

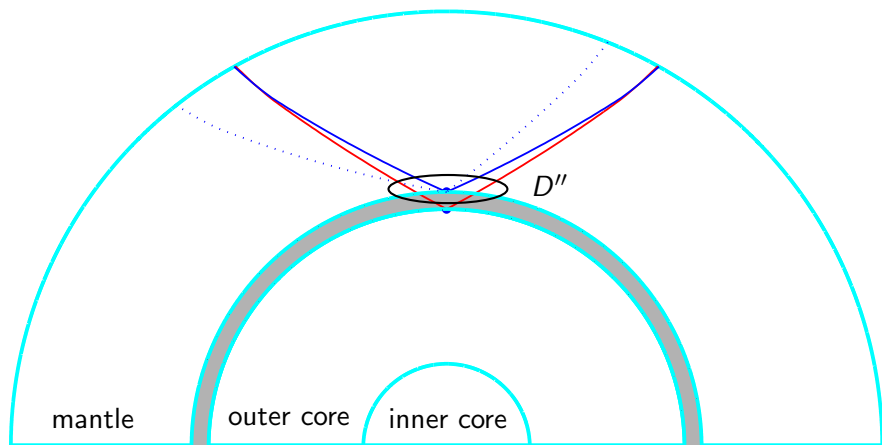
# One or two exotic aspects from our recent work on Schrödinger-type equations

Günther Hörmann

- A) de Hoop-Hörmann-Oberguggenberger: *Evolution systems for paraxial wave equations of Schrödinger-type with non-smooth coefficients*, Jour.Diff.Equs. 2008
- B) Hörmann: *The Cauchy problem for Schrödinger-type partial differential operators with generalized functions in the principal part and as data*, arXiv:0909.5672v1 [math.FA]

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## Core reflected seismic waves in the earth



$D''$  layer above the core-mantle boundary (at approx. 2800km depth)  
core-reflected wave and two precursors

locally near  $D''$ -layer: tangential direction of propagation  $z$ , radial-lateral coordinates  $x = (x_1, x_2)$  perpendicular to propagation.

# Model equations

- ▶ basic seismic scattering: 2nd-order system of elastodynamics

material properties  $\leftrightarrow$  regularity structure of the coefficients

Remark: (wavelet analysis of) measurements of sound speed [1998]:  
regularity in volcanic rock  $C_*^{r_1}$  and in sandstone  $C_*^{r_2}$ , where

$$1 > r_1 > r_2 > 0.$$

- ▶ **paraxial approximation**  $\rightsquigarrow$  Schrödinger-type equation  
(depth  $z$  as evolution variable and “ $\Psi$ DO-parameter” time  $t$ )

$$\partial_z u - i \underbrace{\left( \partial_{x_1} (c_1(z, x, D_t) \partial_{x_1} u) + \partial_{x_2} (c_2(z, x, D_t) \partial_{x_2} u) \right)}_{A(z; x, D_x, D_t) u} = 0,$$

with  $c_1(z, x, \tau)$ ,  $c_2(z, x, \tau)$  positive symbols of order  $-1$  in  $\tau$ ,  
 $\mathcal{C}^1$  with respect to  $z$ , but

$x$ -regularity non-Lipschitz, typically  $H^{r+1}$  or  $C_*^r$  with  $r < 1$ .

# Wishes, questions, and aims

- ? unique solvability of corresponding Cauchy problem for wave component

$$u \in \mathcal{C}([0, \infty[, \mathcal{S}'(\mathbb{R}; H^s(\mathbb{R}^2)))$$

$\downarrow \quad \downarrow \quad \downarrow$   
 $z \quad t \quad x$

with high(est possible)  $s \in \mathbb{R}$ . (assuming data are suff. reg.)

- ? estimate bounds for (Hölder- or) Sobolev-regularity  $s$  in terms of the coefficient regularity  $r$ ; e.g., in the form  $s \geq f(r)$

**Why?** **intended Application:** decision support

1. measure  $u$  [seismograms]
2. estimate  $x$ -regularity  $\bar{s}$  [numerical wavelet analysis]
3. materials with  $C_*^r$  with  $\bar{s} < f(r)$  are ruled out

- ? wider range of applications: discontinuous or distributional coefficients, initial data, and right-hand side

## Solution with spatial $H^2$ -regularity

- elliptic  $x$ -regularity for  $A(z; x, D_x, \tau)$  via “bootstrap” techniques based on duality products in Sobolev spaces

♣ Blackboard discussion 1 ♣

- establish  $(A(z; x, D_x, \tau))_{z \geq 0}$  as a ( $\tau$ -parametrized) generating family of a strongly-continuous evolution system  $(U(\tau; z_1, z_2))_{z_1 \geq z_2 \geq 0}$  on  $L^2(\mathbb{R}^2)$  (with additional s-cont. dependence on frequency  $\tau$ )

