

Loop operators and convolutions in the symmetric function Hopf algebra

Bertfried Fauser

b.fausser@cs.bham.ac.uk

66^{eme} Séminaire Lotharingien de Combinatoire

Ellwangen — March 6–9, 2011

joint work with: Peter D. Jarvis & Ronald C. King



Plan of the talk

In $\text{Sym}[X]$ and $\mathcal{H}_{\square}[X]$ (commutative Hopf algebras)

- ▶ Notational preliminaries (we use informally graphical calculus)
- ▶ What are a loop operator?
 - [inner|outer] loop operators
 - alternative forms and inverse loop operators
 - some relations between loop operators
- ▶ Hirota-Miwa change of variables (used for vertex operators)
- ▶ Forced Laplace pairings|expansions
 - the associative case
 - the ‘inverse’ case
 - ‘undeformations’ i.e. generalized straightenings
(of Rota-Stein plethystic type Hopf algebras)



Sym[X] definitions and graphical calculus

Sym[X] Hopf algebra (self dual w.r.t. $(- | -)$)

Bases: $\{e_\mu\}_\mu, \{h_\mu\}_\mu, \{p_\mu\}_\mu$ multiplicative, $\{m_\mu\}_\mu, \{s_\mu\}_\mu$

General elements: $A, B, \dots; \lambda, \mu, \nu, \dots$ integer partitions

[outer|inner|products|coproducts]:

$$m : \text{Sym} \otimes \text{Sym} \rightarrow \text{Sym} :: m(s_\mu \otimes s_\nu) = \sum_{\lambda} c_{\mu,\nu}^\lambda s_\lambda$$

$$\Delta : \text{Sym} \rightarrow \text{Sym} \otimes \text{Sym} :: \Delta(s_\lambda) = \sum_{\mu,\nu} c_{\mu,\nu}^\lambda s_\mu \otimes s_\nu = s_{\lambda(1)} \otimes s_{\lambda(2)}$$

$c_{\mu,\nu}^\lambda$ Littlewood-Richardson coefficients

$$\star : \text{Sym} \otimes \text{Sym} \rightarrow \text{Sym} :: \star(s_\mu \otimes s_\nu) = \sum_{\lambda} g_{\mu,\nu}^\lambda s_\lambda$$

$$\delta : \text{Sym} \rightarrow \text{Sym} \otimes \text{Sym} :: \delta(s_\lambda) = \sum_{\mu,\nu} g_{\mu,\nu}^\lambda s_\mu \otimes s_\nu = s_{\lambda[1]} \otimes s_{\lambda[2]}$$

$g_{\mu,\nu}^\lambda$ Kronecker coefficients



Sym[X] definitions and graphical calculus, cont.

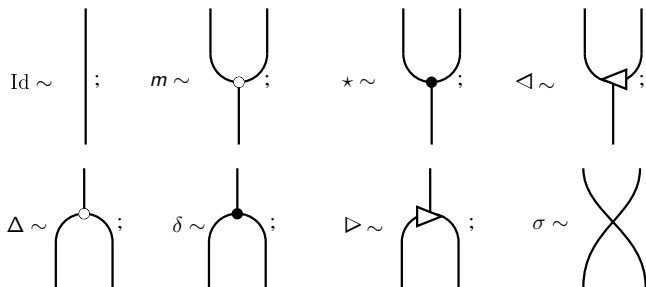
(co/)Plethysm: ($p_{\mu,\nu}^\lambda$ plethysm coeff. non-neg. integers)

$$\triangleleft : \text{Sym} \otimes \text{Sym} \rightarrow \text{Sym} :: \triangleleft(s_\mu \otimes s_\nu) = (s_\mu[s_\nu]) = \sum_{\lambda} p_{\mu,\nu}^\lambda s_\lambda$$

