

# COMPLETING LIE ALGEBRA ACTIONS TO LIE GROUP ACTIONS

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ABSTRACT. For a finite dimensional Lie algebra  $\mathfrak{g}$  of vector fields on a manifold  $M$  we show that  $M$  can be completed to a  $G$ -space in a universal way, which however is neither Hausdorff nor  $T_1$  in general. Here  $G$  is a connected Lie group with Lie-algebra  $\mathfrak{g}$ . For a transitive  $\mathfrak{g}$ -action the completion is of the form  $G/H$  for a Lie subgroup  $H$  which need not be closed. In general the completion can be constructed by completing each  $\mathfrak{g}$ -orbit.

**1. Introduction.** In [7], Palais investigated when one could extend a local Lie group action to a global one. He did this in the realm of non-Hausdorff manifolds, since he showed, that completing a vector field  $X$  on a Hausdorff manifold  $M$  may already lead to a non-Hausdorff manifold on which the additive group  $\mathbb{R}$  acts. We reproved this result in [3], being unaware of Palais' result. In [4] this result was extended to infinite dimensions and applied to partial differential equations like Burgers' equation: Solutions of the PDE were continued beyond the shocks and the universal completion was identified.

Here we give a detailed description of the universal completion of a Hausdorff  $\mathfrak{g}$ -manifold to a  $G$ -manifold. For a homogeneous  $\mathfrak{g}$ -manifold (where the finite dimensional Lie algebra  $\mathfrak{g}$  acts infinitesimally transitive) we show that the  $G$ -completion (for a Lie group  $G$  with Lie algebra  $\mathfrak{g}$ ) is a homogeneous space  $G/H$  for a possibly non-closed Lie subgroup  $H$  (theorem 7). In example 8 we show that each such situation can indeed be realized. For general  $\mathfrak{g}$ -manifolds we show that one can complete each  $\mathfrak{g}$ -orbit separately and replace the  $\mathfrak{g}$ -orbits in  $M$  by the resulting  $G$ -orbits to obtain the universal completion  ${}_G M$  (theorem 9). All  $\mathfrak{g}$ -invariant structures on  $M$  'extend' to  $G$ -invariant structures on  ${}_G M$ . The relation between our results and those of Palais are described in 10.

**2.  $\mathfrak{g}$ -manifolds.** Let  $\mathfrak{g}$  be a Lie algebra. A  $\mathfrak{g}$ -manifold is a (finite dimensional Hausdorff) connected manifold  $M$  together with a homomorphism of Lie algebras  $\zeta = \zeta^M : \mathfrak{g} \rightarrow \mathfrak{X}(M)$  into the Lie algebra of vector fields on  $M$ . We may assume without loss that it is injective; if not replace  $\mathfrak{g}$  by  $\mathfrak{g}/\ker(\zeta)$ . We shall also say that  $\mathfrak{g}$  acts on  $M$ .

The image of  $\zeta$  spans an integrable distribution on  $M$ , which need not be of constant rank. So through each point of  $M$  there is a unique maximal leaf of that distribution; we also call it the  $\mathfrak{g}$ -orbit through that point. It is an *initial submanifold* of  $M$  in the sense that a mapping from a manifold into the orbit is smooth if and only if it is smooth into  $M$ , see [5], 2.14ff.

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Let  $\ell : G \times M \rightarrow M$  be a left action of a Lie group with Lie algebra  $\mathfrak{g}$ . Let  $\ell_a : M \rightarrow M$  and  $\ell^x : G \rightarrow M$  be given by  $\ell_a(x) = \ell^x(a) = \ell(a, x) = a.x$  for  $a \in G$  and  $x \in M$ . For  $X \in \mathfrak{g}$  the *fundamental vector field*  $\zeta_X = \zeta_X^M \in \mathfrak{X}(M)$  is given by  $\zeta_X(x) = -T_e(\ell^x).X = -T_{(e,x)}\ell.(X, 0_x) = -\partial_t|_0 \exp(tX).x$ . The minus sign is necessary so that  $\zeta : \mathfrak{g} \rightarrow \mathfrak{X}(M)$  becomes a Lie algebra homomorphism. For a right action the fundamental vector field mapping without minus would be a Lie algebra homomorphism. Since left actions are more common, we stick to them.

**3. The graph of the pseudogroup.** Let  $M$  be a  $\mathfrak{g}$ -manifold, effective and connected, so that the action  $\zeta = \zeta^M : \mathfrak{g} \rightarrow \mathfrak{X}(M)$  is injective. Recall from [1], 2.3 that the pseudogroup  $\Gamma(\mathfrak{g})$  consists of all diffeomorphisms of the form

$$\text{Fl}_{t_n}^{\zeta^{x_n}} \circ \dots \circ \text{Fl}_{t_2}^{\zeta^{x_2}} \circ \text{Fl}_{t_1}^{\zeta^{x_1}} | U$$

where  $X_i \in \mathfrak{g}$ ,  $t_i \in \mathbb{R}$ , and  $U \subset M$  are such that  $\text{Fl}_{t_1}^{\zeta^{x_1}}$  is defined on  $U$ ,  $\text{Fl}_{t_2}^{\zeta^{x_2}}$  is defined on  $\text{Fl}_{t_1}^{\zeta^{x_1}}(U)$ , and so on.

Now we choose a connected Lie group  $G$  with Lie algebra  $\mathfrak{g}$ , and we consider the integrable distribution of constant rank  $d = \dim(\mathfrak{g})$  on  $G \times M$  which is given by

$$(3.1) \quad \{(L_X(g), \zeta_X^M(x)) : (g, x) \in G \times M, X \in \mathfrak{g}\} \subset TG \times TM,$$

where  $L_X$  is the left invariant vector field on  $G$  generated by  $X \in \mathfrak{g}$ . This gives rise to the foliation  $\mathcal{F}_\zeta$  on  $G \times M$ , which we call the *graph foliation* of the  $\mathfrak{g}$ -manifold  $M$ .

