

### 1. Definition.

Conditions for (F) spaces where  $(U_p)_{p \in \mathbb{N}}$  is a decreasing basis of closed absolutely convex 0-nbhd's and  $\|\cdot\|_p := \sup\{|x'(x)| : x \in U_p\}$ :

We always assume  $p, q, k \in \mathbb{N}$ ,  $d, r, C > 0$  and  $0 < \mu < 1$ .

$$(\Omega) \quad :\Leftrightarrow \quad \forall p \exists q \forall k \exists d \exists C : \|\cdot\|_q^{1+d} \leq C \|\cdot\|_k \|\cdot\|_p^d \quad [\text{Vogt1977?}]$$

$$(\tilde{\Omega}) \quad :\Leftrightarrow \quad \forall p \exists q \exists d \forall k \exists C : \|\cdot\|_q^{1+d} \leq C \|\cdot\|_k \|\cdot\|_p^d \quad [\text{Vogt1983,5.2}]$$

$$(\bar{\Omega}) \quad :\Leftrightarrow \quad \begin{aligned} \exists d \forall p \exists q \forall k \exists C : \|\cdot\|_q^{1+d} \leq C \|\cdot\|_k \|\cdot\|_p^d & \quad [\text{Wagner1980,1.9}], [\text{VW1980}], [\text{VW1981}] \\ \forall d \forall p \exists q \forall k \exists C : \|\cdot\|_q^{1+d} \leq C \|\cdot\|_k \|\cdot\|_p^d & \end{aligned}$$

$$(\overline{\bar{\Omega}}) \quad :\Leftrightarrow \quad \forall p \exists q \forall d \forall k \exists C : \|\cdot\|_q^{1+d} \leq C \|\cdot\|_k \|\cdot\|_p^d \quad [\text{Vogt1983,4.1}]$$

Then  $(\overline{\bar{\Omega}}) \Rightarrow (\bar{\Omega}) \Rightarrow (\tilde{\Omega}) \Rightarrow (\Omega)$ , since:

$$\exists q \forall d \Rightarrow \forall d \exists q, \exists d \forall p \Rightarrow \forall p \exists d, \exists d \forall k \Rightarrow \forall k \exists d.$$

For a bounded subset  $B \subseteq E$  one defines:

$$(\tilde{\Omega}_B) \quad :\Leftrightarrow \quad \forall p \exists q \exists d \exists C : \|\cdot\|_q^{1+d} \leq C \|\cdot\|_B \|\cdot\|_p^d \quad [\text{HK2003}]$$

$$(\bar{\Omega}_B) \quad :\Leftrightarrow \quad \begin{aligned} \exists d \forall p \exists q \exists C : \|\cdot\|_q^{1+d} \leq C \|\cdot\|_B \|\cdot\|_p^d & \quad [\text{Vogt1982,1.4}] \\ \forall d \forall p \exists q \exists C : \|\cdot\|_q^{1+d} \leq C \|\cdot\|_B \|\cdot\|_p^d & \end{aligned}$$

$$(\overline{\bar{\Omega}}_B) \quad :\Leftrightarrow \quad \forall p \exists q \forall d \exists C : \|\cdot\|_q^{1+d} \leq C \|\cdot\|_B \|\cdot\|_p^d \quad [\text{Vogt1983,4.4}]$$

### 2. Remark.

Note that in all these conditions we may assume w.l.o.g. that  $p \leq q \leq k$ , since  $q$  has to be chosen and  $\|\cdot\|_q \leq \|\cdot\|_{q'}$  for  $q > q'$ , and since validity of the conditions for all  $k \geq q$  implies it for  $k' \leq q \leq k$ , since then  $\|\cdot\|_k \leq \|\cdot\|_{k'}$ .

