

# *Turbulence in Magnetised Plasma*

## *Successes, Failures, and the Known Unknowns*

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G. Howes (*Iowa*), E. Quataert (*Berkeley*), G. Hammett (*Princeton*)

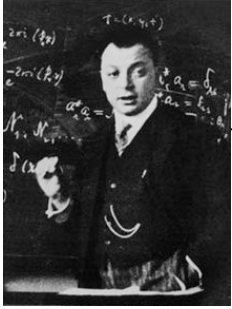
T. Horbury, R. Wicks (*Imperial*), C. Chen (*Berkeley*), A. Mallet (*Oxford*)

M. Kunz (*Oxford*), F. Rincon (*Toulouse*), M. Rosin (*UCLA*)

Schekochihin *et al.*, *ApJS* **182**, 310 (2009)

Schekochihin *et al.*, *MNRAS* **405**, 291 (2010)

Rosin *et al.*, *MNRAS*, in press; arXiv:1002.4017



## *Part I. The Knowns*

Schekochihin *et al.*, *ApJS* **182**, 310 (2009)

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# 1. Free Energy Cascade

$$\frac{d}{dt} \int \frac{d^3r}{V} \left[ \sum_s \int d^3v \frac{T_{0s} \delta f_s^2}{2F_{0s}} + \frac{E^2 + B^2}{8\pi} \right] = \varepsilon + \int \frac{d^3r}{V} \sum_s \int d^3v \frac{T_{0s} \delta f_s}{F_{0s}} \left( \frac{\partial \delta f_s}{\partial t} \right)_c$$

$-T\delta S$ 
energy

injection
heating

**Generalised energy = free energy of the particles + fields**

Kruskal & Oberman 1958

Fowler 1968

**Krommes & Hu 1994**

Krommes 1999

Sugama et al. 1996

Hallatschek 2004

Howes et al. 2006

Schekochihin et al. 2007

Scott 2007

# Plasma Turbulence: Analogous to Fluid, But...

$$\frac{d}{dt} \int \frac{d^3r}{V} \left[ \sum_s \int d^3v \frac{T_{0s} \delta f_s^2}{2F_{0s}} + \frac{E^2 + B^2}{8\pi} \right]$$

*-TδS*
*energy*

$$= \epsilon + \int \frac{d^3r}{V} \sum_s \int d^3v \frac{T_{0s} \delta f_s}{F_{0s}} \left( \frac{\partial \delta f_s}{\partial t} \right)_c$$

*injection*
*heating*

*small scales in 6D  
phase space*

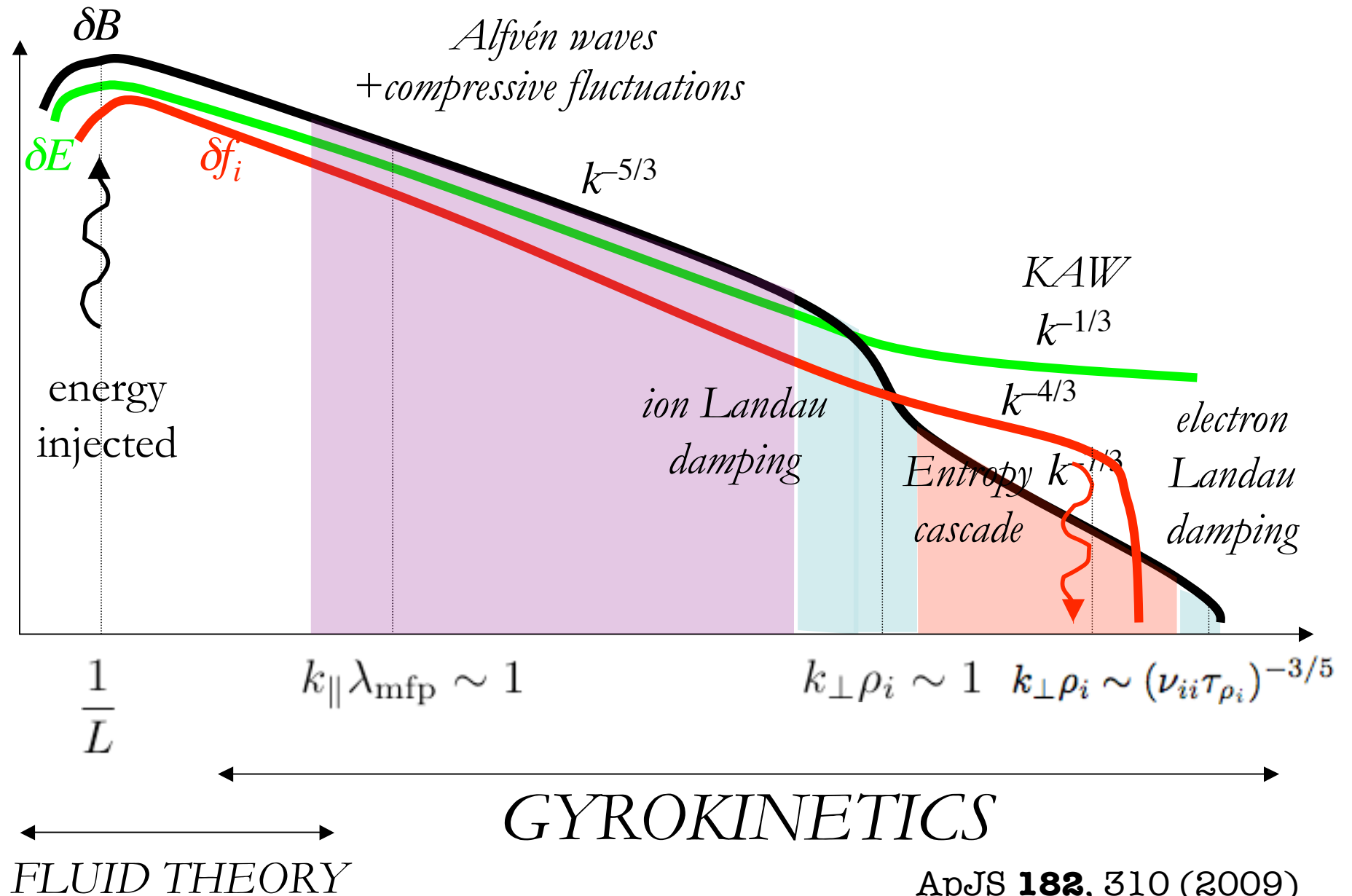
$$\partial_t \mathbf{u} + \mathbf{u} \cdot \nabla \mathbf{u} = -\nabla p + \nu \nabla^2 \mathbf{u} + \mathbf{f}$$

$$\frac{d}{dt} \int \frac{d^3r}{V} \frac{u^2}{2} = \epsilon - \nu \int \frac{d^3r}{V} |\nabla \mathbf{u}|^2$$

