

The local h -vector of the cluster subdivision of a simplex

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University of Athens

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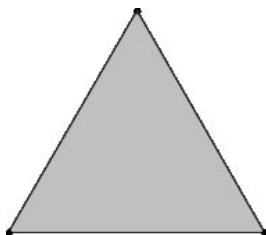
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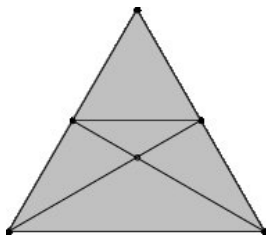
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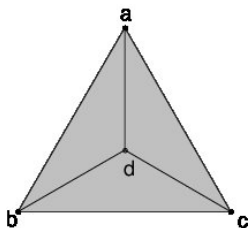
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Example of a non-flag subdivision:



$\{a, b, c\}$ is a minimal non-face

Basic Definitions

Let f_i be the number of the i -dimensional faces of a simplicial complex Γ .

f-vector: $f(\Gamma) = (f_0, \dots, f_{d-1})$

f-polynomial: $f(\Gamma, x) = f_0 + f_1x + \dots + f_{d-1}x^{d-1}$

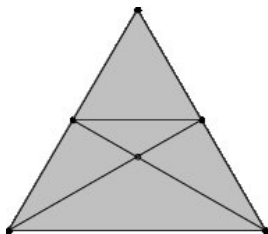
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$$f(\Gamma, x) =$$

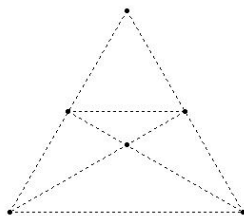
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Example:



$$f(\Gamma, x) = 6$$

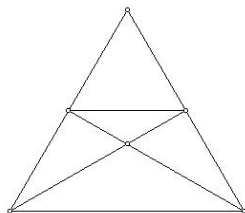
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$$f(\Gamma, x) = 6 + 10x$$

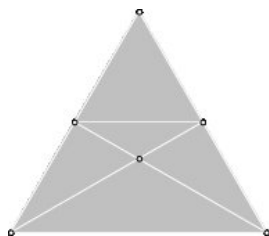
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Example:



$$f(\Gamma, x) = 6 + 10x + 5x^2$$

Basic Definitions

The *h-vector* $h(\Gamma) = (h_0, h_1, \dots, h_d)$ and the *h-polynomial* $h(\Gamma, x) = h_0 + h_1x + \dots + h_dx^d$ are defined by

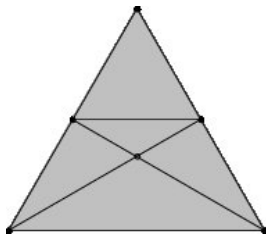
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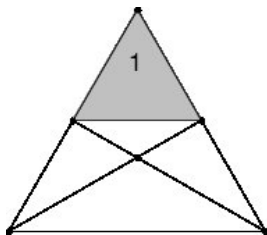
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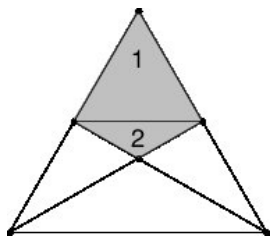
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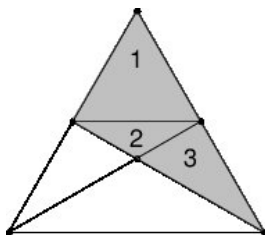
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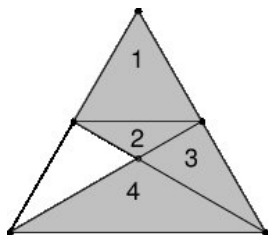
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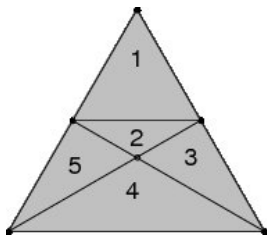
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$$h(\Gamma, x) = 1 + 3x + x^2$$

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For a geometric subdivision Γ of the simplex 2^V the *local h -polynomial* $\ell_V(\Gamma, x)$ of Γ with respect to V is defined as follows:

$$\ell_V(\Gamma, x) = \sum_{i=0}^d \ell_i x^i = \sum_{F \subseteq V} (-1)^{d-|F|} h(\Gamma_F, x),$$

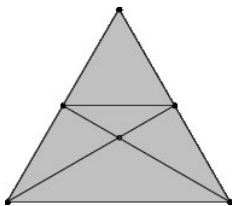
where Γ_F is the restriction of Γ to the face F of 2^V .

