# Robust energy transfer mechanism via precession resonance in nonlinear turbulent wave systems

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> > May 5th 2015

1 / 28

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- High-intensity lasers
- Nonlinear photonics

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- Rossby-Haurwitz planetary waves in the atmosphere
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### These systems are characterised by:

- Extreme events, localised in space and time
- Strong nonlinear energy exchanges
- Out-of-equilibrium dynamics: chaos & turbulence

<sup>&</sup>lt;sup>1</sup>Miguel D. Bustamante, Brenda Quinn, and Dan Lucas, *Robust energy transfer mechanism via precession resonance in nonlinear turbulent wave systems*, Phys. Rev. Lett. **113** (2014). 084502

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• Turbulence is stronger at intermediate amplitudes

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- Wave turbulence theory can be developed at intermediate amplitudes

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3 / 28

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Instead, our research <sup>1</sup> establishes the following:

- Turbulence is stronger at **intermediate amplitudes**
- Wave turbulence theory can be developed at intermediate amplitudes
- A new turbulence-generating mechanism is revealed:
   Precession resonance ⇒ strong energy transfers across scales
- We provide abundant evidence of this in a nonlinear PDE:
   Charney-Hasegawa-Mima equation

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• Widely used in numerical prediction of ocean waves <sup>2</sup>

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In real-life systems, hypotheses of classical wave turbulence do not hold:

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In real-life systems, hypotheses of classical wave turbulence do not hold:

- Amplitudes of the carrying fields are not infinitesimally small
- Spatial domains have a finite size
- Linear wave timescales are comparable with nonlinear oscillations' timescales

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## Discrete and Mesoscopic Wave Turbulence: A theory in development <sup>3 4 5</sup>

 Applications in nonlinear PDEs: Classical fluids – Quantum fluids – Nonlinear optics – Magneto-hydrodynamics – etc.

<sup>&</sup>lt;sup>3</sup>V. S. L'Vov and S. Nazarenko, *Discrete and mesoscopic regimes of finite-size wave turbulence*, Phys. Rev. E **82** (2010), 056322–1

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- We focus on the Charney-Hasegawa-Mima (CHM) equation, a PDE governing Rossby waves (atmosphere) and drift waves (plasmas):

$$(\nabla^2 - F)\frac{\partial \psi}{\partial t} + \beta \frac{\partial \psi}{\partial x} + \frac{\partial \psi}{\partial x} \frac{\partial \nabla^2 \psi}{\partial y} - \frac{\partial \psi}{\partial y} \frac{\partial \nabla^2 \psi}{\partial x} = 0.$$

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- ullet In the plasma case  $\psi(\mathbf{x},t)(\in\mathbb{R})$  is the electrostatic potential
- $\bullet$   $F^{-1/2}$  is the ion Larmor radius at the electron temperature
- ullet eta is a constant proportional to the mean plasma density gradient
- Periodic boundary conditions:  $\mathbf{x} \in [0, 2\pi)^2$
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5 / 28

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$$\psi(\mathbf{x},t) = \sum_{\mathbf{k} \in \mathbb{Z}^2} A_{\mathbf{k}}(t) \mathrm{e}^{\mathrm{i}\mathbf{k}\cdot\mathbf{x}} + \text{c. c.}$$
 Wavevector:  $\mathbf{k} = (k_{\mathsf{x}},k_{\mathsf{y}})$ 

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- ullet Components  $\overline{A_{f k}}(t)\,,\quad {f k}\in \mathbb{Z}^2$  satisfy the evolution equation

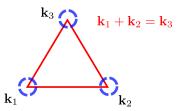
$$\dot{A}_{\mathbf{k}} + i \,\omega_{\mathbf{k}} \,A_{\mathbf{k}} = \frac{1}{2} \sum_{\mathbf{k}_1, \mathbf{k}_2 \in \mathbb{Z}^2} Z_{\mathbf{k}_1 \mathbf{k}_2}^{\mathbf{k}} \,\delta_{\mathbf{k}_1 + \mathbf{k}_2 - \mathbf{k}} \,A_{\mathbf{k}_1} \,A_{\mathbf{k}_2}$$
(1)

