

# Cluster algebras and Lie theory, III

**Bernard Leclerc,  
Université de Caen**

Séminaire Lotharingien de Combinatoire 69  
Strobl, 12 septembre 2012

The map  $M \mapsto \varphi_M$

## The map $M \mapsto \varphi_M$

- For  $M \in \text{mod } \Lambda$  and  $\mathbf{i} = (i_1, \dots, i_d)$  let  $\mathcal{F}_{M, \mathbf{i}}$  be the variety of composition series of  $M$  of type  $\mathbf{i}$ :

$$\{0\} = M_0 \subset M_1 \subset M_2 \subset \cdots \subset M_d = M$$

with  $M_j/M_{j-1} \cong \mathbf{S}_{i_j}$ . (A projective variety.)

## The map $M \mapsto \varphi_M$

- For  $M \in \text{mod } \Lambda$  and  $\mathbf{i} = (i_1, \dots, i_d)$  let  $\mathcal{F}_{M, \mathbf{i}}$  be the variety of composition series of  $M$  of type  $\mathbf{i}$ :

$$\{0\} = M_0 \subset M_1 \subset M_2 \subset \cdots \subset M_d = M$$

with  $M_j/M_{j-1} \cong \mathbf{S}_{i_j}$ . (A projective variety.)

- $\chi_{M, \mathbf{i}} := \chi(\mathcal{F}_{M, \mathbf{i}}) \in \mathbb{Z}$  (Euler characteristic).

## The map $M \mapsto \varphi_M$

- For  $M \in \text{mod } \Lambda$  and  $\mathbf{i} = (i_1, \dots, i_d)$  let  $\mathcal{F}_{M, \mathbf{i}}$  be the variety of composition series of  $M$  of type  $\mathbf{i}$ :

$$\{0\} = M_0 \subset M_1 \subset M_2 \subset \dots \subset M_d = M$$

with  $M_j/M_{j-1} \cong \mathcal{S}_{i_j}$ . (A projective variety.)

- $\chi_{M, \mathbf{i}} := \chi(\mathcal{F}_{M, \mathbf{i}}) \in \mathbb{Z}$  (Euler characteristic).

### Theorem (Lusztig, Geiss-L-Schröer)

There exists a unique  $\varphi_M \in \mathbb{C}[N]$  such that for all  $\mathbf{j} = (j_1, \dots, j_k)$

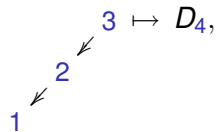
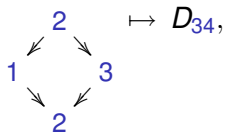
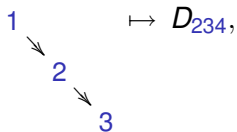
$$\varphi_M(x_{j_1}(t_1) \cdots x_{j_k}(t_k)) = \sum_{\mathbf{a} \in \mathbb{N}^k} \chi_{M, \mathbf{j}^{\mathbf{a}}} \frac{t_1^{a_1} \cdots t_k^{a_k}}{a_1! \cdots a_k!}$$

where  $\mathbf{j}^{\mathbf{a}} = (\underbrace{j_1, \dots, j_1}_{a_1}, \dots, \underbrace{j_k, \dots, j_k}_{a_k})$

The map  $M \mapsto \varphi_M$  : type  $A_3$

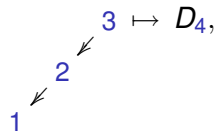
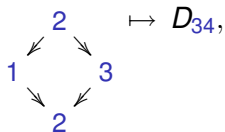
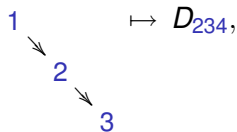
# The map $M \mapsto \varphi_M$ : type $A_3$

- Indecomposable projectives:

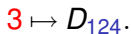
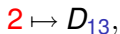
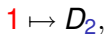
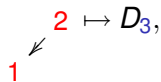
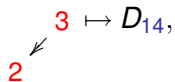
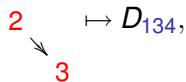
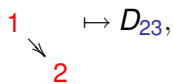
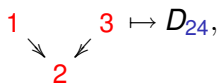
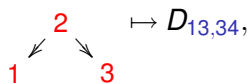