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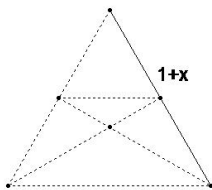
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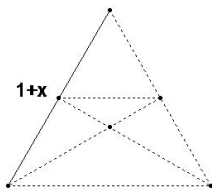
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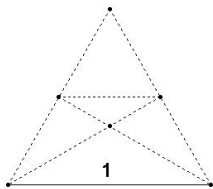
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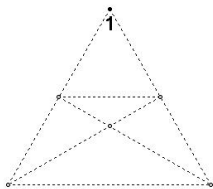
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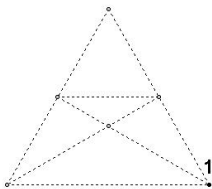
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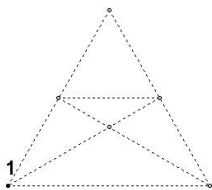
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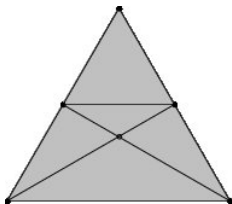
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Theorem (Stanley)

The local h -polynomial $\ell_V(\Gamma, x)$ has nonnegative and symmetric coefficients, equivalently $\ell_i \geq 0$ and $\ell_i = \ell_{d-i}$ for every $0 \leq i \leq d$.

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Thus the *local γ -polynomial* $\xi_V(\Gamma, x)$ of Γ with respect to V can be uniquely defined by

$$l_V(\Gamma, x) = (1+x)^d \xi_V\left(\Gamma, \frac{x}{(1+x)^2}\right) = \sum_{i=0}^{\lfloor d/2 \rfloor} \xi_i x^i (1+x)^{d-2i}.$$

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- It is proven in dimension 3 and for iterated edge subdivisions.

Main Results

- For every root system Φ the local γ -vector of the cluster subdivision $\Gamma(\Phi)$ is nonnegative.
- Combinatorial interpretations to the entries of the local γ -vector of the barycentric subdivision.

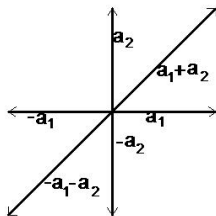
Cluster Subdivision

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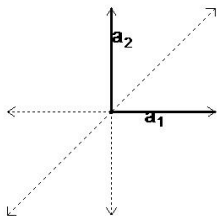


$$\Phi = \{a_1, a_2, a_1 + a_2, -a_1, -a_2, -a_1 - a_2\}$$

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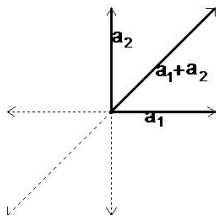


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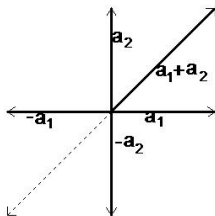
$$\Pi = \{a_1, a_2\}$$

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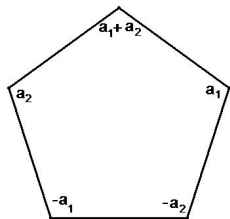
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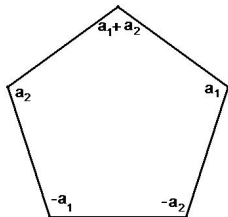
$$\begin{aligned}\Phi &= \{a_1, a_2, a_1 + a_2, -a_1, -a_2, -a_1 - a_2\} & \Pi &= \{a_1, a_2\} \\ \Phi^+ &= \{a_1, a_2, a_1 + a_2\} & \Phi_{\geq -1} &= \{a_1, a_2, a_1 + a_2, -a_1, -a_2\}\end{aligned}$$

