

# THE PROBABILITY THAT A CHARACTER VALUE IS ZERO FOR THE SYMMETRIC GROUP

ALEXANDER R. MILLER

*School of Mathematics, University of Minnesota, 206 Church St SE, Minneapolis, MN 55455*

*E-mail address: mill1966@math.umn.edu*

## INTRODUCTION

Let  $\chi$  be chosen at random from the irreducible characters of the symmetric group  $S_n$  and let  $g$  be chosen at random from the group itself. What is the probability that  $\chi(g) = 0$ ? In this short note we give a remarkable asymptotic answer of one. Throughout the paper “at random” means uniformly at random.

**Theorem 1.** *If  $\chi$  is chosen at random from the irreducible characters of  $S_n$  and  $g$  is chosen at random from  $S_n$ , then  $\chi(g) = 0$  with probability  $P(S_n) \rightarrow 1$  as  $n \rightarrow \infty$ .*

It will follow that the same must be true for the alternating group  $A_n$ .

**Theorem 2.** *If  $\chi$  is chosen at random from the irreducible characters of  $A_n$  and  $g$  is chosen at random from  $A_n$ , then  $\chi(g) = 0$  with probability  $P(A_n) \rightarrow 1$  as  $n \rightarrow \infty$ .*

We prove these results in Section 1 and make some remarks in Section 2.

## 1. PROOFS

Theorem 1 is a direct consequence of the Murnaghan–Nakayama rule and two classical results about random partitions and random permutations. We give a second proof without the Murnaghan–Nakayama rule in Section 2.

Recall that a partition of  $n$  is a sequence of positive integers  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_\ell)$  such that  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_\ell$  and  $\lambda_1 + \lambda_2 + \dots + \lambda_\ell = n$ . The Young diagram of  $\lambda$  is the left-justified array with  $\lambda_1$  boxes in first row,  $\lambda_2$  boxes in the second row, and so on; see Figure 1(a). The total number of partitions of  $n$  is denoted by  $p_n$ .

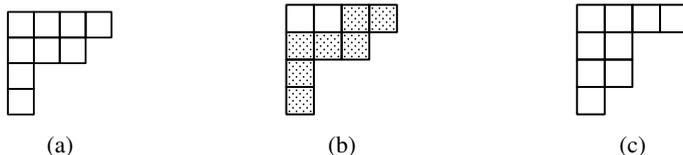


FIGURE 1. The (a) diagram, (b) border, and (c) conjugate of  $(4, 3, 1, 1)$ .

A permutation  $g \in S_n$  factors into disjoint cycles, and the cycle lengths determine  $g$  up to conjugation. Write  $K_\lambda$  for the conjugacy class of  $g$  where  $\lambda$  is the

partition of  $n$  whose parts are the cycle lengths for  $g$ . In particular, the number of conjugacy classes (resp. irreducible characters) of  $S_n$  is equal to  $p_n$ . Write  $\chi^\lambda$  for the irreducible  $S_n$ -character associated to the partition  $\lambda$  of  $n$  in the usual way [5].

The character values  $\chi^\lambda(g)$  can be computed using border strips. The border of a partition  $\lambda$  is the set of boxes in the Young diagram that have no southeast neighbor, as shown in Figure 1(b), and a border strip of  $\lambda$  is a connected subset of border boxes whose complementary set of boxes  $\lambda \setminus \beta$  is a valid Young diagram. The height  $\text{ht}(\beta)$  of a border strip is one less than the number of rows that it occupies. If  $g \in S_n$  has a  $k$ -cycle  $x$  then  $g = xy$  for some disjoint  $y \in S_{n-k}$  and the Murnaghan–Nakayama rule [5, Thm. 2.4.7] says that

$$\chi^\lambda(g) = \sum_{\beta} (-1)^{\text{ht}(\beta)} \chi^{\lambda \setminus \beta}(y)$$

where  $\beta$  runs over all border strips of  $\lambda$  with exactly  $k$  boxes. If  $\lambda$  has no border strip of size  $k$  then  $\chi^\lambda(g) = 0$ . In particular  $\chi^\lambda(g) = 0$  if  $k \geq \ell(\lambda) + \lambda_1$ .

