

# Inversion of Incidence Mappings

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## Abstract

Denote by  $H(t, q)$ ,  $t \leq q$ , the incidence matrix (with respect to inclusion) of the  $t$ -sets versus the  $q$ -sets of the  $n$ -set  $\{1, 2, \dots, n\}$ . This matrix is considered as a linear map of  $\mathbb{Q}$ -vector spaces

$$C_q(n) \longrightarrow C_t(n),$$

where  $C_s(n)$  is the  $\mathbb{Q}$ -vector space having the  $s$ -sets as a basis ( $s \leq n$ ).

As a basic tool, we introduce a connection of the vector spaces to a graded  $\mathbb{Q}$ -algebra (which is at the same time an Artinian local ring). We define mappings  $\Delta$  and  $\mathbb{X}$  of this algebra of degree  $-1$  and  $1$ , respectively. These two mappings correspond up to a scalar factor to the linear mappings  $H(s-1, s)$  and  $H(s-1, s)^T$ , respectively.

Then, a relation between the algebra maps  $\Delta$  and  $\mathbb{X}$  is established. This relation allows to rewrite a term  $\Delta^\beta \mathbb{X}^\alpha$  with  $\alpha, \beta$  non-negative integers (subject to some restrictions) as a sum  $\sum_{k=0}^{\beta} \binom{\beta}{k} \cdot \mathbb{X}^{\alpha-k} \cdot \Delta^{\beta-k}$  (up to some scalar factors).

As a main result of this relation surjectivity of the map  $\Delta^{q-t}$  (related to  $H(t, q)$  up to a scalar factor) is proved under the assumption  $\binom{n}{t} \leq \binom{n}{q}$ . Moreover, a right inverse for the matrix  $H(t, q)$  is given explicitly.

This result is exploited to give an inverse of the (square) incidence matrix  $H(t, q)$  in the case  $t = n - q$ .

These results extend some work done by J.B. Graver and W.B. Jurkat.

1. For  $n \in \mathbb{N}$  we put

$$\underline{n} = \{1, 2, \dots, n\}.$$

For  $0 \leq t \leq q \leq n$  we denote by  $H(t, q)$  the incidence matrix (with respect to inclusion) of the  $t$ -sets versus the  $q$ -sets of the  $n$ -set  $\underline{n}$  and by  $C_q(n)$  the  $\mathbb{Q}$ -vector space having the basis  $\{[M]\}_{M \subseteq \underline{n}, |M|=q}$ . Then  $H(t, q)$  defines a linear mapping (“incidence mapping”)

$$C_q(n) \longrightarrow C_t(n), [M] \longrightarrow \sum_{\substack{|N|=t \\ N \subseteq M}} [N],$$

which is denoted by the same symbol  $H(t, q)$ . –

The transpose  $H(t, q)^T$  of  $H(t, q)$  defines a linear mapping

$$C_t(n) \longrightarrow C_q(n), [N] \longrightarrow \sum_{\substack{|M|=q \\ N \subseteq M}} [M],$$

which is denoted by the same symbol  $H(t, q)^T$ . Finally we define the “augmentation mapping”

$$H(-1, 0) : C_0(n) \longrightarrow 0.$$

Assume that  $\dim_{\mathbb{Q}} C_t(n) = \binom{n}{t} \leq \binom{n}{q} = \dim_{\mathbb{Q}} C_q(n)$ . Then it is known that the mapping  $H(t, q)$  is surjective ([3], 2.3, 2.4), therefore in case of equality of the two dimensions under consideration an isomorphism. In this case moreover we exhibit a method to compute explicitly  $H(t, q)^{-1}$  by defining a structure of a graded commutative algebra on the graded  $\mathbb{Q}$ -vector space  $C_*(n) := \bigoplus_{q=0}^n C_q(n)$  such that at the same time  $C_*(n)$  becomes an Artinian local ring.

We provide an example for the computation of  $H(n - q, q)^{-1}$ :

- Assume  $n$  odd and  $q = \lfloor \frac{n}{2} \rfloor + 1$ . Then one has

$$H(q - 1, q)^{-1} = \sum_{j=1}^q \frac{(-1)^{j+1}}{j} \cdot H(q - j, q)^T \circ H(q - j, q - 1).$$

There is the following generalization:

- Assume  $q \leq \frac{n}{2}$  if  $n$  is even or  $q \leq \lfloor \frac{n}{2} \rfloor + 1$  if  $n$  is odd. Then the mapping

$$K(q, q-1) : C_{q-1}(n) \longrightarrow C_q(n)$$

defined by

$$K(q, q-1) = \sum_{j=1}^q \frac{(-1)^{j+1}}{j} \binom{n-2q+j+1}{j}^{-1} \cdot H(q-j, q)^T \circ H(q-j, q-1)$$

is a right inverse of  $H(q-1, q)$ .

2. One defines the structure of a commutative  $\mathbb{Q}$ -algebra on  $C_*(n) = \bigoplus_{q=0}^n C_q(n)$  by setting for subsets  $M, N$  of  $\underline{n}$

$$[M] \cdot [N] = \begin{cases} [M \cup N], & \text{if } M \cap N = \emptyset, \\ 0, & \text{otherwise.} \end{cases}$$

This  $\mathbb{Q}$ -algebra which we denote by  $\mathfrak{C}_*(n)$  is isomorphic to

$$\mathcal{A}(n) := \mathbb{Q}[T_1, \dots, T_n] / (T_1^2, T_2^2, \dots, T_n^2) = \mathbb{Q}[X_1, \dots, X_n],$$

where  $T_1, \dots, T_n$  are algebraically independent elements and  $X_j = T_j \bmod (T_1^2, \dots, T_n^2)$ . The isomorphism  $\mathfrak{C}_*(n) \longrightarrow \mathcal{A}(n)$  is induced by

$$\begin{aligned} [M] = [\{j_1, \dots, j_q\}] &\longrightarrow X_{j_1} X_{j_2} \cdots X_{j_q}, \text{ if } |M| = q \geq 1, \\ [\emptyset] &\longrightarrow 1 \in \mathbb{Q}. \end{aligned}$$

In the sequel we identify  $\mathfrak{C}_*(n)$  and  $\mathcal{A}(n)$ .

