

# Hopf algebras and factorial divergent power series: Algebraic tools for graphical enumeration

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- 1 Motivation
- 2 Asymptotics of multigraph enumeration
- 3 Ring of factorially divergent power series
- 4 Counting subgraph-restricted graphs
- 5 Conclusions

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- Divergence is believed to be caused by proliferation of graphs.

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- For instance, the partition function in  $\varphi^3$  theory is formally,

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- 'Formal'  $\Rightarrow$  expand under the integral sign and integrate over Gaussian term by term,

$$Z^{\varphi^3}(\hbar) := \sum_{n=0}^{\infty} \hbar^n (2n-1)!! [x^{2n}] e^{\frac{x^3}{3!\hbar}}$$

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$$\begin{aligned} Z^{\varphi^3}(\hbar) &= \phi \left( 1 + \frac{1}{8} \text{---} \text{---} + \frac{1}{12} \text{---} \text{---} + \frac{1}{128} \text{---} \text{---} + \frac{1}{288} \text{---} \text{---} + \frac{1}{96} \text{---} \text{---} \right. \\ &\quad \left. + \frac{1}{48} \text{---} \text{---} + \frac{1}{16} \text{---} \text{---} + \frac{1}{16} \text{---} \text{---} + \frac{1}{8} \text{---} \text{---} + \frac{1}{24} \text{---} \text{---} + \dots \right) \\ &= 1 + \left( \frac{1}{8} + \frac{1}{12} \right) \hbar + \frac{385}{1152} \hbar^2 + \dots \end{aligned}$$

where  $\phi(\Gamma) = \hbar^{|\mathcal{E}(\Gamma)| - |\mathcal{V}(\Gamma)|}$  maps a graph with excess  $n$  to  $\hbar^n$ .

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- This corresponds to the pairing model of multigraphs [Bender and Canfield, 1978].

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⇒ Stirling series as a signed sum over multigraphs.



- Define a functional  $\mathcal{F} : \mathbb{R}[[x]] \rightarrow \mathbb{R}[[\hbar]]$

$$\mathcal{F} : \mathcal{S}(x) \mapsto \sum_{n=0}^{\infty} \hbar^n (2n-1)!! [x^{2n}] e^{\frac{x^2}{2} + \mathcal{S}(x)} \frac{1}{\hbar}$$

where  $\mathcal{S}(x) = -\frac{x^2}{2} + \sum_{k \geq 3} \frac{\lambda_k}{k!} x^k$ .

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- $\mathcal{F}$  maps a degree sequence to the corresponding generating function of multigraphs.

Expressions as

$$\mathcal{F}[\mathcal{S}(x)] = \sum_{n=0}^{\infty} \hbar^n (2n-1)!! [x^{2n}] e^{\frac{x^2 + \mathcal{S}(x)}{\hbar}}$$

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### Theorem

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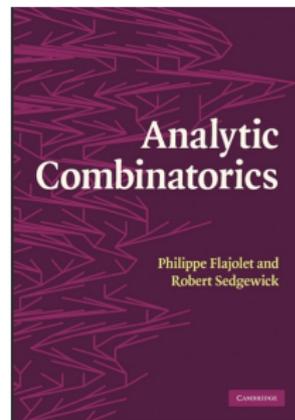
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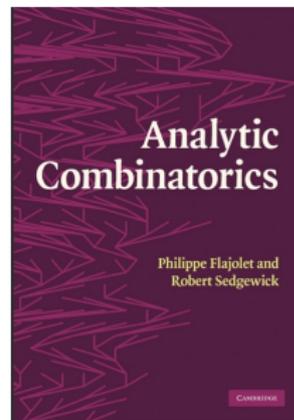
where  $x(y)$  is the (power series) solution of