### 3. Remark.

Note that for  $\mu = \frac{1}{1+d}$ :

$$\|\cdot\|_q^{1+d} \leq C \|\cdot\|_k \|\cdot\|_p^d \quad \Leftrightarrow \quad \|\cdot\|_q \leq C \|\cdot\|_k^\mu \|\cdot\|_p^{1-\mu}$$

### 4. Remark.

Note that only  $\mu$  near 1 (and hence  $d$  near 0) are relevant, since for  $\mu' < \mu$  (and  $\|y\|_p \neq 0$ ) we get

$$\|y\|_k^\mu \|y\|_p^{1-\mu} = \|y\|_p \underbrace{\left(\frac{\|y\|_k}{\|y\|_p}\right)^\mu}_{\leq 1} \leq \|y\|_p \left(\frac{\|y\|_k}{\|y\|_p}\right)^{\mu'} = \|y\|_k^{\mu'} \|y\|_p^{1-\mu'}$$

---

**5. Lemma.**

Let  $a, b > 0$  and  $\alpha, \beta \geq 0$ . Then  $\inf\{r^a\alpha + \frac{1}{r^b}\beta : r > 0\} = \frac{a+b}{a}(\frac{a}{b})^{\frac{b}{a+b}}\alpha^{\frac{b}{a+b}}\beta^{\frac{a}{a+b}}$

**Proof.** Let  $f(r) := r^a\alpha + \frac{1}{r^b}\beta = (r^{a+b}\alpha + \beta)r^{-b}$ . Then  $f'(r) = a\alpha r^{a-1} - b\frac{1}{r^{b+1}}\beta$  and hence  $f'(r) = 0 \Leftrightarrow r^{a+b}\alpha = \frac{b}{a}\beta$ . Thus

$$\begin{aligned} f(r) &\geq \left(\frac{b}{a}\beta + \beta\right) \left(\frac{b\beta}{a\alpha}\right)^{-\frac{b}{a+b}} \\ &= \alpha^{\frac{b}{a+b}}\beta^{1-\frac{b}{a+b}} \left(1 + \frac{b}{a}\right) \left(\frac{a}{b}\right)^{-\frac{b}{a+b}} \\ &= \alpha^{\frac{b}{a+b}}\beta^{\frac{a}{a+b}} \frac{a+b}{a} \left(\frac{a}{b}\right)^{\frac{b}{a+b}}. \end{aligned}$$

Note that  $f(r) \rightarrow +\infty$  for  $r \searrow 0$  if  $\alpha > 0$  and for  $r \nearrow +\infty$  if  $\beta > 0$ , hence the infimum is attained if  $\alpha, \beta > 0$ . Otherwise  $f(r) \rightarrow 0$  for  $r \rightarrow 0$  or  $r \rightarrow +\infty$ , hence the statement is valid in this case as well.  $\square$

## 6. Bipolar Theorem.

Let  $E$  be a lcs which is not necessarily Hausdorff and  $A \subseteq E$  be absolutely convex. Then  $\bar{A} = (A^\circ)_o$ .

**Proof.** We reduce this statement by passing to the Hausdorffication  $\tilde{E} := E/\overline{\{0\}}$  of  $E$ . Note that for absolutely convex subsets  $A \subseteq E$  we have  $\overline{\{A\}} = \bigcap_U A + U$ , where  $U$  runs through the (closed absolutely convex) 0-nbhds:

We have  $\bar{A} \subseteq A + U$  and hence  $\bar{A}$  lies in this intersection, since  $x \in \bar{A} \Rightarrow x + U \cap A \neq \emptyset \Rightarrow \exists u \in U, a \in A : x + u = a$ , i.e.  $x = a + (-u)$  with  $a \in A$  and  $-u \in U$ , since  $U$  is balanced.

Conversely, let  $z \in \bigcap_U A + U$ . Then for each  $U$  exists an  $a \in A$  and a  $u \in U$  with  $z = a + u$  and hence  $z + (-u) \in A$ . Since  $-u \in U$  we have  $z + U \cap A \neq \emptyset$ , i.e.  $z \in \bar{A}$ .