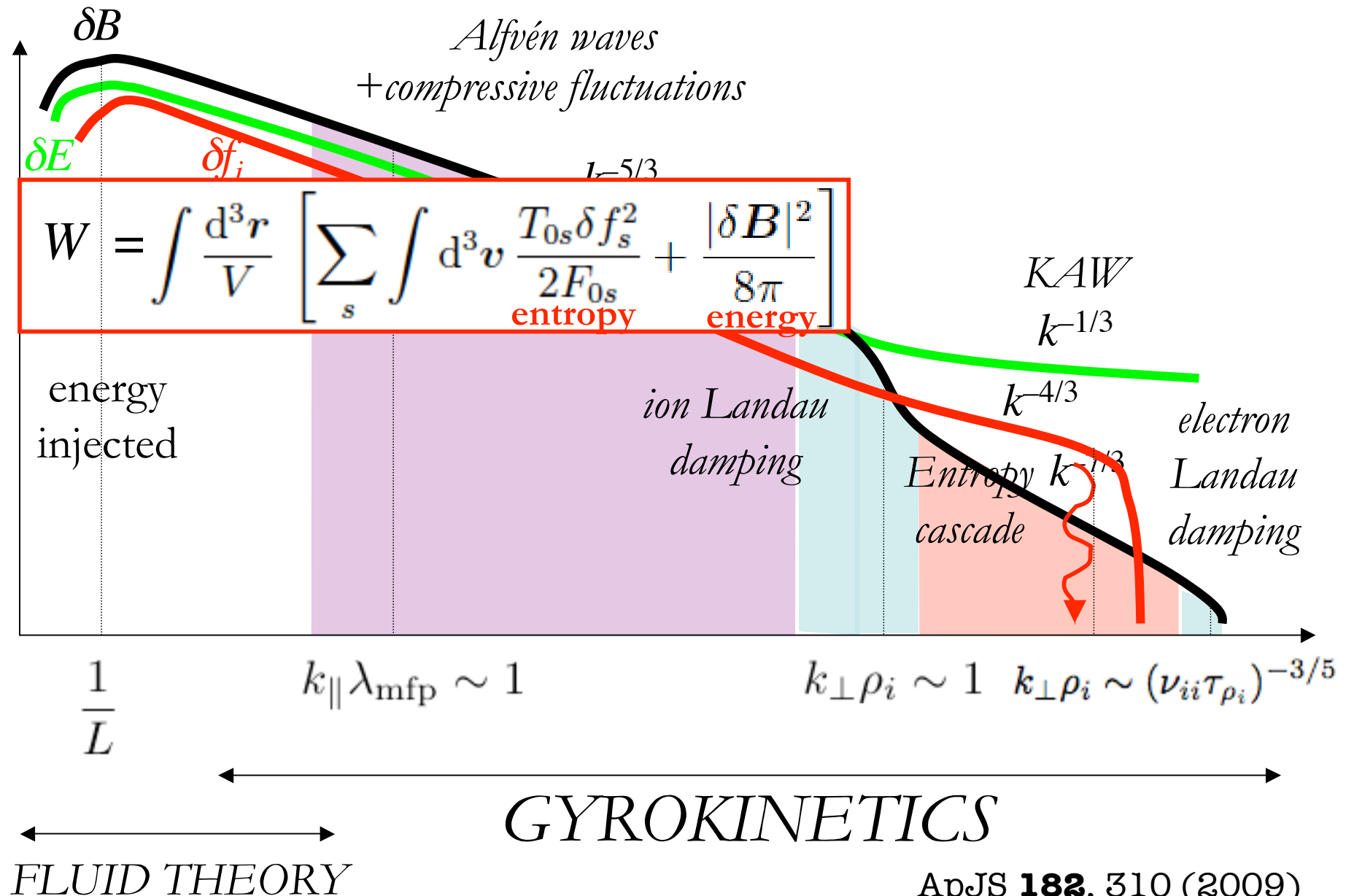
*small scales in 3D  
physical space*

$$\epsilon = (1/V) \int d^3r \mathbf{u} \cdot \mathbf{f}$$

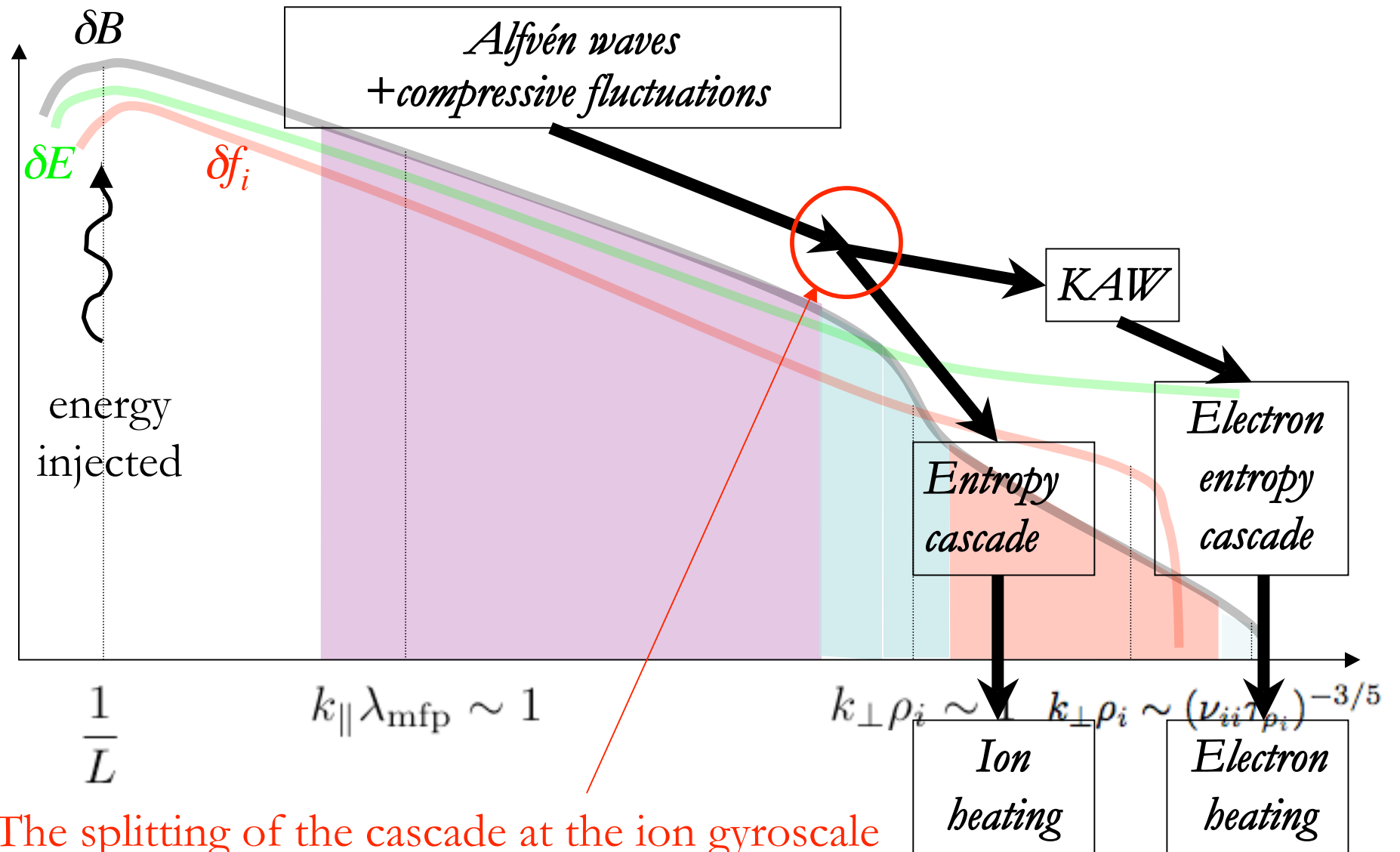
# Free Energy Cascade



# Free Energy