

A DUAL FORM OF ERDÖS-RADO'S CANONIZATION THEOREM

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This reports about a joint work with S.G. Simpson (Pennsylvania State University) and B. Voigt (Universität Bielefeld)

In [1], Carlson and Simpson proved a theorem, which is, in a certain sense, a dual form of Ramsey's theorem. Moreover, their result can be viewed as an infinite generalization of the Graham-Rothschild partition theorem for n -parameter sets [3]. A canonizing version of the Graham-Rothschild theorem has been given in [5], extending the original partition theorem for n -parameter sets much in the same way as the Erdős-Rado canonization theorem [2] extends Ramsey's theorem.

The purpose of our work is to establish a canonizing version of the Carlson-Simpson result. This can be regarded as a dual form of the Erdős-Rado canonization theorem.

As corollaries, we obtain results which also are of interest in their own sake, e.g.,

Theorem A Let $\mathcal{P}(\omega)$ be the powerset lattice of ω , topologized as 2^ω (Cantor-space). Let π be a Baire-partition on $\mathcal{P}(\omega)$. Then there exists a $\mathcal{P}(\omega)$ -sublattice $L \subseteq \mathcal{P}(\omega)$ such that either $X \approx Y \pmod{\pi}$ for all $X, Y \in L$ or no two different elements from L are equivalent modulo π .

Corollary Let $\mathcal{P}(\omega)$ be topologized as before and let $\Delta : \mathcal{P}(\omega) \rightarrow \omega$ be a Baire-measurable mapping. Then there exists a $\mathcal{P}(\omega)$ -sublattice $L \subseteq \mathcal{P}(\omega)$ such that $\Delta \upharpoonright L$ is a constant mapping.

Theorem B Let π be a Baire-partition on \mathbb{R} , the set of real numbers. Then there exists a sequence $(a_i)_{i \in \omega}$ of positive real numbers with $\sum_{i \in \omega} a_i \leq 1$ such that one of the following three possibilities holds for all nonempty subsets $I, J \subseteq \omega$:

- (1) $\sum_{i \in I} a_i \approx \sum_{j \in J} a_j \pmod{\pi}$
- (2) $\sum_{i \in I} a_i \approx \sum_{j \in J} a_j \pmod{\pi}$ iff $\min I = \min J$
- (3) $\sum_{i \in I} a_i \approx \sum_{j \in J} a_j \pmod{\pi}$ iff $I = J$.

Recall that Hindman's theorem on finite sums [4] asserts that for every partition of ω into finitely many sets, $\omega = \bigcup_{j < r} C_j$, there exist positive integers $(a_i)_{i \in \omega}$ such that all finite sums (without repetition) of the a_i 's belong to the same C_j .

A canonizing version of Hindman's theorem has been established by Taylor [6].

He showed that for every mapping $\Delta : \omega \rightarrow \omega$ there exists positive integers $(a_i)_{i \in \omega}$ such that one of the following five cases holds for all finite and non-empty subsets $I, J \subseteq \omega$:

- (1) $\Delta(\sum_{i \in I} a_i) = \Delta(\sum_{j \in J} a_j)$
- (2) $\Delta(\sum_{i \in I} a_i) = \Delta(\sum_{j \in J} a_j)$ iff $\min I = \min J$
- (3) $\Delta(\sum_{i \in I} a_i) = \Delta(\sum_{j \in J} a_j)$ iff $I = J$
- (4) $\Delta(\sum_{i \in I} a_i) = \Delta(\sum_{j \in J} a_j)$ iff $\max I = \max J$
- (5) $\Delta(\sum_{i \in I} a_i) = \Delta(\sum_{j \in J} a_j)$ iff $\min I = \min J$ and $\max I = \max J$.

As one easily observes, under the circumstances of Taylor's result, none of the five patterns can be omitted. Theorem B shows that, with respect to Baire-measurable mappings, a stronger result requires only three different patterns. And in fact, (2) cannot be omitted. Consider, e.g., the mapping $\Delta :]0,1[\rightarrow]0,1[$ with $\Delta(a) = i$ iff i is minimal satisfying $2^i \cdot a \geq 1$.

The requirement that Δ be Baire-measurable is necessary. Using the axiom of choice, theorem B fails if arbitrary mappings are allowed.

Details and proofs will appear elsewhere.

References

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