Consider the following diagram, where  $L(e, x)$  is the leaf through  $(e, x)$  in  $G \times M$ ,  $\mathcal{O}_\mathfrak{g}(x)$  is the  $\mathfrak{g}$ -orbit through  $x$  in  $M$ , and  $W_x \subset G$  is the image of the leaf  $L(e, x)$  in  $G$ . Note that  $\text{pr}_1 : L(e, x) \rightarrow W_x$  is a local diffeomorphism for the smooth structure of  $L(e, x)$ .

$$(3.2) \quad \begin{array}{ccccc} & & L(e, x) & \xrightarrow{\text{pr}_2} & \mathcal{O}_\mathfrak{g}(x) \\ & & \downarrow & \searrow & \downarrow \\ & & G \times M & \xrightarrow{\text{pr}_2} & M \\ & \tilde{c} \nearrow & \downarrow \text{pr}_1 & & \\ [0, 1] & \xrightarrow{c} & W_x & \xrightarrow{\text{open}} & G \end{array}$$

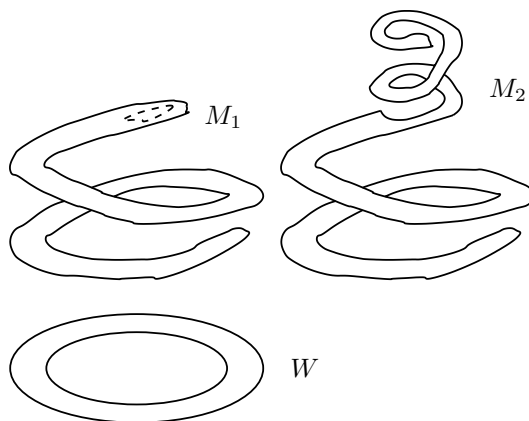
Moreover we consider a piecewise smooth curve  $c : [0, 1] \rightarrow W_x$  with  $c(0) = e$  and we assume that it is liftable to a smooth curve  $\tilde{c} : [0, 1] \rightarrow L(e, x)$  with  $\tilde{c}(0) = (e, x)$ . Its endpoint  $\tilde{c}(1) \in L(e, x)$  does not depend on small (i.e. liftable to  $L(e, x)$ ) homotopies of  $c$  which respect the ends. This lifting depends smoothly on the choice of the initial point  $x$  and gives rise to a local diffeomorphism  $\gamma_x(c) : U \rightarrow \{e\} \times U \rightarrow \{c(1)\} \times U' \rightarrow U'$ , a typical element of the pseudogroup  $\Gamma(\mathfrak{g})$  which is defined near  $x$ . See [1], 2.3 for more information and example 4 below. Note, that the leaf  $L(g, x)$  through  $(g, x)$  is given by

$$(3.3) \quad L(g, x) = \{(gh, y) : (h, y) \in L(e, x)\} = (\mu_g \times \text{Id})(L(e, x))$$

where  $\mu : G \times G \rightarrow G$  is the multiplication and  $\mu_g(h) = gh = \mu^h(g)$ .

**4. Examples.** It is helpful to keep the following examples in mind, which elaborate upon [1], 5.3. Let  $G = \mathfrak{g} = \mathbb{R}^2$ , let  $W$  be an annulus in  $\mathbb{R}^2$  containing 0, and let  $M_1$  be a simply connected piece of finite or infinite length of the universal cover of  $W$ . Then the Lie algebra  $\mathfrak{g} = \mathbb{R}^2$  acts on  $M$  but not the group. Let  $p : M_1 \rightarrow W$  be the restriction of the covering map, a local diffeomorphism.

Here  $G \times_{\mathfrak{g}} M_1 \cong G = \mathbb{R}^2$ . Namely, the graph distribution is then also transversal to the fiber of  $\text{pr}_2 : G \times M_1 \rightarrow M_1$  (since the action is transitive and free on  $M_1$ ), thus describes a principal  $G$ -connection on the bundle  $\text{pr}_2 : G \times M_1 \rightarrow M_1$ . Each leaf is a covering of  $M_1$  and hence diffeomorphic to  $M_1$  since  $M_1$  is simply connected. For  $g \in \mathbb{R}^2$  consider  $j_g : M_1 \xrightarrow{\text{ins}_{\mathfrak{g}}} \{g\} \times M_1 \subset G \times M_1 \xrightarrow{\pi} G \times_{\mathfrak{g}} M_1$  and two points  $x \neq y \in M_1$ . We may choose a smooth curve  $\gamma$  in  $M_1$  from  $x$  to  $y$ , lift it into the leaf  $L(g, x)$  and project it to a curve  $c$  in  $g + W$  from  $g$  to  $c(1) = g + p(y) - p(x) \in g + W$ . Then  $(g, x)$  and  $(c(1), y)$  are on the same leaf. So  $j_g(x) = j_g(y)$  if and only if  $p(x) = p(y)$ . So we see that  $j_g(x) = g + p(x)$ , and thus  $G \times_{\mathfrak{g}} M_1 = \mathbb{R}^2$ . This will also follow from 7.



Let us further complicate the situation by now omitting a small disk in  $M_1$  so that it becomes non simply connected but still projects onto  $W$ , and let  $M_2$  be a simply connected component of the universal cover of  $M_1$  with the disk omitted. What happens now is that homotopic curves which act equally on  $M_1$  act differently on  $M_2$ .