# The map $M \mapsto \varphi_M : \text{type } A_3$

- Indecomposable projectives:



- Other indecomposables:





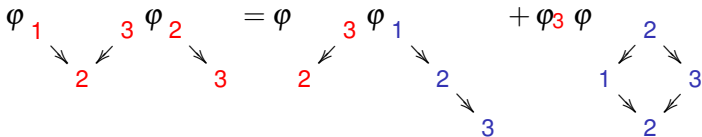
- How should we interpret *mutations* in mod  $\Lambda$  ?

- How should we interpret mutations in mod  $\Lambda$  ?

$$D_{24}D_{134} = D_{14}D_{234} + D_{124}D_{34}$$

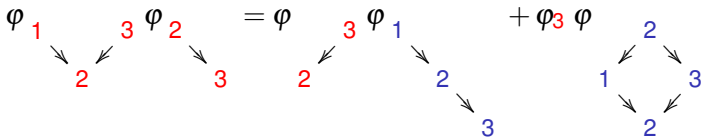
- How should we interpret mutations in mod  $\Lambda$  ?

$$D_{24}D_{134} = D_{14}D_{234} + D_{124}D_{34}$$

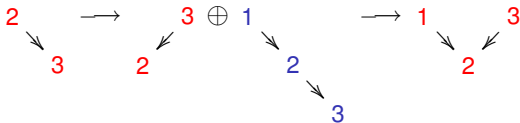


- How should we interpret mutations in mod  $\Delta$  ?

$$D_{24}D_{134} = D_{14}D_{234} + D_{124}D_{34}$$

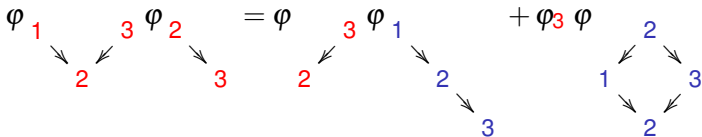


- We have two short exact sequences:

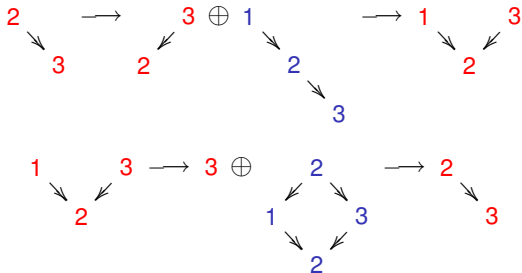


- How should we interpret mutations in mod  $\Delta$  ?

$$D_{24}D_{134} = D_{14}D_{234} + D_{124}D_{34}$$



- We have two short exact sequences:



# Multiplicative properties of $\varphi$

# Multiplicative properties of $\varphi$

## Theorem (Geiss-L-Schröer)

- for every  $M, L \in \text{mod } \Lambda$ ,  $\varphi_M \varphi_L = \varphi_{M \oplus L}$
- if  $\dim \text{Ext}_{\Lambda}^1(M, L) = \dim \text{Ext}_{\Lambda}^1(L, M) = 1$  then

$$\varphi_M \varphi_L = \varphi_X + \varphi_Y,$$

where  $0 \rightarrow M \rightarrow X \rightarrow L \rightarrow 0$  and  $0 \rightarrow L \rightarrow Y \rightarrow M \rightarrow 0$  are the two non-split short exact sequences.

# Multiplicative properties of $\varphi$

## Theorem (Geiss-L-Schröer)

- for every  $M, L \in \text{mod } \Lambda$ ,  $\varphi_M \varphi_L = \varphi_{M \oplus L}$
- if  $\dim \text{Ext}_{\Lambda}^1(M, L) = \dim \text{Ext}_{\Lambda}^1(L, M) = 1$  then

$$\varphi_M \varphi_L = \varphi_X + \varphi_Y,$$

where  $0 \rightarrow M \rightarrow X \rightarrow L \rightarrow 0$  and  $0 \rightarrow L \rightarrow Y \rightarrow M \rightarrow 0$  are the two non-split short exact sequences.