In particular  $\overline{\{0\}} = \bigcap_U U$  and this equals  $\bigcap_p p^{-1}(0)$ , where  $p$  runs through all continuous seminorms on  $E$ : In fact  $\overline{\{0\}} \subseteq p^{-1}(0)$  since this inverse image is closed and contains 0. And, conversely, let  $z \notin \overline{\{0\}}$ . Then there exists a 0-neighborhood  $U$ , which we may assume to be of the form  $U = \{x : p(x) < \varepsilon\}$  for some seminorm  $p$  and  $\varepsilon > 0$ , such that  $0 \notin z + U$ , i.e.  $-z \notin U$  and hence  $p(z) = p(-z) \geq \varepsilon > 0$  in contradiction to  $z \in p^{-1}(0)$ .

Thus  $\overline{\{0\}}$  is a linear subspace of  $E$  (since the kernel of a seminorm is so) and hence  $\tilde{E}$  is a welldefined locally convex space with respect to the quotient topology induced by  $\pi : E \rightarrow \tilde{E}$ . Hence  $\pi^{-1}(\tilde{U})$  is a absolutely convex closed 0-nbhd for each such nbhd  $\tilde{U}$  in  $\tilde{E}$ . Conversely let  $U$  be a 0-nbhd in  $E$ . Then  $\overline{\{0\}} \subseteq U$  and hence  $\pi^{-1}(\pi(U)) = U + \overline{\{0\}} \subseteq \bigcap_V U + V = \bar{U} = U$ . Thus  $\tilde{U} := \pi(U)$  is such a nbhd in  $\tilde{E}$  and  $\mathcal{U} = \{\pi^{-1}(\tilde{U}) : \tilde{U} \in \tilde{\mathcal{U}}\}$ .

Since all  $p$  and all  $\ell \in E^*$  are continuous, they map  $\overline{\{0\}}$  into the closure  $\{0\}$  of the image  $\{0\}$ , hence factor to continuous seminorms and linear functions over  $\pi : E \rightarrow \tilde{E}$ . Thus  $\pi^* : \tilde{E}^* \rightarrow E^*$  is a bijections. Let  $A \subseteq E$  be absolutely convex and  $\tilde{A} = \pi(A) \subseteq \tilde{E}$ . Then

$$\begin{aligned} \pi^*(\tilde{A}^\circ) &= \pi^*(\{\tilde{\lambda} \in \tilde{E}^* : \forall \tilde{x} \in \tilde{A} : |\tilde{\lambda}(\tilde{x})| \leq 1\}) \\ &= \{\tilde{\lambda} \circ \pi : \tilde{\lambda} \in \tilde{E}^* : \forall x \in A : |\tilde{\lambda}(\pi(x))| \leq 1\} \\ &= \{\lambda \in E^* : \forall x \in A : |\lambda(x)| \leq 1\} = A^\circ \end{aligned}$$

Thus

$$\begin{aligned} (A^\circ)_o &= \{x \in E : \forall \lambda \in A^\circ : |\lambda(x)| \leq 1\} \\ &= \{x \in E : \forall \lambda \in \pi^*(\tilde{A}^\circ) : |\lambda(x)| \leq 1\} \\ &= \{x \in E : \forall \lambda \in \tilde{A}^\circ : |\lambda(\pi(x))| = \pi^*(\lambda)(x) \leq 1\} \\ &= \{x \in E : \pi(x) \in (\tilde{A}^\circ)_o\} = \pi^{-1}((\tilde{A}^\circ)_o). \end{aligned}$$

For the closures we obtain

$$\begin{aligned} \bar{A} &= \bigcap_{U \in \mathcal{U}} A + U = \bigcap_{\tilde{U} \in \tilde{\mathcal{U}}} A + \pi^{-1}(\tilde{U}) \\ &\stackrel{!}{=} \bigcap_{\tilde{U} \in \tilde{\mathcal{U}}} \pi^{-1}(\tilde{A} + \tilde{U}) = \pi^{-1}\left(\bigcap_{\tilde{U} \in \tilde{\mathcal{U}}} \tilde{A} + \tilde{U}\right) \\ &= \pi^{-1}(\bar{\tilde{A}}) \\ &\stackrel{\text{Bipolar Theorem for } \tilde{E}}{=} \pi^{-1}((\tilde{A}^\circ)_o) = (A^\circ)_o, \end{aligned}$$