Let  $\mathfrak{C}_*(n)_p$  denote the  $\mathbb{Q}$ -module of the elements of degree  $p$  of the graded algebra  $\mathfrak{C}_*(n)$ . Then one has

$$\mathfrak{C}_*(n)_p = \begin{cases} C_p(n), & 0 \leq p \leq n, \\ 0, & p > n. \end{cases}$$

To the mappings  $H(q-1, q)$ ,  $0 \leq q \leq n$ , corresponds the map  $\Delta : \mathfrak{C}_*(n) \longrightarrow \mathfrak{C}_*(n)$  of degree  $-1$  defined by

$$\begin{aligned} \Delta \Big|_{\mathbb{Q}} &= 0, \quad \Delta X_j = 1, \quad j \in \underline{n}, \\ \Delta(X_{j_1} \cdot X_{j_2} \cdots X_{j_q}) &= \sum_{k=1}^q X_{j_1} \cdots \widehat{X}_{j_k} \cdots X_{j_q}, \quad 2 \leq q \leq n, \end{aligned}$$

( $1 \leq j_1 < j_2 < \dots < j_q \leq n$ ,  $\hat{\phantom{x}}$  denotes the deleting operator).

Finally we define  $\mathbb{X} = \sum_{j=1}^q X_j$ .

**Proposition 1.** *Assume  $0 \leq t \leq q \leq n$ . Then with the agreement  $\Delta^\circ = id$ ,  $\mathbb{X}^\circ = 1$  the following identities hold*

$$\begin{aligned} (q-t)! \cdot H(t, q) &= \Delta^{q-t} \Big|_{C_q(n)}, \\ (q-t)! H(t, q)^T(w) &= \mathbb{X}^{q-t} \cdot w, \quad w \in C_t(n). \end{aligned}$$

*Proof.* To prove the first statement we show by induction with respect to  $m$ ,  $0 \leq m \leq q$ , that the identity

$$(1) \dots \quad \Delta^m \Big|_{C_q(n)} = m! H(q-m, q)$$

holds. Of course, this is true for  $m = 0, 1$ . Assume this identity has been proved already in case  $m-1 \geq 1$ .

The following relation is well known in case  $0 \leq s \leq t \leq q$

$$(1) \dots \quad H(s, t) \circ H(t, q) = \binom{q-s}{t-s} H(s, q)$$

(see for example [2], Chapt 15, LEMMA 8.1).

Therefore one has

$$\begin{aligned} \Delta^m \Big|_{C_q(n)} &= \Delta \Big|_{C_{q-m+1}(n)} \circ \Delta^{m-1} \Big|_{C_q(n)} \\ &= H(q-m, q-m+1) \cdot (m-1)! H(q-m+1, q) \\ &= m \cdot (m-1)! \cdot H(q-m, q) = m! H(q-m, q). \quad - \end{aligned}$$

To prove the second statement we show by induction with respect to  $m \geq 0$ ,  $t+m \leq n$ , that for  $w \in C_t(n)$  the following relation holds

$$\mathbb{X}^m \cdot w = m! H(t, t+m)^T(w).$$

It is sufficient for our purpose to take  $w$  as an element  $X_{j_1} \dots X_{j_t}$  of the ‘‘canonical’’ basis of  $C_t(n)$ . The claim is evident in case  $m = 0$ ; moreover one has

$$\mathbb{X}w = \sum_{k \neq j_1, j_2, \dots, j_t}^k X_{j_1} X_{j_2} \dots X_{j_t} \cdot X_k = H(t, t+1)^T(w).$$

Assume that the statement has already been proved in case  $m-1 \geq 0$ . By transposing one gets from Eq (1)

$$H(t, t+m)^T = m \cdot H(t+1, t+m)^T \circ H(t, t+1)^T,$$

Therefore according to the induction hypothesis (applied to  $\mathbb{X}w \in C_{t+1}(n)$ )

$$\begin{aligned} \mathbb{X}^m w &= \mathbb{X}^{m-1} \cdot \mathbb{X}w = (m-1)! H(t+1, t+m)^T \circ H(t, t+1)^T(w) \\ &= m! H(t, t+m)^T(w). \end{aligned}$$

□

Suppose  $w \in \mathfrak{C}_*(n)$ . Then we define the “foundation” of  $w$  (in signs  $\text{Fund}(w)$ ) to be the product of all  $X_j$  which appear in the basis decomposition of  $w$  with a coefficient unequal to zero.

For example one has with pairwise distinct  $X_{j_1}, X_{j_2}, \dots, X_{j_{2t}}$

$$\text{Fund} \left( (X_{j_1} - X_{j_2})(X_{j_3} - X_{j_4}) \cdots (X_{j_{2t-1}} - X_{j_{2t}}) \right) = \prod_{k=1}^{2t} X_{j_k}.$$

In a self-explaining manner we can treat the foundation also as a subset of  $\underline{n}$ .