- for every  $M, L \in \text{mod } \Lambda$ ,  $\dim \text{Ext}_{\Lambda}^1(M, L) = \dim \text{Ext}_{\Lambda}^1(L, M)$ .



# Rigid $\Lambda$ -modules

# Rigid $\Lambda$ -modules

## Definition

$M \in \text{mod } \Lambda$  is **rigid** if  $\text{Ext}_{\Lambda}^1(M, M) = 0$ .

# Rigid $\Lambda$ -modules

## Definition

$M \in \text{mod } \Lambda$  is **rigid** if  $\text{Ext}_{\Lambda}^1(M, M) = 0$ .

- $r := \#$  positive roots of  $Q = \dim N$ .

# Rigid $\Lambda$ -modules

## Definition

$M \in \text{mod } \Lambda$  is **rigid** if  $\text{Ext}_{\Lambda}^1(M, M) = 0$ .

- $r := \#$  positive roots of  $Q = \dim N$ .

## Theorem (Geiss-Schröer)

A rigid  $\Lambda$ -module has at most  $r$  non-isomorphic indecomposable direct summands.

# Rigid $\Lambda$ -modules

## Definition

$M \in \text{mod } \Lambda$  is **rigid** if  $\text{Ext}_{\Lambda}^1(M, M) = 0$ .

- $r := \#$  positive roots of  $Q = \dim N$ .

## Theorem (Geiss-Schröer)

A rigid  $\Lambda$ -module has at most  $r$  non-isomorphic indecomposable direct summands.

- A rigid  $\Lambda$ -module  $T$  with  $r$  non-isomorphic indecomposable direct summands is called **maximal rigid**.

# Rigid $\Lambda$ -modules

## Definition

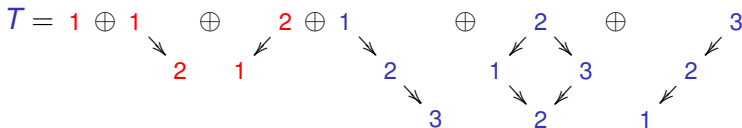
$M \in \text{mod } \Lambda$  is **rigid** if  $\text{Ext}_{\Lambda}^1(M, M) = 0$ .

- $r := \#$  positive roots of  $Q = \dim N$ .

## Theorem (Geiss-Schröer)

A rigid  $\Lambda$ -module has at most  $r$  non-isomorphic indecomposable direct summands.

- A rigid  $\Lambda$ -module  $T$  with  $r$  non-isomorphic indecomposable direct summands is called **maximal rigid**.
- Example in type  $A_3$ :



# Rigid $\Lambda$ -modules

- Let  $T = T_1 \oplus \cdots \oplus T_r$  be maximal rigid and  $B := \text{End}_{\Lambda} T$ .

## Rigid $\Lambda$ -modules

- Let  $T = T_1 \oplus \cdots \oplus T_r$  be maximal rigid and  $B := \text{End}_{\Lambda} T$ .  
Let  $\Gamma_T$  be the Gabriel quiver of  $B$ .



# Rigid $\Lambda$ -modules

- Let  $T = T_1 \oplus \cdots \oplus T_r$  be maximal rigid and  $B := \text{End}_{\Lambda} T$ .  
Let  $\Gamma_T$  be the Gabriel quiver of  $B$ .

Theorem (Geiss-L-Schröer)

$\Gamma_T$  has no loops nor 2-cycles.

