

Enumeration of minimal acyclic automata

Parking functions

Séminaire Lotharingien de Combinatoire '75

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Université Paris-Sud



Tuesday, September 8th 2015

Acyclic automata

Generalized parking functions

Bijection: $(n-1)$ ADFA–parking functions

Minimal automata

Acyclic deterministic finite automata

Let Σ be an alphabet of k symbols.

An *acyclic automaton* of n states on Σ : (i, A, δ)

- $i \in [n]$ an initial state ,
- $A \subset [n]$ a set of accepting states,
- $\delta : [n] \times \Sigma \rightarrow [n] \cup \{\emptyset\}$ a transition function

such that

$$\forall q \in [n], \forall w \in \Sigma^+, \quad \delta^*(q, w) \neq q.$$

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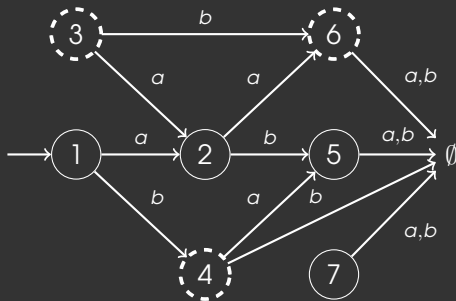
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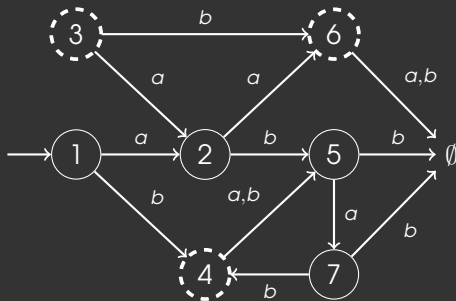
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where \emptyset is the *absorbing state* and δ^* is the transition function extended to words.

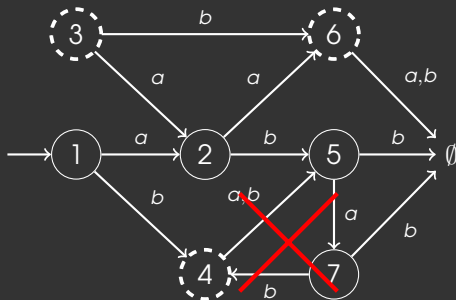
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Theorem:

$$\mathcal{T}_k(n) = \sum_{s=1}^n (-1)^{s-1} \binom{n}{s} (n-s+1)^{ks} \mathcal{T}_k(n-s)$$

with $\mathcal{T}_k(0) = 1$.

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Particular case: J. KUNG & C. YAN, 2003

$$\mathcal{P}(\chi; n) = \sum_{s=1}^n (-1)^{s-1} \binom{n}{s} \chi(n-s+1)^s \mathcal{P}(\chi; n-s)$$

with $\chi : \mathbb{N} \rightarrow \mathbb{N}$ a non-decreasing map.

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Bijection: Transition fct \longleftrightarrow Generalized parking fct

with $\chi(m) := m^k$.

Theorem:

$$\mathcal{T}_k(n) = \sum_{s=1}^n (-1)^{s-1} \binom{n}{s} 2^s (n-s+1)^{ks} \mathcal{T}_k(n-s)$$

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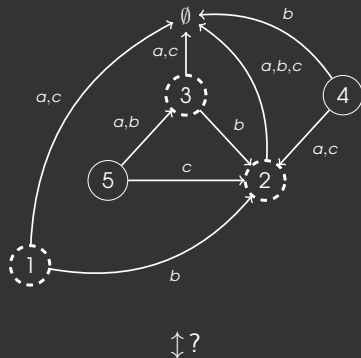
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Bijection?



Generalized parking function

Acyclic automata

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Parking function

Generalization

Bijection: $(n-1)$ ADFA–parking functions

Minimal automata

Parking function

KONHEIM and WEISS, 1966

Combinatorial object : Modeling hashes

$$f : [n] \rightarrow \mathbb{N}_+ \quad \text{such that} \quad \#f^{-1}([k]) \geq k$$

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Parking functions on $\{1, 2, 3\}$: $\mathcal{F}[3]$

111, 112, 121, 211, 122, 212, 221, 113, 131, 311,
123, 132, 312, 213, 231, 321

where f denotes $f(1)f(2)f(3)$.