**Proposition 2.** *i) Assume  $v, w \in \mathfrak{C}_*(n)$  and  $\text{Fund}(v) \cap \text{Fund}(w) = \emptyset$ .*

*Then we have that*

$$\Delta(vw) = v\Delta(w) + w\Delta(v).$$

*ii) Denote  $\mathbb{Z} = \sum_{k=1}^p X_{j_k}$ , the  $X_{j_k}$  pairwise distinct. Assume  $1 \leq m \leq p$  (and put  $\mathbb{Z}^0 = 1$ ). Then we have that*

$$\Delta(\mathbb{Z}^m) = m(p-m+1)\mathbb{Z}^{m-1}.$$

*Proof.* Ad i) Assume in the first instance  $v = X_{i_1} \cdots X_{i_s}$ ,  $w = X_{j_1} \cdots X_{j_t}$  are elements from a basis of  $\mathfrak{C}_*(n)$ . According to hypothesis one has

$$|\{i_1, \dots, i_s, j_1, \dots, j_t\}| = s+t.$$

Therefore

$$\begin{aligned}\Delta(vw) &= \sum_{k=1}^s X_{i_1} \cdots \widehat{X}_{i_k} \cdots X_{i_s} \cdot X_{j_1} \cdots X_{j_t} \\ &\quad + \sum_{l=1}^t X_{i_1} \cdots X_{i_s} \cdot X_{j_1} \cdots \widehat{X}_{j_l} \cdots X_{j_t} \\ &= w\Delta(v) + v\Delta(w).\end{aligned}$$

The general case follows now from the law of distributivity.

Ad ii) Without loss of generality assume  $\mathbb{Z} = \sum_{j=1}^p X_j$ . Then we have

$$(2) \dots \quad \mathbb{Z}^k = \begin{cases} k! \sum_{1 \leq j_1 < \dots < j_k \leq p} X_{j_1} \cdots X_{j_k}, & 1 \leq k \leq p, \\ 0, & k > p. \end{cases}$$

In this sum a term  $X_{i_1} \cdots X_{i_{m-1}}$ , ( $1 \leq i_1 < \dots < i_{m-1} \leq p$ ) occurs exactly in the terms  $\Delta(X_{i_1} \cdots X_{i_{m-1}} \cdot X_t)$ ,  $t \in \underline{p} \setminus \{i_1, \dots, i_{m-1}\}$  with factor 1; therefore it occurs in  $\Delta(\mathbb{Z}^m)$  with factor  $m!(p-m+1) = m(p-m+1)(m-1)!$ . The conclusion follows now from equation (2).  $\square$

We remark that  $\Delta$  is no derivation of  $\mathfrak{C}_*(n)$ .

Let  $\mathfrak{m} := (X_1, \dots, X_n)$  denote the maximal ideal of  $\mathfrak{C}_*(n)$ . Then it holds that  $\mathfrak{m}^{n+1} = 0$ . If  $\mathfrak{p}$  is a prime ideal of  $\mathfrak{C}_*(n)$ , then from  $\mathfrak{m}^{n+1} \subseteq \mathfrak{p}$  one concludes  $\mathfrak{m} = \mathfrak{p}$ . Therefore  $\mathfrak{C}_*(n)$  is an Artinian local ring. Let  $\widetilde{\Delta}$  denote a derivation of  $\mathfrak{C}_*(n)$  (into itself). Then it must hold for all  $j \in \underline{n}$  that

$$0 = \widetilde{\Delta}(X_j^2) = 2X_j \widetilde{\Delta}(X_j),$$

therefore  $\widetilde{\Delta}(X_j) \in \mathfrak{m}$ . – So  $\widetilde{\Delta}$  maps  $\mathfrak{m}$  (and  $\mathfrak{C}_*(n)$ , too) into  $\mathfrak{m}$  (compare with [4], §1, Exercise 4).

Now we extend Prop.2, ii):

**Proposition 3.** *Assume  $0 \leq s \leq n-1$ ,  $\alpha \in \mathbb{N}$ ,  $\alpha + s \leq n$  and  $w \in C_s(n)$ . Then the following identity holds:*

$$\Delta(\mathbb{X}^\alpha \cdot w) = \mathbb{X}^\alpha \cdot \Delta(w) + \alpha(n - \alpha - 2s + 1)\mathbb{X}^{\alpha-1} \cdot w.$$

*Proof.* In case  $s = 0$  the statement is true according to Prop. 2, ii). Assume now  $s \geq 1$  and take

$$w = X_{j_1} \cdot \dots \cdot X_{j_s}$$

as a basis element of  $C_s(n)$ . One defines

$$\mathbb{Y} = \sum_{k=1}^s X_{j_k}, \quad \mathbb{Z} = \mathbb{X} - \mathbb{Y}.$$

Since  $w$  is a word in *all*  $X_{j_1}, \dots, X_{j_s}$  one has  $\mathbb{Y} \cdot w = 0$ . Assume  $t \geq 0$ . Then the following holds

$$\mathbb{X}^t w = (\mathbb{Y} + \mathbb{Z})^t \cdot w = \sum_{r=0}^t \binom{t}{r} \mathbb{Z}^r \mathbb{Y}^{t-r} \cdot w = \mathbb{Z}^t w,$$

furthermore according to the definition of  $\mathbb{Y}$  and  $\mathbb{Z}$   $\text{Fund}(w) \cap \text{Fund}(\mathbb{Z}^t) = \emptyset$ ; from Prop. 2 one concludes

$$(3) \dots \quad \left\{ \begin{array}{l} \Delta(\mathbb{X}^\alpha \cdot w) = \Delta(\mathbb{Z}^\alpha \cdot w) = \mathbb{Z}^\alpha \cdot \Delta(w) + w \cdot \Delta(\mathbb{Z}^\alpha) \\ \quad \quad \quad = \mathbb{Z}^\alpha \cdot \Delta(w) + \alpha(n - s - \alpha + 1) \mathbb{Z}^{\alpha-1} w \\ \quad \quad \quad = \mathbb{Z}^\alpha \cdot \Delta(w) + \alpha(n - s - \alpha + 1) \mathbb{X}^{\alpha-1} w. \end{array} \right.$$

Now we use

$$\mathbb{Y}^t \cdot \Delta(w) = \begin{cases} 0, & t > 1, \\ s \cdot w, & t = 1. \end{cases}$$