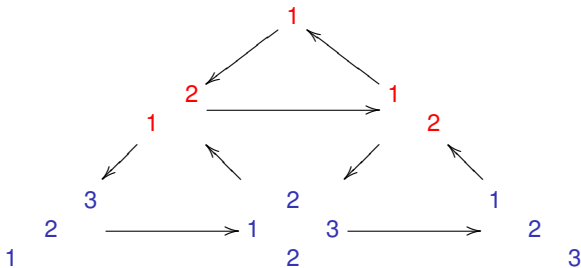
# Rigid $\Lambda$ -modules

- Let  $T = T_1 \oplus \dots \oplus T_r$  be maximal rigid and  $B := \text{End}_{\Lambda} T$ .  
Let  $\Gamma_T$  be the Gabriel quiver of  $B$ .

**Theorem (Geiss-L-Schröer)**

$\Gamma_T$  has no loops nor 2-cycles.

- Example in type  $A_3$ :



# Rigid $\Lambda$ -modules

- Define  $\Sigma(T) := ((\varphi_{T_1}, \dots, \varphi_{T_r}), \Gamma_T)$

# Rigid $\Lambda$ -modules

- Define  $\Sigma(T) := ((\varphi_{T_1}, \dots, \varphi_{T_r}), \Gamma_T)$

## Theorem (Geiss-L-Schröer)

There exists an explicit maximal rigid module  $U$  such that  $\Sigma(U)$  is one of the initial seeds of the BFZ cluster structure of  $\mathbb{C}[N]$ .

# Rigid $\Lambda$ -modules

- Define  $\Sigma(T) := ((\varphi_{T_1}, \dots, \varphi_{T_r}), \Gamma_T)$

## Theorem (Geiss-L-Schröer)

There exists an explicit maximal rigid module  $U$  such that  $\Sigma(U)$  is one of the initial seeds of the BFZ cluster structure of  $\mathbb{C}[N]$ .

- Let  $T_k$  be a non-projective indecomposable summand of  $T$ .

# Rigid $\Lambda$ -modules

- Define  $\Sigma(T) := ((\varphi_{T_1}, \dots, \varphi_{T_r}), \Gamma_T)$

## Theorem (Geiss-L-Schröer)

There exists an explicit maximal rigid module  $U$  such that  $\Sigma(U)$  is one of the initial seeds of the BFZ cluster structure of  $\mathbb{C}[N]$ .

- Let  $T_k$  be a non-projective indecomposable summand of  $T$ .

## Theorem (Geiss-L-Schröer)

There exists a unique indecomposable  $T_k^*$  such that  $(T/T_k) \oplus T_k^*$  is rigid.

# Rigid $\Lambda$ -modules

- Define  $\Sigma(T) := ((\varphi_{T_1}, \dots, \varphi_{T_r}), \Gamma_T)$

## Theorem (Geiss-L-Schröer)

There exists an explicit maximal rigid module  $U$  such that  $\Sigma(U)$  is one of the initial seeds of the BFZ cluster structure of  $\mathbb{C}[N]$ .

- Let  $T_k$  be a non-projective indecomposable summand of  $T$ .

## Theorem (Geiss-L-Schröer)

There exists a unique indecomposable  $T_k^*$  such that  $(T/T_k) \oplus T_k^*$  is rigid.

Define  $\mu_k(T) := (T/T_k) \oplus T_k^*$ , the **mutation** of  $T$  in direction  $k$ .

# Rigid $\Lambda$ -modules

## Theorem (Geiss-L-Schröer)

- $\Sigma(\mu_k(T)) = \mu_k(\Sigma(T))$ .



# Rigid $\Lambda$ -modules

## Theorem (Geiss-L-Schröer)

- $\Sigma(\mu_k(T)) = \mu_k(\Sigma(T))$ .
- $T \mapsto \Sigma(T)$  gives a 1-to-1 correspondence between maximal rigid modules in the mutation class of  $U$  and clusters of  $\mathbb{C}[N]$ .

# Rigid $\Lambda$ -modules

## Theorem (Geiss-L-Schröer)

- $\Sigma(\mu_k(T)) = \mu_k(\Sigma(T))$ .
- $T \mapsto \Sigma(T)$  gives a 1-to-1 correspondence between maximal rigid modules in the mutation class of  $U$  and clusters of  $\mathbb{C}[N]$ .
- Every cluster monomial belongs to the **dual semicanonical basis** of  $\mathbb{C}[N]$ .