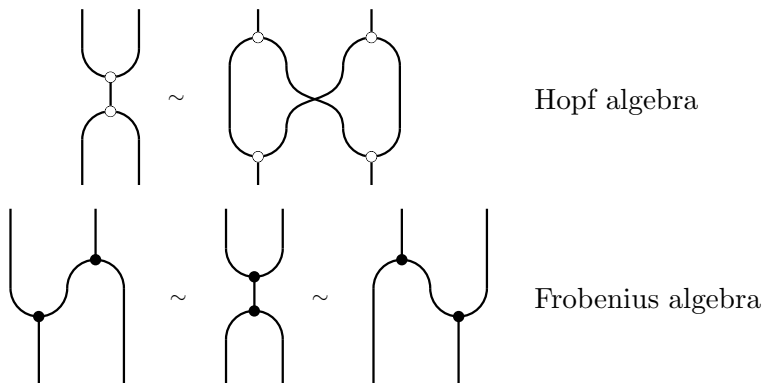
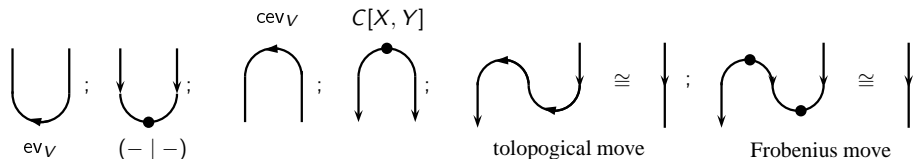
$$\left(\triangleright : \text{Sym}^+ \rightarrow \text{Sym}^+ \otimes \text{Sym}^+ :: \triangleright(s_\lambda) = \sum_{\mu,\nu} p_{\mu,\nu}^\lambda s_\mu \otimes s_\nu \right)$$

$\text{Sym}^+ = \ker \epsilon^0$ augmentation ideal : $\text{Sym} = \mathbb{Z} \cdot 1 + \text{Sym}^+$

Graphical notation: (downward/pessimistic and left-handed oriented)



Graphical manipulations “moves”



Loop operators (convolution)

Def: loop operators:

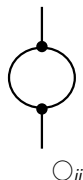
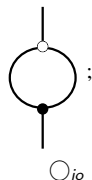
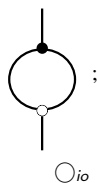
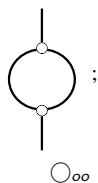
$$\bigcirc_{oo}(A) := (m \circ \Delta)(A) = m \circ (\text{Id} \otimes \text{Id}) \circ \Delta(A)$$

$$\bigcirc_{io}(A) := (m \circ \delta)(A) = m \circ (\text{Id} \otimes \text{Id}) \circ \delta(A)$$

$$\bigcirc_{oi}(A) := (\star \circ \Delta)(A) = \star \circ (\text{Id} \otimes \text{Id}) \circ \Delta(A)$$

$$\bigcirc_{ii}(A) := (\star \circ \delta)(A) = \star \circ (\text{Id} \otimes \text{Id}) \circ \delta(A)$$

Graphical notation: (these are convolutions of identity operators)



Alternative forms and inverses of loop operators:

'outer-outer' loop operator:

t -Specialization: ($t \in \mathbb{N}, \mathbb{Z}, \mathbb{Q}, \dots$)

Def: $\epsilon^t(s_\mu[X]) := s_\mu[1^t] =: \dim_{s_\mu}(t)$ (ϵ^0 counit of outer coproduct)

(Note: $\epsilon^{-t}(s_\mu[X]) = \epsilon^t(s_\mu[-X]) = \epsilon^t(S(s_\mu)[X])$: $S(A)$ antipode in Sym)

Thm: (alternative forms for outer-outer loop operator)

$$\begin{aligned} [2](A)[X] &:= \circ_{oo}(A)[X] = (m \circ \Delta)(A)[X] = (A_{(1)}A_{(2)})[X] \\ &= A[X + X] = A[2 \cdot X] = A[2 \cdot s_{(1)}][X] \\ &= \dim_{A_{[1]}}(2)A_{[2]} \end{aligned}$$