---

since  $\pi^{-1}(\tilde{A} + \tilde{U}) \supseteq \pi^{-1}(\tilde{A}) + \pi^{-1}(\tilde{U}) \supseteq A + \pi^{-1}(\tilde{U})$  and, conversely,  $\pi(z) \in \tilde{A} + \tilde{U} \Rightarrow \exists \tilde{a} \in \tilde{A} : \pi(z) - \tilde{a} \in \tilde{U}$ , hence  $\exists a \in A : \tilde{a} = \pi(a)$  und  $z - a \in \pi^{-1}(\pi(z) - \pi(a)) \in \pi^{-1}(\tilde{U})$ , i.e.  $z \in A + \pi^{-1}(\tilde{U})$ .  $\square$

## 7. Interpolation Inequalities.

$E$   $\mathbb{K}$ -vs,  $\|\cdot\|_0 \leq \|\cdot\|_1 \leq \|\cdot\|_2$  SN on  $E$ ,  $U_j := \{x : \|x\|_j \leq 1\}$ ,  $a, b > 0$  and  $\mu := \frac{a}{a+b}$ .

Then t.f.s.a.e.:

1.  $\exists C_1 : \|\cdot\|_1^* \leq C_1 (\|\cdot\|_0^*)^{1-\mu} (\|\cdot\|_2^*)^\mu$  on  $(E, \|\cdot\|_0)^*$ ;
2.  $\exists C_2 \forall r : \|\cdot\|_1^* \leq C_2 \left( r^a \|\cdot\|_0^* + \frac{1}{r^b} \|\cdot\|_2^* \right)$  on  $(E, \|\cdot\|_0)^*$ ;
- 2'.  $\exists C_2' \forall r : \|\cdot\|_1^* \leq r^a \|\cdot\|_0^* + C_2' \frac{1}{r^b} \|\cdot\|_2^*$  on  $(E, \|\cdot\|_0)^*$ ;
- 2''.  $\exists C_2'' \forall r : \|\cdot\|_1^* \leq C_2'' r^a \|\cdot\|_0^* + \frac{1}{r^b} \|\cdot\|_2^*$  on  $(E, \|\cdot\|_0)^*$ ;
3.  $\exists C_3 \forall r : U_1 \subseteq C_3 \left( r^a U_0 + \frac{1}{r^b} U_2 \right)$ ;
- 3'.  $\exists C_3' \forall r : U_1 \subseteq r^a U_0 + C_3' \frac{1}{r^b} U_2$
- 3''.  $\exists C_3'' \forall r : U_1 \subseteq C_3'' r^a U_0 + \frac{1}{r^b} U_2$

**Proof.** (1 $\Leftrightarrow$ 2) By the lemma above

$$\inf \left\{ r^a \|y\|_0^* + \frac{1}{r^b} \|y\|_2^* : r > 0 \right\} = \frac{a+b}{a} \left( \frac{a}{b} \right)^{\frac{b}{a+b}} (\|y\|_0^*)^{\frac{b}{a+b}} (\|y\|_2^*)^{\frac{a}{a+b}},$$

hence (1 $\Leftrightarrow$ 2) with  $C_1 = C_2 \cdot \frac{a+b}{a} \left( \frac{a}{b} \right)^{\frac{b}{a+b}}$ .

(2 $\Leftrightarrow$ 2') and (3 $\Leftrightarrow$ 3'), via  $C' = C^{1+\frac{b}{a}}$  and  $r' = C^{1/a} r$ .

(2 $\Leftrightarrow$ 2'') and (3 $\Leftrightarrow$ 3''), via  $C'' = C^{1+\frac{a}{b}}$  and  $r'' = C^{-1/b} r$ .