# Rigid $\Lambda$ -modules

## Theorem (Geiss-L-Schröer)

- $\Sigma(\mu_k(T)) = \mu_k(\Sigma(T))$ .
- $T \mapsto \Sigma(T)$  gives a 1-to-1 correspondence between maximal rigid modules in the mutation class of  $U$  and clusters of  $\mathbb{C}[N]$ .
- Every cluster monomial belongs to the **dual semicanonical basis** of  $\mathbb{C}[N]$ .

Open problem:

# Rigid $\Lambda$ -modules

## Theorem (Geiss-L-Schröer)

- $\Sigma(\mu_k(T)) = \mu_k(\Sigma(T))$ .
- $T \mapsto \Sigma(T)$  gives a 1-to-1 correspondence between maximal rigid modules in the mutation class of  $U$  and clusters of  $\mathbb{C}[N]$ .
- Every cluster monomial belongs to the **dual semicanonical basis** of  $\mathbb{C}[N]$ .

### Open problem:

Does every cluster monomial belong to the dual **canonical** basis of  $\mathbb{C}[N]$  ?

# Quantum affine algebras

# Quantum affine algebras

- $\mathfrak{g}$ , simple Lie algebra over  $\mathbb{C}$  of type  $A_n, D_n, E_n$

# Quantum affine algebras

- $\mathfrak{g}$ , simple Lie algebra over  $\mathbb{C}$  of type  $A_n, D_n, E_n$
- $L\mathfrak{g} = \mathfrak{g} \otimes \mathbb{C}[t, t^{-1}]$ , loop algebra of  $\mathfrak{g}$

# Quantum affine algebras

- $\mathfrak{g}$ , simple Lie algebra over  $\mathbb{C}$  of type  $A_n, D_n, E_n$
- $L\mathfrak{g} = \mathfrak{g} \otimes \mathbb{C}[t, t^{-1}]$ , loop algebra of  $\mathfrak{g}$
- $U_q(L\mathfrak{g})$ , quantum enveloping algebra of  $L\mathfrak{g}$



# Quantum affine algebras

- $\mathfrak{g}$ , simple Lie algebra over  $\mathbb{C}$  of type  $A_n, D_n, E_n$
- $L\mathfrak{g} = \mathfrak{g} \otimes \mathbb{C}[t, t^{-1}]$ , loop algebra of  $\mathfrak{g}$
- $U_q(L\mathfrak{g})$ , quantum enveloping algebra of  $L\mathfrak{g}$

Finite dimensional representations of  $U_q(L\mathfrak{g})$

# Quantum affine algebras

- $\mathfrak{g}$ , simple Lie algebra over  $\mathbb{C}$  of type  $A_n, D_n, E_n$
- $L\mathfrak{g} = \mathfrak{g} \otimes \mathbb{C}[t, t^{-1}]$ , loop algebra of  $\mathfrak{g}$
- $U_q(L\mathfrak{g})$ , quantum enveloping algebra of  $L\mathfrak{g}$

Finite dimensional representations of  $U_q(L\mathfrak{g})$

⚡ [St-Petersburg school, Kyoto school, 80's]

Trigonometric solutions of the Yang-Baxter equation

# Quantum affine algebras

- $\mathfrak{g}$ , simple Lie algebra over  $\mathbb{C}$  of type  $A_n, D_n, E_n$
- $L\mathfrak{g} = \mathfrak{g} \otimes \mathbb{C}[t, t^{-1}]$ , loop algebra of  $\mathfrak{g}$
- $U_q(L\mathfrak{g})$ , quantum enveloping algebra of  $L\mathfrak{g}$

Finite dimensional representations of  $U_q(L\mathfrak{g})$

⚡ [St-Petersburg school, Kyoto school, 80's]

Trigonometric solutions of the Yang-Baxter equation

⚡ [Yang, Baxter, 1970]

Boltzmann weights of integrable models of statistical mechanics

# Open problems

## Open problems

- “Many” simple  $U_q(\mathfrak{Lg})$ -modules are tensor products of smaller simples.