Therefore

$$\begin{aligned} \mathbb{X}^\alpha \Delta(w) &= \left( \sum_{r=0}^{\alpha} \binom{\alpha}{r} \mathbb{Y}^r \mathbb{Z}^{\alpha-r} \right) \cdot \Delta(w) = (\alpha \mathbb{Y} \cdot \mathbb{Z}^{\alpha-1} + \mathbb{Z}^\alpha) \cdot \Delta(w) \\ &= \alpha s \mathbb{Z}^{\alpha-1} w + \mathbb{Z}^\alpha \cdot \Delta(w) = \alpha \cdot s \cdot \mathbb{X}^{\alpha-1} w + \mathbb{Z}^\alpha \cdot \Delta(w). \end{aligned}$$

Replacing the term  $\mathbb{Z}^\alpha \Delta(w)$  in Eq. (3) yields

$$\Delta(\mathbb{X}^\alpha w) = \mathbb{X}^\alpha \cdot \Delta(w) + \alpha(n - 2s - \alpha + 1) \mathbb{X}^{\alpha-1} \cdot w,$$

as claimed. –

□

Prop. 3 is a special case of

**Proposition 4.** *The same assumptions about  $s, \alpha$  are in force as in Prop. 3. Assume  $r \in \mathbb{R}$  and  $k \in \mathbb{N}$  and define*

$$[r]_k = r(r-1) \cdot (r-2) \cdot \dots \cdot (r-k+1), \quad [r]_0 = 1.$$

*Let  $\beta$  be a non-negative integer and assume  $0 \leq \beta \leq \alpha$ . Then the following identity holds*

$$\Delta^\beta(\mathbb{X}^\alpha \cdot w) = \sum_{k=0}^{\beta} \binom{\beta}{k} [\alpha]_k [n - \alpha - 2s + \beta]_k \cdot \mathbb{X}^{\alpha-k} \cdot \Delta^{\beta-k}(w).$$

*Proof.* There is nothing to prove in case  $\beta = 0$ ; in case  $\beta = 1$  the claim boils down to Prop. 3. If  $0 \leq j \leq \beta$  we put

$$[\beta, j] = \mathbb{X}^{\alpha-j} \cdot \Delta^{\beta-j}(w)$$

and prove first of all by induction with respect to  $\beta \geq 1$ , that the identity

$$(4) \dots \quad \Delta^\beta(\mathbb{X}^\alpha w) = \sum_{j=0}^{\beta} c(\beta, j) \cdot [\beta, j], \quad c(\beta, j) \in \mathbb{Q},$$

holds; furthermore this will yield recursion formulas for the coefficients  $c(\beta, j)$ . In case  $\beta = 1$  one has according to Prop. 3

$$c(1, 0) = 1, \quad c(1, 1) = \alpha(n - \alpha - 2s + 1) =: \lambda(\alpha, s).$$

Since  $\Delta^{k-j}(w) \in C_{s-k+j}(n)$ , Prop. 3 now yields

$$\begin{aligned} \Delta([k, j]) &= \Delta(\mathbb{X}^{\alpha-j} \cdot \Delta^{k-j}(w)) = \\ &= \mathbb{X}^{\alpha-j} \cdot \Delta^{k-j+1}(w) + (\alpha - j) \cdot (n - (\alpha - j) - 2(s - k + j) + 1) \cdot \mathbb{X}^{\alpha-j-1} \cdot \Delta^{k-j}(w) = \\ &= \mathbb{X}^{\alpha-j} \cdot \Delta^{k-j+1}(w) + \lambda(\alpha - j, s - k + j) \cdot \mathbb{X}^{\alpha-j-1} \cdot \Delta^{k-j}(w), \end{aligned}$$

that is

$$\Delta([k, j]) = [k+1, j] + \lambda(\alpha - j, s - k + j)[k+1, j+1].$$

According to the induction hypothesis we obtain

$$\begin{aligned} \Delta^{\beta+1}(\mathbb{X}^\alpha w) &= \Delta(\Delta^\beta(\mathbb{X}^\alpha \cdot w)) = \\ c(\beta, 0)[\beta+1, 0] &+ \sum_{j=1}^{\beta} \{c(\beta, j) + c(\beta, j-1) \cdot \lambda(\alpha - j + 1, s - \beta + j - 1)\} \cdot [\beta+1, j] \\ &+ \lambda(\alpha - \beta, s) \cdot c(\beta, \beta)[\beta+1, \beta+1], \end{aligned}$$



which proves Eq. (4); at the same time we have proved the following recursion formulas

$$(5.1) \quad \dots \quad c(\beta + 1, 0) = c(\beta, 0), \quad c(\beta + 1, \beta + 1) = \lambda(\alpha - \beta, s) c(\beta, \beta),$$

$$(5.2) \quad \dots \quad c(\beta + 1, j) = c(\beta, j) + \lambda(\alpha - j + 1, s - \beta + j - 1) \cdot c(\beta, j - 1), \quad (1 \leq j \leq \beta).$$

Eq. (5.1) yields immediately

$$(6) \quad \dots \quad \begin{cases} c(\beta, 0) = 1 \\ c(\beta, \beta) = [\alpha]_{\beta} [n - \alpha - 2s + \beta]_{\beta} \end{cases} \quad (0 \leq \beta \leq \alpha).$$

Now we claim that the following holds in case  $\beta \geq j$

$$(7) \quad \dots \quad c(\beta, j) = \binom{\beta}{j} [\alpha]_j [n - \alpha - 2s + \beta]_j$$

which we prove by induction with respect to the pairs  $(\beta, j)$ ,  $\beta \geq j$ . The claim is true for pairs  $(\beta, 0)$  according to Eq. (6). Assume that it has already been proved that in case  $j \geq 1$  the claim is true for all pairs  $(\gamma, j - 1)$ ,  $\gamma \geq j - 1$ . We rewrite a term in the recursion formula (5.2)

$$\lambda(\alpha - j + 1, s - \beta + j - 1) = (\alpha - j + 1)(n - \alpha - 2s + 2\beta - j + 2).$$