Cascade

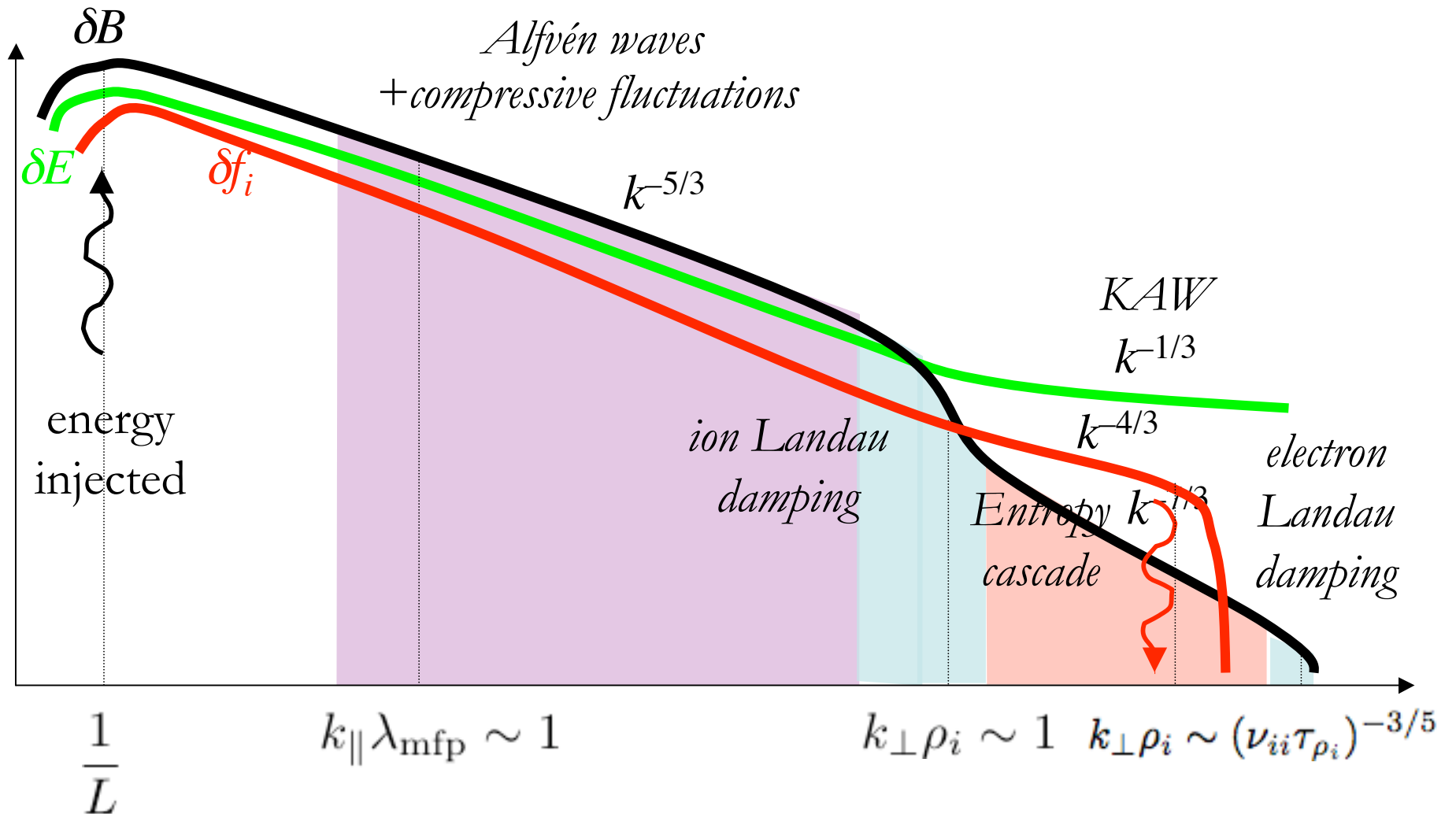


# Route to Heating (Dissipation)



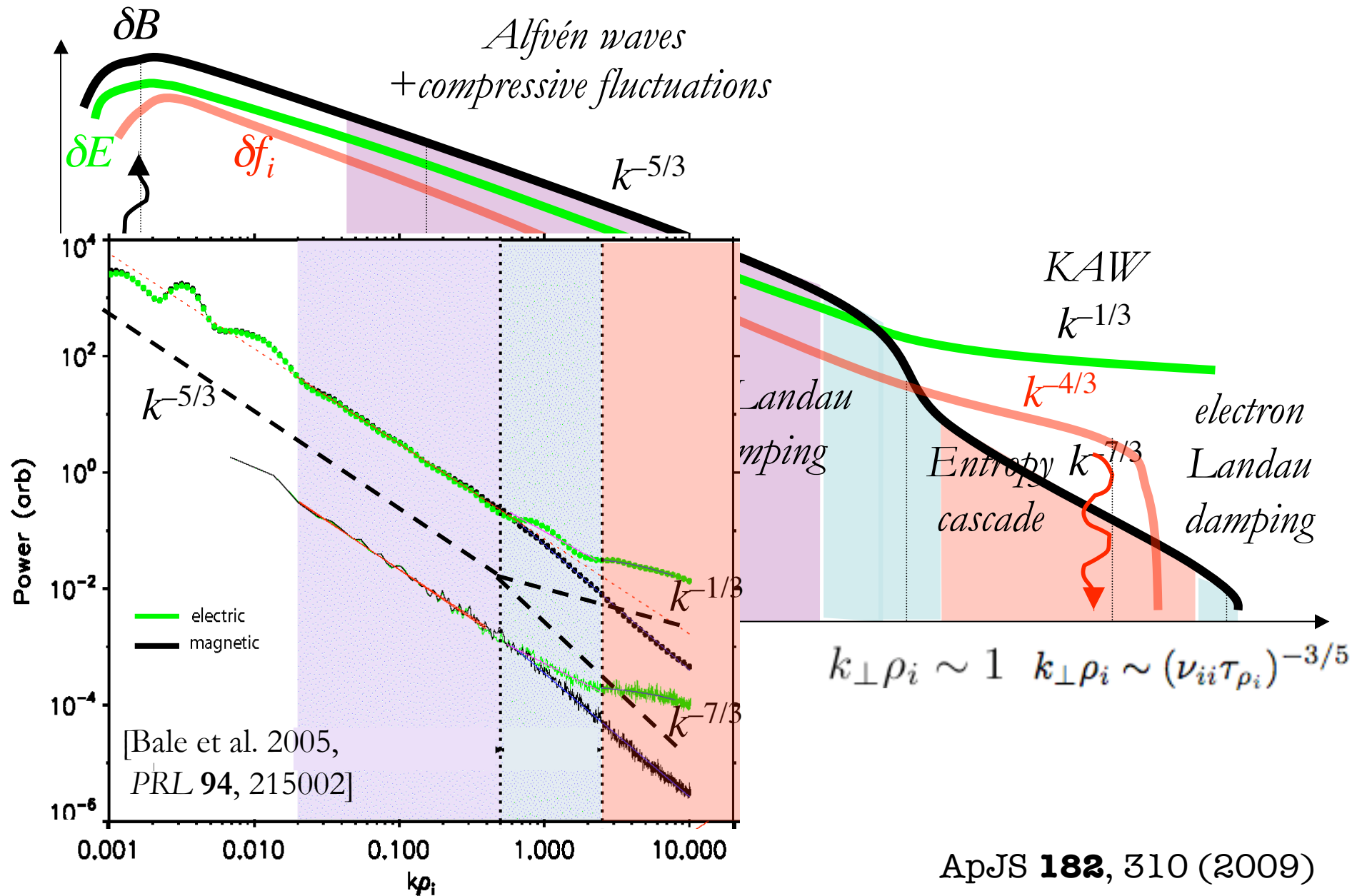
The splitting of the cascade at the ion gyroscale determines relative heating of the species