$$\frac{y^2}{2} = -\mathcal{S}(x(y)).$$

# Asymptotics



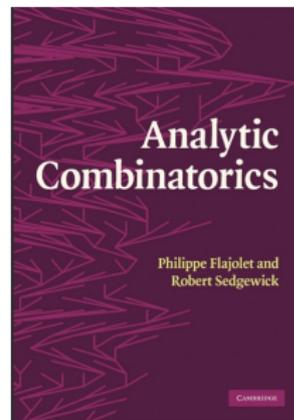
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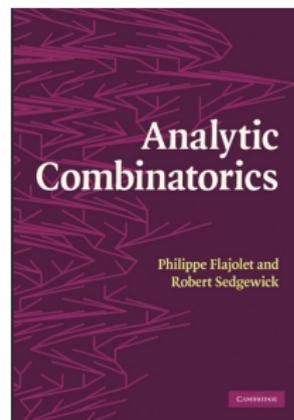


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- ⇒ Locate the dominant singularity of  $x(y)$  by analysis of the (generalized) hyperelliptic curve,

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- The dominant singularity of  $x(y)$  coincides with a branch-cut of the local parametrization of the curve.



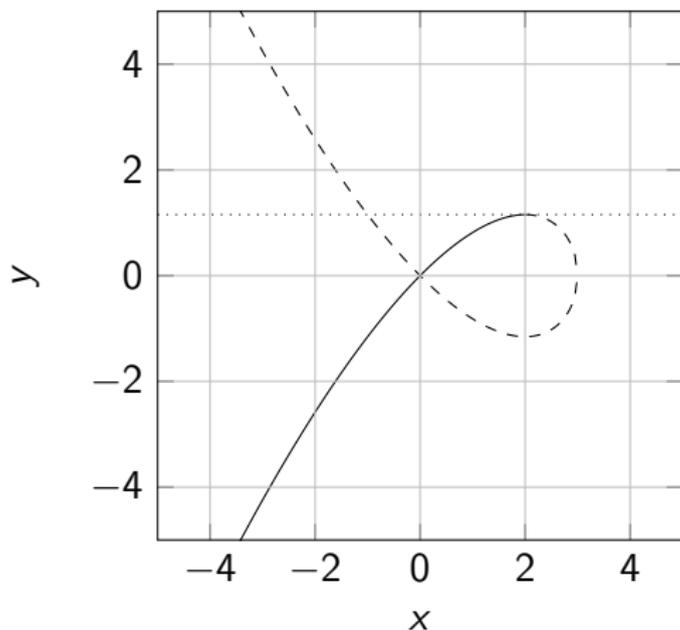


Figure: Example: The curve  $\frac{y^2}{2} = \frac{x^2}{2} - \frac{x^3}{3!}$  associated to  $Z^{\varphi^3}$ .

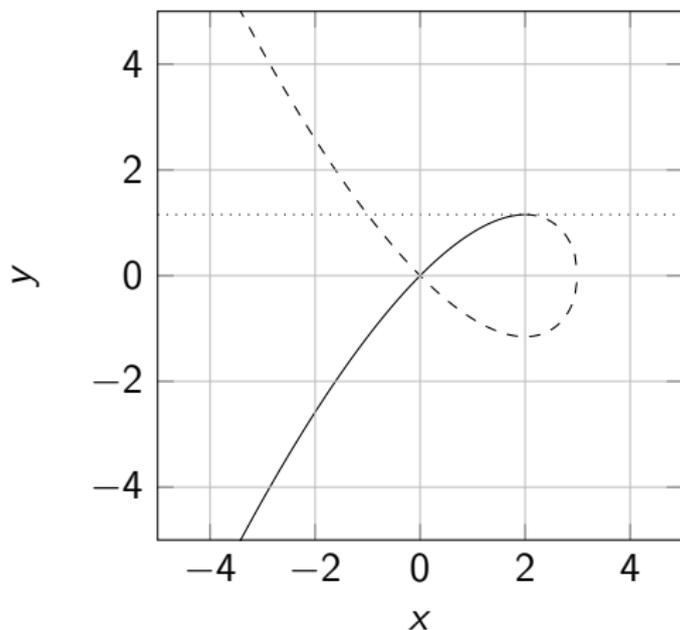
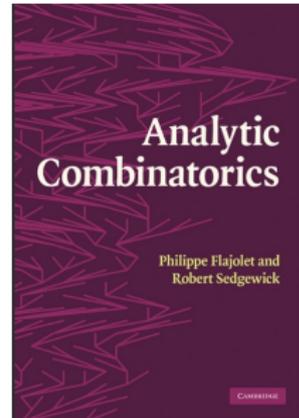