We use the Murnaghan–Nakayama rule in tandem with two other old results to show that  $P(S_n)$  tends to one. We use the classical result of Erdős and Lehner [1] which tells us that, if  $f(n)$  is any function which tends to infinity with  $n$ , then for all but at most  $o(p_n)$  (as  $n \rightarrow \infty$ ) partitions  $\lambda$  of  $n$  the number of parts  $\ell(\lambda)$  and the largest part  $\lambda_1$  satisfy

$$c\sqrt{n}(\log n - f(n)) \leq \lambda_1, \ell(\lambda) \leq c\sqrt{n}(\log n + f(n)) \quad (1)$$

where  $c$  is some explicit positive constant. We also use the following result of Goncharov [3] about the number of cycles  $m$  of an element in  $S_n$ :

$$\text{Prob.} \left\{ \alpha < \frac{m - \log n}{\sqrt{2 \log n}} < \beta \right\} \rightarrow \pi^{-\frac{1}{2}} \int_{\alpha}^{\beta} e^{-t^2} dt, \quad n \rightarrow \infty.$$

*First proof of Theorem 1.* Let  $B(n)$  be the set of partitions  $\lambda$  of  $n$  that satisfy (1) when  $f(n) = \log n$ , so that  $|B(n)|/p_n$  tends to one as  $n$  tends to infinity.

Goncharov's result tells us that all but at most  $o(n!)$  permutations in  $S_n$  have  $\log n + o(\log n)$  cycles, so all but at most  $o(n!)$  have a cycle of size at least  $n/(2 \log n)$ .

Let  $C(n)$  be the set of elements in  $S_n$  that have a cycle of size at least  $n/(2 \log n)$ . Partitions in  $B(n)$  have border strips of size at most  $4c\sqrt{n} \log n$ , which is smaller than  $n/(2 \log n)$  for  $n$  sufficiently large, so by the Murnaghan–Nakayama rule

$$P(S_n) \geq \frac{|B(n)||C(n)|}{p_n n!}$$

for  $n$  sufficiently large, and the right side tends to 1 by the previous paragraphs.  $\square$

Recall the usual construction of the irreducible characters of  $A_n$  by restricting down from  $S_n$ . Let  $\lambda$  be a partition of  $n$  and let  $\lambda'$  be the conjugate partition, so that the Young diagram for  $\lambda'$  is the transpose of the diagram for  $\lambda$ ; see Figure 1(c). We say that  $\lambda$  is self-conjugate if  $\lambda = \lambda'$ . Then the following hold [5, Thm. 2.5.7]:

- (i) If  $\lambda \neq \lambda'$  then the restrictions of  $\chi^\lambda$  and  $\chi^{\lambda'}$  to  $A_n$  are equal and irreducible.
- (ii) If  $\lambda = \lambda'$  then the restriction of  $\chi^\lambda$  to  $A_n$  is a sum of two distinct irreducible characters.
- (iii) Each irreducible character of  $A_n$  arises in this way from a unique pair  $\lambda, \lambda'$ .

*Proof of Theorem 2.* First note that at most  $o(p_n)$  partitions of  $n$  are self-conjugate; a well-known result [5, p. 67] says that the number of self-conjugate partitions of  $n$  equals the number of partitions of  $n$  into distinct odd parts, and there are at most  $o(p_n)$  of the latter because there are at most  $o(p_n)$  partitions of  $n$  in total that have fewer than  $\sqrt{n}$  parts by Erdős–Lehner with  $f(n) = \log \log n$  in (1) for example.

Write  $\text{Irr}(S_n)$  as the disjoint union  $X_1 \cup X_2$  where  $X_1$  is the set irreducible characters associated to self-conjugate partitions of  $n$  and let  $\text{Irr}(A_n) = Y_1 \cup Y_2$  be the corresponding partition of  $\text{Irr}(A_n)$  according to (i)–(iii) above, so that the maps  $Y_1 \rightarrow X_1$  and  $X_2 \rightarrow Y_2$  given by induction and restriction are double covers. Then  $|X_1|/|\text{Irr}(S_n)|$  and  $|Y_1|/|\text{Irr}(A_n)| \rightarrow 0$  as  $n \rightarrow \infty$ .