# Free Energy Cascade: Solar Wind, DNS

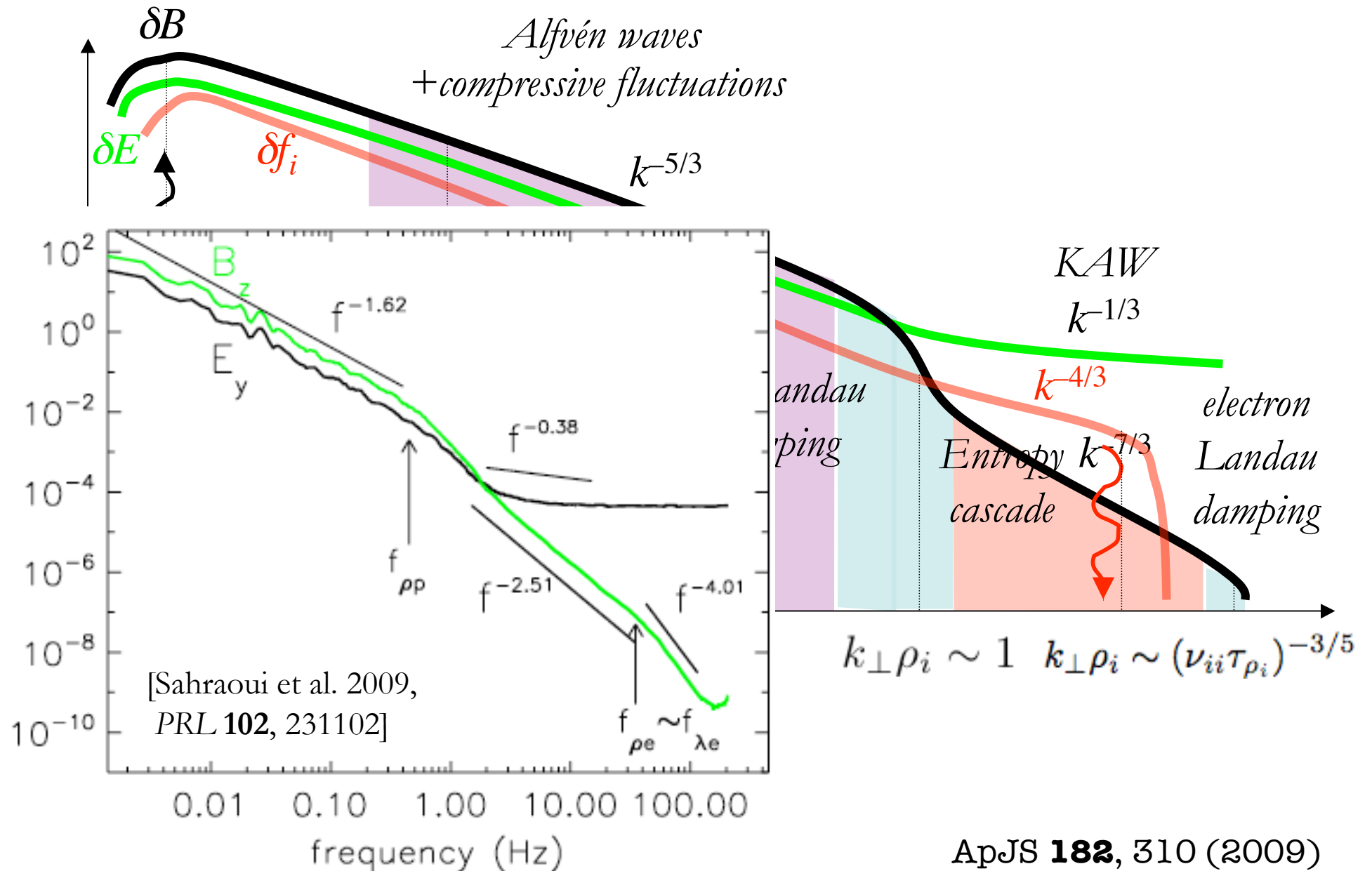




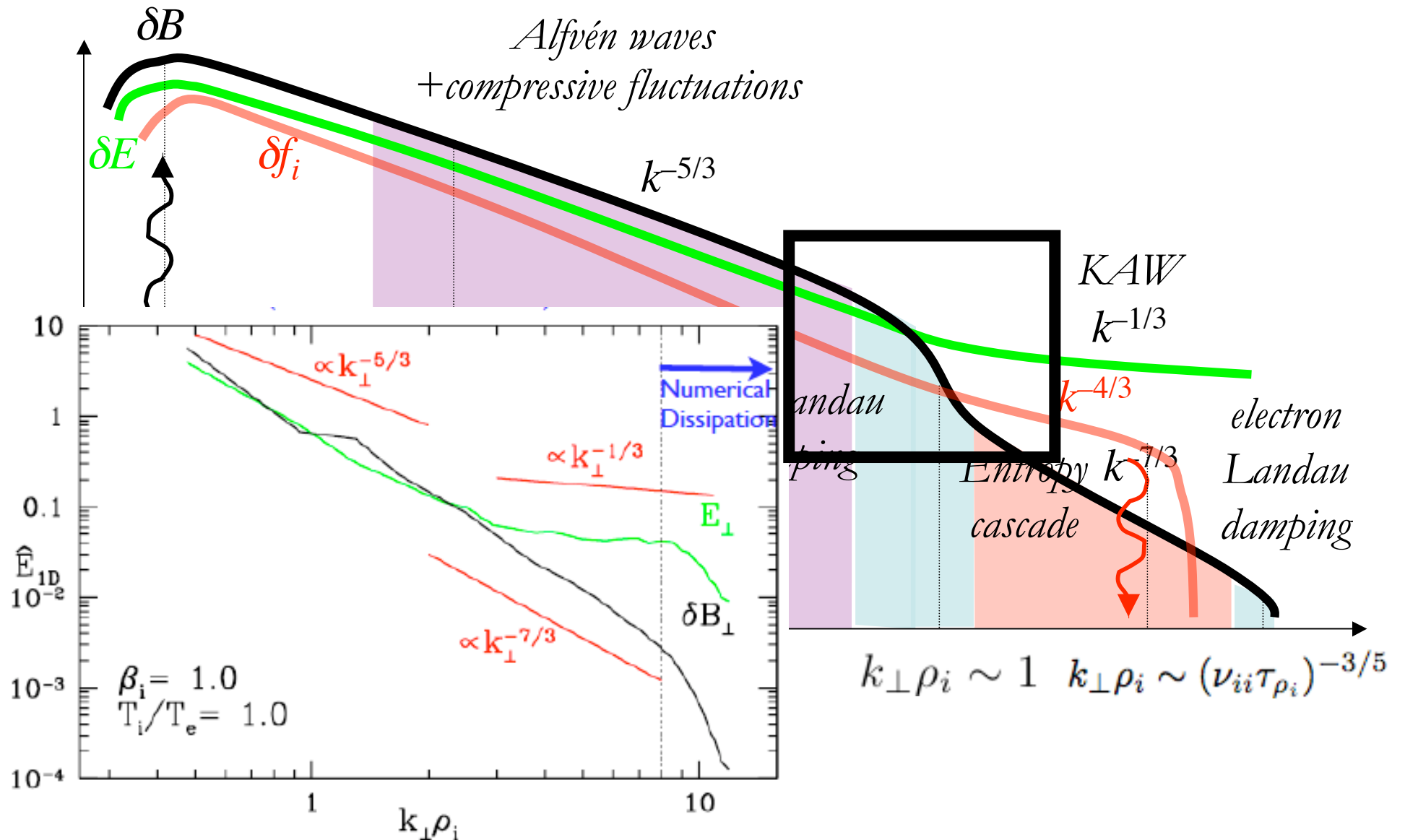
# Free Energy Cascade: Solar Wind



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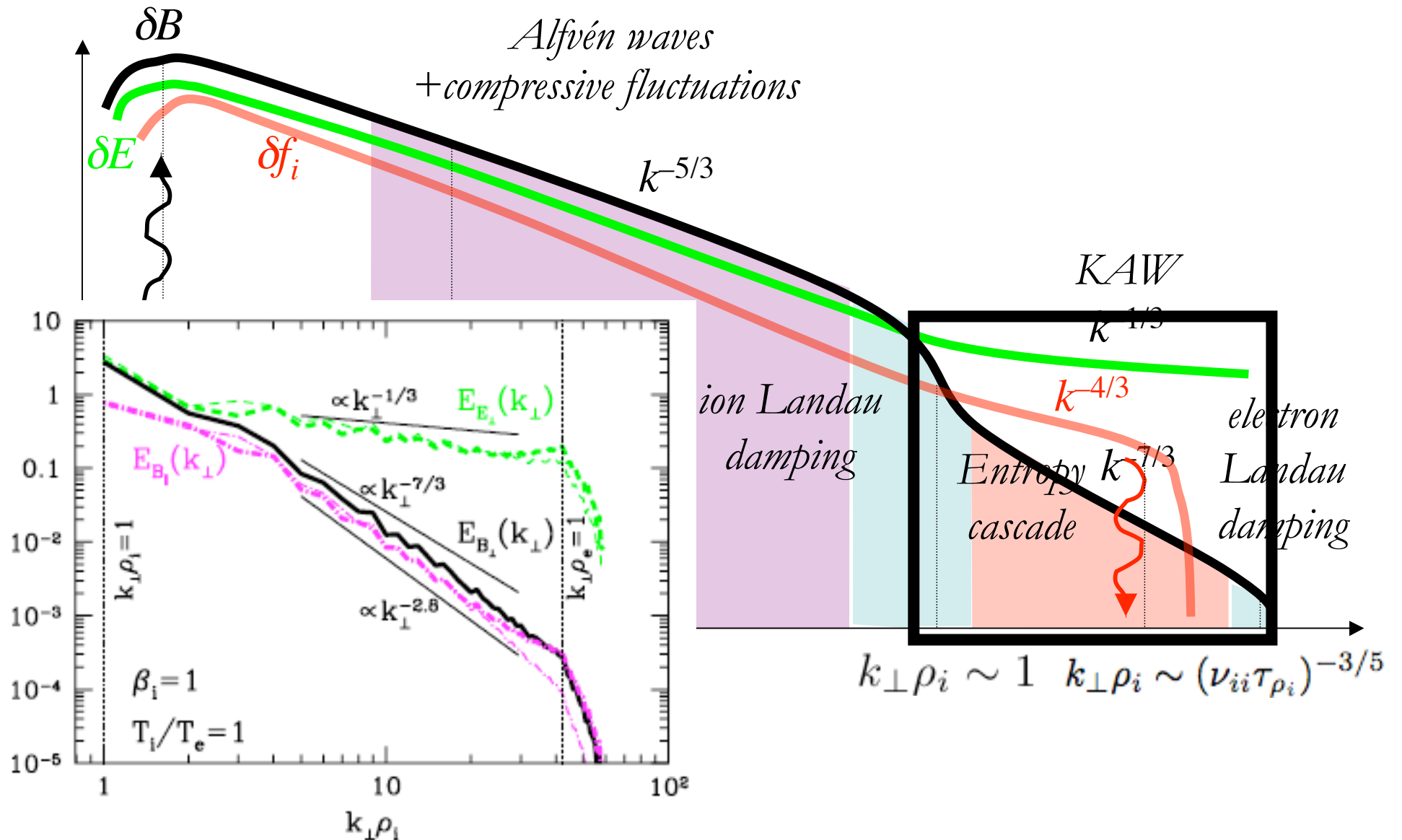
# GK Cascade: 3D DNS (by G. Howes)



[Howes et al. 2008, *PRL* **100**, 065004]

*ApJS* **182**, 310 (2009)