(on power sums: $[2](p_\mu) = 2^{\ell(\mu)}p_\mu$; relates to zonal sym. functions)

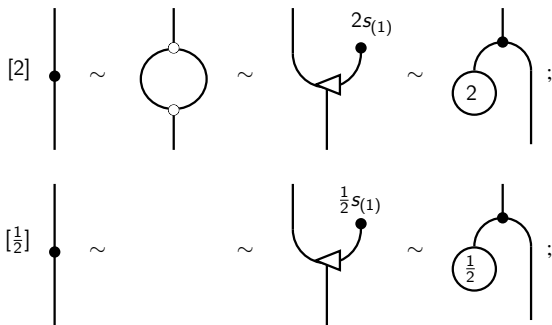
Plethysm allows to introduce the **inverse outer-outer loop** operator

$$\left[\frac{1}{2}\right](A) := \dim_{A_{[1]}}\left(\frac{1}{2}\right)A_{[2]} \quad \Leftrightarrow \quad \left[\frac{1}{2}\right][2](A) = A = [2]\left[\frac{1}{2}\right](A)$$



Graphical forms and inverses of loop operators:

in a suitable ring extension $\mathbb{Q}, \mathbb{Q}[q]$ etc.



Alternative forms and inverses of loop operators:

'inner-inner' loop operator:

For power sums: $(\mu = (\mu_1, \mu_2, \dots) = [1^{r_1} 2^{r_2} \dots]); z_\mu = \prod_i i^{r_i} r_i!$

$$\delta(p_\mu) = p_\mu \otimes p_\mu \text{ and } \star(p_\mu \otimes p_\nu) = z_\mu \delta_{\mu,\nu} p_\mu$$

Def: For power sums inner-inner loop operator and its **inverse** are:

$$\left. \begin{array}{l} \widehat{z} : \text{Sym} \rightarrow \text{Sym} :: p_\mu \mapsto z_\mu p_\mu \\ \widehat{\bar{z}} : \text{Sym} \rightarrow \text{Sym} :: p_\mu \mapsto \frac{1}{z_\mu} p_\mu \end{array} \right\} \text{ scaling by } z_\mu; z_\mu^{-1}$$

Def: zee-specialization

$$\mathfrak{z}, \bar{\mathfrak{z}} : \text{Sym} \rightarrow \mathbb{Q} :: \left\{ \begin{array}{l} \mathfrak{z} : p_\mu \mapsto z_\mu ; \quad \mathfrak{z} : s_\mu \mapsto \sum_\tau \chi^\mu(\tau) \\ \bar{\mathfrak{z}} : p_\mu \mapsto \frac{1}{z_\mu} ; \quad \bar{\mathfrak{z}} : s_\mu \mapsto \sum_\tau \frac{\chi^\mu(\tau)}{z_\tau^2} \end{array} \right.$$

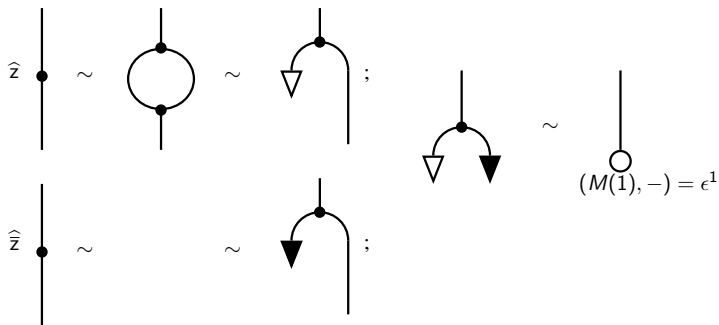
Prop: \mathfrak{z} and $\bar{\mathfrak{z}}$ are convolutive inverse 1-chains w.r.t. the inner convolution $(\widehat{\text{Sym}} \ni M(z) = \sum_n h_n z^n, \epsilon^1 : \text{Sym} \rightarrow \mathbb{Q})$:

$$\cdot_{\mathbb{Q}} \circ (\mathfrak{z} \otimes \bar{\mathfrak{z}}) \circ \delta(A) = \epsilon^1(A) \quad (= (M(1), A) =: \epsilon^1(A))$$



Graphical forms and inverses of loop operators:

in a suitable ring extension $\mathbb{Q}, \mathbb{Q}[q]$ etc.