Cluster Subdivision

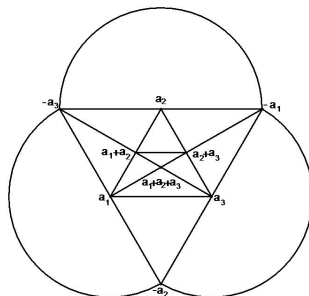


The cluster complex of type A_2

Cluster Subdivision

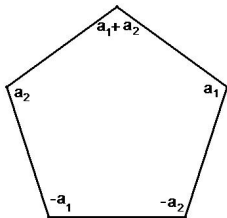


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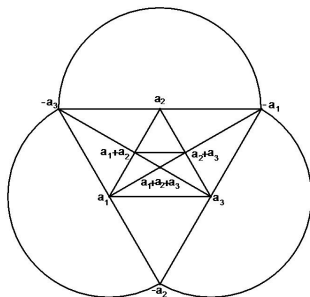


The cluster complex of type A_3

Cluster Subdivision



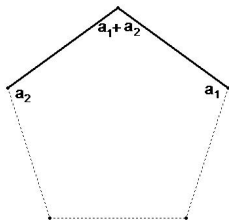
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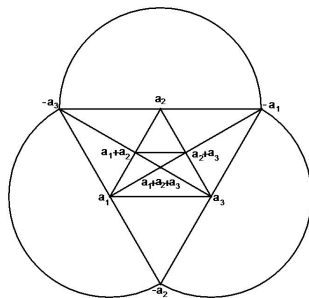
The cluster complex of type A_3

The *positive cluster complex* $\Delta^+(\Phi)$ is the restriction of $\Delta(\Phi)$ on the positive roots Φ^+ . It naturally defines a geometric subdivision of the simplex, the *cluster subdivision* $\Gamma(\Phi)$.

Cluster Subdivision



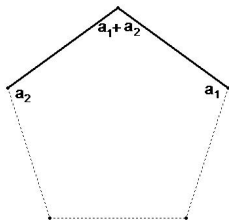
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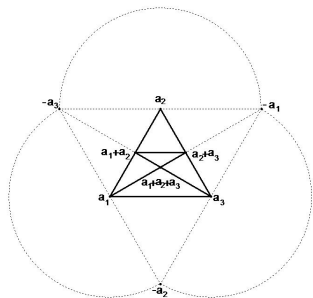
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Cluster Subdivision

Theorem (Athanasiadis, Tzanaki)

$$h(\Delta_+(\Phi), x) = \begin{cases} \sum_{i=0}^n \frac{1}{i+1} \binom{n}{i} \binom{n-1}{i} x^i, & \text{if } \Phi = A_n \\ \sum_{i=0}^n \binom{n}{i} \binom{n-1}{i} x^i, & \text{if } \Phi = B_n \text{ or } C_n \\ \sum_{i=0}^n \left(\binom{n}{i} \binom{n-2}{i} + \binom{n-2}{i-2} \binom{n-1}{i} \right) x^i, & \text{if } \Phi = D_n \end{cases}$$

Cluster Subdivision

For the type A_n the h -polynomial is equal to the Narayana polynomial $C_n(x)$.

$$C_n(x) = \begin{cases} 1, & \text{if } n = 1 \\ 1 + x, & \text{if } n = 2 \\ 1 + 3x + x^2, & \text{if } n = 3 \\ 1 + 6x + 6x^2 + x^3, & \text{if } n = 4 \\ 1 + 10x + 20x^2 + 10x^3 + x^4, & \text{if } n = 5 \\ 1 + 15x + 50x^2 + 50x^3 + 15x^4 + x^5, & \text{if } n = 6 \end{cases}$$

Cluster Subdivision

For the type A_n the h -polynomial is equal to the Narayana polynomial $C_n(x)$.

$$C_n(x) = \begin{cases} 1, & \text{if } n = 1 \\ 1 + x, & \text{if } n = 2 \\ 1 + 3x + x^2, & \text{if } n = 3 \\ 1 + 6x + 6x^2 + x^3, & \text{if } n = 4 \\ 1 + 10x + 20x^2 + 10x^3 + x^4, & \text{if } n = 5 \\ 1 + 15x + 50x^2 + 50x^3 + 15x^4 + x^5, & \text{if } n = 6 \end{cases}$$

The coefficient of x^i , $0 \leq i \leq n$, is the number of $\pi \in \text{NC}^A(n)$ which have $n - i$ blocks.