Generalized parking function

STANLEY and PITMAN, 2002; KUNG and YAN, 2003.

χ -parking function: Let $\chi : \mathbb{N}_+ \rightarrow \mathbb{N}$ be non-decreasing.

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Example: $\mathcal{F}_\chi[2]$ with $\chi : m \mapsto m^2$

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Notation: $\mathcal{F}_\chi \simeq \mathcal{F}_{m^2}$

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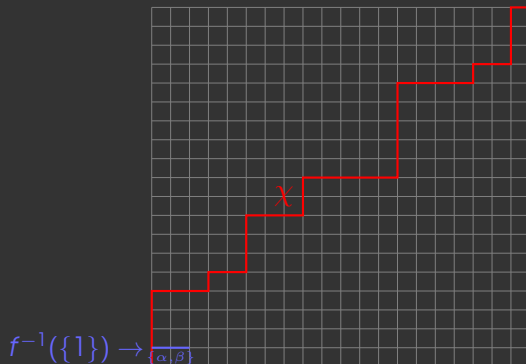
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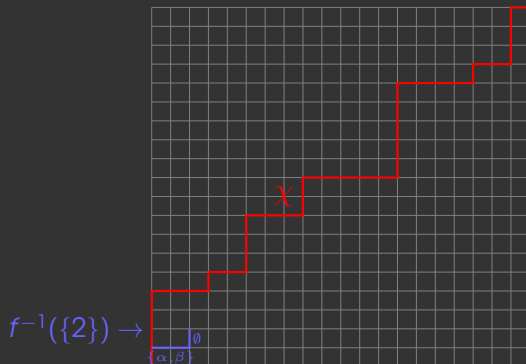
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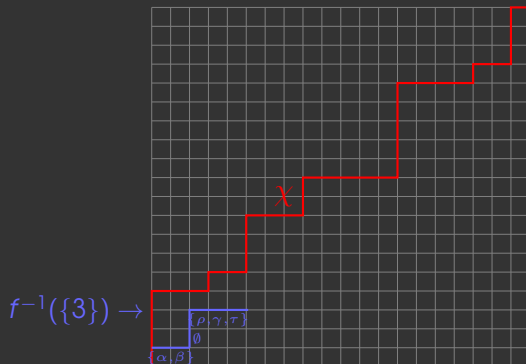
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Generalized parking function (2)

Equivalent definition: P. and VIRMAUX, 2015

$(Q_i)_{i \in \mathbb{N}_+}$ a sequence of disjoint subsets of $[n]$

such that

$$\sum_{i=1}^{x(k)} \#Q_i \geq k, \quad \text{for any } k \in [n].$$

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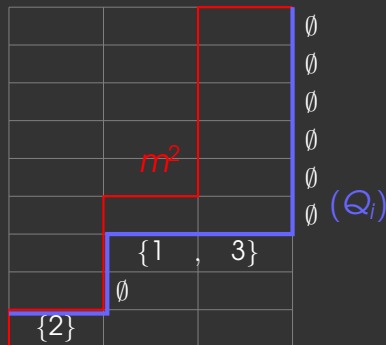
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Graphic representation

Let $(Q_i) := (2 | \cdot | 13 | \cdot | \cdot | \cdot | \cdot | \cdot)$ be a \mathcal{F}_{m^2} -structure on $\{1, 2, 3\}$.