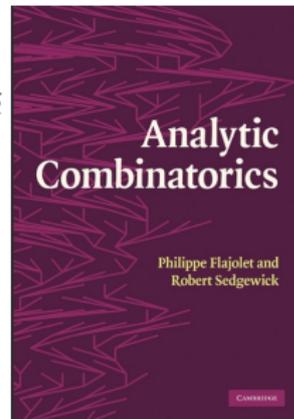
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$\Rightarrow x(y)$  has a (dominant) branch-cut singularity at  $y = \rho = \frac{2}{\sqrt{3}}$ ,  
 where  $x(\rho) = \tau = 2$ .



⇒ Near the dominant singularity:

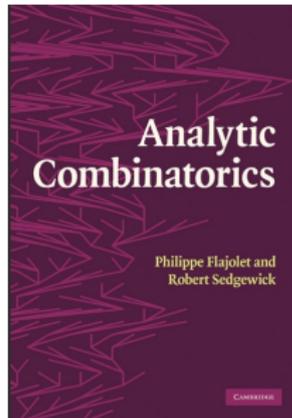
$$x(y) - \tau = -d_1 \sqrt{1 - \frac{y}{\rho}} + \sum_{j=2}^{\infty} d_j \left(1 - \frac{y}{\rho}\right)^{\frac{j}{2}}$$



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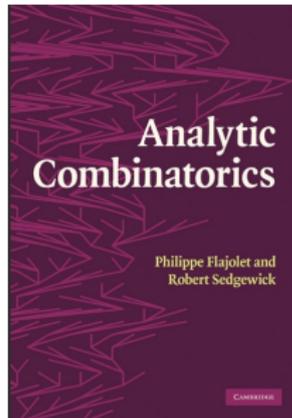
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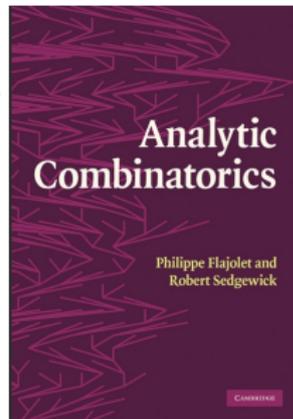
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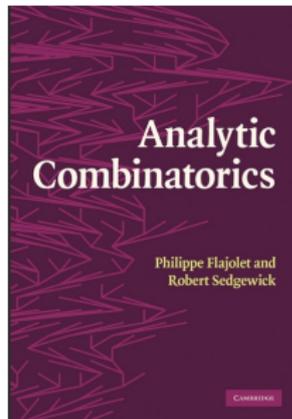
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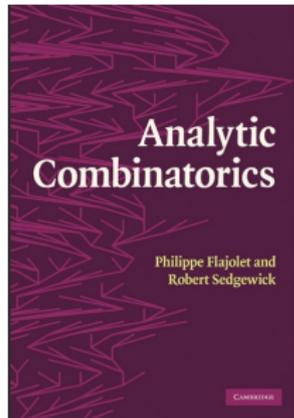
$$x(y) - \tau = -d_1 \sqrt{1 - \frac{y}{\rho}} + \sum_{j=2}^{\infty} d_j \left(1 - \frac{y}{\rho}\right)^{\frac{j}{2}}$$

$$[y^n]x(y) \sim C \rho^{-n} n^{-\frac{3}{2}} \left(1 + \sum_{k=1}^{\infty} \frac{e_k}{n^k}\right)$$