- $\omega_{\mathbf{k}} = \frac{-\beta k_{x}}{|\mathbf{k}|^{2} + F}$  (linear frequencies)
- $Z_{\mathbf{k}_1 \mathbf{k}_2}^{\mathbf{k}} = (k_{1x} k_{2y} k_{1y} k_{2x}) \frac{|\mathbf{k}_1|^2 |\mathbf{k}_2|^2}{|\mathbf{k}|^2 + F}$  (interaction coefficients)
- $\bullet~\delta$  is the Kronecker symbol

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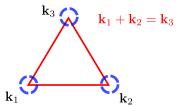
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- The modes A<sub>k</sub> interact in triads
- Triad's linear frequency mismatch:

$$\omega_{\mathbf{k}_1\mathbf{k}_2}^{\mathbf{k}_3} \equiv \omega_{\mathbf{k}_1} + \omega_{\mathbf{k}_2} - \omega_{\mathbf{k}_3}$$

$$A_{\mathbf{k}} + i \,\omega_{\mathbf{k}} \,A_{\mathbf{k}} = \frac{1}{2} \sum_{\mathbf{k}_{1}, \mathbf{k}_{2} \in \mathbb{Z}^{2}} Z_{\mathbf{k}_{1} \mathbf{k}_{2}}^{\mathbf{k}} \,\delta_{\mathbf{k}_{1} + \mathbf{k}_{2} - \mathbf{k}} \,A_{\mathbf{k}_{1}} \,A_{\mathbf{k}_{2}}.$$

Triad interactions: wavevectors satisfy  $\mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3$ 

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- Classical wave turbulence theory requires  $|A_k|$  "small" (weak nonlinearity)
  - So triad interactions with non-zero frequency mismatch are eliminated via a quasi-identity transformation

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7 / 28

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We consider inertial-range dynamics, i.e. no forcing and no dissipation: enstrophy cascades to small scales respect enstrophy conservation.

$$\dot{A}_{\mathbf{k}} + i \,\omega_{\mathbf{k}} \,A_{\mathbf{k}} = \frac{1}{2} \sum_{\mathbf{k}_1, \mathbf{k}_2 \in \mathcal{C}_N} Z_{\mathbf{k}_1 \mathbf{k}_2}^{\mathbf{k}} \,\delta_{\mathbf{k}_1 + \mathbf{k}_2 - \mathbf{k}} \,A_{\mathbf{k}_1} \,A_{\mathbf{k}_2} \,, \quad \mathbf{k} \in \mathcal{C}_N$$

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- Amplitude-phase representation:  $A_{\mathbf{k}} = \sqrt{n_{\mathbf{k}}} \exp(i \phi_{\mathbf{k}})$
- n<sub>k</sub>: Wave Spectrum

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- Exact conservation in time of  $E = \sum_{\mathbf{k} \in \mathbb{Z}^2} (|\mathbf{k}|^2 + F) n_{\mathbf{k}}$  (energy) and  $\mathcal{E} = \sum_{\mathbf{k} \in \mathbb{Z}^2} |\mathbf{k}|^2 (|\mathbf{k}|^2 + F) n_{\mathbf{k}}$  (enstrophy)

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- The truly dynamical degrees of freedom are any N-2 linearly independent triad phases  $\varphi_{\mathbf{k}_1\mathbf{k}_2}^{\mathbf{k}_3} \equiv \phi_{\mathbf{k}_1} + \phi_{\mathbf{k}_2} \phi_{\mathbf{k}_3}$  and the N wave spectrum variables  $n_{\mathbf{k}}$

CHM equation, Galerkin-truncated to N wavevectors: "Cluster"  $\mathcal{C}_N$ :

$$\dot{A}_{\mathbf{k}} + i \, \omega_{\mathbf{k}} \, A_{\mathbf{k}} = \frac{1}{2} \sum_{\mathbf{k}_1, \mathbf{k}_2 \in \mathcal{C}_N} Z_{\mathbf{k}_1 \mathbf{k}_2}^{\mathbf{k}} \, \delta_{\mathbf{k}_1 + \mathbf{k}_2 - \mathbf{k}} \, A_{\mathbf{k}_1} \, A_{\mathbf{k}_2} \,, \quad \mathbf{k} \in \mathcal{C}_N$$

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- The truly dynamical degrees of freedom are any N-2 linearly independent triad phases  $\varphi^{\mathbf{k}_3}_{\mathbf{k}_1\mathbf{k}_2} \equiv \phi_{\mathbf{k}_1} + \phi_{\mathbf{k}_2} \phi_{\mathbf{k}_3}$  and the N wave spectrum variables  $n_{\mathbf{k}}$
- These 2N-2 degrees of freedom form a **closed system**
- $\bullet$  Individual phases  $\phi_{\mathbf{k}}$  are "slave": obtained by quadrature