Acyclic automata

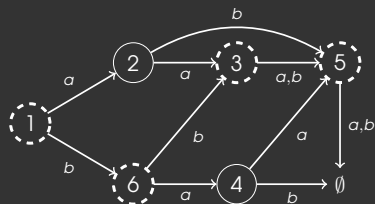
Generalized parking functions

Bijection: $(n-i)$ A DFA–parking functions

Minimal automata

Goal

Explicit bijection:



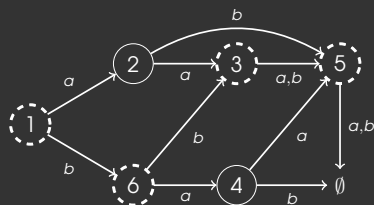
\longleftrightarrow

(Q_i)

$$\begin{aligned} Q_2 &= \{5\}, & Q_{24} &= \{6\}, \\ Q_5 &= \{4\}, & Q_{27} &= \{2\}, \\ Q_8 &= \{3\}, & Q_{72} &= \{1\}. \end{aligned}$$

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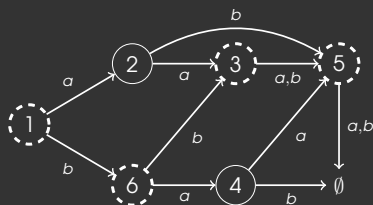
(non-initial) ADFA (A, δ) over an alphabet of k symbols

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Minimal automata

Co-reachability

Simplicity

Reachability

Extended automaton

Conclusion

Co-reachability

Let (A, δ) be a (non-initial) ADFA.

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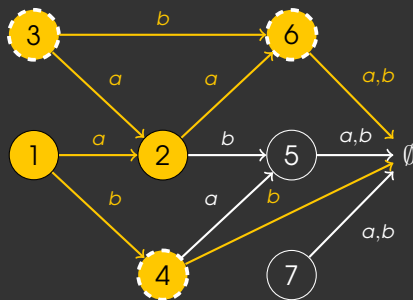
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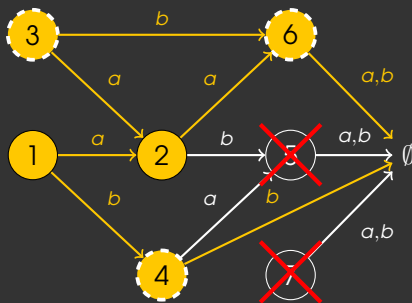


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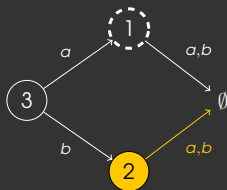
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Yep but...

$$(Q_i) := \left(\begin{array}{c|ccc|ccc} 2 & \cdot & \cdot & \cdot & \cdot & 3 & \cdot & \cdot & \cdot \\ \hline 1 & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \end{array} \right) \begin{array}{l} \notin A \\ \in A \end{array}$$

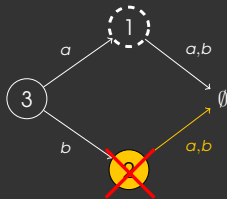
<i>a</i>	∅	∅	2	2	∅	2	1	1	1
<i>b</i>	∅	2	∅	2	1	1	∅	2	1



Yep but...

$$(Q_i) := \left(\begin{array}{c|cccc|cccc} \cancel{2} & \cdot & \cdot & \cdot & \cdot & 3 & \cdot & \cdot & \cdot \\ \hline 1 & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \end{array} \right) \begin{array}{l} \notin A \\ \in A \end{array}$$

<i>a</i>	\emptyset	\emptyset	2	2	\emptyset	2	1	1	1
<i>b</i>	\emptyset	2	\emptyset	2	1	1	\emptyset	2	1



Co-reachability (2)

Let (Q_i) be a $2m^k$ -parking function.

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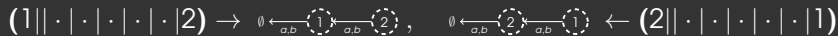
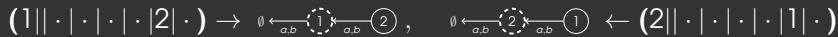
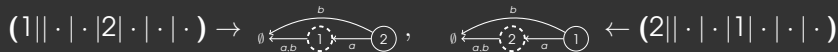
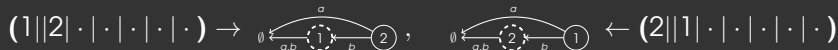
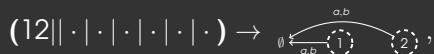
Fact: If $Q_1 = \emptyset$ then Θ is *co-reachable*.