Thm: The inner-inner loop operator \bigcirc_{ii} and its **inverse** have the following alternative forms:

$$\widehat{z}(A) = \bigcirc_{ii}(A) = (\star \circ \delta)(A) = \bar{\mathfrak{z}}(A_{[1]})A_{[2]}$$

$$\widehat{\bar{z}}(A) = \bar{\mathfrak{z}}(A_{[1]})A_{[2]}$$



ρ -multiplicativity & Hirota-Miwa change of var.

Def: μ is relatively prime to ν ($\mu \not\propto \nu$) iff $\gcd(\mu, \nu) = (0)$

Prop: \mathfrak{z} (and $\bar{\mathfrak{z}}$) is ρ -multiplicative and not a homomorphism

(hom = complete multiplicative in the sense of number theory)

$$\mathfrak{z}(\rho_\mu \rho_\nu) = \mathfrak{z}(\rho_\mu) \mathfrak{z}(\rho_\nu) \binom{\mu \cup \nu}{\mu, \nu} \quad ; \quad \mathfrak{w}(\rho_\mu, \rho_\nu) := \binom{\mu \cup \nu}{\mu, \nu} = 1 \text{ iff } \mu \not\propto \nu$$

(That is: $\mathfrak{z} : \text{Sym} \rightarrow \mathbb{Q}$ is not a 1-cocycle, $\mathfrak{w} = \partial \mathfrak{z}$ is a non-trivial 2-cocycle)

Hirota-Miwa change of variables [Miw82]

Let $\{\mathfrak{p}_\mu^*\}_\mu$ basis of $\text{Hom}(\mathbb{Q}\text{Sym}, \mathbb{Q})$ (gr. dual), s.t. $\mathfrak{p}_\mu^*(\rho_\nu) = \delta_{\mu, \nu}$

Def: $\gamma : \text{Sym} \rightarrow \text{Sym} :: \rho_n \mapsto \frac{1}{n} \rho_n$ (our $\rho_\mu \mapsto \bar{\mathfrak{z}}(\rho_\mu) \rho_\mu = (\bar{\mathfrak{z}} \otimes \text{Id}) \circ \delta(\rho_\mu)$)

We get **two** identifications $\mathbb{Q}\text{Sym} \rightarrow \mathbb{Q}\text{Sym}^*$ related by $\widehat{\bar{\mathfrak{z}}}, \widehat{\mathfrak{z}}$:

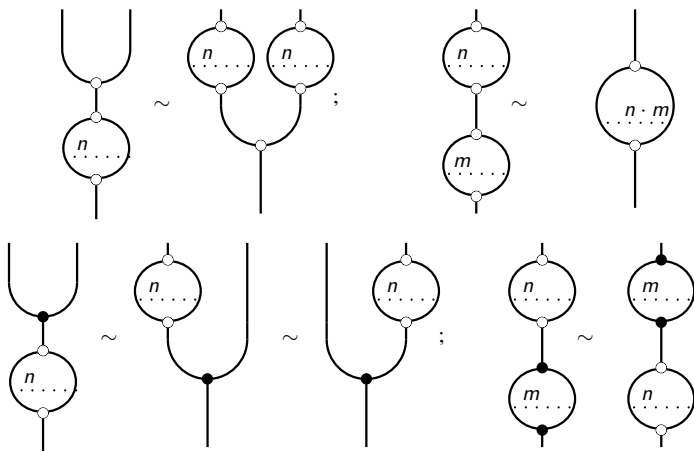
$$(A | B)_{\widehat{\bar{\mathfrak{z}}}} := \epsilon^1 \circ \star \circ (\widehat{\bar{\mathfrak{z}}} \otimes 1)(A \otimes B) = (\widehat{\bar{\mathfrak{z}}}(A) | B) = \text{ev}(A^* \otimes B)$$