Cluster Subdivision

Let I be an n -element index set and $\Pi = \{a_i : i \in I\}$. The local h -polynomial $\ell_I(\Gamma(\Phi), x)$ is given by

$$\ell_I(\Gamma(\Phi), x) = \sum_{J \subseteq I} (-1)^{|I \setminus J|} h(\Delta_+(\Phi_J), x),$$

where Φ_J is the standard parabolic root subsystem of Φ corresponding to J .

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Example for $\Phi = A_3$:



$$\sum_{i=0}^3 \ell_i(A_3) x^i =$$

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Example for $\Phi = A_3$:



$$\sum_{i=0}^3 \ell_i(A_3) x^i = C_3(x) - C_2(x)$$


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Example for $\Phi = A_3$:


$$\sum_{i=0}^3 \ell_i(A_3) x^i = C_3(x) - C_2(x) - C_1(x) \cdot C_1(x)$$

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$$\sum_{i=0}^3 \ell_i(A_3) x^i = C_3(x) - C_2(x) - C_1(x) \cdot C_1(x) - C_2(x) + C_1(x)$$


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Example for $\Phi = A_3$:

$$\begin{aligned} \sum_{i=0}^3 \ell_i(A_3) x^i &= C_3(x) - C_2(x) - C_1(x) \cdot C_1(x) - C_2(x) \\ &\quad + C_1(x) + C_1(x) + C_1(x) \end{aligned}$$

Cluster Subdivision

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Example for $\Phi = A_3$:

$$\begin{aligned} \sum_{i=0}^3 \ell_i(A_3) x^i &= C_3(x) - C_2(x) - C_1(x) \cdot C_1(x) - C_2(x) \\ &\quad + C_1(x) + C_1(x) + C_1(x) - C_0(x) \end{aligned}$$

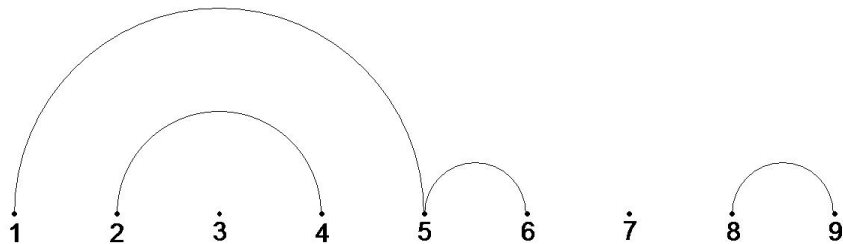
Cluster Subdivision - Type A

$$\sum_{i=0}^n \ell_i(\Phi)x^i = \begin{cases} 0, & \text{if } n = 1 \\ x, & \text{if } n = 2 \\ x + x^2, & \text{if } n = 3 \\ x + 4x^2 + x^3, & \text{if } n = 4 \\ x + 8x^2 + 8x^3 + x^4, & \text{if } n = 5 \\ x + 13x^2 + 29x^3 + 13x^4 + x^5, & \text{if } n = 6 \\ x + 19x^2 + 73x^3 + 73x^4 + 19x^5 + x^6, & \text{if } n = 7 \\ x + 26x^2 + 151x^3 + 266x^4 + 151x^5 + 26x^6 + x^7, & \text{if } n = 8 \end{cases}$$

$$\sum_{i=0}^{\lfloor n/2 \rfloor} \xi_i(\Phi)x^i = \begin{cases} 0, & \text{if } n = 1 \\ x, & \text{if } n = 2, 3 \\ x + 2x^2, & \text{if } n = 4 \\ x + 5x^2, & \text{if } n = 5 \\ x + 9x^2 + 5x^3, & \text{if } n = 6 \\ x + 14x^2 + 21x^3, & \text{if } n = 7 \\ x + 20x^2 + 56x^3 + 14x^4, & \text{if } n = 8 \end{cases}$$

Cluster Subdivision - Type A

Nested and nonnested singletons in $NC^A(n)$:



The singleton block $\{3\}$ is nested, while $\{7\}$ is nonnested.

