \in \Gamma(T^*M \otimes TM)$ ) as an infinitesimal deformation of  $\omega$ .

- The induced infinitesimal deformation of  $g$  is given by  $\psi + \psi^t$ . Call  $\begin{pmatrix} \psi \\ \Psi \end{pmatrix}$  *symmetric* if  $\psi^t = \psi$ .
- Call  $\begin{pmatrix} \psi \\ \Psi \end{pmatrix}$  *torsion-free* if the resulting infinitesimal deformation of torsion vanishes, i.e.  $d^{\tilde{\nabla}^A} \begin{pmatrix} \psi \\ \Psi \end{pmatrix} = \begin{pmatrix} 0 \\ * \end{pmatrix}$ .




Given  $\eta \in \mathfrak{X}(M)$ ,  $\exists ! \Phi \in \Gamma(\mathfrak{o}(TM))$  such that, for  $L(\eta) := \begin{pmatrix} \eta \\ \Phi \end{pmatrix}$ ,  $d^{\tilde{\nabla}^A} L(\eta)$  has top component in  $\mathcal{H}^1$ . Call this  $D(\eta) \in \Gamma(\mathcal{H}^1)$ . Thus, any vector field on  $M$  has a unique  $H$ -invariant lift to  $\mathcal{G}$  which induces a symmetric infinitesimal deformation of  $\omega$ .

For  $h \in \Gamma(\mathcal{H}^1)$ ,  $\exists! \Psi \in \Omega^1(M, \mathfrak{o}(TM))$  such that, for  $L(h) := \begin{pmatrix} h \\ \Psi \end{pmatrix}$ ,  $d^{\tilde{\nabla}^{\mathcal{A}}} L(h) = \begin{pmatrix} 0 \\ * \end{pmatrix}$ . The bottom component of this always lies in  $\Gamma(\mathcal{H}^2)$ , call it  $D(h)$ . Thus any (symmetric) infinitesimal deformation of  $g$  uniquely lifts to a torsion-free deformation of  $\omega$  and  $D$  computes the resulting change of Riemann curvature.

For  $k \geq 2$ , one defines  $L$  to be the inclusion  $\Gamma(\mathcal{H}^k) \rightarrow \Omega^k(M, \mathcal{A}M)$  and shows that  $D := d^{\tilde{\nabla}^{\mathcal{A}}} \circ L$  has values in  $\Gamma(\mathcal{H}^{k+1})$ . Further:

- $d^{\tilde{\nabla}^{\mathcal{A}}} \circ L = L \circ D$  in all degrees, and in degree 0,  $L$  induces an isomorphism between  $\ker(D)$  and sections of  $\mathcal{A}M$ , which are parallel for  $\tilde{\nabla}^{\mathcal{A}}$ .
- constant curvature  $\Rightarrow (d^{\tilde{\nabla}^{\mathcal{A}}})^2 = 0 \Rightarrow D^2 = 0$ , and then  $L$  induces an isomorphism in cohomology.

In degree 0,  $D$  is the Killing operator, in degree 1, the explicit formula is easy to compute (and agrees with Calabi's result for the deformation of curvature).

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