$$\begin{array}{ccc}
 & (-)^* & \\
 \text{Sym}[X] & \begin{array}{c} \curvearrowright \\ \widehat{\bar{\mathfrak{z}}} \left(\left(\right) \right) \widehat{\mathfrak{z}} \\ \curvearrowleft \end{array} & \text{Sym}[X]^* \\
 & (A|-) &
 \end{array}$$



Some relations between loop operators...

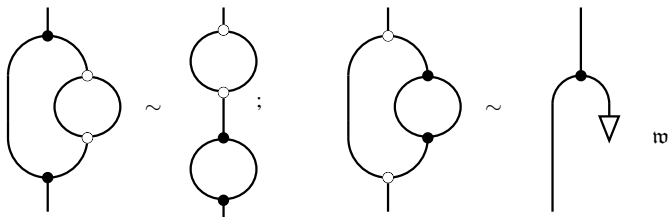
Thm: The n -fold outer-outer loop operator $[n] = m^{n-1} \circ \Delta^{n-1}$ acts group like; The iterated n -fold outer-outer loop operator behaves multiplicative; The outer-outer loop operator maps left/right on inner products; \bigcirc_{oo} and \bigcirc_{ii} commute:



Some relations between loop operators. . . cont.

Thm: An outer-outer loop operator inside an inner-inner loop operator is equivalent to their composition; An inner-inner loop operator inside and outer-outer loop operator is equivalent to the linear form (as outer convolution of 1-chains : $\mathfrak{w} := \cdot \circ (\epsilon^1 \otimes \mathfrak{z}) \circ \Delta$)

$$\mathfrak{w} : \text{Sym} \rightarrow \mathbb{Z} :: p_\lambda \mapsto \sum_{\mu \cup \nu = \lambda} \binom{\lambda}{\mu, \nu} z_\nu = \sum_{\mu \cup \nu = \lambda} \prod_i ((r_i + s_i) \uparrow (1^{s_i})) i^{s_i}$$

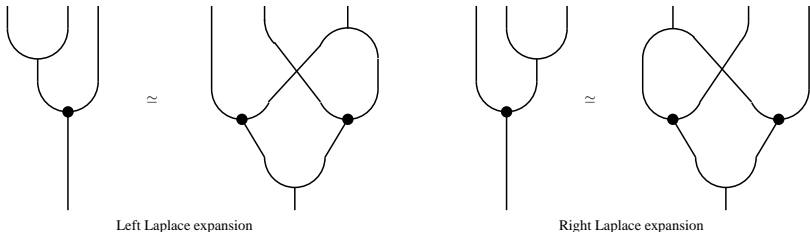


Forced Laplace expansions

In Hopf algebra deformation theory we encounter the following two Laplace expansion laws (straightenings)

$$i) \quad (AB) \star C = (A \star C_{(1)})(B \star C_{(2)})$$

$$ii) \quad A \star (BC) = (A_{(1)} \star B)(A_{(2)} \star C)$$



Questions:

- Can we have a Laplace expansion for m or \star with itself?
- Can we have a Laplace expansion with m and \star interchanged?

an easy check shows NO and NO! But...