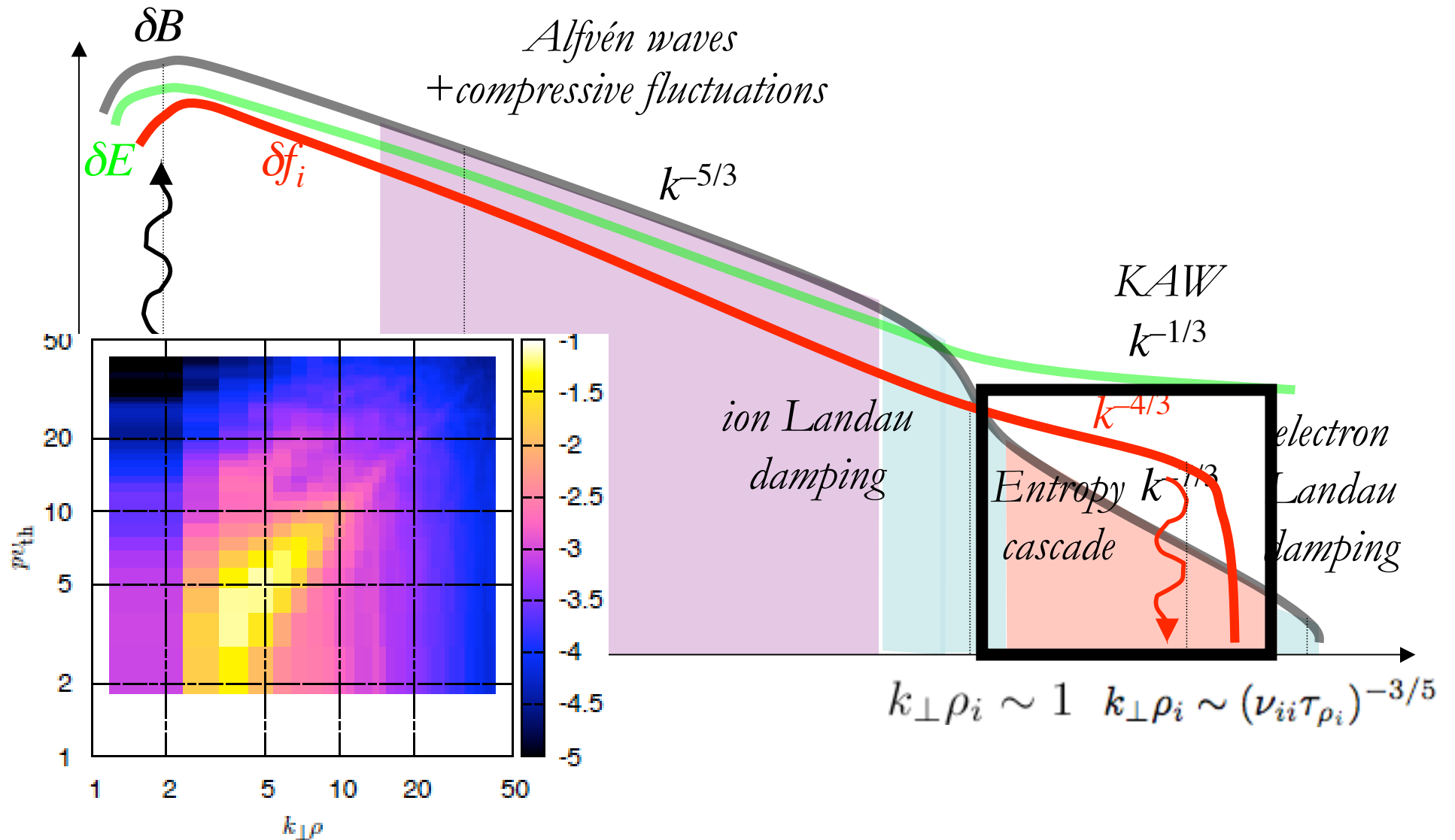
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[Howes et al. 2011, submitted]

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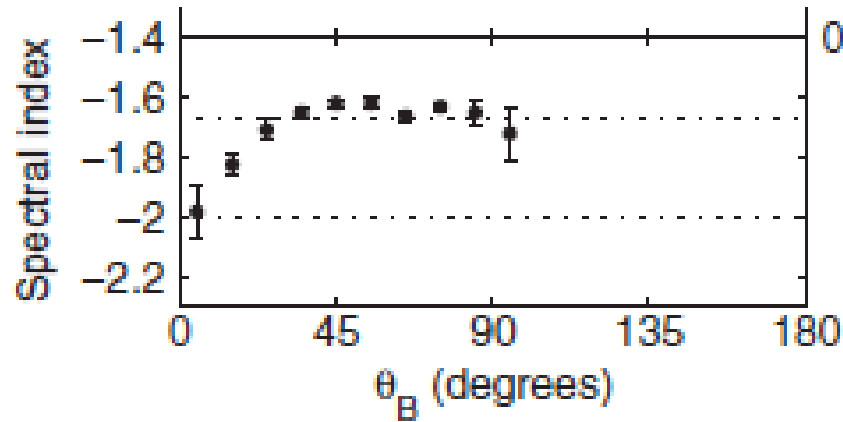
# GK Cascade: 2D DNS (by T. Tatsuno)



[Tatsuno et al. 2009, *PRL* **103**, 015003;  
 more detail in arXiv:1003.3933]

*ApJS* **182**, 310 (2009)

## 2. Anisotropy at All Scales: Inertial Range



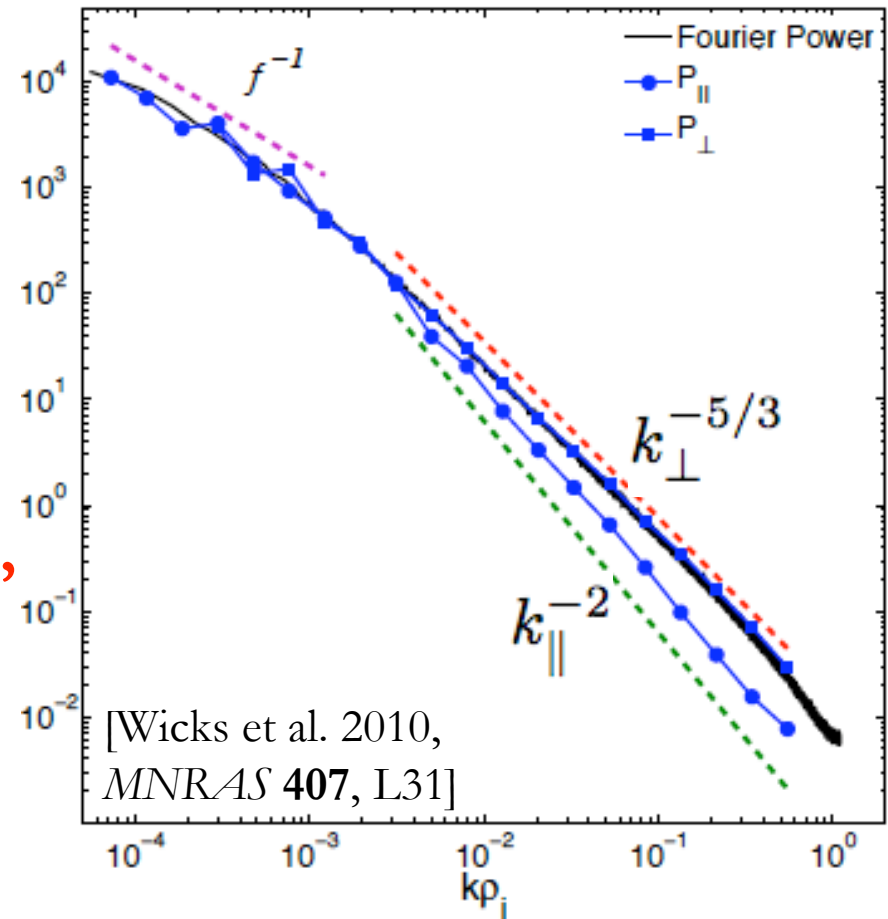
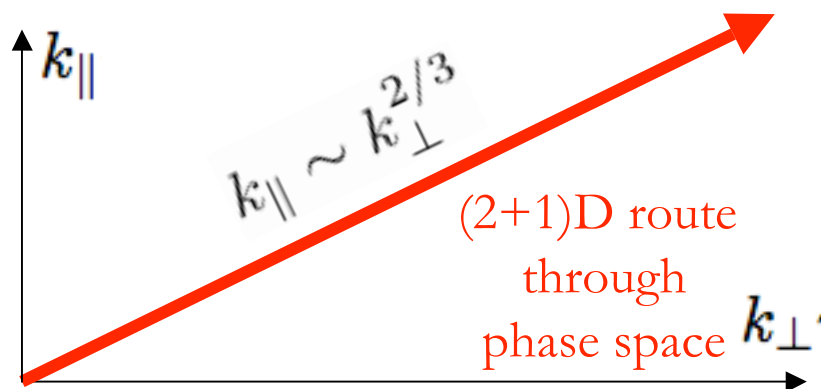
[Horbury et al. 2008, *PRL* **101**, 175005]

$$\omega_{\text{linear}} \sim \omega_{\text{nonlinear}}$$

“critical balance”