■ Therefore,

$$\begin{aligned} [\hbar^n] \mathcal{F}[\mathcal{S}(x)] &= (2n+1)!! [y^{2n+1}]x(y) \\ &= \frac{2^n \Gamma(n + \frac{3}{2})}{\sqrt{\pi}} [y^{2n+1}]x(y) \\ &\sim C' 2^n \rho^{-2n} \Gamma(n) \left(1 + \sum_{k=1}^{\infty} \frac{e'_k}{n^k}\right) \end{aligned}$$

■ What is the value of  $C'$  and the  $e'_k$ ?



## Theorem ([MB, 2017])

Let  $(x, y) = (\tau, \rho)$  be the location of the dominant branch-cut singularity of  $\frac{y^2}{2} = -\mathcal{S}(x)$ . Then

$$[\hbar^n] \mathcal{F}[\mathcal{S}(x)](\hbar) = \sum_{k=0}^{R-1} c_k A^{-(n-k)} \Gamma(n-k) + \mathcal{O}(A^{-n} \Gamma(n-R)),$$

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⇒ The asymptotic expansion can be expressed as a generating function of graphs.

# Example

- For cubic graphs or equivalently  $\varphi^3$  theory, we are interested in  $\mathcal{S}(x) = -\frac{x^2}{2} + \frac{x^3}{3!}$ ,

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$$\begin{aligned} \sum_{k=0}^{\infty} c_k \hbar^k &= \frac{1}{2\pi} \mathcal{F}[\mathcal{S}(\tau) - \mathcal{S}(\tau + x)](-\hbar) = \frac{1}{2\pi} \mathcal{F}\left[-\frac{x^2}{2} + \frac{x^3}{3!}\right](-\hbar) \\ &= \frac{1}{2\pi} \left(1 - \frac{5}{24}\hbar + \frac{385}{1152}\hbar^2 - \frac{85085}{82944}\hbar^3 + \dots\right) \end{aligned}$$

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$\Rightarrow$  The asymptotic expansion is  $[\hbar^n] \mathcal{F}[\mathcal{S}(x)](\hbar) = \sum_{k=0}^{R-1} c_k A^{-n+k} \Gamma(n-k) + \mathcal{O}(A^{-n+R} \Gamma(n-R))$ .

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- For instance, this allows us to calculate the complete asymptotic expansion of constructions such as

$$\log \mathcal{F}[\mathcal{S}(x)](\hbar)$$

in closed form.

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- which is compatible with our generating function and asymptotic techniques.

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- This is a Riemann-Hilbert problem.

# Hopf algebra of graphs

- Pick a set of bridgeless graphs  $P$ , such that

$$\text{if } \gamma_1 \subset \gamma_2 \text{ then } \gamma_1, \gamma_2 \in P \text{ iff } \gamma_1, \gamma_2/\gamma_1 \in P \quad (1)$$

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- (1) implies  $\Delta$  is coassociative  $(\text{id} \otimes \Delta) \circ \Delta = (\Delta \otimes \text{id}) \circ \Delta$ .



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- Example: Suppose  $\emptyset, \text{---}\bigcirc\text{---}, \bigcirc \in P$ , then

$$\Delta \bigcirc = \sum_{\substack{\gamma \subset \bigcirc \\ \text{s.t. } \gamma \in P}} \gamma \otimes \bigcirc / \gamma = 1 \otimes \bigcirc + 3 \text{---}\bigcirc\text{---} \otimes \bigcirc + \bigcirc \otimes \bullet$$

where we had to consider the subgraphs



the complete and the empty subgraph.