Forced Laplace expansions: the associative case

Thm: The outer and inner products obtain a *modified Laplace expansion* by introducing the resp. inverse loop operators $[\frac{1}{2}]$, \widehat{z}

$$(AB)C = (A([\frac{1}{2}](C))_{(1)}) (B([\frac{1}{2}](C))_{(2)})$$

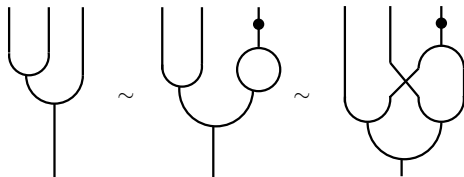
$$A(BC) = (([\frac{1}{2}](A))_{(1)}B) (([\frac{1}{2}](A))_{(2)}C)$$

$$(A \star B) \star C = (A \star (\widehat{z}(C))_{(1)}) \star (B \star (\widehat{z}(C))_{(2)})$$

$$A \star (B \star C) = ((\widehat{z}(A))_{(1)} \star B) \star ((\widehat{z}(A))_{(2)} \star C)$$

Proof:

(undecorated \cong any assoc. com. prod.; \bullet \cong resp. inverse loop)



Forced Laplace expansions: the inverse case

Thm: The inverse Laplace expansion between inner and outer product is given by

$$\begin{aligned}(A * B)C &= \widehat{\mathbb{Z}}((\widehat{\mathbb{Z}}(A)C_{[1]}) * (BC_{[2]})) = \widehat{\mathbb{Z}}((AC_{[1]}) * (\widehat{\mathbb{Z}}(B)C_{[2]})) \\ A(B * C) &= \widehat{\mathbb{Z}}((A_{[1]}B) * (A_{[2]}\widehat{\mathbb{Z}}(C))) = \widehat{\mathbb{Z}}((A_{[1]}\widehat{\mathbb{Z}}(B)) * (A_{[2]}C))\end{aligned}$$

Application to Rota-Stein's plethystic Hopf algebras

Idea [Rota-Stein'94]: Recover Sym from \mathcal{H}_{\sqcup}

Def: \mathcal{H}_{\sqcup} is the cofree cogenerated Hopf algebra on the module spanned by the monomial sym. fun. $\{m_{\mu}\}_{\mu}$ with structure maps

$$m_{\mu} \sqcup m_{\nu} = \binom{\mu \cup \nu}{\mu, \nu} m_{\mu \cup \nu} \quad \Delta_{\sqcup}(m_{\lambda}) = m_{\lambda_{(1)}} \otimes m_{\lambda_{(2)}} \quad (\Delta_{\sqcup} \equiv \Delta)$$

$$S_{\sqcup}(m_{\mu}) = (-1)^{\ell(\mu)} m_{\mu} \quad \text{shows } m_n \text{'s are primitive}$$



Forced Laplace expansions: 'undeformations'

Def: Rota-Stein Laplace pairing on \mathcal{H}_{\sqcup} primitives:

$$(1) \quad \langle 1, 1 \rangle_{Lp} = 1,$$

$$(2) \quad \langle m_{\mu}, m_{\nu} \rangle_{Lp} = 0 \text{ if } \ell(\mu) \neq \ell(\nu),$$

$$(3) \quad \langle m_{[k^r]}, m_{[l^s]} \rangle_{Lp} = \delta_{r,s} m_{[(k+l)^r]} = \delta_{r,s} m_{(k+l, \dots, k+l)}$$

$$(4) \quad \langle m_{\mu} \sqcup m_{\nu}, m_{\lambda} \rangle_{Lp} = \langle m_{\mu}, m_{\lambda_{(1)}} \rangle_{Lp} \sqcup \langle m_{\nu}, m_{\lambda_{(2)}} \rangle_{Lp}$$

$$(5) \quad \langle m_{\mu}, m_{\nu} \sqcup m_{\lambda} \rangle_{Lp} = \langle m_{\mu_{(1)}}, m_{\nu} \rangle_{Lp} \sqcup \langle m_{\mu_{(2)}}, m_{\lambda} \rangle_{Lp},$$

and extend by linearity.