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Closed system for the 2N-2 truly dynamical variables:

$$\dot{n}_{\mathbf{k}} = \sum_{\mathbf{k}_{1}, \mathbf{k}_{2}} Z_{\mathbf{k}_{1} \mathbf{k}_{2}}^{\mathbf{k}} \delta_{\mathbf{k} - \mathbf{k}_{1} - \mathbf{k}_{2}} (n_{\mathbf{k}} n_{\mathbf{k}_{1}} n_{\mathbf{k}_{2}})^{\frac{1}{2}} \cos \varphi_{\mathbf{k}_{1} \mathbf{k}_{2}}^{\mathbf{k}}, \qquad (2)$$

$$\dot{\varphi}_{\mathbf{k}_{1}\mathbf{k}_{2}}^{\mathbf{k}_{3}} = \sin \varphi_{\mathbf{k}_{1}\mathbf{k}_{2}}^{\mathbf{k}_{3}} (n_{\mathbf{k}_{3}} n_{\mathbf{k}_{1}} n_{\mathbf{k}_{2}})^{\frac{1}{2}} \left[ \frac{Z_{\mathbf{k}_{2}\mathbf{k}_{3}}^{\mathbf{k}_{1}}}{n_{\mathbf{k}_{1}}} + \frac{Z_{\mathbf{k}_{3}\mathbf{k}_{1}}^{\mathbf{k}_{2}}}{n_{\mathbf{k}_{2}}} - \frac{Z_{\mathbf{k}_{1}\mathbf{k}_{2}}^{\mathbf{k}_{3}}}{n_{\mathbf{k}_{3}}} \right] - \omega_{\mathbf{k}_{1}\mathbf{k}_{2}}^{\mathbf{k}_{3}} + \text{NNTT}_{\mathbf{k}_{1}\mathbf{k}_{2}}^{\mathbf{k}_{3}},$$
(3)

where the second equation applies to any triad  $(\mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3)$ .

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•  $\mathrm{NNTT}_{k_1k_2}^{k_3}$ : "nearest-neighbouring-triad terms"; these are nonlinear terms similar to the first line in Eq. (3)

#### Truly Dynamical Degrees of Freedom 2/2

Closed system for the 2N-2 truly dynamical variables:

$$\dot{n}_{\mathbf{k}} = \sum_{\mathbf{k}_{1}, \mathbf{k}_{2}} Z_{\mathbf{k}_{1} \mathbf{k}_{2}}^{\mathbf{k}} \delta_{\mathbf{k} - \mathbf{k}_{1} - \mathbf{k}_{2}} (n_{\mathbf{k}} n_{\mathbf{k}_{1}} n_{\mathbf{k}_{2}})^{\frac{1}{2}} \cos \varphi_{\mathbf{k}_{1} \mathbf{k}_{2}}^{\mathbf{k}}, \qquad (2)$$

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- $\mathrm{NNTT}_{\mathbf{k}_1\mathbf{k}_2}^{\mathbf{k}_3}$ : "nearest-neighbouring-triad terms"; these are nonlinear terms similar to the first line in Eq. (3)
- Any dynamical process in the original system results from the dynamics of equations (2)–(3)

$$\dot{n}_{\mathbf{k}} = \sum_{\mathbf{k}_{1}, \mathbf{k}_{2}} Z_{\mathbf{k}_{1} \mathbf{k}_{2}}^{\mathbf{k}} \delta_{\mathbf{k} - \mathbf{k}_{1} - \mathbf{k}_{2}} (n_{\mathbf{k}} n_{\mathbf{k}_{1}} n_{\mathbf{k}_{2}})^{\frac{1}{2}} \cos \varphi_{\mathbf{k}_{1} \mathbf{k}_{2}}^{\mathbf{k}}, 
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$$\Omega^{\mathbf{k}_3}_{\mathbf{k}_1\mathbf{k}_2} \equiv \lim_{T \to \infty} \frac{1}{T} \int_0^T \dot{\varphi}^{\mathbf{k}_3}_{\mathbf{k}_1\mathbf{k}_2}(t') dt'$$

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11 / 28

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When several triads are involved in precession resonance:

**Strong fluxes of enstrophy** through the network of interconnected triads, coherent collective oscillations, and cascades towards small scales.