Theorem:

$(n-i)$ co-reachable ADFA $\simeq (2m^k - 1)$ -parking functions

Co-reachability (3)

The 13 $(2m^2 - 1)$ -parking functions on $\{1, 2\}$ and the associated $(n-i)$ co-reachable A DFA :



Simplicity

Let (A, δ) be a (n-i) A DFA.

Right language:

$$L_q = \{ w \in \Sigma^* \mid \delta^*(q, w) \in A \}$$

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\rightsquigarrow Simple: (A, Σ) is *simple* iff $L_q \neq L_{q'}$
for each distinct $q, q' \in [n]$.

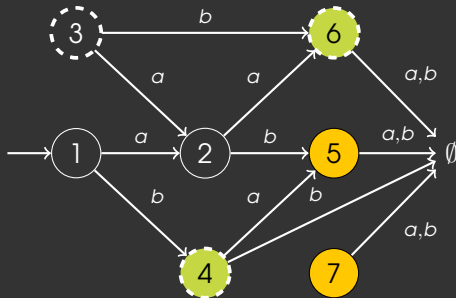
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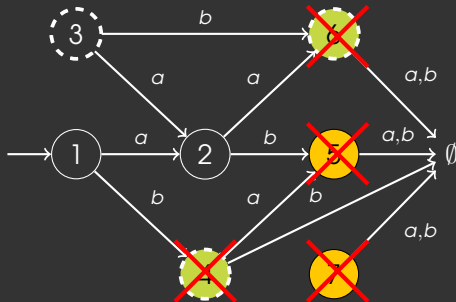
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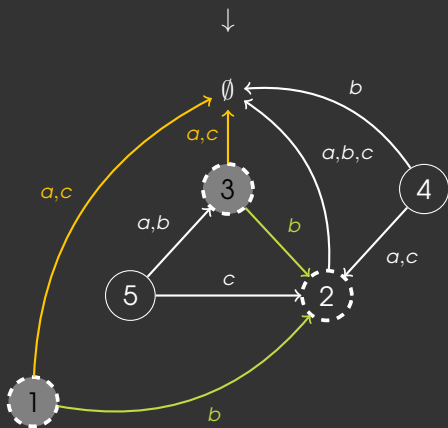
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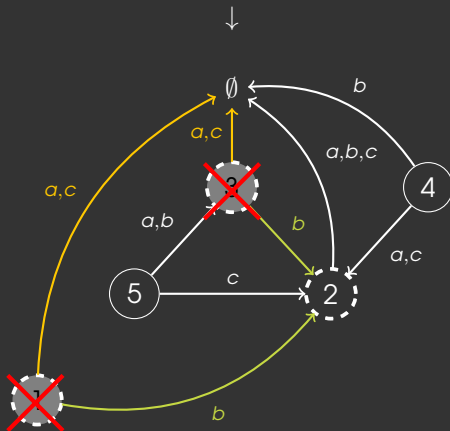
And the condition of simplicity?

$(Q_i) \in \mathcal{F}_{2m^3-1}[5]$ with $Q_1 = \{2\}$, $Q_4 = \{1, 3\}$, $Q_8 = \{4\}$ and $Q_{110} = \{5\}$



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Theorem:

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Proposition: The number of $(n-i)$ co-reachable and simple ADFA

$$S_k(n) = \sum_{i=1}^n (-1)^{i-1} S_k(n-i) \binom{2(n-i+1)^k - 1 - (n-i)}{i}$$

with $S_k(0) = 1$.

Reachability

Let (i, A, δ) be an A DFA.