(2 $\Rightarrow$ 3)

$$(2) \Rightarrow \forall r > 0, y \in (E, \|\cdot\|_0)^* \stackrel{(24.5)}{=} (E^*)_{U_0^c} :$$

$$\begin{aligned} \sup_{x \in U_1} |y(x)| &\leq C_2 \left( r^a \sup_{x \in U_0} |y(x)| + \frac{1}{r^b} \sup_{x \in U_2} |y(x)| \right) \\ &= C_2 \sup \left\{ |y(x)| : x \in r^a U_0 + \frac{1}{r^b} U_2 \right\} \end{aligned}$$

$$\stackrel{\text{Bipolarensatz}}{\Rightarrow} \forall r > 0 : U_1 \subseteq \overline{r^a U_0 + \frac{1}{r^b} U_2}^{\|\cdot\|_0}$$

$$\text{Let } C_3 > C_2 \Rightarrow \forall r > 0 : U_1 \subseteq \overline{r^a U_0 + \frac{1}{r^b} U_2}^{\|\cdot\|_0} \subseteq C_3 \left( r^a U_0 + \frac{1}{r^b} U_2 \right)$$

---

(3 $\Rightarrow$ 2) This follows, since for absolutely convex subsets  $C, A, B$  we have:

$$\begin{aligned} C \subseteq A + B &\Rightarrow \forall x^* \in E^* : \|x^*\|_C = \sup\{|x^*(x)| : x \in C\} \\ &\leq \sup\{|x^*(a+b)| : a \in A, b \in B\} = \|x^*\|_{A+B} \\ &= \sup\{|x^*(a)| : a \in A\} + \sup\{|x^*(b)| : b \in B\} \\ &= \|x^*\|_A + \|x^*\|_B, \end{aligned}$$

since  $|x^*(a+b)| \leq |x^*(a)| + |x^*(b)|$  and hence

$$\begin{aligned} \sup\{|x^*(a+b)| : a \in A, b \in B\} &\leq \sup\{|x^*(a)| + |x^*(b)| : a \in A, b \in B\} \\ &= \sup\{|x^*(a)| : a \in A\} + \sup\{|x^*(b)| : b \in B\} \end{aligned}$$

and conversely

$$0 \leq |x^*(a_0)| + |x^*(b_0)| = \lambda x^*(a_0) + \mu x^*(b_0) = x^*(\lambda a_0 + \mu b_0) \leq |x^*(a+b)|,$$

with appropriately chosen  $|\lambda| = 1 = |\mu|$  and hence  $a := \lambda a_0 \in A$  und  $b := \mu b_0 \in B$ , since the sets are assumed to be balanced.  $\square$

---

**8. Lemma. Characterizing  $\overline{\Omega}$ .**

The following statements are equivalent (where  $a, b > 0$ ):