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- Simple overall re-scaling of initial spectrum:  $n_k \to \alpha n_k$  for all k
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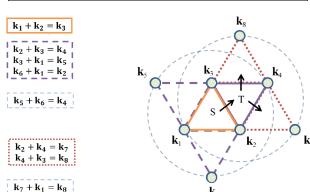
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- Therefore, provided  $\omega^{{\bf k}_3}_{{\bf k}_1{\bf k}_2} \neq {\bf 0},~\Omega^{{\bf k}_3}_{{\bf k}_1{\bf k}_2} = \Gamma$  for some value of  $\alpha$

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#### **RESULTS**

#### Triggering the mechanism starting from a single triad 1/3

$$\dot{A}_{\mathbf{k}} + i \,\omega_{\mathbf{k}} \,A_{\mathbf{k}} = \frac{1}{2} \sum_{\mathbf{k}_1, \mathbf{k}_2 \in \mathbb{Z}^2} Z_{\mathbf{k}_1 \mathbf{k}_2}^{\mathbf{k}} \,\delta_{\mathbf{k}_1 + \mathbf{k}_2 - \mathbf{k}} \,A_{\mathbf{k}_1} \,A_{\mathbf{k}_2} \,.$$



Full PDE model is difficult to draw ( $\sim 12$  million triads in resolution  $128^2$ ) **Pseudospectral,** 2/3-rd dealiased

#### Triggering the mechanism starting from a single triad 2/3

Parameters

$$F = 1, \beta = 10$$

• Single triad:

$$egin{aligned} \mathbf{k}_1 &= (1, -4), \\ \mathbf{k}_2 &= (1, 2), \\ \mathbf{k}_3 &= \mathbf{k}_1 + \mathbf{k}_2 = (2, -2) \end{aligned}$$

Initial conditions:

$$\begin{split} &\varphi_{\mathbf{k_1k_2}}^{\mathbf{k_3}}(0) = \pi/2, \\ &n_{\mathbf{k_1}}(0) = 5.96 \times 10^{-5}\alpha, \\ &n_{\mathbf{k_2}}(0) = 1.49 \times 10^{-3}\alpha, \\ &n_{\mathbf{k_3}} = 1.29 \times 10^{-3}\alpha, \\ &\text{where } \alpha \text{ is a re-scaling parameter} \end{split}$$

• Initially  $n_{\mathbf{k}_a}(0) = 0$  for all other modes

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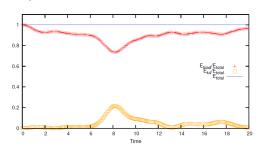
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How to quantify a strong transfer? Use enstrophy conservation Define transfer efficiency to mode  $n_{k_a}$ :

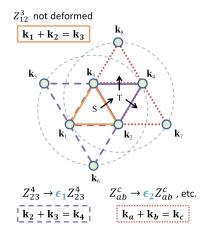
$$\mathit{Eff}_{a} = \max_{t \in [0,T]} \frac{\mathcal{E}_{a}(t)}{\mathcal{E}}$$

Example: below,  $\it Eff_4 \sim 20\%$ 



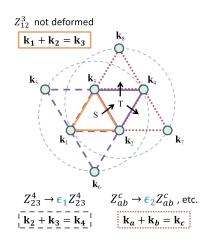
#### Triggering the mechanism starting from a single triad 3/3

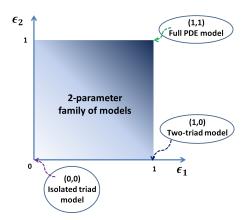
Family of models: Deform the original equations using two positive numbers  $\epsilon_1, \epsilon_2 \in [0, 1]$  which multiply the interaction coeffs.  $Z_{\mathbf{k}_2\mathbf{k}_5}^{\mathbf{k}_c}$ 



# Triggering the mechanism starting from a single triad 3/3

Family of models: Deform the original equations using two positive numbers  $\epsilon_1, \epsilon_2 \in [0,1]$  which multiply the interaction coeffs.  $Z_{\mathbf{k}_a\mathbf{k}_b}^{\mathbf{k}_c}$ 