Reachable:

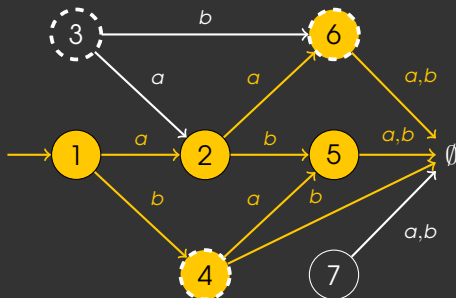
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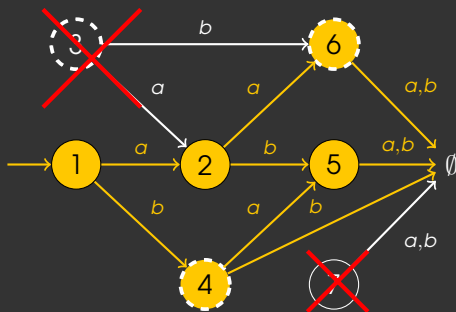


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Minimality

Minimal automaton:

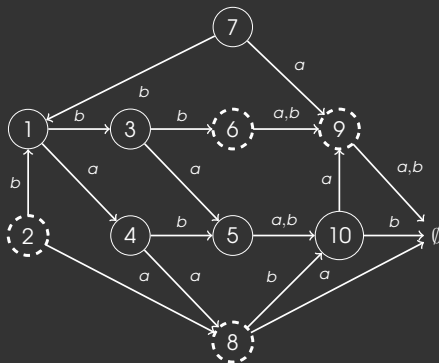
(i, A, δ) minimal

iff

{
reachable,
co-reachable,
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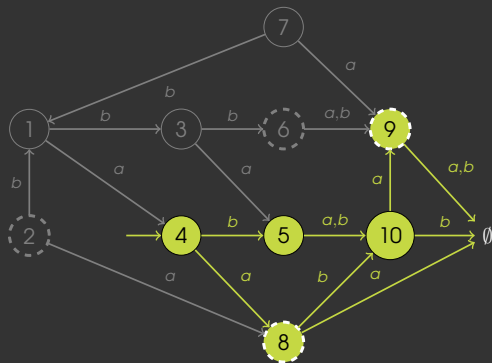
Reachability (2)

Let $\Theta := (A, \delta)$ (co-reachable and simple).



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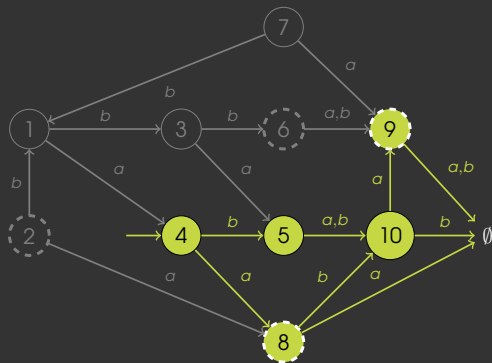
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Reachable part: $\Theta^{(4)}$

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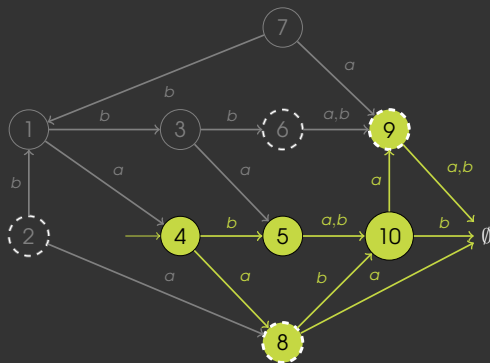


Reachable part: $\Theta^{(4)}$

Proposition: If Θ *co-reachable* and *simple* then $\Theta^{(a)}$ *minimal*.