# Open problems

- “Many” simple  $U_q(\mathfrak{Lg})$ -modules are tensor products of smaller simples.

## Problem

- What are the **prime** simples ?

# Open problems

- “Many” simple  $U_q(\mathfrak{Lg})$ -modules are tensor products of smaller simples.

## Problem

- What are the **prime** simples ?
- What is the prime factorization of an arbitrary simple ?

# Open problems

- “Many” simple  $U_q(\mathfrak{Lg})$ -modules are tensor products of smaller simples.

## Problem

- What are the **prime** simples ?
- What is the prime factorization of an arbitrary simple ?
- Which products of primes are simple ?



# Open problems

- “Many” simple  $U_q(\mathfrak{Lg})$ -modules are tensor products of smaller simples.

## Problem

- What are the **prime** simples ?
  - What is the prime factorization of an arbitrary simple ?
  - Which products of primes are simple ?
- 
- Chari-Pressley (1991): full answer for  $U_q(\mathfrak{Lsl}_2)$ .

# Open problems

- “Many” simple  $U_q(\mathfrak{Lg})$ -modules are tensor products of smaller simples.

## Problem

- What are the **prime** simples ?
  - What is the prime factorization of an arbitrary simple ?
  - Which products of primes are simple ?
- 
- Chari-Pressley (1991): full answer for  $U_q(\mathfrak{Lsl}_2)$ .
  - Hernandez-L; Nakajima (2009): partial answer for  $U_q(\mathfrak{Lg})$ .

# Quantum affine algebras

Theorem (Hernandez-Leclerc 2009, Nakajima 2009)

- There exists a cluster algebra structure on the Grothendieck ring of certain tensor **subcategories** of  $\text{mod } U_q(\mathfrak{Lg})$ .
- Cluster variables are classes of **prime** simple modules.

# Quantum affine algebras

Theorem (Hernandez-Leclerc 2009, Nakajima 2009)

- There exists a cluster algebra structure on the Grothendieck ring of certain tensor subcategories of  $\text{mod } U_q(\mathfrak{Lg})$ .
- Cluster variables are classes of prime simple modules.
- [HL], types  $A_n, D_4$ , combinatorial method

# Quantum affine algebras

## Theorem (Hernandez-Leclerc 2009, Nakajima 2009)

- There exists a cluster algebra structure on the Grothendieck ring of certain tensor subcategories of  $\text{mod } U_q(\mathfrak{Lg})$ .
- Cluster variables are classes of prime simple modules.
- [HL], types  $A_n, D_4$ , combinatorial method
- [N], types  $A_n, D_n, E_n$ , geometric method

# Quantum affine algebras

## Theorem (Hernandez-Leclerc 2009, Nakajima 2009)

- There exists a cluster algebra structure on the Grothendieck ring of certain tensor subcategories of  $\text{mod } U_q(\mathfrak{Lg})$ .
  - Cluster variables are classes of prime simple modules.
  - [HL], types  $A_n, D_4$ , combinatorial method
  - [N], types  $A_n, D_n, E_n$ , geometric method
- $\rightsquigarrow$  new combinatorial and geometric formulas for certain irreducible characters of  $U_q(\mathfrak{Lg})$ .

## References

- S. Fomin, A. Zelevinsky, *Cluster algebras I: Foundations*, J. Amer. Math. Soc. **15** (2002), 497–529.
- S. Fomin, A. Zelevinsky, *Cluster algebras II: Finite type classification*, Invent. Math. **154** (2003), 63–121.
- A. Zelevinsky, *What is a cluster algebra ?*, Notices of the AMS **54**, 11, (2007), 1494–1495.
- S. Fomin, *Cluster algebra portal*,  
<http://www.math.lsa.umich.edu/>
- B. Leclerc, *Cluster algebras and representation theory*, ICM 2010 Hyderabad, arXiv:1009.4552.