It is easy to see with the methods described below that the completion  ${}_G M_i = \mathbb{R}^2$  in both cases.

**5. Enlarging to group actions.** In the situation of 3 let us denote by  ${}_G M = G \times_{\mathfrak{g}} M = G \times M / \mathcal{F}_{\zeta}$  the space of leaves of the foliation  $\mathcal{F}_{\zeta}$  on  $G \times M$ , with the quotient topology. For each  $g \in G$  we consider the mapping

$$(5.1) \quad j_g : M \xrightarrow{\text{ins}_{\mathfrak{g}}} \{g\} \times M \subset G \times M \xrightarrow{\pi} {}_G M = G \times_{\mathfrak{g}} M.$$

Note that the submanifolds  $\{g\} \times M \subset G \times M$  are transversal to the graph foliation  $\mathcal{F}_{\zeta}$ . The leaf space  ${}_G M$  of  $G \times M$  admits a unique smooth structure, possibly singular and non-Hausdorff, such that a mapping  $f : {}_G M \rightarrow N$  into a smooth manifold  $N$  is smooth if and only if the compositions  $f \circ j_g : M \rightarrow N$  are smooth. For example we may use the structure of a *Frölicher space* or *smooth space* induced by the mappings  $j_g$  in the sense of [6], section 23 on  ${}_G M = G \times_{\mathfrak{g}} M$ . The canonical open maps  $j_g : M \rightarrow {}_G M$  for  $g \in G$  are called the charts of  ${}_G M$ : By construction, for

each  $x \in M$  and for  $g'g^{-1}$  near enough to  $e$  in  $G$  there exists a curve  $c : [0, 1] \rightarrow W_x$  with  $c(0) = e$  and  $c(1) = g'g^{-1}$  and an open neighborhood  $U$  of  $x$  in  $M$  such that for the smooth transformation  $\gamma_x(c)$  in the pseudogroup  $\Gamma(\mathfrak{g})$  we have

$$(5.2) \quad j_{g'}|U = j_g \circ \gamma_x(c).$$

Thus the mappings  $j_g$  may serve as a replacement for charts in the description of the smooth structure on  ${}_G M$ . Note that the mappings  $j_g$  are not injective in general. Even if  $g = g'$  there might be liftable smooth loops  $c$  in  $W_x$  such that (5.2) holds. Note also some similarity of the system of ‘charts’  $j_g$  with the notion of an *orbifold* where one uses finite groups instead of pseudogroup transformations.

The leaf space  ${}_G M = G \times_{\mathfrak{g}} M$  is a smooth  $G$ -space where the  $G$ -action is induced by  $(g', x) \mapsto (gg', x)$  in  $G \times M$ .

**Theorem.** *The  $G$ -completion  ${}_G M$  has the following universal properties:*

- (5.3) *Given any Hausdorff  $G$ -manifold  $N$  and  $\mathfrak{g}$ -equivariant mapping  $f : M \rightarrow N$  there exists a unique  $G$ -equivariant continuous mapping  $\bar{f} : {}_G M \rightarrow N$  with  $\bar{f} \circ j_e = f$ . Namely, the mapping  $\bar{f} : G \times M \rightarrow N$  given by  $\bar{f}(g, x) = g.f(x)$  is smooth and factors to  $\bar{f} : {}_G M \rightarrow N$ .*
- (5.4) *In the setting of (5.3), the universal property holds also for the  $T_1$ -quotient of  ${}_G M$ , which is given as the quotient  $G \times M / \overline{\mathcal{F}}_{\zeta}$  of  $G \times M$  by the equivalence relation generated by the closure of leaves.*
- (5.5) *If  $M$  carries a symplectic or Poisson structure or a Riemannian metric such that the  $\mathfrak{g}$ -action preserves this structure or is even a Hamiltonian action then the structure ‘can be extended to  ${}_G M$  such that the enlarged  $G$ -action preserves these structures or is even Hamiltonian’.*

**Proof.** (5.3) Consider the mapping  $\bar{f} = \ell^N \circ (\text{Id}_G \times f) : G \times M \rightarrow N$  which is given by  $\bar{f}(g, x) = g.f(x)$ . Then by (3.1) and (3.2) we have for  $X \in \mathfrak{g}$

$$\begin{aligned} T\bar{f} \cdot (L_X(g), \zeta_X^M(x)) &= T\ell \cdot (L_X(g), T_x f \cdot \zeta_X^M(x)) \\ &= T\ell \cdot (R_{\text{Ad}(g)X}(g), 0_{f(x)}) + T\ell(0_g, \zeta_X^N(f(x))) \\ &= -\zeta_{\text{Ad}(g)X}(g.f(x)) + T\ell_g \cdot \zeta_X^N(f(x)) = 0. \end{aligned}$$

Thus  $\bar{f}$  is constant on the leaves of the graph foliation on  $G \times M$  and thus factors to  $\bar{f} : {}_G M \rightarrow N$ . Since  $\bar{f}(g.g_1, x) = g.g_1.f(x) = g.\bar{f}(g, x)$ , the mapping  $\bar{f}$  is  $G$ -equivariant. Since  $N$  is Hausdorff,  $\bar{f}$  is even constant on the closure of each leaf, thus (5.4) holds also.

(5.5) Let us treat Poisson structure  $P$  on  $M$ . For symplectic structures or Riemannian metrics the argument is similar and simpler. Since the Lie derivative along fundamental vector fields of  $P$  vanishes, the pseudogroup transformation  $\gamma_x(c)$  in (5.2) preserves  $P$ . Since  ${}_G M$  is the quotient of the disjoint union of all spaces  $\{g\} \times M$  for  $g \in G$  under the equivalence relation described by (5.2),  $P$  ‘passes down to this quotient’. Note that we refrain from putting too much meaning on this statement.  $\square$

The universal property (5.3) holds also for smooth  $G$ -spaces  $N$  which need not be Hausdorff, nor  $T_1$ , but should have tangent spaces and foliations so that it is meaningful to talk about  $\mathfrak{g}$ -equivariant mappings. We will not go into this, but see [6], section 23 for some concepts which point in this direction.