Now we proceed by induction with respect to  $\beta$ ,  $\beta \geq j$ . In case  $\beta = j$  the statement is true according to Eq. (6). Assume that the statement has already been proved for some  $\beta \geq j$ . Then we have according to Eq. (5.2)

$$\begin{aligned} c(\beta + 1, j) &= \binom{\beta}{j} [\alpha]_j [n - \alpha - 2s + j]_j + \\ &\quad + (n - \alpha - 2s + 2\beta - j + 2) \cdot \binom{\beta}{j-1} [\alpha]_j [n - \alpha - 2s + \beta]_{j-1}. \end{aligned}$$

Using the identities  $\binom{\beta}{j} = \binom{\beta}{j-1} \cdot \frac{\beta - j + 1}{j}$  and  $\binom{\beta}{j} + \binom{\beta}{j-1} = \binom{\beta+1}{j}$  we conclude

$$\begin{aligned} c(\beta + 1, j) &= [\alpha]_j [n - \alpha + 2s + \beta]_{j-1} \cdot \left\{ \binom{\beta+1}{j} (n - \alpha - 2s) + \binom{\beta}{j} (\beta - j + 1) + \right. \\ &\quad \left. + \binom{\beta}{j-1} (2\beta - j + 2) \right\} \\ &= [\alpha]_j [n - \alpha - 2s + \beta]_{j-1} \cdot \binom{\beta+1}{j} (n - \alpha - 2s + \beta + 1) \\ &= \binom{\beta+1}{j} [\alpha]_j [n - \alpha - 2s + (\beta + 1)]_j \end{aligned}$$

This proves Eq. (7). □

**3.** Assume now  $0 \leq t \leq q \leq n$  and  $\binom{n}{t} \leq \binom{n}{q}$ . We denote  $\nabla := \Delta^{q-t} : C_q(n) \longrightarrow C_t(n)$  and fix some  $w \in C_t(n)$ . Our aim is to construct explicitly a primage  $u \in C_q(n)$  of  $w$  with respect to  $\nabla$ . Therefore we make the following ansatz (we will see later that this method will work): If  $0 \leq j \leq t$  we denote

$$U_j := \mathbb{X}^{q-t+j} \cdot \Delta^j(w)$$

and put

$$u = \sum_{j=0}^t x_j U_j$$

where the  $x_j \in \mathbb{Q}$  have to be determined. To compute  $\nabla U_j$  apply Prop. 4 (replace here  $w$  by  $\Delta^j(w) \in C_{t-j}(n)$ ) and obtain with the convention

$$(8) \dots \quad c(k, j) := \binom{q-t}{k} [q-t+j]_k \cdot [n-2t+j]_k, \quad (0 \leq j \leq t, 0 \leq k \leq q-t)$$

$$\nabla U_j = \sum_{k=0}^{q-t} c(k, j) \cdot \mathbb{X}^{q-t+j-k} \cdot \Delta^{q-t+j-k}(w) \in C_t(n),$$

therefore

$$(9) \dots \quad \nabla u = \sum_{k=0}^{q-t} \sum_{j=0}^t x_j c(k, j) \cdot \mathbb{X}^{q-t+j-k} \cdot \Delta^{q-t+j-k}(w).$$

We order the right hand side of this equation with respect to the terms

$$V_m := \mathbb{X}^m \cdot \Delta^m(w), \quad 0 \leq m \leq t,$$

by defining

$$V_{k,j} = \mathbb{X}^{q-t+j-k} \cdot \Delta^{q-t+j-k}(w).$$

Then it holds that  $V_{k,j} = 0$  if  $q-t+j-k > t$  and

$$V_{k,j} = V_m$$

exactly if  $k = (q-t) - l$ ,  $j = m - l$ ,  $0 \leq l \leq \min\{m, q-t\}$ . Therefore Eq. (9) yields now

$$\nabla u = \sum_{m=0}^t d_m V_m$$

with

$$(10) \dots \quad d_m = \sum_{l=0}^{\min\{m, q-t\}} c((q-t) - l, m - l) \cdot x_{m-l}.$$

We observe that  $V_0 = w$ . – So  $u$  is in fact a preimage, if the system of linear equations in the unknowns  $x_0, \dots, x_t$

$$\begin{aligned} d_0 &= 1, \\ d_m &= 0, 1 \leq m \leq t, \end{aligned}$$

is solvable. This will be the case if all  $c(q-t, m)$  don't vanish. In fact we have in the trivial case  $q-t=0$

$$c(0, m) = 1, 0 \leq m \leq t,$$

(and the system has the solution  $x_0 = 1, x_1 = x_2 = \dots = x_t = 0$ ). In case  $q-t > 0$  it is sufficient (see Eq. (8)) to show that the

$$[n - 2t + m]_{q-t}$$

don't vanish. Now the smallest factor  $f_m$  in the above mentioned falling factorial is

$$f_m = n - 2t + m - (q-t) + 1 = n - (q+t) + m + 1.$$

Assume first  $\binom{n}{t} = \binom{n}{q}$ . Then it holds that  $t+q = n$ , therefore  $f_m = m+1$ . In the second case the condition  $\binom{n}{k} < \binom{n}{q}$  is equivalent to  $q+t+1 \leq n$ , therefore  $f_m \geq m+2$ .