$$\begin{aligned}(\overline{\Omega}) &: \Leftrightarrow (1) \quad \forall p \exists q \forall k \exists C : \|\cdot\|_q^2 \leq C \|\cdot\|_k \|\cdot\|_p \\ &\Leftrightarrow (2) \quad \exists d \forall p \exists q \forall k \exists C : \|\cdot\|_q^{1+d} \leq C \|\cdot\|_k \|\cdot\|_p^d \\ &\Leftrightarrow (3) \quad \forall d \forall p \exists q \forall k \exists C : \|\cdot\|_q^{1+d} \leq C \|\cdot\|_k \|\cdot\|_p^d \\ &\Leftrightarrow (2') \quad \exists \mu \forall p \exists q \forall k \exists C : \|\cdot\|_q \leq C \|\cdot\|_k^\mu \|\cdot\|_p^{1-\mu} \\ &\Leftrightarrow (3') \quad \forall \mu \forall p \exists q \forall k \exists C : \|\cdot\|_q \leq C \|\cdot\|_k^\mu \|\cdot\|_p^{1-\mu} \\ &\Leftrightarrow (1'') \quad \forall p \exists q \forall k \exists C \forall r : \|\cdot\|_q \leq C \left( r \|\cdot\|_k + \frac{1}{r} \|\cdot\|_p \right) \\ &\Leftrightarrow (2'') \quad \exists a, b \forall p \exists q \forall k \exists C \forall r : \|\cdot\|_q \leq C \left( r^a \|\cdot\|_k + \frac{1}{r^b} \|\cdot\|_p \right) \\ &\Leftrightarrow (3'') \quad \forall a, b \forall p \exists q \forall k \exists C \forall r : \|\cdot\|_q \leq C \left( r^a \|\cdot\|_k + \frac{1}{r^b} \|\cdot\|_p \right) \\ &\Leftrightarrow (1''') \quad \forall p \exists q \forall k \exists C \forall r : U_q \subseteq C \left( r U_k + \frac{1}{r} U_p \right) \\ &\Leftrightarrow (2''') \quad \exists a, b \forall p \exists q \forall k \exists C \forall r : U_q \subseteq C \left( r^a U_k + \frac{1}{r^b} U_p \right) \\ &\Leftrightarrow (3''') \quad \forall a, b \forall p \exists q \forall k \exists C \forall r : U_q \subseteq C \left( r^a U_k + \frac{1}{r^b} U_p \right)\end{aligned}$$

---

**Proof.**

((1) $\Rightarrow$ (2))  $d := 1$ .

((2) $\Rightarrow$ (3)) We show first that (2) holds for  $d' := \frac{d^2}{1+2d} \leq \frac{d}{2}$  as well:

$$\begin{aligned}
& \exists d \ \forall p \ \exists q \ \forall k \ \exists C : \|\cdot\|_q^{1+d} \leq C \|\cdot\|_k \|\cdot\|_p^d \\
& \quad \forall q \ \exists q' \ \forall k' \ \exists C' : \|\cdot\|_{q'}^{1+d} \leq C' \|\cdot\|_{k'} \|\cdot\|_q^d \\
& \Rightarrow \forall p \ \exists q, q' \ \forall k, k' \ \exists C, C' : \\
& \quad \|\cdot\|_{q'}^{1+d} \leq C' \|\cdot\|_{k'} \|\cdot\|_q^d = C' \|\cdot\|_{k'} (\|\cdot\|_q^{1+d})^{\frac{d}{1+d}} \leq C' \|\cdot\|_{k'} (C \|\cdot\|_k \|\cdot\|_p^d)^{\frac{d}{1+d}} \\
& \Rightarrow \forall p \ \exists q' \ \forall k = k' \ \exists C, C' : \\
& \quad \|\cdot\|_{q'}^{1+d} \leq C' C^{\frac{d}{1+d}} \|\cdot\|_k^{\frac{1+2d}{1+d}} \|\cdot\|_p^{\frac{d^2}{1+d}} \\
& \Rightarrow \forall p \ \exists q' \ \forall k \ \exists C, C' : \\
& \quad \underbrace{(\|\cdot\|_{q'}^{1+d})^{\frac{1+d}{1+2d}}}_{\|\cdot\|_{q'}^{1+\frac{d^2}{1+2d}}} \leq \underbrace{(C' C^{\frac{d}{1+d}})^{\frac{1+d}{1+2d}}}_{=: C''} \|\cdot\|_k \underbrace{\|\cdot\|_p^{\frac{d^2}{1+d} \cdot \frac{1+d}{1+2d}}}_{\|\cdot\|_p^{\frac{d^2}{1+2d}}}
\end{aligned}$$

Thus we have (2) also for  $d' := \frac{d^2}{1+2d} \leq \frac{d}{2}$ .

By induction we get (2) for some  $d < 1$  and hence also for  $d = 1$ , since  $\|\cdot\|_q \leq C \|\cdot\|_k \leq \left(\frac{\|\cdot\|_p}{\|\cdot\|_q}\right)^d \leq C \|\cdot\|_k \leq \left(\frac{\|\cdot\|_p}{\|\cdot\|_q}\right)^{d'}$  for  $d \leq d'$  and  $\|\cdot\|_p \geq \|\cdot\|_q$ .