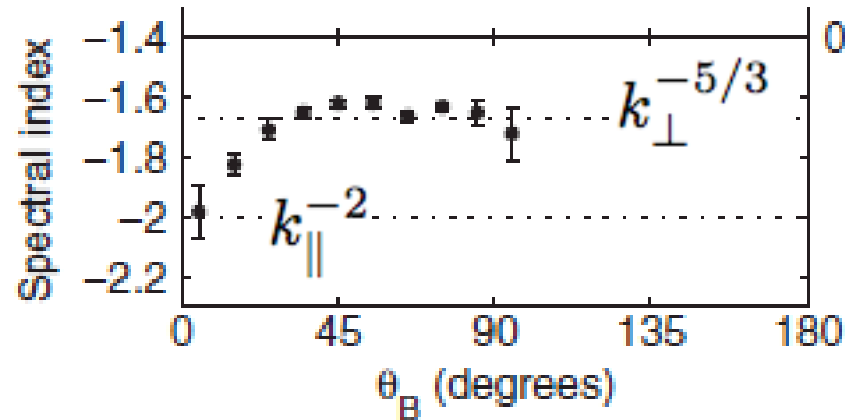
Alfvénic (MHD) turbulence:

$$k_{\parallel} v_A \sim k_{\perp} u_{\perp} \quad [\text{Goldreich \& Sridhar 1995}]$$



[Wicks et al. 2010, *MNRAS* **407**, L31]

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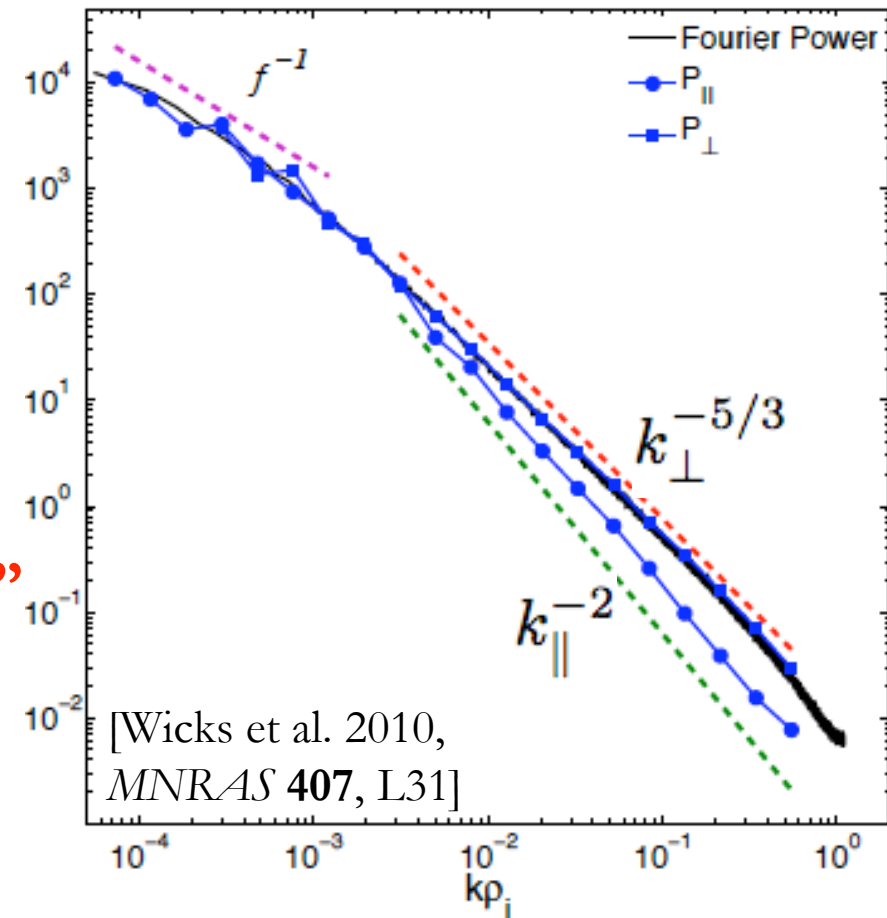
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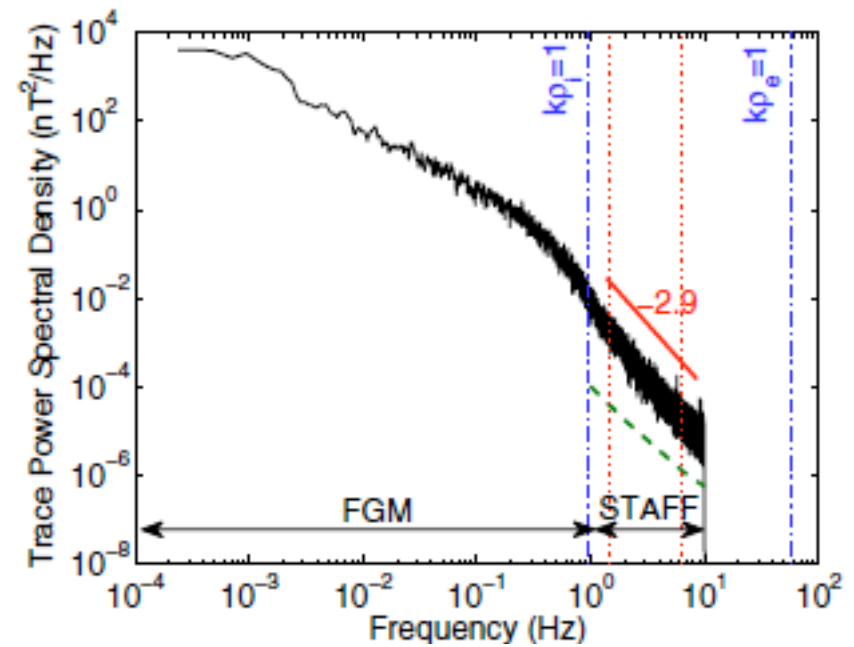
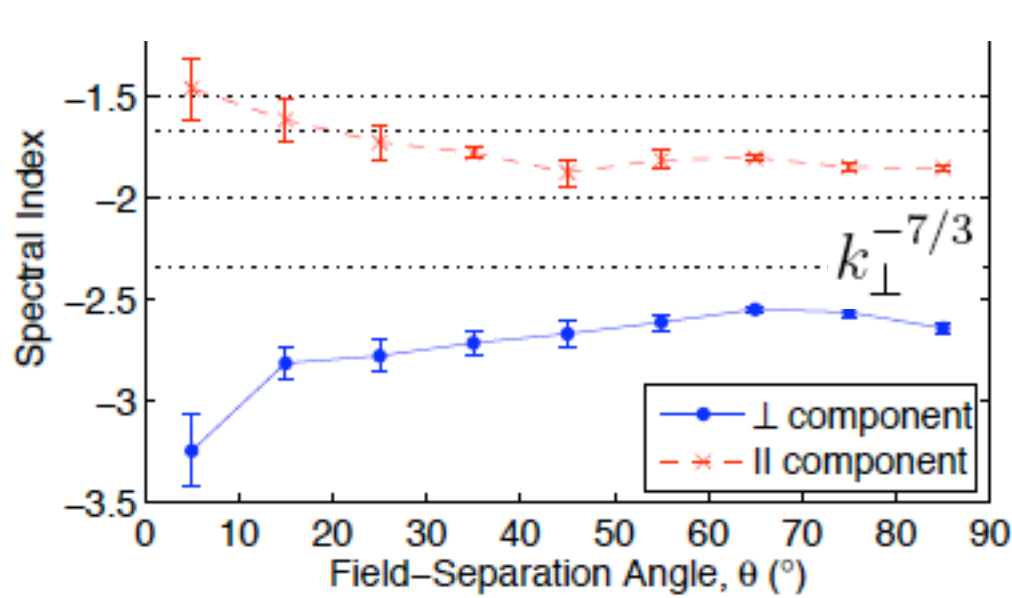


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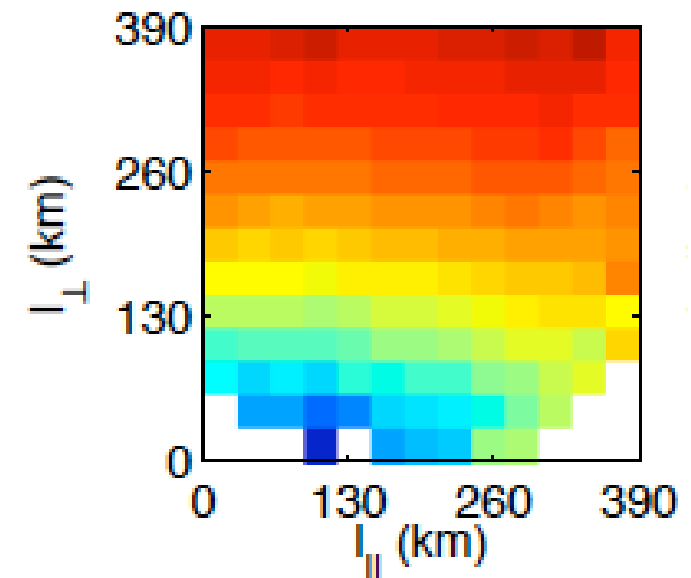
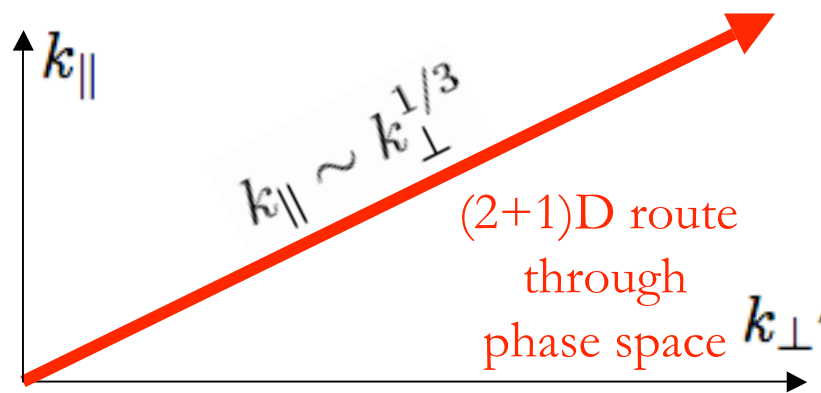
This can be argued to be a **universal feature** of anisotropic wave turbulence and works! E.g.,