So our ansatz has worked and we have proved

**Theorem 1.** *Assume  $0 \leq t \leq q \leq n$  and  $\binom{n}{t} \leq \binom{n}{q}$ . Then the mapping*

$$\nabla := \Delta^{q-t} : C_q(n) \longrightarrow C_t(n)$$

*is surjektiv. There exist  $x_0, x_1, \dots, x_t \in \mathbb{Q}$  such that the mapping*

$$\nabla^{[-1]} := \sum_{j=0}^t x_j \cdot \mathbb{X}^{q-t+j} \cdot \Delta^j \Big|_{C_t(n)}$$

*is a right inverse with respect to  $\nabla$  (in case  $\binom{n}{t} = \binom{n}{q}$   $\nabla^{[-1]}$  is the inverse of  $\nabla$ ).*

If one denotes in case  $0 \leq k \leq q - t$ ,  $0 \leq j \leq t$ ,

$$c(k, j) := \binom{q-t}{k} \cdot [q-t+j]_k \cdot [n-2t+j]_k,$$

then one can choose the  $x_0, \dots, x_t$  as the (existing) solution of the system of linear equations

$$\begin{aligned} c(q-t, 0) \cdot x_0 &= 1, \\ \sum_{l=0}^{\min\{m, q-t\}} c((q-t)-l, m-l) \cdot x_{m-l} &= 0, \quad 1 \leq m \leq t. \end{aligned}$$

**Corollary 1.** *If one defines*

$$y_j = (q-t)!j!(q-t+j)! \cdot x_j, \quad 0 \leq j \leq t,$$

then the mapping  $K(q, t) : C_t(n) \longrightarrow C_q(n)$  defined by

$$K(q, t) := \sum_{j=0}^t y_j \cdot H(t-j, q)^T \circ H(t-j, t)$$

is a right inverse of  $H(t, q)$ .

*Proof* (of the corollary). According to Prop. 1 one has

$$\nabla = (q-t)! \cdot H(t, q)$$

and

$$\mathbb{X}^{q-t+j} \cdot \Delta^j \Big|_{C_t(n)} = (q-t+j)!j! \cdot H(t-j, q)^T \circ H(t-j, t). \quad \square$$

Now we exploit the the theorem and the corollary. The following result is properly spoken another corollary; however, we state it as

**Theorem 2.** *Assume  $0 \leq t < q \leq n$  and  $t + q = n$ . Then the following identity holds:*

$$H(t, q)^{-1} = \sum_{j=0}^t (-1)^j \frac{q-t}{q-t+j} H(t-j, q)^T \circ H(t-j, t).$$

*Proof.* We introduce a new parameter  $p = q - t$ . Then we obtain  $n - 2t = p$  and

$$c(k, j) = \binom{p}{k} \cdot ([p + j]_k)^2.$$

We switch now to new indeterminates  $\alpha_j$  in the system of linear equations of the Theorem by defining

$$x_j = (-1)^j \frac{\alpha_j}{((p + j)!)^2}, \quad \alpha_j \in \mathbb{Q}.$$

An elementary computation which we omit yields

$$\alpha_0 = 1$$

and the following recursion formula

$$\alpha_j = \sum_{l=1}^{\min\{p, j\}} (-1)^{l+1} \binom{p}{l} \alpha_{j-l}, \quad 1 \leq j \leq t.$$

We compute the  $\alpha_j$  by elementary difference calculus; let us define therefore functions

$$f_p : \mathbb{N}_0 \longrightarrow \mathbb{Q}$$

by the following conditions

$$(11) \dots \quad \begin{cases} f_p(0) = 1, \\ f_p(j) = \sum_{l=1}^{\min\{p, j\}} (-1)^{l+1} \binom{p}{l} f_p(j-l), \quad 1 \leq j. \end{cases}$$

Then we claim

$$(12) \dots \quad f_p(j) = \binom{j + p - 1}{p - 1}.$$

In order to prove Eq. (12) we need the following

**Lemma.** *Assume  $p \geq 1$  and  $0 \leq l \leq p$ . Then the following identity holds*

$$\binom{p}{l} - \binom{p}{l-1} + \binom{p}{l-2} \mp \dots + (-1)^l \binom{p}{0} = \binom{p-1}{l}.$$

*Proof* (of the lemma): Denote the left hand side of this identity by  $S_l(p)$ . Then we have

$$S_l(p) = \binom{p}{l} - S_{l-1}(p).$$

Now we proceed by induction with respect to  $l$ . □

Denote by  $\Delta f_p$  the first difference series of  $f_p$ , that is

$$(\Delta f_p)(j) = f_p(j+1) - f_p(j), \quad j \in \mathbb{N}_0.$$

Then we have by placing  $f_p(k) = \alpha_k$  and  $h = \min\{p, j\}$ , in case  $j \geq 1$

$$\begin{aligned} \alpha_{j+1} - \alpha_j &= \left[ \binom{p}{1} - \binom{p}{0} \right] \cdot (\alpha_j - \alpha_{j-1}) - \left[ \binom{p}{2} - \binom{p}{1} + \binom{p}{0} \right] (\alpha_{j-1} - \alpha_{j-2}) \pm \dots \\ &\quad + (-1)^{h+1} \left[ \binom{p}{h} - \binom{p}{h-1} + \binom{p}{h-2} \mp \dots + (-1)^h \binom{p}{0} \right] \cdot (\alpha_{j-h+1} - \alpha_{j-h}). \end{aligned}$$

According to the lemma this rewrites to

$$(\Delta f_p)(j) = \sum_{l=1}^{\min\{j,p\}} (-1)^{l+1} \binom{p-1}{l} (\Delta f_p)(j-l), \quad j \geq 1.$$

Furthermore we have

$$(\Delta f_p)(0) = p - 1 = f_{p-1}(1), \quad p \geq 2.$$

This yields in case  $p \geq 2$

$$(13) \dots \quad (\Delta f_p)(j) = f_{p-1}(j+1), \quad j \in \mathbb{N}_0,$$

since  $\Delta f_p$  satisfies the same recursion formula (see Eq. (12)) as  $f_{p-1}$  does.

Now Eq. (12) is certainly true if  $p = 1$ . Assume our claim is true if  $p - 1 \geq 1$ . Then according to Eq. (13) we have

$$(\Delta f_p)(j) = \binom{j+p-1}{p-2}, \quad j \in \mathbb{N}_0.$$

Therefore the function  $F : \mathbb{N}_0 \rightarrow \mathbb{Q}$  defined by

$$F(j) = \binom{j+p-1}{p-1}$$

is a discrete indefinite integral of  $\Delta f_p$ ; that is  $\Delta F = \Delta f_p$ . It follows that

$$F = f_p + \text{const},$$

but  $F(0) = f_p(0)$ , so we have  $F = f_p$ . Therefore the claim Eq. (12) is proved.