Cluster Subdivision - Type A

Proposition

For the root system Φ of type A_n the following hold:

- $\ell_i(\Phi)$ is equal to the number of partitions $\pi \in \text{NC}^A(n)$ with i blocks, such that every singleton block of π is nested,
- $\xi_i(\Phi)$ is equal to the number of partitions $\pi \in \text{NC}^A(n)$ which have no singleton block and a total of i blocks.

Moreover, we have the explicit formulas

$$\xi_i(\Phi) = \begin{cases} 0, & \text{if } i = 0 \\ \frac{1}{n-i+1} \binom{n}{i} \binom{n-i-1}{i-1}, & \text{if } 1 \leq i \leq \lfloor n/2 \rfloor \end{cases}$$

and

$$\ell_i(\Phi) = \sum_{j=1}^i \frac{1}{n-j+1} \binom{n}{j} \binom{n-j-1}{j-1} \binom{n-2j}{i-j} \binom{n-2j}{i-j}.$$

Cluster Subdivision - Type A

For the combinatorial interpretation of the local γ -polynomial given by

$$\ell_V(\Gamma, \mathbf{x}) = \sum_{i=0}^{\lfloor d/2 \rfloor} \xi_i \mathbf{x}^i (1 + \mathbf{x})^{d-2i}$$

an equivalence relation in $\text{NC}^A(n)$ is defined.

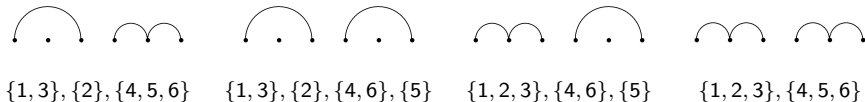
Cluster Subdivision - Type A

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Example:



Cluster Subdivision - Type B

$$\sum_{i=0}^n \ell_i(\Phi)x^i = \begin{cases} 2x, & \text{if } n = 2 \\ 3x + 3x^2, & \text{if } n = 3 \\ 4x + 14x^2 + 4x^3, & \text{if } n = 4 \\ 5x + 35x^2 + 35x^3 + 5x^4, & \text{if } n = 5 \\ 6x + 69x^2 + 146x^3 + 69x^4 + 6x^5, & \text{if } n = 6 \\ 7x + 119x^2 + 427x^3 + 427x^4 + 119x^5 + 7x^6, & \text{if } n = 7 \end{cases}$$

$$\sum_{i=0}^{\lfloor n/2 \rfloor} \xi_i(\Phi)x^i = \begin{cases} 2x, & \text{if } n = 2 \\ 3x, & \text{if } n = 3 \\ 4x + 6x^2, & \text{if } n = 4 \\ 5x + 20x^2, & \text{if } n = 5 \\ 6x + 45x^2 + 20x^3, & \text{if } n = 6 \\ 7x + 84x^2 + 105x^3, & \text{if } n = 7 \\ 8x + 140x^2 + 336x^3 + 70x^4, & \text{if } n = 8 \end{cases}$$

Cluster Subdivision - Type B

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$$\sum_{i=0}^{\lfloor n/2 \rfloor} \xi_i(\Phi)x^i = \begin{cases} 2x, & \text{if } n = 2 \\ 3x, & \text{if } n = 3 \\ 4x + 6x^2, & \text{if } n = 4 \\ 5x + 20x^2, & \text{if } n = 5 \\ 6x + 45x^2 + 20x^3, & \text{if } n = 6 \\ 7x + 84x^2 + 105x^3, & \text{if } n = 7 \\ 8x + 140x^2 + 336x^3 + 70x^4, & \text{if } n = 8 \end{cases}$$

The Dynkin diagram for type B is of the form



Cluster Subdivision - Type B

Proposition

For the root system Φ of type B_n the following hold:

- $\ell_i(\Phi)$ is equal to the number of partitions $\pi \in \text{NC}^B(n)$ with no zero block and i pairs $\{B, -B\}$ of nonzero blocks, such that every positive singleton block of π is nested,
- $\xi_i(\Phi)$ is equal to the number of partitions $\pi \in \text{NC}^B(n)$ which have no zero block, no singleton block and a total of i pairs $\{B, -B\}$ of nonzero blocks.