**Thm. A:**  $J \subseteq [0, \infty[$  compact interval. The Cauchy problem

$$\begin{aligned}\partial_z u - i A(z; x, D_x, D_t) u &= f \in \mathcal{C}^1(J, L^1(\mathbb{R}, L^2(\mathbb{R}^2))) \\ u|_{z=0} &= u_0 \in L^1(\mathbb{R}, H^2(\mathbb{R}^2)).\end{aligned}$$

has a unique solution  $u \in \mathcal{C}_w^1(J, H^{-1/2}(\mathbb{R}; H^2(\mathbb{R}^2)))$ .

More general coefficients and data, more general form of the operator, and in arbitrary space dimension  $n$

$$\partial_t u - i \sum_{k=1}^n \partial_{x_k} (c_k \partial_{x_k} u) - \sum_{k=1}^n a_k \partial_{x_k} u - Vu = f, \quad u|_{t=0} = u_0.$$

**Regularization idea:** e.g.  $\mathcal{C}^\infty \ni u_{0\varepsilon} \rightarrow u_0$  ( $\varepsilon \rightarrow 0$ ) etc ...  
or, more generally, replace  $u_0$  by a net  $(u_{0\varepsilon})_{0 < \varepsilon \leq 1}$  of  $\mathcal{C}^\infty$  functions, convergent or not, but with **moderate** asymptotics:

$$\forall k \exists m : \quad \|u_{0\varepsilon}\|_{H^k} = O(\varepsilon^{-m}) \quad (\varepsilon \rightarrow 0).$$

— similarly for right-hand side and coefficients.

**Example:**  $u_0 = \mu$  probability measure on  $\mathbb{R}^n$  (quantum mechanics)

? construct  $u_{0\varepsilon}$  moderate  $\mathcal{C}^\infty$ -net with  $u_{0\varepsilon}^2 \rightarrow \mu$  ( $\varepsilon \rightarrow 0$ )

— in this sense  $(u_{0\varepsilon})_{0 < \varepsilon < 1}$  represents a *square root of  $\mu$* .

♣ Blackboard discussion 2 ♣

# Generalized function interpretation

**Moderate net of solutions**  $u_\varepsilon$  ( $0 < \varepsilon \leq 1$ ): constructed from variational methods (energy estimates, Galerkin approximation); smoothness shown step by step and using mild solution concept; asymptotic estimates of higher derivatives from differentiated PDE and corresponding energy inequalities imply moderateness, **provided**  $\|\partial_t c_{j\varepsilon}\|_{L^\infty} = O(\log(\frac{1}{\varepsilon}))$  ( $\varepsilon \rightarrow 0$ ). [log-type condition]

**Asymptotic uniqueness:** negligible errors in data, i.e.,

$$\forall k \forall p : \quad \|u_{0\varepsilon} - \widetilde{u}_{0\varepsilon}\|_{H^k} = O(\varepsilon^p) \quad (\varepsilon \rightarrow 0)$$

(and similarly for right-hand side and coefficients)  $\rightsquigarrow$  **negligible errors in solutions.**

**Interpretation alias** **Thm. B:** There exists a unique generalized function solution, namely

$u = \text{class of } (u_\varepsilon)_{0 < \varepsilon \leq 1}$  modulo negligible nets in the **Colombeau algebra**  $\mathcal{G}_{H^\infty}$ .

## Coherence, convergence, and regularity

**Prop:**  $C^\infty$ -coefficients ,  $u_0 \in H^1(\mathbb{R}^n)$ ,  $f \in C^1([0, T], L^2(\mathbb{R}^n))$ ,  
unique “classical” solution  $w \in C([0, T], H^1(\mathbb{R}^n))$  [Lions-Magenes]

$\implies$  (solution Thm. B)  $u \approx w$ , i.e.,  $u_\varepsilon \rightarrow w$  in  $\mathcal{D}'$  ( $\varepsilon \rightarrow 0$ )

**A  $\leftrightarrow$  B**

$a_k = V = 0$  and  $n = 2$ ;  $c_j, u_0, f$  as in Thm. A and  
 $u_{0\varepsilon} \rightarrow u_0$  in  $H^1(\mathbb{R}^2)$ ,  $f_\varepsilon \rightarrow f$  in  $H^1(J \times \mathbb{R}^2)$

$\implies$  (repr. of solution Thm. B)  $u_\varepsilon \rightarrow u$  (solution Thm. A) in  $C(J, H^1(\mathbb{R}^2))$