For  $X \subseteq \text{Irr}(G)$  and  $S \subseteq G$  write  $P(X, S)$  for the proportion of pairs  $(\chi, g)$  in  $X \times S$  that satisfy  $\chi(g) = 0$ . Theorem 1 says  $P(\text{Irr}(S_n), S_n) \rightarrow 1$  (as  $n \rightarrow \infty$ ), so by the previous paragraph  $P(X_2, S_n) \rightarrow 1$ , and since  $A_n$  covers half of  $S_n$  it follows that  $P(X_2, A_n) \rightarrow 1$ . Since  $P(X_2, A_n) = P(Y_2, A_n)$  by (i) and (iii) we thus have that  $P(Y_2, A_n) \rightarrow 1$ . Hence  $P(\text{Irr}(A_n), A_n) \rightarrow 1$  by the previous paragraph.  $\square$

## 2. REMARKS

**2.1.** Empirical evidence suggests that many other groups have a high proportion of character values equal to zero as well, and one might conjecture that the following question has a positive answer, perhaps even for a wider class of groups. For a finite group  $G$  write  $P(G)$  for the probability that  $\chi(g) = 0$  when  $\chi$  is chosen at random from the irreducible characters and  $g$  is chosen at random from the group.

**Question 1.** *Let  $P_\epsilon$  be the proportion of finite simple groups  $G$  of size less than  $n$  which satisfy  $P(G) > 1 - \epsilon$ . Then is it true that for every  $\epsilon > 0$  one has that  $P_\epsilon \rightarrow 1$  as  $n \rightarrow \infty$ ?*

It would be interesting to show that  $P(G) > \epsilon$  with probability  $\rightarrow 1$  as  $n \rightarrow \infty$  even for small  $\epsilon$ . The following estimate for  $P(G)$  is a direct consequence of Gallagher’s estimate [2, p. 127] for the number of zeros in a given column of a character table. We give a proof of Proposition 3 for the reader’s convenience, then we use the proposition to prove Theorem 1 without appealing to Murnaghan–Nakayama.

**Proposition 3.** *Let  $\Omega$  be a set of classes of a finite group  $G$ . Then*

$$P(G) \geq Q(G, \Omega) - R(G, \Omega), \tag{2}$$

where  $Q(G, \Omega)$  is the proportion of  $G$  covered by  $\Omega$ , and  $R(G, \Omega)$  is the proportion of classes which belong to  $\Omega$ . Moreover, the right-hand side of (2) is largest when  $\Omega$  is the set of larger than average classes.

*Proof.* The character values  $\chi(g)$  for  $G$  are sums of roots of unity lying in a cyclotomic extension  $E/\mathbb{Q}$  whose Galois group  $\mathcal{G}$  is abelian and commutes with complex conjugation, so if the algebraic integer  $|\chi(g)|^2$  is positive then it is *totally positive* in the sense that  $\sigma(|\chi(g)|^2)$  is positive for every embedding  $\sigma : E \hookrightarrow \mathbb{C}$ . Let  $\text{Av} : E \rightarrow \mathbb{C}$  denote the average of the embeddings  $\sigma \in \mathcal{G}$ . If  $\chi(g)$  is not zero then the product  $\prod \sigma(|\chi(g)|^2)$  over all  $\sigma \in \mathcal{G}$  is at least one because it is a nonzero rational algebraic integer. Hence by the theorem of arithmetic and geometric means  $\text{Av}(|\chi(g)|^2) \geq 1$  for  $\chi(g) \neq 0$ . (See for example [2, p. 127], [4, p. 40], [6, p. 37].)

For  $g \in G$ , the usual column orthogonality relation [4, p. 21] tells us that  $\sum |\chi(g)|^2 = |C_G(g)|$  where the sum is over all  $\chi \in \text{Irr}(G)$  and  $C_G(g)$  is the centralizer of  $g$ . Hence

$$\sum_{\chi} \text{Av}(|\chi(g)|^2) = |C_G(g)|.$$

The number of terms on the left side is the total number of conjugacy classes  $|\text{Cl}(G)|$ , and  $\text{Av}(|\chi(g)|^2)$  is at least one if  $\chi(g)$  is not zero, so at least  $|\text{Cl}(G)| - |C_G(g)|$  irreducible characters vanish at  $g$ , and thus at every conjugate of  $g$ . This is Gallagher's result [2, p. 127], and it implies that

$$P(G) \geq \frac{1}{|\text{Cl}(G)||G|} \sum_{K \in \Omega} (|\text{Cl}(G)| - |C_G(g)|) |K|$$

where  $g \in K$ . Rewriting  $|C_G(g)|$  as  $|G|/|K|$  gives

$$P(G) \geq \sum_{K \in \Omega} |K|/|G| - |\Omega|/|\text{Cl}(G)|. \quad \square$$