- Two connected triads:  $\mathbf{k}_1 + \mathbf{k}_2 = \mathbf{k}_3$  and  $\mathbf{k}_2 + \mathbf{k}_3 = \mathbf{k}_4$ , with  $\mathbf{k}_4 = (3,0)$  and  $\omega_{\mathbf{k}_2\mathbf{k}_3}^{\mathbf{k}_4} = -\frac{8}{9}$  (freq. mismatch)
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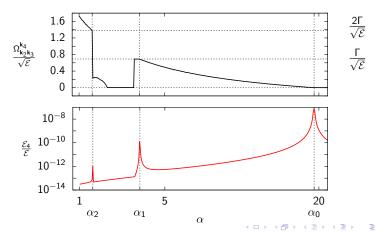
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Therefore, initial conditions satisfying

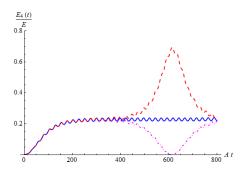
$$\alpha_p = \frac{10.6272}{(0.740382 + p)^2}, \quad p = 0, 1, \dots$$

should show strong transfers towards  $n_{\mathbf{k}_4}$ .

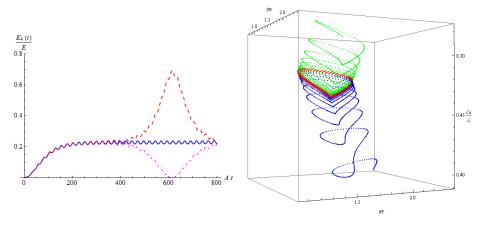
- Integrate numerically evolution equations, from time t=0 to  $t=2000/\sqrt{\mathcal{E}}$ .
- Timescale of strong transfer:  $t \sim 20/\sqrt{\mathcal{E}}$
- ullet Plots of Triad Precession and Efficiency versus lpha :



Why the peaks of efficiency? Unstable manifolds! (e.g., periodic orbits)



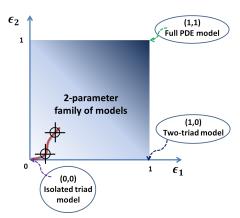
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# Results for family-model case ( $\epsilon_1 \neq 0, \, \epsilon_2 \neq 0$ ) 1/2

Role of invariant manifolds is very important: <sup>6</sup>

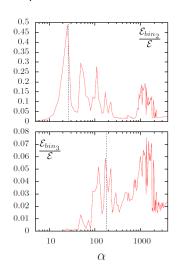
- They are **persistent** in parameter space  $(\epsilon_1, \epsilon_2)$
- We can "trace" the invariant manifolds along the parameter space
- New precession resonances involving new modes

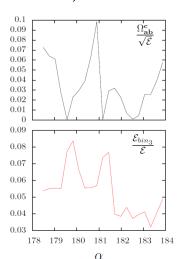


<sup>&</sup>lt;sup>6</sup>N. Fenichel, *Persistence and smoothness of invariant manifolds for flows*, Indiana Univ. Math J. **21** (1971), 193–226

#### Results for family-model case ( $\epsilon_1 \neq 0, \, \epsilon_2 \neq 0$ ) **2/2**

Triad initial condition. "Tracing" method until  $\epsilon_1 = \epsilon_2 = 0.1$ Pseudospectral method,  $128^2$  resolution (3500 modes)  $\Longrightarrow$  look at "bins"





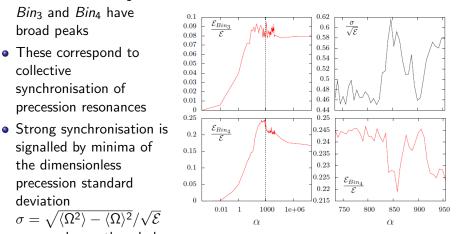
#### Results for Full-PDE case ( $\epsilon_1 = \epsilon_2 = 1$ ) 1/2

- General large-scale initial condition:  $n_{\mathbf{k}} = \alpha \times 0.0321 |\mathbf{k}|^{-2} \exp\left(-|\mathbf{k}|/5\right)$  for  $|\mathbf{k}| \le 8$
- ullet Total enstrophy:  ${\cal E}=0.156lpha$
- Initial phases  $\phi_{\mathbf{k}}$  are uniformly distributed on  $[0,2\pi)$
- DNS: pseudospectral method with resolution  $128^2$  from t=0 to  $t=800/\sqrt{\mathcal{E}}$
- Cascades: Partition the **k**-space in shell bins defined as follows:  $Bin_1: 0<|\mathbf{k}|\leq 8$ , and  $Bin_j: 2^{j+1}<|\mathbf{k}|\leq 2^{j+2}$   $j=2,3,\ldots$
- Nonlinear interactions lead to successive transfers  $Bin_1 \to Bin_2 \to Bin_3 \to Bin_4$