- **KAW turbulence** [Cho & Lazarian 2004, *ApJ* **615**, L41]
- **Rotating hydro turbulence** [Nazarenko & Schekochihin 2011, *JFM*; arXiv:0904.3488]
- **ITG turbulence in tokamaks** [Barnes, Parra & Schekochihin 2011, in preparation]

# 2. Anisotropy at All Scales: Sub-Larmor Rang



[Chen et al. 2010, *PRL* **104**, 255002]





### 3. Plasma Microinstabilities: Origin

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First adiabatic invariant  $\mu = \frac{mv_{\perp}^2}{2B}$  conserved provided  $\Omega_i > \nu_{ii}$   
holds already for  $B > 10^{-18}$  G

Changes in field strength  $\Leftrightarrow$  pressure anisotropy

$$\sum_{\text{particles}} \mu = \frac{p_{\perp}}{B} = \text{const}$$

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Changes in field strength  $\Leftrightarrow$  pressure anisotropy

$$\frac{d\Delta}{dt} \sim \frac{1}{B} \frac{dB}{dt} - \nu_{ii} \Delta$$

$$\Delta \equiv \frac{p_{\perp} - p_{\parallel}}{p_{\perp}}$$

ignore evolution of  $p_{\parallel}$  anisotropy drives anisotropy relaxed by collisions

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$$\frac{d\Delta}{dt} \sim \frac{1}{B} \frac{dB}{dt} - \nu_{ii} \Delta \quad \longrightarrow \quad \Delta \sim \frac{1}{\nu_{ii}} \frac{d \ln B}{dt} = \frac{\hat{\mathbf{b}}\hat{\mathbf{b}} : \nabla \mathbf{u}}{\nu_{ii}}$$

ignore evolution of  $p_{\parallel}$     change in  $B$  drives anisotropy    anisotropy relaxed by collisions

because  $\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{u} \times \mathbf{B})$

and so  $\frac{1}{B} \frac{dB}{dt} = \hat{\mathbf{b}}\hat{\mathbf{b}} : \nabla \mathbf{u}$

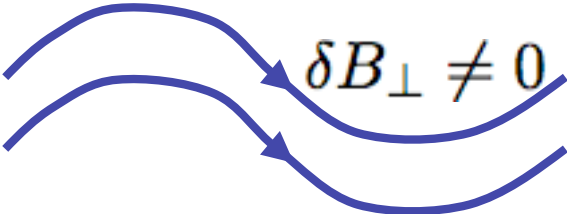
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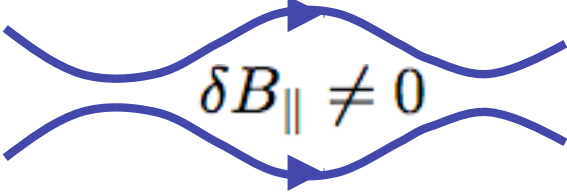
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Magnetic field **decreases**:  $\Delta < 0$

FIREHOSE:  $\omega^2 = \frac{k_{\parallel}^2 v_{thi}^2}{2} \left( \Delta + \frac{2}{\beta_i} \right)$ 

 destabilised Alfvén wave

Magnetic field **increases**:  $\Delta > 0$

MIRROR:  $\gamma = \frac{|k_{\parallel}| v_{thi}}{\sqrt{\pi}} \left( \Delta - \frac{1}{\beta_i} \right)$ 

 resonant instability

### 3. Plasma Microinstabilities: Where and When?

Typical structure of magnetic fields  
generated by turbulence  
(MHD simulations with  $Pm \gg 1$   
by A. B. Iskakov & AAS)  
for details see  
Schekochihin *et al.* 2004,  
*ApJ* **612**, 276



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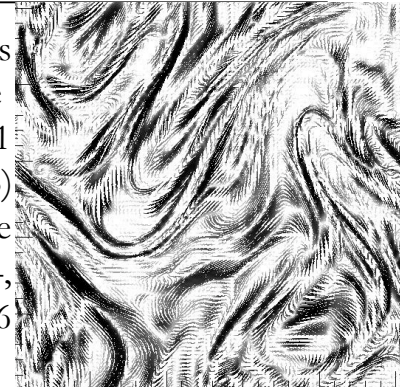
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[Schekochihin *et al.*, *ApJ* **629**, 139 (2005)]

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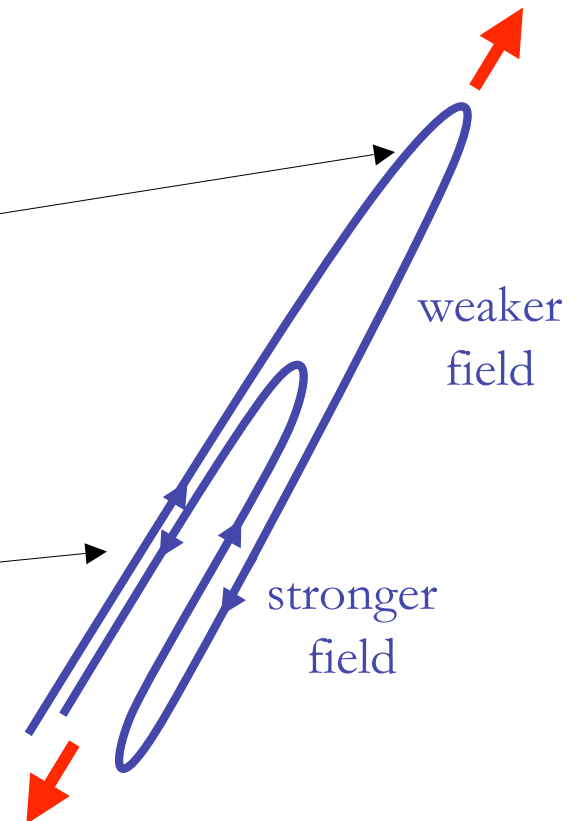


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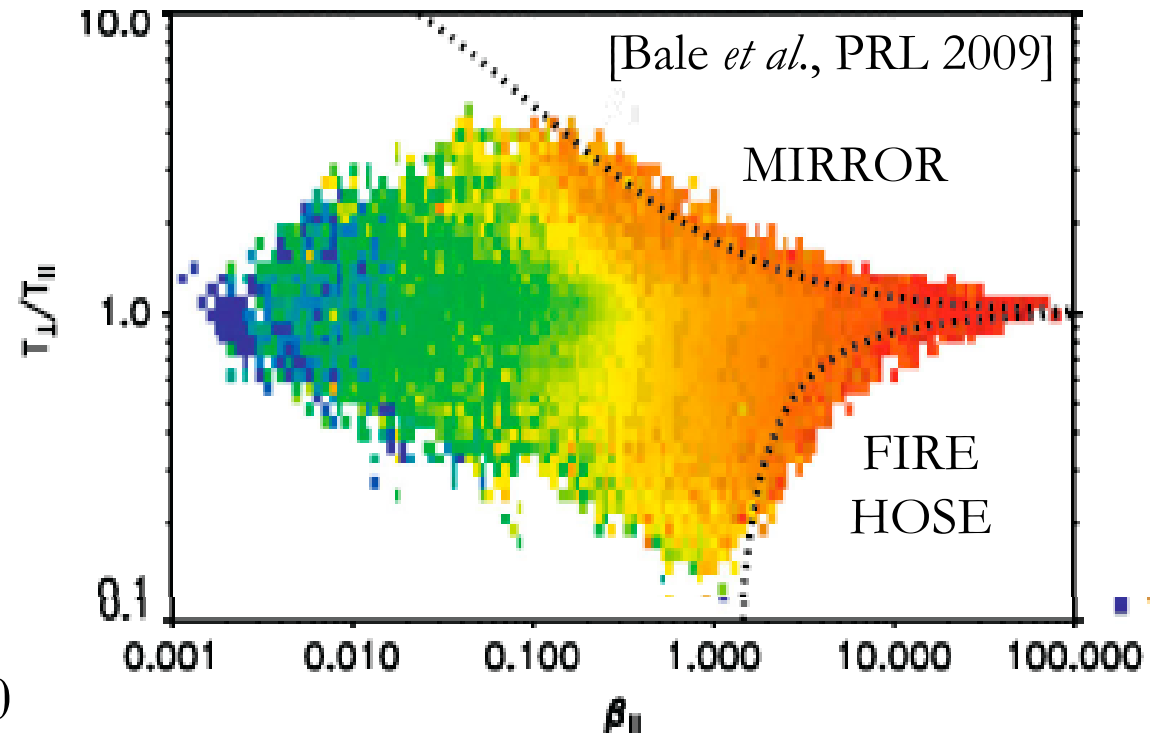
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# Solar Wind: Marginal