((3) $\Rightarrow$ (1))  $\forall \Rightarrow \exists$ .

((2) $\Leftrightarrow$ (2')) and ((3) $\Leftrightarrow$ (3')) by remark (3).

((2') $\Leftrightarrow$ (2'')) and ((3') $\Leftrightarrow$ (3'')) by the interpolation inequalities (7) (1 $\Leftrightarrow$ 2).

((2'') $\Leftrightarrow$ (2''')) and ((3'') $\Leftrightarrow$ (3''')) by the interpolation inequalities (7) (2 $\Leftrightarrow$ 3).

((3''') $\Rightarrow$ (1'') $\Rightarrow$ (2'')) and ((3''') $\Rightarrow$ (1''') $\Rightarrow$ (2''')) are trivial ( $a = 1, b := 1$ ).  $\square$



---

**9. Lemma.**

[Vogt1982]  $E$  (FS).

- $(\overline{\Omega}) \Leftrightarrow \exists B \subseteq E$  *bd.*  $(\overline{\Omega}_B)$ .
- $(\widetilde{\Omega}) \Leftrightarrow \exists B \subseteq E$  *bd.*  $(\widetilde{\Omega}_B)$ .
- $(\overline{\widetilde{\Omega}}) \Leftrightarrow \exists B \subseteq E$  *bd.*  $(\overline{\widetilde{\Omega}}_B)$ .

**Proof.**  $(\overline{\Omega})$  ( $\Leftarrow$ ) is obvious, since  $\forall k \exists D_k : B \subseteq D_k \cdot U_k$  and hence  $\|-\|_B^* \leq D_k \|-\|_k^*$ .

( $\Rightarrow$ ) Let  $(U_k)_k$  be a decreasing basis of absolutely convex closed 0-nbhds.  $E$  has the following property  $(\overline{\Omega})$ :

$$E \in (\overline{\Omega}) \Leftrightarrow \forall \mu \forall p \exists q \forall k \exists C \forall r : U_q \subseteq r^\mu U_k + \frac{C}{r^{1-\mu}} U_p.$$

---

Now

$$\begin{aligned}U_q &\subseteq r^\mu U_k + \frac{C_\mu}{r^{1-\mu}} U_p \\ \Leftrightarrow \forall x \in U_q \exists y \in U_k \exists z \in U_p : x &= r^\mu y + \frac{C_\mu}{r^{1-\mu}} z \\ \Leftrightarrow \forall x \in U_q \exists y \in U_k : x - r^\mu y &\in \frac{C_\mu}{r^{1-\mu}} U_p \\ \Leftrightarrow \forall x \in U_q \exists y \in U_k : \|x - r^\mu y\|_p &\leq \frac{C_\mu}{r^{1-\mu}} \\ \Rightarrow \forall x \in U_q : d_p(x, r^\mu U_k) &\leq \frac{C_\mu}{r^{1-\mu}} \\ \Leftrightarrow \varepsilon(r, k) := r^{1-\mu} \sup \left\{ d_p(x, r^\mu U_k) : x \in U_q \right\} &\leq C_\mu\end{aligned}$$

and conversely

$$\begin{aligned}\varepsilon(r, k) := r^{1-\mu} \sup \left\{ d_p(x, r^\mu U_k) : x \in U_q \right\} &< C_\mu \\ \Rightarrow \forall x \in U_q \exists y \in U_k : \|x - r^\mu y\|_p &\leq \frac{C_\mu}{r^{1-\mu}} \\ \Rightarrow U_q \subseteq r^\mu U_k + \frac{C_\mu}{r^{1-\mu}} U_p.\end{aligned}$$