Def. [(modified) circle product]: Using the R-S Laplace pairing the deformed product $\circ : \mathcal{H}_{\sqcup} \otimes \mathcal{H}_{\sqcup} \rightarrow \mathcal{H}_{\sqcup}$ is given by

$$m_{\mu} \circ m_{\nu} = \langle m_{\mu_{(1)}}, m_{\nu_{(1)}} \rangle_{Lp} \sqcup m_{\mu_{(2)}} \sqcup m_{\nu_{(2)}} \quad \text{circle}$$

$$m_{\mu} \blacklozenge m_{\nu} = \langle m_{\mu_{(1)}}, m_{\nu_{(1)}} \rangle_{Lp} \sqcup m_{\mu_{(2)}} \sqcup \left[\frac{1}{2} \right] (m_{\nu_{(2)}}) \quad \text{modified}$$

Prop: \blacklozenge is noncommutative and nonassociative.

Thm. [R-S]: $(\mathcal{H}_{\sqcup}, \circ, \Delta; S_{\circ}) \simeq \text{Sym}$

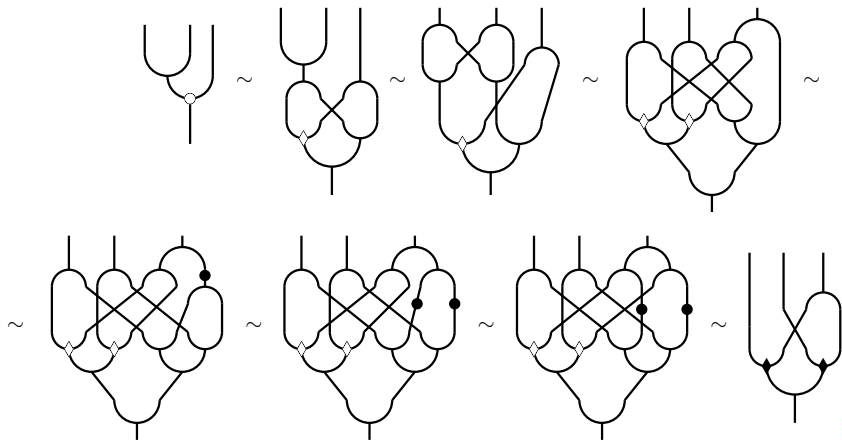


Forced Laplace expansions: 'undeformations' cont.

Thm: (\circ, \diamond) forms a bi-modified Laplace expansion

$$(A \sqcup B) \circ C = (A \diamond C_{(1)}) \sqcup (B \diamond C_{(2)}) \quad A \circ (B \sqcup C) = (A_{(1)} \diamond B) \sqcup (A_{(2)} \diamond C)$$

Proof: $(\diamond \cong \langle - | - \rangle_{Lp}; \text{ no decoration } \cong \sqcup)$



Literature

- ▶ B. Fauser, P.D. Jarvis, The Dirichlet Hopf algebra of arithmetics, J. of Knot Theor. and its Ramifications, 16(4):1–60, 2006
- ▶ B. Fauser, P.D. Jarvis, R.C. King, Plethysms as a source of replicated Schur functions and series, with applications to vertex operators, J. Phys. A. Math. Theor. 405202, 43:30pp, 2010
- ▶ B. Fauser, P.D. Jarvis, R.C. King, B.G. Wybourne, New branching rules induced by plethysm, J. Phys. A. Math. Gen, 39:2611–2655, 2006
- ▶ L. Geissinger, Hopf algebras of symmetric functions and class functions, pages 168–181, 1997, Springer-Verlag, LNM 579
- ▶ T. Miwa, On Hirota's difference equations, Proc. Japan Acad., 58:9–12, 1982
- ▶ G.-C. Rota, J.A. Stein, Plethystic Hopf algebras, Proc. Natl. Acad. Sci. USA, 91:13057-13061, 1994
- ▶ J.-Y. Thibon, Hopf algebras of symmetric functions and tensor products of symmetric group representations, Int. J. of Alg. and Comp. 1(2):2007-2221, 1991
- ▶ A.V. Zelevinsky, Representations of finite classical groups: A Hopf algebra approach, Springer-Verlag, 1981, LNM 869