Now we use the notations introduced in the corollary of Theorem 1 and obtain

$$y_j = (-1)^j \frac{p! j! (p+j)!}{((p+j)!)^2} \binom{j+p-1}{p-1} = (-1)^j \frac{p}{p+j} = (-1)^j \frac{q-t}{q-t+j}.$$

The statement of Theorem 2 now follows from the corollary to Theorem 1. □

To exploit Theorem 1 and the corollary in the case  $\binom{n}{t} \leq \binom{n}{q}$ , we restrict ourselves to the condition  $q - t = 1$ . We then have  $q \leq \frac{n}{2}$  if  $n$  is even and  $q \leq \lfloor \frac{n}{2} \rfloor + 1$  if  $n$  is odd.

Here we have under the assumption  $0 \leq j \leq t = q - 1$

$$\begin{aligned} c(0, j) &= 1, \\ c(1, j) &= (j+1)(n-2q+j+2). \end{aligned}$$

We obtain the following system of linear equations in the unknowns  $x_0, \dots, x_t$

$$\begin{aligned} c(1, 0) x_0 &= 1, \\ c(1, j) x_j + x_{j-1} &= 0, \quad 1 \leq j \leq t, \end{aligned}$$

which has the solution

$$x_j = \frac{(-1)^j}{(j+1)! [n-2q+j+2]_{j+1}}, \quad 0 \leq j \leq t.$$

Again with the notations of the corollary to Theorem 1 we obtain

$$y_j = j! (j+1)! x_j = \frac{(-1)^j}{j+1} \cdot \binom{n-2q+j+2}{j+1}^{-1}.$$

Now we change the indices from  $j+1$  to  $j$  and obtain the statement of example ••.

Finally let us evaluate Theorem 2 in case  $n = 5$ ,  $q = 3$ ,  $t = 2$ . With the notation  $\{j_1, j_2, j_3, j_4, j_5\} = \underline{\underline{5}}$  we obtain

$$\begin{aligned}
& H(2, 3)^{-1}(X_{j_1} \cdot X_{j_2}) = \\
& \frac{1}{6} \left[ 2X_{j_1}X_{j_2}X_{j_3} - X_{j_2}X_{j_3}X_{j_4} + 2X_{j_3}X_{j_4}X_{j_5} - X_{j_1}X_{j_4}X_{j_5} + 2X_{j_1}X_{j_2}X_{j_4} - X_{j_1}X_{j_3}X_{j_5} + \right. \\
& \quad \left. + 2X_{j_1}X_{j_2}X_{j_5} - (X_{j_1}X_{j_3}X_{j_4} + X_{j_2}X_{j_3}X_{j_5} + X_{j_2}X_{j_4}X_{j_5}) \right],
\end{aligned}$$

and conclude that the matrix  $H(2, 3)^{-1}$  is “non-sparse”.

4. Finally we demonstrate the usefulness (as we hope) of the algebra  $\mathfrak{C}_*(n)$  by giving new proofs or extending, respectively, some results in [2].

••• (l.c., 3.3) *Let  $v \in \text{Ker } \Delta$ ,  $w \in C_t(n)$ , such that  $\text{Fund}(v) \cap \text{Fund}(w) = \emptyset$ . Then it holds that  $\Delta^{t+1}(v \cdot w) = 0$ .*

This is an immediate consequence of the property of  $\Delta$  to be a “quasi-derivation” (see Prop. 2).

Secondly, we exhibit a system of generators of  $\text{Ker } H(t, q)$  provided  $\binom{n}{t} < \binom{n}{q}$ .

We denote  $K_q(n) = \text{Ker } \Delta \Big|_{C_q(n)}$ . Then obviously one has  $K_q(n-1) \subset K_q(n)$ . To determine remaining candidates  $u$  of  $K_q(n)$  we make the following ansatz

$$(14) \dots \quad u = vX_n + w, \quad v \in C_{q-1}(n-1), \quad w \in C_q(n-1).$$

Since  $\text{Fund}(v) \cap \text{Fund}(X_n) = \emptyset$ , Prop. 2, i) yields

$$0 = \Delta u = (\Delta v) \cdot X_n + (v + \Delta w),$$

therefore

$$(15) \dots \quad \Delta v = 0, \quad v + \Delta w = 0.$$

Assume now that it has been proved by induction with respect to  $s$  that  $K_t(s)$ ,  $t \leq \lfloor \frac{s}{2} \rfloor$ ,  $s \leq n-1$ , is generated by elements of the shape

$$(X_{j_1} - X_{j_2})(X_{j_3} - X_{j_4}) \cdot \dots \cdot (X_{j_{2t-1}} - X_{j_{2t}})$$



with pairwise distinct  $X_{j_k}$ . Therefore we may assume (in Eq. (14), (15)) that

$$v = (X_{j_1} - X_{j_2}) \cdot \dots \cdot (X_{j_{2(q-1)-1}} - X_{j_{2(q-1)}}).$$

There are two cases to be considered. In the first case assume  $2(q-1) = n-1$ ; then we have  $n$  odd,  $q = \lfloor \frac{n}{2} \rfloor + 1$ . According to  $\bullet$   $K_q(n) = 0$  and then there is no more to prove.

In the second case assume  $2(q-1) < n-1$ . Then there exists  $X_j, j \leq n-1$  such that  $\text{Fund}(v) \cap \{X_j\} = \emptyset$ . We conclude that

$$w := -v \cdot X_j$$

solves the second equation in Eq. (15); furthermore one has  $u = v(X_n - X_j)$ .