Moreover, we have the explicit formula

$$\xi_i(\Phi) = \begin{cases} 0, & \text{if } i = 0 \\ \binom{n}{i} \binom{n-i-1}{i-1}, & \text{if } 1 \leq i \leq \lfloor n/2 \rfloor. \end{cases}$$

Cluster Subdivision - Type D

$$\sum_{i=0}^n \ell_i(\Phi)x^i = \begin{cases} 2x + 6x^2 + 2x^3, & \text{if } n = 4 \\ 3x + 18x^2 + 18x^3 + 3x^4, & \text{if } n = 5 \\ 4x + 40x^2 + 80x^3 + 40x^4 + 4x^5, & \text{if } n = 6 \\ 5x + 75x^2 + 250x^3 + 250x^4 + 75x^5 + 5x^6, & \text{if } n = 7 \end{cases}$$

$$\sum_{i=0}^{\lfloor n/2 \rfloor} \xi_i(\Phi)x^i = \begin{cases} 2x + 2x^2, & \text{if } n = 4 \\ 3x + 9x^2, & \text{if } n = 5 \\ 4x + 24x^2 + 8x^3, & \text{if } n = 6 \\ 5x + 50x^2 + 50x^3, & \text{if } n = 7 \\ 6x + 90x^2 + 180x^3 + 30x^4, & \text{if } n = 8 \end{cases}$$

Cluster Subdivision - Type D

$$\sum_{i=0}^n \ell_i(\Phi)x^i = \begin{cases} 2x + 6x^2 + 2x^3, & \text{if } n = 4 \\ 3x + 18x^2 + 18x^3 + 3x^4, & \text{if } n = 5 \\ 4x + 40x^2 + 80x^3 + 40x^4 + 4x^5, & \text{if } n = 6 \\ 5x + 75x^2 + 250x^3 + 250x^4 + 75x^5 + 5x^6, & \text{if } n = 7 \end{cases}$$

$$\sum_{i=0}^{\lfloor n/2 \rfloor} \xi_i(\Phi)x^i = \begin{cases} 2x + 2x^2, & \text{if } n = 4 \\ 3x + 9x^2, & \text{if } n = 5 \\ 4x + 24x^2 + 8x^3, & \text{if } n = 6 \\ 5x + 50x^2 + 50x^3, & \text{if } n = 7 \\ 6x + 90x^2 + 180x^3 + 30x^4, & \text{if } n = 8 \end{cases}$$

The Dynkin diagram for type D is of the form



Cluster Subdivision - Type D

Proposition

For the root system Φ of type D_n we have

$$\ell_1(\Gamma(\Phi), x) = (n-2) \cdot x C_{n-1}(x).$$

Moreover, we have the explicit formulas

$$\ell_i(\Phi) = \begin{cases} 0, & \text{if } i = 0 \\ \frac{n-2}{i} \binom{n-1}{i-1} \binom{n-2}{i-1}, & \text{if } 1 \leq i \leq n \end{cases}$$

and

$$\xi_i(\Phi) = \frac{n-2}{i} \binom{2i-2}{i-1} \binom{n-2}{2i-2}, \text{ for } 1 \leq i \leq \lfloor n/2 \rfloor.$$

Cluster Subdivision

For the exceptional types we have

$$\sum_{i=0}^{\lfloor n/2 \rfloor} \xi_i(\Phi)x^i = \begin{cases} (m-2)x, & \text{if } \Phi = I_2(m) \\ 8x, & \text{if } \Phi = H_3 \\ 42x + 40x^2, & \text{if } \Phi = H_4 \\ 10x + 9x^2, & \text{if } \Phi = F_4 \\ 7x + 35x^2 + 13x^3, & \text{if } \Phi = E_6 \\ 16x + 124x^2 + 112x^3, & \text{if } \Phi = E_7 \\ 44x + 484x^2 + 784x^3 + 120x^4, & \text{if } \Phi = E_8. \end{cases}$$

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Corollary

For every root system Φ the local γ -vector of $\Gamma(\Phi)$ is nonnegative.