As an application of the universal property of the  $G$ -completion  ${}_G M$ , we see that  ${}_G M$  depends on the choice of  $G$  in the following way. We write  $G = \Gamma \backslash \tilde{G}$ , where  $\tilde{G}$  is the simply connected Lie group with Lie algebra  $\mathfrak{g}$  and  $\Gamma \subset \tilde{G}$  is the discrete central subgroup such that  $\Gamma \cong \pi_1(G)$ . Then we have  ${}_G M \cong \Gamma \backslash \tilde{G} M$  as  $G$ -spaces, so that  $\tilde{G} M$  is potentially less singular than  ${}_G M$ .

**6. Example.** Let  $\mathfrak{g} = \mathbb{R}^2$  with basis  $X, Y$ , let  $M = \mathbb{R}^3 \setminus \{(0, 0, z) : z \in \mathbb{R}\}$ , and let  $\zeta^\alpha : \mathfrak{g} \rightarrow \mathfrak{X}(M)$  be given by

$$(6.1) \quad \zeta_X^\alpha = \partial_x + \alpha \frac{yz}{x^2 + y^2} \partial_z \quad , \quad \zeta_Y^\alpha = \partial_y - \alpha \frac{xz}{x^2 + y^2} \partial_z \quad , \quad \alpha > 0$$

which satisfy  $[\zeta_X^\alpha, \zeta_Y^\alpha] = 0$ . By construction of the graph foliation  $\mathcal{F}_{\zeta^\alpha}$  in (3.1) and the procedure summarized in diagram (3.2), the leaves of  $\mathcal{F}_{\zeta^\alpha}$  are determined explicitly as follows. For any smooth curve  $c(t) = (\xi(t), \eta(t)) \in G$  starting at  $(\xi_0, \eta_0)$  we have  $\dot{c}(t) = \dot{\xi}(t) X + \dot{\eta}(t) Y \in \mathfrak{g}$  and the lifted curve  $(c(t), \mathbf{y}(t))$  is in the leaf  $L((\xi_0, \eta_0), \mathbf{y}_0)$  if and only if it satisfies the first order ODE

$$(6.2) \quad (\mathbf{y}(t), \dot{\mathbf{y}}(t)) = \dot{\xi}(t) \zeta_X^\alpha(\mathbf{y}(t)) + \dot{\eta}(t) \zeta_Y^\alpha(\mathbf{y}(t))$$

with initial value  $\mathbf{y}(0) = \mathbf{y}_0 = (x_0, y_0, u = z_0) \in M$ . Substituting (6.1) into (6.2), we see that this ODE is linear, that is  $\dot{x} = \dot{\xi}$ ,  $\dot{y} = \dot{\eta}$  and  $\dot{z} = -\alpha z \frac{x\dot{\eta} - y\dot{\xi}}{r^2} = -\alpha z \frac{x\dot{\eta} - y\dot{\xi}}{r^2}$ , where  $r^2 = x^2 + y^2$ . Thus the projection  $\mathbf{x}(t)$  of  $\mathbf{y}(t)$  to the  $(x, y)$ -plane is given by  $\mathbf{x}(t) = c(t) - ((\xi_0, \eta_0) - \mathbf{x}_0) = c(t) - (\xi_0 - x_0, \eta_0 - y_0)$ , whereas the third equation leads to

$$(6.3) \quad z(t) = u e^{-\alpha \int_0^t d\theta} = u e^{-\alpha(\theta(t) - \theta_0)} = u e^{\alpha\theta_0} e^{-\alpha\theta(t)} \quad ,$$

where  $\theta$  is the angle function in the  $(x, y)$ -plane. This depends only on the endpoints  $\mathbf{x}_0$ ,  $\mathbf{x}(t)$  and the winding number of the curve  $\mathbf{x}$  and is otherwise independent of  $\mathbf{x}$ . Incompleteness occurs whenever the curve  $\mathbf{x}$  goes to  $(0, 0) \in \mathbb{R}^2$  in finite time  $\bar{t} < \infty$ , that is  $\mathbf{x}(t) \rightarrow (0, 0)$ ,  $t \uparrow \bar{t}$  or equivalently  $c(t) \rightarrow (\xi_0, \eta_0) - \mathbf{x}_0$ ,  $t \uparrow \bar{t}$ . It follows that the leaf  $L((\xi_0, \eta_0), \mathbf{y}_0)$  is parametrized by  $(r, \theta) \in \mathbb{R}_+ \times \mathbb{R}$  with  $z = z(\theta)$  being independent of  $r > 0$  and that

$$(6.4) \quad \text{pr}_1 : L((\xi_0, \eta_0), \mathbf{y}_0) \rightarrow W_{(\xi_0, \eta_0), \mathbf{y}_0} = \mathbb{R}^2 \setminus \{(\xi_0, \eta_0) - \mathbf{x}_0\}$$

in (3.2) is a universal covering. This is visibly consistent with (3.3). In order to parametrize the space of leaves  ${}_G M$ , we observe that the parameter  $\mathbf{x}_0$  can be eliminated. In fact, from the previous formulas we see that

$$(6.5) \quad L((\xi'_0, \eta'_0), (\mathbf{x}'_0, u')) = L((\xi_0, \eta_0), (\mathbf{x}_0, u)) \quad ,$$