- Gives us an action on algebra morphisms  $\mathcal{G} \rightarrow \mathbb{R}[[\hbar]]$ . For  $\psi : \mathcal{H} \rightarrow \mathbb{R}[[\hbar]]$  and  $\phi : \mathcal{G} \rightarrow \mathbb{R}[[\hbar]]$ ,

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- Coassociativity of  $\Delta$  implies associativity of  $\star$ :

$$(\xi \star \psi) \star \phi = \xi \star (\psi \star \phi)$$

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- Simplifies on many (physical) cases to a (functional) inversion problem on power series.
- Solves the Riemann-Hilbert problem:  
Invert  $\phi : \Gamma \mapsto \hbar^{|E(\Gamma)|-|V(\Gamma)|}$  restricted to  $\mathcal{H}$ . Then

$$(\phi|_{\mathcal{H}}^{\star^{-1}} \star \phi)(\Gamma) = \begin{cases} \hbar^{|E(\Gamma)|-|V(\Gamma)|} & \text{if } \Gamma \in \mathcal{G}^+ \\ 0 & \text{else} \end{cases}$$

The identity van Suijlekom [2007], Yeats [2008],

$$\Delta X = \sum_{\text{graphs } \Gamma} \left( \prod_{v \in V(\Gamma)} X_P^{(|v|)} \right) \otimes \frac{\Gamma}{|\text{Aut } \Gamma|},$$

where  $X = \sum_{\text{graphs } \Gamma} \frac{\Gamma}{|\text{Aut } \Gamma|}$  and

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can be used to make this accessible for asymptotic analysis:

$$\begin{aligned} \phi|_{\mathcal{H}}^{\star-1} \star \phi(X) &= m \circ (\phi|_{\mathcal{H}}^{\star-1} \otimes \phi) \circ \Delta X \\ &= \sum_{\text{graphs } \Gamma} \left( \prod_{v \in V(\Gamma)} \phi|_{\mathcal{H}}^{\star-1} \left( X_P^{(|v|)} \right) \right) \frac{\phi(\Gamma)}{|\text{Aut } \Gamma|} \end{aligned}$$

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- The generating function of all graphs with arbitrary weight for each vertex degree is

$$\begin{aligned} & \sum_{n=0}^{\infty} \hbar^n (2n-1)!! [x^{2n}] e^{\frac{\sum_{k \geq 0} \frac{\lambda_k}{k!} x^k}{\hbar}} \\ &= \sum_{\text{graphs } \Gamma} \left( \prod_{v \in V(\Gamma)} \lambda_{|v|} \right) \frac{\hbar^{|E(\Gamma)| - |V(\Gamma)|}}{|\text{Aut } \Gamma|} \end{aligned}$$

$$\phi|_{\mathcal{H}}^{\star-1} \star \phi(X) = \sum_{\text{graphs } \Gamma} \left( \prod_{v \in V(\Gamma)} \phi|_{\mathcal{H}}^{\star-1} \left( X_P^{(|v|)} \right) \right) \frac{\phi(\Gamma)}{|\text{Aut } \Gamma|}$$

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$$\begin{aligned} & \sum_{n=0}^{\infty} \hbar^n (2n-1)!! [x^{2n}] e^{\frac{\sum_{k \geq 0} \frac{\lambda_k}{k!} x^k}{\hbar}} \\ &= \sum_{\text{graphs } \Gamma} \left( \prod_{v \in V(\Gamma)} \lambda_{|v|} \right) \frac{\hbar^{|E(\Gamma)| - |V(\Gamma)|}}{|\text{Aut } \Gamma|} \end{aligned}$$

- Therefore,

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- We can obtain the full asymptotic expansions in these cases.

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- Arbitrary high order terms can be obtained by iteratively solving implicit equations.

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- Asymptotics are easily accessible: The asymptotic expansion also enumerates graphs.
- With Hopf algebra techniques restrictions on the set of enumerated graphs can be imposed.

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