**Remark 1.** Averaging in the proof of Proposition 3 is superfluous when the character values for  $G$  are rational integers, which happens if and only if each  $g \in G$  is conjugate to  $g^m$  for all  $m$  relatively prime to  $|G|$  (see [4, p. 31]), as in the case when  $G$  is  $S_n$ . We now use Proposition 3 with  $G = S_n$  to prove Theorem 1 directly from the above results of Erdős–Lehner and Goncharov:

*Second proof of Theorem 1.* Let  $\Omega_n$  be the set of  $S_n$ -classes  $K_\lambda$  such that the largest part of  $\lambda$  is greater than  $2c\sqrt{n} \log n$ , so that Erdős–Lehner with  $f(n) = \log n$  in (1) tells us that  $R(S_n, \Omega_n) \rightarrow 0$  as  $n \rightarrow \infty$ .

To see that  $Q(S_n, \Omega_n) \rightarrow 1$  as  $n \rightarrow \infty$  recall from the first proof of Theorem 1 that Goncharov's result implies that all but at most  $o(n!)$  elements of  $S_n$  have a cycle of size at least  $n/(2 \log n)$ . Hence for  $n$  sufficiently large, all but at most  $o(n!)$  elements of  $S_n$  have a cycle greater than  $2c\sqrt{n} \log n$  as  $n$  tends to infinity.  $\square$

**Remark 2.** Proposition 3 used  $\text{Av}(|\chi(g)|^2) \geq 1$  for nonzero  $\chi(g)$ . A result of [7] Siegel tells us that in fact  $\text{Av}(|\chi(g)|^2) \geq 3/2$  if  $|\chi(g)| \neq 0, 1$ ; see [6, p. 37] and cf. [4, p. 46]. The stronger inequality gives a slightly better estimate for  $P(G)$ .

**2.2.** We also ask about choosing  $\chi(g)$  at random from the character table of  $S_n$ .

**Question 2.** *Let  $\chi$  be chosen at random from the irreducible characters of  $S_n$  and let  $K$  be chosen at random from the conjugacy classes of  $S_n$ . What can be said about the probability that  $\chi(g_K) = 0$  as  $n \rightarrow \infty$ ? (Here  $g_K \in K$  is arbitrary.)*

One might conjecture that the probability converges to  $1/e$ , or perhaps even  $1/3$ . It would also be interesting then to investigate similar asymptotic questions about the nonzero entries. For example, we ask the following.

**Question 3.** *Does the ratio of positive to negative entries of the character table of  $S_n$  tend to one as  $n$  tends to infinity?*

**Acknowledgements.** I thank the referee for helpful comments and for suggesting that I include the Murnaghan–Nakayama rule.

## REFERENCES

1. P. Erdős and J. Lehner, The distribution of the number of summands in the partitions of a positive integer. *Duke Math. J.* **8** (1941) 335–345.
2. P. X. Gallagher, Group characters and commutators. *Math. Z.* **79** (1962) 122–126.
3. V. L. Goncharov, Sur la distribution des cycles dans les permutations. *C. R. (Doklady) Acad. Sci. URSS (N.S.)* **35** (1942) 267–269.
4. I. M. Isaacs, *Character Theory of Finite Groups*. Dover, New York, 1994.
5. G. James and A. Kerber, *The Representation Theory of the Symmetric Group*. Encyclopedia of Mathematics and its Applications, Vol. 16, Addison–Wesley, Reading, MA, 1981.
6. J.-P. Serre, *Lectures on  $N_X(p)$* . A. K. Peters/CRC Press, 2012.
7. C. L. Siegel, The trace of totally positive and real algebraic integers. *Ann. of Math.* **46** (1945) 302–312.