if and only if  $(\xi'_0, \eta'_0) - \mathbf{x}'_0 = (\xi_0, \eta_0) - \mathbf{x}_0$  and  $u' = u e^{\alpha(\theta_0 - \theta'_0)}$ , so that we have  $z'(\theta) = u' e^{\alpha\theta'_0} e^{-\alpha\theta(\theta)} = u e^{\alpha\theta_0} e^{-\alpha\theta(\theta)} = z(\theta)$ . In particular, it follows that

$$(6.6) \quad L((\xi_0, \eta_0), \mathbf{y}_0) = L((\xi'_0 + 1, \eta'_0), (1, 0, u')) \quad ,$$

where  $(\xi'_0, \eta'_0) = (\xi_0, \eta_0) - \mathbf{x}_0$ ,  $u' = u e^{\alpha\theta_0}$ ,  $\theta'_0 = 0$ , projecting to  $\mathbb{R}^2 \setminus \{(\xi'_0, \eta'_0)\}$ . Therefore the leaves of the form  $L((\xi_0 + 1, \eta_0), (1, 0, u))$  are distinct for different values of  $(\xi_0, \eta_0)$  and fixed value of  $u$  and from the relation (3.3) we conclude that

$$(6.7) \quad L((\xi_0 + 1, \eta_0), (1, 0, u)) = (\xi_0, \eta_0) + L((1, 0), (1, 0, u)) \quad ,$$

that is  $G = \mathbb{R}^2$  acts without isotropy on  ${}_G M$ . We also need to determine the range for the parameter  $u$ . Obviously, we have  $L((1, 0), (1, 0, u')) = L((1, 0), (1, 0, u))$  if and only if  $u' = e^{2\pi\alpha n} u$  for  $n \in \mathbb{Z}$ . Thus these leaves are parametrized by  $[u]$ ,

taking values in the quotient of the additive group  $\mathbb{R}$  under the multiplicative group  $\{e^{2\pi\alpha n} : n \in \mathbb{Z}\}$ , that is

$$(6.8) \quad \{0\} \cup \mathbb{S}_+^1 \cup \mathbb{S}_-^1 \cong \{0\} \cup \mathbb{R}_+^\times / \{e^{2\pi\alpha n} : n \in \mathbb{Z}\} \cup \mathbb{R}_-^\times / \{e^{2\pi\alpha n} : n \in \mathbb{Z}\}.$$

The topology on the above space is determined by the leaf closures, respectively the orbit closures. First we have  $\overline{L((\xi_0 + 1, \eta_0), (1, 0, u))} = (\xi_0, \eta_0) + L((1, 0), (1, 0, u))$  in  $G \times M$  and it is sufficient to determine the closures of  $L((1, 0), (1, 0, u))$ . For  $(1, 0, u) \in M$  with  $u \neq 0$  we consider the curve  $c(\theta) = e^{i\theta} \in G = \mathbb{R}^2$ . It is liftable to  $G \times M$  and determines on  $M$  the curve  $\mathbf{y}(t) = (\cos \theta, \sin \theta, ue^{-\alpha\theta})$ . Thus the curve  $(c(\theta), \mathbf{y}(\theta))$  in the leaf through  $(1, 0; 1, 0, u) \in G \times M \subset \mathbb{R}^5$  has a limit cycle for  $\theta \rightarrow \infty$  which lies in the different leaf through  $(1, 0; 1, 0, 0)$  which is closed, given by the  $(x, y)$ -plane  $(\mathbb{R}^2 \times 0) \setminus 0$  at level  $(1, 0) \in G$ . Thus we have

$$(6.9) \quad \overline{L((1, 0), (1, 0, u))} = L((1, 0), (1, 0, u)) \cup L((1, 0), (1, 0, 0)).$$

Hence the leaf  $L((1, 0), (1, 0, u))$  is not closed and the topological space  ${}_G M$  is not  $T_1$  and not a manifold. The orbits of the  $\mathfrak{g}$ -action are determined by the leaf structure via  $\text{pr}_2$  in diagram (3.2) and they look here as follows: The  $(x, y)$ -plane  $(\mathbb{R}^2 \times 0) \setminus 0$  is a closed orbit. Orbits above this plane are helicoidal staircases leading down and accumulating exponentially at the  $(x, y)$ -plane. Orbits below this plane are helicoidal staircases leading up and again accumulating exponentially. Thus the orbit space  $M/\mathfrak{g}$  of the  $\mathfrak{g}$ -action is given by (6.8), with the point 0 being closed. By (6.9), the closure of any orbit represented by a point  $[u]$  on one of the circles is given by  $\{[u], 0\}$ . From (6.6) and (6.7), we see that the  $G$ -completion  ${}_G M$  has a section over the orbit space  ${}_G M/G \cong M/\mathfrak{g}$  given by  $[u] \mapsto L((1, 0), (1, 0, u))$ . Therefore  ${}_G M \cong G \times M/\mathfrak{g} = \mathbb{R}^2 \times \{\{0\} \cup \mathbb{S}_+^1 \cup \mathbb{S}_-^1\}$ .

The structure of the completion and the orbit spaces are independent of the deformation parameter  $\alpha > 0$  in (6.1). However for  $\alpha \downarrow 0$ , the completion just means adding in the  $z$ -axis, that is we get  ${}_G M \cong \mathbb{R}^3$  with  $G = \mathbb{R}^2$  acting by parallel translation on the affine planes  $z = c$ , and  $M/\mathfrak{g} \cong {}_G M/G \cong \mathbb{R}$  as it should be.