---

Note that

$$\varepsilon(r^2, \frac{\mu}{2}) = (r^2)^{1-\frac{\mu}{2}} \sup\left\{d_p(x, (r^2)^{\frac{\mu}{2}}U_k) : x \in U_q\right\} = r \varepsilon(r, \mu)$$

and can deduce from the condition for  $\mu/2$  and  $r^2$  that for each  $k$  we have

$$\varepsilon(r, k) \rightarrow 0 \text{ for } r \rightarrow +\infty.$$

Thus  $\forall k \exists r_k \forall r \geq r_k : \varepsilon(r, k) < \frac{1}{k}$  and w.l.o.g. we assume  $k \leq r_k < r_{k+1} \ni \mathbb{N}$ . Now let  $k(n) := \max\{k : r_k \leq n\} \leq n < \infty$ . Then  $k(n) \nearrow \infty$  for  $n \rightarrow \infty$ , since otherwise there would exist a bound  $K$  and thus  $r_{K+1} > n$  for all  $n$ . Let  $\varepsilon(n) := \frac{1}{k(n)}$ . Then  $\varepsilon \rightarrow 0$  and since  $r_{k(n)} \leq n$  we get  $\varepsilon(n, k(n)) < \frac{1}{k(n)} = \varepsilon(n)$ . By the equivalence above

$$U_q \subseteq n^\mu U_{k(n)} + \frac{\varepsilon(n)}{n^{1-\mu}} U_p.$$

Since  $E$  is (S) we find finite sets  $Z_n \subseteq U_{k(n)}$  with  $U_{k(n)} \subseteq Z_n + \frac{\varepsilon(n)}{n} U_p$  and hence

$$U_q \subseteq n^\mu U_{k(n)} + \frac{\varepsilon(n)}{n^{1-\mu}} U_p \subseteq \left(n^\mu Z_n + \frac{\varepsilon(n)}{n^{1-\mu}} U_p\right) + \frac{\varepsilon(n)}{n^{1-\mu}} U_p = n^\mu Z_n + \frac{2\varepsilon(n)}{n^{1-\mu}} U_p.$$

Let  $B$  be the absolutely convex hull of  $\bigcup_n Z_n$ . Then  $B$  is bounded since it is contained in the absolutely convex hull of  $U_{k(n)} \cup \bigcup_{j < n} Z_j$  and  $k(n) \rightarrow \infty$ . Furthermore

$$U_q \subseteq n^\mu Z_n + \frac{2\varepsilon(n)}{n^{1-\mu}} U_p \subseteq n^\mu B + \frac{2 \max_n |\varepsilon(n)|}{n^{1-\mu}} U_p.$$

Note that w.l.o.g.  $q \geq p$ , i.e.  $U_q \subseteq U_p$  and hence for  $0 < r \leq 1$ :

$$U_q \subseteq U_p \subseteq r^\mu B + U_p \subseteq r^\mu B + \frac{1}{r^{1-\mu}} U_p.$$

Finally, if  $n \leq r < n+1$  then for  $C \geq 2 \max_n |\varepsilon(n)|$ :

$$U_q \subseteq n^\mu B + \frac{C}{n^{1-\mu}} U_p \subseteq r^\mu B + \underbrace{\left(\frac{n+1}{n}\right)^{1-\mu}}_{\leq 2} \frac{C}{r^{1-\mu}} U_p,$$

Thus for  $C \geq \max\{4 \max_n |\varepsilon(n)|, 1\}$  we have

$$\forall r > 0 : U_q \subseteq r^\mu B + \frac{C}{r^{1-\mu}} U_p. \quad \square$$

( $\tilde{\Omega}$ ) and ( $\bar{\Omega}$ ) The proof for the corresponding result for  $\bar{\Omega}$  (see [Vogt1983,4.4]) and for  $\tilde{\Omega}$  (see [DineenMeiseVogt1984,Proposition 3b] or [MeiseVogt1986,Lemma 3.6]) goes along the same lines. In the later case  $\mu/2$  is the  $\mu$  in the assumption and  $\mu$  is one in the conclusion.