## Results for Full-PDE case ( $\epsilon_1 = \epsilon_2 = 1$ ) **2/2**

- Efficiencies of enstrophy transfers from Bin<sub>1</sub> to Bin<sub>3</sub> and Bin<sub>4</sub> have broad peaks
- These correspond to collective synchronisation of precession resonances
- signalled by minima of the dimensionless precession standard deviation  $\sigma = \sqrt{\langle \Omega^2 \rangle - \langle \Omega \rangle^2} / \sqrt{\mathcal{E}}$ averaged over the whole

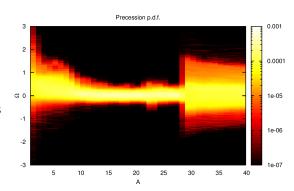
set of triad precessions



#### Results for Full-PDE case ( $\epsilon_1 = \epsilon_2 = 1$ ) **2/2**

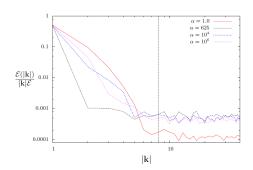
- Efficiencies of enstrophy transfers from Bin<sub>1</sub> to Bin<sub>3</sub> and Bin<sub>4</sub> have broad peaks
- These correspond to collective synchronisation of precession resonances
- Strong synchronisation is signalled by minima of the dimensionless precession standard deviation

$$\sigma = \sqrt{\langle \Omega^2 \rangle - \langle \Omega \rangle^2} / \sqrt{\mathcal{E}}$$
 averaged over the whole set of triad precessions



# Enstrophy fluxes, equipartition and resolution study (Full-PDE case)

- Time averages ( $T = 800/\sqrt{\mathcal{E}}$ ) of dimensionless enstrophy spectra  $\mathcal{E}_k/\mathcal{E}$ , compensated for enstrophy equipartition
- In all cases the system reaches small-scale equipartition  $(Bin_2-Bin_4)$  quite soon:  $T_{\rm eq}\approx 80/\sqrt{\mathcal{E}}$

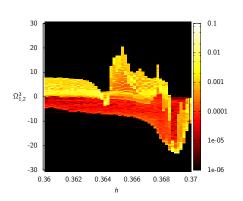


- The flux of enstrophy from large scales ( $Bin_1$ ) to small scales ( $Bin_4$ ) is 50% greater in the resonant case ( $\alpha = 625$ ) than in the limit of very large amplitudes ( $\alpha = 10^6$ )
- At double the resolution (256<sup>2</sup>), the enstrophy cascade goes further to *Bin*<sub>5</sub> and all above analyses are verified, with *Bin*<sub>4</sub> replaced by *Bin*<sub>5</sub>

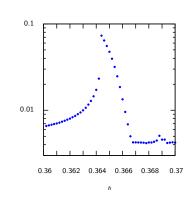
Click here to visualise our Numerical Simulations

Rogue Waves? Precession resonance in water waves (experiments to be carried out by Marc Perlin – U. Michigan)

#### Precession PDF (over time signal)

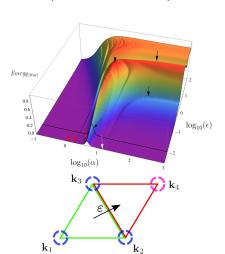


#### Efficiency of transfers



#### In conclusion, precession resonance is ubiquitous

# Multiple resonances (including 2D Euler)

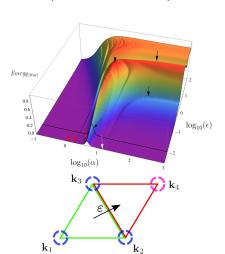


Hyperlink to Jupiter moons' precession resonance and Transneptunian objects

- Future work: precession resonance mechanism in magneto-hydrodynamics
- Quartet and higher-order systems (Kelvin waves in superfluids, nonlinear optics)
- Including forcing and dissipation

#### In conclusion, precession resonance is ubiquitous

# Multiple resonances (including 2D Euler)



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