It was pointed out to us [2] that one can make this example still more pathological: Consider the above example only in a cylinder over the annulus  $0 < x^2 + y^2 < 1$ . Add an open handle to the disk and continue the  $\mathbb{R}^2$ -action on the cylinder over the disk with an open handle added in such a way that there is a shift in the  $z$ -direction when one traverses the handle. Then one of the helicoidal staircases is connected to the the disk itself, so it accumulates onto itself. This is called a ‘resilient leaf’ in foliation theory.

**7. Theorem.** *Let  $M$  be a connected transitive effective  $\mathfrak{g}$ -manifold. Let  $G$  be a connected Lie group with Lie algebra  $\mathfrak{g}$ . Then we have:*

(7.1) *Then there exists a subgroup  $H \subset G$  such that the  $G$ -completion  ${}_G M$  is diffeomorphic to  $G/H$ .*

(7.2) *The Hausdorff quotient of  ${}_G M$  is the homogeneous manifold  $G/\overline{H}$ . It has the following universal property: For each smooth  $\mathfrak{g}$ -equivariant mapping  $f : M \rightarrow N$  into a Hausdorff  $G$ -manifold  $N$  there exists a unique smooth  $G$ -equivariant mapping  $\tilde{f} : G/\overline{H} \rightarrow N$  with  $f = \tilde{f} \circ \pi \circ j_e : M \rightarrow G/H \xrightarrow{\pi} G/\overline{H} \rightarrow N$ .*

(7.3) *For each leaf  $L(g, x_0) \subset G \times M$  the projection  $\text{pr}_2 : L(g, x_0) \rightarrow M$  is a smooth fiber bundle with typical fiber  $H$ .*

**Proof.** (7.1) We choose a base point  $x_0 \in M$ . The  $G$ -completion is given by  ${}_G M = G \times_{\mathfrak{g}} M$ , the orbit space of the  $\mathfrak{g}$ -action on  $G \times M$  which is given by  $\mathfrak{g} \ni X \mapsto L_X \times \zeta_X^M$ , and the  $G$ -action on the completion is given by multiplication from the left. The submanifold  $G \times \{x_0\}$  meets each  $\mathfrak{g}$ -orbit in  $G \times M$  transversely, since

$$\begin{aligned} T_{(g,x_0)}(G \times \{x_0\}) + T_{(g,x_0)}L(g, x_0) &= \{L_X(g) \times 0_{x_0} + L_Y(g) \times \zeta_Y(x_0) : X, Y \in \mathfrak{g}\} \\ &= T_{(g,x_0)}(G \times M). \end{aligned}$$

By (3.3) we have  $L(g, x) = g.L(e, x)$  so that the isotropy Lie algebra  $\mathfrak{h} = \mathfrak{g}_{x_0} = \{X \in \mathfrak{g} : \zeta_X(x_0) = 0\}$  is also given by

$$\begin{aligned} X \in \mathfrak{h} &\iff X \times 0_{x_0} \in T_{(e,x_0)}(G \times \{x_0\}) \cap T_{(e,x_0)}L(e, x_0) \\ &\iff L_X(g) \times 0_{x_0} \in T_{(g,x_0)}(G \times \{x_0\}) \cap T_{(g,x_0)}L(g, x_0) \end{aligned}$$

Since  $G \times \{x_0\}$  is a leaf of a foliation and the  $L(e, x)$  also form a foliation,  $\mathfrak{h}$  is a Lie subalgebra of  $\mathfrak{g}$ . Let  $H_0$  be the connected Lie subgroup of  $G$  which corresponds to  $\mathfrak{h}$ . Then clearly  $H_0 \times \{x_0\} \subset G \times \{x_0\} \cap L(e, x_0)$ . Let the subgroup  $H \subset G$  be given by

$$H = \{g \in G : (g, x_0) \in L(e, x_0)\} = \{g \in G : L(g, x_0) = L(e, x_0)\},$$

then the  $C^\infty$ -curve component of  $H$  containing  $e$  is just  $H_0$ . So  $H$  consists of at most countably many  $H_0$ -cosets. Thus  $H$  is a Lie subgroup of  $G$  (with a finer topology, perhaps). By construction the orbit space  $G \times_{\mathfrak{g}} M$  equals the quotient of the transversal  $G \times \{x_0\}$  by the relation induced by intersecting with each leaf  $L(g, x_0)$  separately, i.e.,  $G \times_{\mathfrak{g}} M = G/H$ .

(7.2) Obviously the  $T_1$ -quotient of  $G/H$  equals the Hausdorff quotient  $G/\overline{H}$  which is a smooth manifold. The universal property is easily seen.

(7.3) Let  $x \in M$  and  $(g, x) \in L(e, x_0) = L(g, x) = g.L(e, x)$ . So it suffices to treat the leaf  $L(e, x)$ . We choose  $X_1, \dots, X_n \in \mathfrak{g}$  such that  $\zeta_{X_1}(x), \dots, \zeta_{X_n}(x)$  form a basis of the tangent space  $T_x M$ . Let  $u : U \rightarrow \mathbb{R}^n$  be a chart on  $M$  centered at  $x$  such that  $u(U)$  is an open ball in  $\mathbb{R}^n$  and such that  $\zeta_{X_1}(y), \dots, \zeta_{X_n}(y)$  are still linearly independent for all  $y \in U$ . For  $y \in U$  consider the smooth curve  $c_y : [0, 1] \rightarrow U$  given by  $c_y(t) = u^{-1}(t.u(y))$ . We consider

$$\begin{aligned} \partial_t c_y(t) &= c'_y(t) = \sum_{i=1}^n f_y^i(t) \zeta_{X_i}(c_y(t)), \quad f_y^i \in C^\infty([0, 1], \mathbb{R}) \\ X_y(t) &= \sum_{i=1}^n f_y^i(t) X_i \in \mathfrak{g}, \quad X \in C^\infty([0, 1], \mathfrak{g}) \\ g_y &\in C^\infty([0, 1], G), \quad T(\mu_{g_y(t)})\partial_t g_y(t) = X_y(t), \quad g_y(0) = e, \end{aligned}$$

and everything is also smooth in  $y \in U$ . Then for  $h \in H$  we have  $(h.g_y(t), c_y(t)) \in L(e, x)$  since

$$\partial_t(h.g_y(t), c_y(t)) = (L_{X_y(t)}(h.g_y(t)), \zeta_{X_y(t)}(c_y(t))).$$