Barycentric Subdivision

Vertices of $\text{sd}(2^V)$: $F \subseteq V$

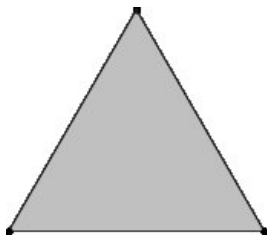
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Example:

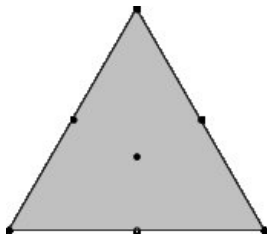


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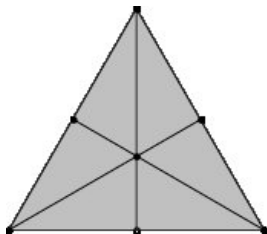


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Example:



Barycentric Subdivision

Theorem (Stanley)

$$\ell_V(\text{sd}(2^V), x) = \sum_{w \in \mathcal{D}_n} x^{\text{ex}(w)},$$

where \mathcal{D}_n is the set of derangements (permutations with no fixed points) in \mathcal{S}_n and $\text{ex}(w) = |\{i : w(i) > i\}|$.

Barycentric Subdivision

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This polynomial, known as the derangement polynomial $d_n(x)$ of order n , has been studied by

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- Brenti (1990)
- Stembridge (1992)
- Zhang (1995)
- Chen, Tang, Zhao (2009).

Barycentric Subdivision

For the first few values of n we have

$$d_n(x) = \begin{cases} x, & \text{if } n = 2 \\ x + x^2, & \text{if } n = 3 \\ x + 7x^2 + x^3, & \text{if } n = 4 \\ x + 21x^2 + 21x^3 + x^4, & \text{if } n = 5 \\ x + 51x^2 + 161x^3 + 51x^4 + x^5, & \text{if } n = 6. \end{cases}$$

Barycentric Subdivision

Theorem

Let $(\xi_0, \xi_1, \dots, \xi_{\lfloor n/2 \rfloor})$ be the local γ -vector of the barycentric subdivision $\text{sd}(2^V)$ of the $(n-1)$ -dimensional simplex 2^V . Then ξ_i is equal to each of the following:

- (i) the number of permutations $w \in \mathcal{S}_n$ with i runs and no run of length one,
- (ii) the number of derangements $w \in \mathcal{D}_n$ with i excedances and no double excedance,
- (iii) the number of permutations $w \in \mathcal{S}_n$ with i descents and no double descent, such that every left to right maximum of w is a descent.

Barycentric Subdivision

$$\sum_{i=0}^{\lfloor n/2 \rfloor} \xi_i x^i = \begin{cases} x, & \text{if } n = 2, 3 \\ x + 5x^2, & \text{if } n = 4 \\ x + 18x^2, & \text{if } n = 5 \\ x + 47x^2 + 61x^3, & \text{if } n = 6 \end{cases}$$

Barycentric Subdivision

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For example we have the following permutations in \mathcal{S}_4 with no run of length one

1234	13.24	14.23
23.14	24.13	34.12.

Such permutations have been studied by Gessel.

Open Problems

- A more conceptual proof for the cluster subdivision of type D in the spirit of those of type A and B .

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Open Problems

- A more conceptual proof for the cluster subdivision of type D in the spirit of those of type A and B .
- Uniform interpretations for $\ell_i(\Phi)$ and $\xi_i(\Phi)$ for all types Φ .
- Real-rootness for the local h -polynomial and the local γ -polynomial of the cluster subdivision.
- The local h -polynomial and the local γ -polynomial of the barycentric subdivision of an arbitrary subdivision of the simplex.

Thank you all for your attention!



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