This proves

$\bullet \bullet \bullet \bullet$  (contained in l.c., 4.2) *Assume  $q \leq \lfloor \frac{n}{2} \rfloor$ . Then it holds that  $\text{Ker } H(q-1, q) \neq 0$  and this kernel is generated by elements of the shape*

$$(X_{j_1} - X_{j_2})(X_{j_3} - X_{j_4}) \cdot \dots \cdot (X_{j_{2q-1}} - X_{j_{2q}}).$$

In a subsequent paper (in which we use the algebra  $\mathfrak{C}_*(n)$  to exhibit explicitly eigenspace decompositions of the matrix  $H(t, q)^T \circ H(t, q)$ ) we will show

**Theorem 3.** *Assume  $\binom{n}{t} < \binom{n}{q}$ . Then it holds that*

$$\text{Ker } H(t, q) = \bigoplus_{s=t+1}^{\min\{q, n-q\}} H(s, t)^T \left( \text{Ker } H(s-1, s) \right).$$

This result provides together with  $\bullet \bullet \bullet \bullet$  systems of generators of  $\text{Ker } H(t, q)$  which are in general distinct from those which are exhibited in [2].

With the aid of Theorem 3 (and Prop. 4) one easily proves

$\bullet \bullet \bullet \bullet \bullet$  (l.c., 4.3) *Assume  $0 \leq t < q < n-t$ . Then  $H(t+1, q)$  maps the kernel of  $H(t, q)$  onto the kernel of  $H(t, t+1)$ .*

Finally we exhibit in a special case a system of generators of  $\text{Ker } H(q-1, q)$  which is different from the "canonical" one constructed above as follows:

Assume  $n = 7, q = 3$ . Any enumeration  $\sigma$  of the points of the projective plane  $\mathbb{P}$  consisting of 7 points and 7 lines yields the family  $\mathfrak{G}_\sigma \subset \binom{\mathbb{P}}{3}$  consisting of the lines of  $\mathbb{P}$ . We claim that the elements

$$u_\sigma := 4 \cdot \sum_{M \in \mathfrak{G}_\sigma} [M] - \sum_{\substack{|M|=3 \\ M \notin \mathfrak{G}_\sigma}} [M]$$

also generate  $\text{Ker } H(2, 3)$ .

First, we sketch a proof that the  $u_\sigma$  indeed are contained in the kernel of  $H(2, 3)$  as follows: Define for  $q \in \underline{n}$

$$H_q := H(q-1, q)^T \circ H(q-1, q) : C_q(n) \longrightarrow C_q(n).$$

Then it holds, if  $[M]$  is an element of the canonical basis of  $C_q(n)$ ,

$$(16) \dots \quad H_q([M]) = q \cdot [M] + \sum_{\substack{|M'|=q \\ |M \cap M'|=q-1}} [M'].$$

Now it can be seen easily that

$$w_q := \sum_{|M|=q}^M [M]$$

is an eigenvector of  $H_q$  with eigenvalue  $q(n - q + 1)$ . In addition we need the well known result (see for instance [1], Chapt. II, 2.5 Lemma), that

$$\text{rang } H(t, q) = \text{rang } H(t, q)^T \circ H(t, q) \left( = \text{rang } H(t, q) \circ H(t, q)^T \right),$$

which yields

$$\text{Ker } H(t, q) = \text{Ker} \left( H(t, q)^T \circ H(t, q) \right).$$

After this digression suppose now again  $n = 7, q = 3, t = 2$ . Since two different projective lines intersect in one point we have  $|M_1 \cap M_2| = 1$  provided  $M_1, M_2 \in \mathfrak{G}_\sigma$  and  $M_1 \neq M_2$ . This in turn yields according to Eq. (16):

$$H_3 \left( \sum_{M \in \mathfrak{G}_\sigma} [M] \right) = 3 \cdot \sum_{M \in \mathfrak{G}_\sigma} [M] + \sum_{\substack{|M'|=3 \\ M' \notin \mathfrak{G}_\sigma}} \lambda_{M'} [M'].$$

The coefficients  $\lambda_{M'} \in \mathbb{Q}$  are determined as follows: Any  $M' \notin \mathfrak{G}_\sigma$  consists of three non-collinear points; therefore for given  $M'$  there are exactly three  $M \in \mathfrak{G}_\sigma$  such that  $|M' \cap M| = 2$ . We conclude  $\lambda_{M'} = 3$ , in turn

$$H_3 \left( \sum_{M \in \mathfrak{G}_\sigma} [M] \right) = 3 \cdot w_3 = H_3 \left( \frac{1}{5} w_3 \right),$$

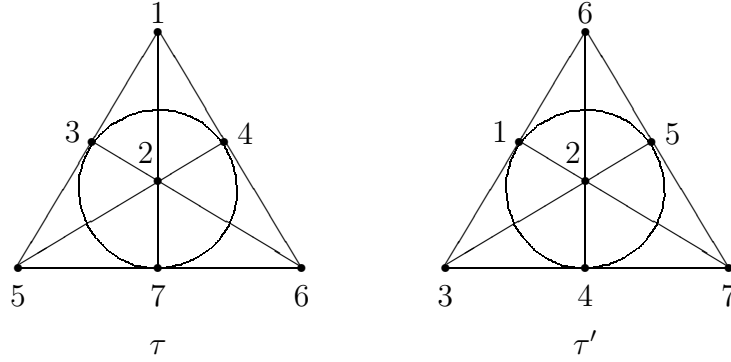
that is

$$\sum_{M \in \mathfrak{G}_\sigma} [M] - \frac{1}{5} w_3 \in \text{Ker} \left( H(2, 3)^T \circ H(2, 3) \right) = \text{Ker} H(2, 3).-$$

Secondly, assume that  $v$  is an element of the "canonical" system of generators of  $\text{Ker} H(2, 3)$ , say

$$v = (X_1 - X_2)(X_3 - X_4)(X_5 - X_6).$$

Suppose the enumerations  $\tau, \tau'$  of the points of  $\mathbb{P}$  are given by



Then a lengthy but elementary computation which we omit yields

$$v = \frac{1}{5} (u_\tau - u_{\tau'}).$$

We conclude that the  $u_\sigma$  generate  $\text{Ker} H(2, 3)$ , too.

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