Thus  $U \times H \ni (y, h) \mapsto \text{pr}_2^{-1}(U) \cap L(e, x)$  is the required fiber bundle parameterization.  $\square$

**8. Example.** Let  $G$  be simply connected Lie group and let  $H$  be a connected Lie group of  $G$  which is not closed. For example, let  $G = Spin(5)$  which is compact of rank 2 and let  $H$  be a dense 1-parameter subgroup in its 2-dimensional maximal torus. Let  $\text{Lie}(G) = \mathfrak{g}$  and  $\text{Lie}(H) = \mathfrak{h}$ . We consider the foliation of  $G$  into right  $H$ -cosets  $gH$  which is generated by  $\{L_X : X \in \mathfrak{h}\}$  and is left invariant under

$G$ . Let  $U$  be a chart centered at  $e$  on  $G$  which is adapted to this foliation, i.e.  $u : U \rightarrow u(U) = V_1 \times V_2 \subset \mathbb{R}^k \times \mathbb{R}^{n-k}$  such that the sets  $u^{-1}(V_1 \times \{x\})$  are the leaves intersected with  $U$ . We assume that  $V_1$  and  $V_2$  are open balls, and that  $U$  is so small that  $\exp : W \rightarrow U$  is a diffeomorphism for a suitable convex open set  $W \subset \mathfrak{g}$ . Of course  $\mathfrak{g}$  acts on  $U$  and respects the foliation, so this  $\mathfrak{g}$ -action descends to the leave space  $M$  of the foliation on  $U$  which is diffeomorphic to  $V_2$ .

**Lemma.** *In this situation, for the  $G$ -completion we have  $G \times_{\mathfrak{g}} M = G/H$*

**Proof.** We use the method described in the end of the proof of theorem 7:  ${}_G M = G \times_{\mathfrak{g}} M$  is the quotient of the transversal  $G \times \{x_0\}$  by the relation induced by intersecting with each leaf  $L(g, x_0)$  separately. Thus we have to determine the subgroup  $H_1 = \{g \in G : (g, x_0) \in L(e, g)\}$ .

Obviously any smooth curve  $c_1 : [0, 1] \rightarrow H$  starting at  $e$  is liftable to  $L(e, x_0)$  since it does not move  $x_0 \in M$ . So  $H \subseteq H_1$ , and moreover  $H$  is the  $C^\infty$ -path component of the identity in  $H_1$ .

Conversely, if  $c = (c_1, c_2) : [0, 1] \rightarrow L(e, x_0) \subset G \times M$  is a smooth curve from  $(e, x_0)$  to  $(g, x_0)$  then  $c_2$  is a smooth loop through  $x_0$  in  $M$  and there exists a smooth homotopy  $h$  in  $M$  which contracts  $c_2$  to  $x_0$ , fixing the ends. Since  $\text{pr}_2 : L(e, x_0) \rightarrow M$  is a fiber bundle by (7.3) we can lift the homotopy  $h$  from  $M$  to  $L(e, x_0)$  with starting curve  $c$ , fixing the ends, and deforming  $c$  to a curve  $c'$  in  $L(e, x_0) \cap \text{pr}_2^{-1}(x_0)$ . Then  $\text{pr}_1 \circ c'$  is a smooth curve in  $H_1$  connecting  $e$  and  $g$ .

Thus  $H_1 = H$ , and consequently  ${}_G M = G/H$ .  $\square$

**9. Theorem.** *Let  $M$  be a connected  $\mathfrak{g}$ -manifold. Let  $G$  be a connected Lie group with Lie algebra  $\mathfrak{g}$ . Then the  $G$ -completion  ${}_G M$  can be described in the following way:*

- (9.1) *Form the leaf space  $M/\mathfrak{g}$ , a quotient of  $M$  which may be non-Hausdorff and not  $T_1$  etc.*
- (9.2) *For each point  $z \in M/\mathfrak{g}$ , replace the orbit  $\pi^{-1}(z) \subset M$  by the homogeneous space  $G/H_x$  described in theorem 7, where  $x$  is some point in the orbit  $\pi^{-1}(z) \subset M$ . One can use transversals to the  $\mathfrak{g}$ -orbits in  $M$  to describe this in more detail.*
- (9.3) *For each point  $z \in M/\mathfrak{g}$ , one can also replace the orbit  $\pi^{-1}(z) \subset M$  by the homogeneous space  $G/\overline{H_x}$  described in theorem 7, where  $x$  is some point in the orbit  $\pi^{-1}(z) \subset M$ . The resulting  $G$ -space has then Hausdorff orbits which are smooth manifolds, but the same orbit space as  $M/\mathfrak{g}$ .*

See example 6 above.

**Proof.** Let  $\mathcal{O}(x) \subset M$  be the  $\mathfrak{g}$ -orbit through  $x$ , i.e., the leaf through  $x$  of the singular foliation (with non-constant leaf dimension) on  $M$  which is induced by the  $\mathfrak{g}$ -action. Then the  $G$ -completion of the orbit  $\mathcal{O}(x)$  is  ${}_G \mathcal{O}(x) = G/H_x$  for the Lie subgroup  $H_x \subset G$  described in theorem (7.1). By the universal property of the  $G$ -completion we get a  $G$ -equivariant mapping  ${}_G \mathcal{O}(x) \rightarrow {}_G M$  which is injective and a homeomorphism onto its image, since we can repeat the construction of theorem (7.1) on  $M$ . Clearly the mapping  $j_e : M \rightarrow {}_G M$  induces a homeomorphism between the orbit spaces  $M/\mathfrak{g} \rightarrow {}_G M/G$ .