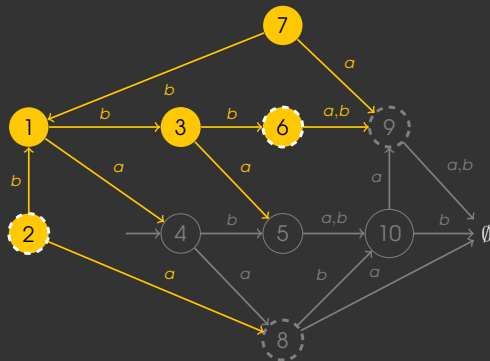
Extended automaton

Complement of $\Theta^{(4)}$: $\bar{\Theta}^{(4)}$



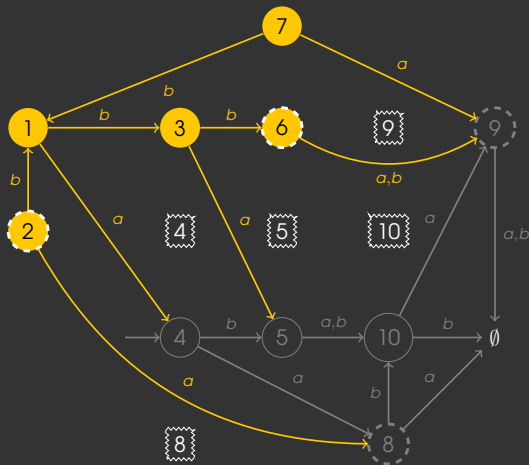
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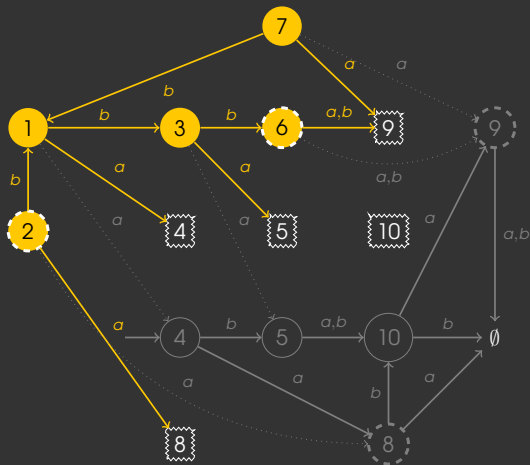
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An *extended automaton* of n states on Σ : (A, T, δ)

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with $\chi : m \mapsto 2(m+t)^k$.

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Let $\Theta = (i, A, \zeta)$ be a minimal ADFA.

Extended automata with constraint Θ :

$$\mathcal{S}^{(\Theta)} = \{ \Lambda \text{ co-reachable and simple} \mid \Lambda \cdot \Theta \text{ co-reachable and simple} \}$$

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Theorem: double counting

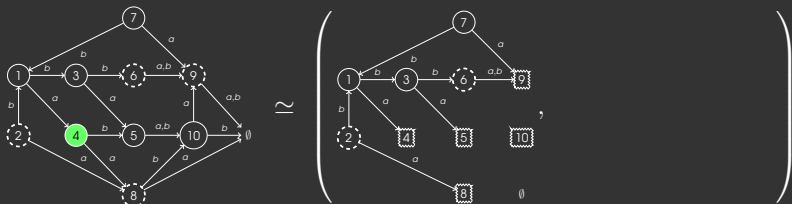


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and k is the number of symbols of Σ .

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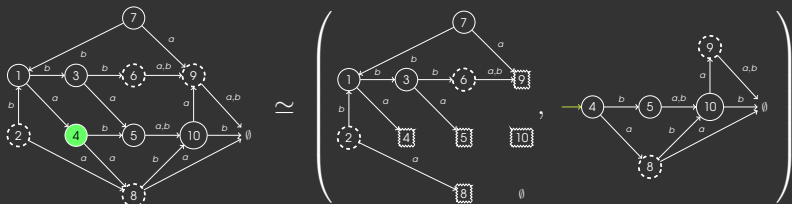
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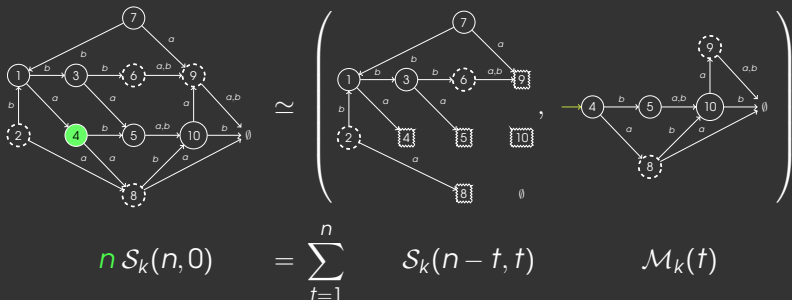
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Explicit bijection:

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Enumeration: minimal ADFA

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