Now let  $s : V \rightarrow M$  be an embedding of a submanifold which is a transversal to the  $\mathfrak{g}$ -foliation at  $s(v_0)$ : We have  $Ts \cdot T_{v_0} V \oplus \zeta_{s(v_0)}(\mathfrak{g}) = T_{s(v_0)} M$ . Then  $s$  induces



a mapping  $V \rightarrow G \times M$  and  $V \rightarrow {}_G M$  and we may use the point  $s(v)$  in replacing  $\mathcal{O}(s(v))$  by  $G/H_{s(v)}$  for  $v$  near  $v_0$ .  $\square$

The following diagram summarizes the relation between the preceding constructions.

$$(9.4) \quad \begin{array}{ccccc} M & \longrightarrow & \bigcup_{[x] \in M/\mathfrak{g}} G/H_x & \twoheadrightarrow & \bigcup_{[x] \in M/\mathfrak{g}} G/\overline{H_x} \\ \uparrow = & & \downarrow \cong & & \downarrow \text{---} \\ M & \xrightarrow{j_e} & {}_G M = G \times_{\mathfrak{g}} M & \twoheadrightarrow & G \times M/\overline{\mathcal{F}}_{\zeta} \\ \downarrow \pi & & \downarrow \pi_G & \swarrow \text{---} & \downarrow \overline{\pi}_G \\ M/\mathfrak{g} & \xrightarrow{\cong} & {}_G M/G & \twoheadrightarrow & (G \times M/\overline{\mathcal{F}}_{\zeta})/G \end{array}$$

Note that taking the  $T_1$ -quotient  $G \times M/\overline{\mathcal{F}}_{\zeta}$  of the leaf space  ${}_G M$  may be a very severe reduction. In example 6 the isotropy groups  $H_x$  are trivial and we have  $G \times M/\overline{\mathcal{F}}_{\zeta} = \mathbb{R}^2 \times \{0\}$  and  $(G \times M/\overline{\mathcal{F}}_{\zeta})/G = \{0\}$

**10. Palais' treatment of  $\mathfrak{g}$ -manifolds.** In [7], Palais considered  $\mathfrak{g}$ -actions on finite dimensional manifolds  $M$  in the following way. He assumed from the beginning, that  $M$  may be a non-Hausdorff manifold, since the completion may be non-Hausdorff. Then he introduces notions which we can express as follows in the terms introduced here:

- (10.1)  $(M, \zeta)$  is called *generating* if it generates a local  $G$ -transformation group. See [7], II,2, Def. V and II,7, Thm. XI. This holds if and only if the leaves of the graph foliation on  $G \times M$  described in section 3 are Hausdorff. For Hausdorff  $\mathfrak{g}$ -manifolds this is always the case.
- (10.2)  $(M, \zeta)$  is called *uniform* if  $\text{pr}_1 : L(e, x) \rightarrow G$  in (3.2) is a covering map for each  $x \in M$ . See [7], III,6, Def. VIII and III,6, Thm. XVII, Cor., Cor.2. In the Hausdorff case the  $\mathfrak{g}$ -action is then complete and it may be integrated to a  $\tilde{G}$ -action, where  $\tilde{G}$  is a simply connected Lie group with Lie algebra  $\mathfrak{g}$ , so that  $\tilde{G}M \cong M$ .
- (10.3)  $(M, \zeta)$  is called *univalent* if  $\text{pr}_1 : L(e, x) \rightarrow G$  in (3.2) is injective for  $\forall x$ . See [7], III,2, Def. VI and III,4, Thm. X.
- (10.4)  $(M, \zeta)$  is called *globalizable* if there exists a (non-Hausdorff)  $G$ -manifold  $N$  which contains  $M$  equivariantly as an open submanifold. See [7], III,1, Def. II and III,4, Thm. X. This is a severe condition which is not satisfied in examples 4 and 6 above.

Palais' main result on (non-Hausdorff) manifolds with a vector field says that (10.1), (10.3), and (10.4) are equivalent. See [7], III,7, Thm. XX.

On (non-Hausdorff)  $\mathfrak{g}$ -manifolds his main result is that (10.3) and (10.4) are equivalent. See [7], III,1, Def. II and III,4, Thm. X, and also III,2, Def. VI and III,4, Thm. X.

**11. Concluding remarks.** (11.1) A suitable setting for further development might be the class of *discrete*  $\mathfrak{g}$ -manifolds, that is  $\mathfrak{g}$ -manifolds for which the  $\tilde{G}$ -space  $\tilde{G}M$  is  $T_1$ , or equivalently the leaves of the graph foliation  $\mathcal{F}_{\zeta}$  on  $\tilde{G} \times M$  are closed. In this case, the charts  $j_{\mathfrak{g}} : M \rightarrow \tilde{G}M$  in (5.1) are local diffeomorphisms

with respect to the unique smooth structure on  $\tilde{G}M$  and  $\tilde{G}M$  is a smooth manifold, albeit not necessarily Hausdorff.

(11.2) In the context of (11.1), there are several definitions of *proper*  $\mathfrak{g}$ -actions, all of which are equivalent to saying that the  $\tilde{G}$ -action on  $\tilde{G}M$  is proper. Many properties of proper actions will carry over to this case.

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