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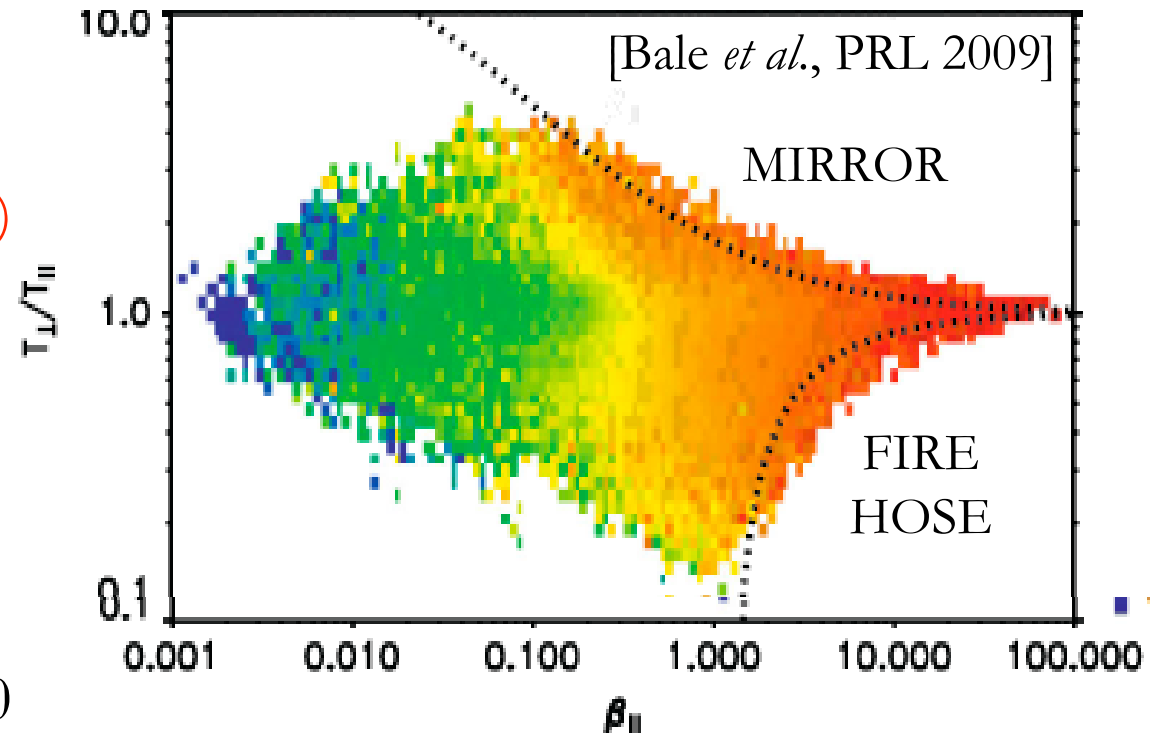
**Plasma is in the marginal state with respect to plasma microinstabilities**



# How to Model The Marginal State?

To leapfrog having to do an honest microphysical job, simply assume closure (fudge)

$$\Delta = \frac{2\xi}{\beta_i}, \quad \xi \in [-1, 0.5]$$



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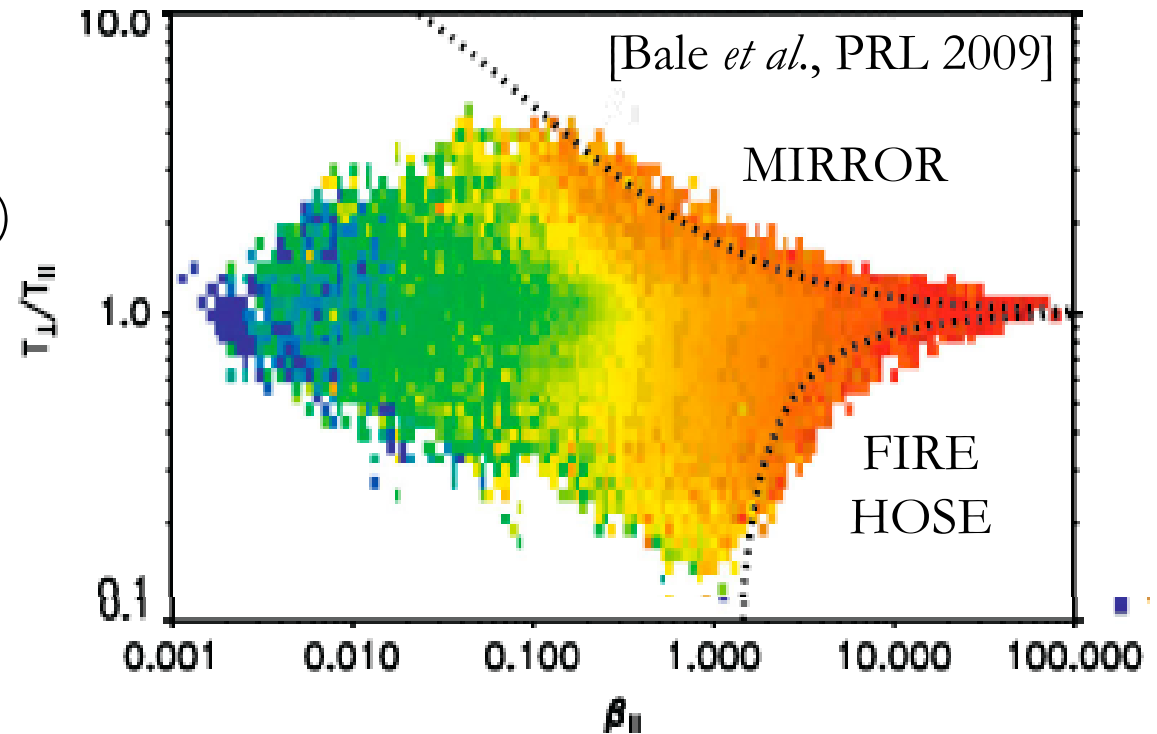
[Kunz *et al.*, *MNRAS* **410**, 2446 (2011)]



# A Microphysical Dilemma

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$$\Delta = \frac{2\xi}{\beta_i}, \quad \xi \in [-1, 0.5]$$



## How is this achieved?

- Enhanced particle scattering isotropises pressure AND/OR
- Magnetic field structure and evolution modified to offset change

# Why This Is An Important Question

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## How is this achieved?

- Enhanced particle scattering isotropises pressure  
AND/OR

Model by limiting  $\Delta$   
(more collisionality  $\rightarrow$  **less** viscosity)  
[Sharma et al. 2006;  
Schekochihin & Cowley 2006]

- Magnetic field structure and evolution modified to offset change

Model by limiting rate of strain  
(in a sense, **more** viscosity)  
[Kunz et al. 2011]

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*I believe this is going to be hard to justify because microinstabilities are not sufficiently close to the Larmor scale, so can't have much scattering*

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Model by limiting rate of strain  
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[Kunz et al. 2011]

# Nonlinear Firehose

Principle of nonlinear evolution: *firehose fluctuations cancel on average the change in the mean field to keep anisotropy at marginal level*

$$\Delta \sim \frac{1}{\nu_{ii}} \frac{1}{B} \frac{dB}{dt} \sim \frac{1}{\nu_{ii}} \left( \underbrace{- \left| \frac{d \ln B_0}{dt} \right|}_{\text{macroscale field}} + \underbrace{\frac{1}{2} \frac{d \overline{|\delta \mathbf{B}_\perp|^2}}{dt B_0^2}}_{\text{microscale fluctuations}} \right) \rightarrow -\frac{2}{\beta_i}$$

Schekochihin *et al.*, *PRL* **100**, 081301 (2008)

Rosin *et al.*, arXiv:1002.4017 (2010)

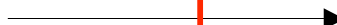
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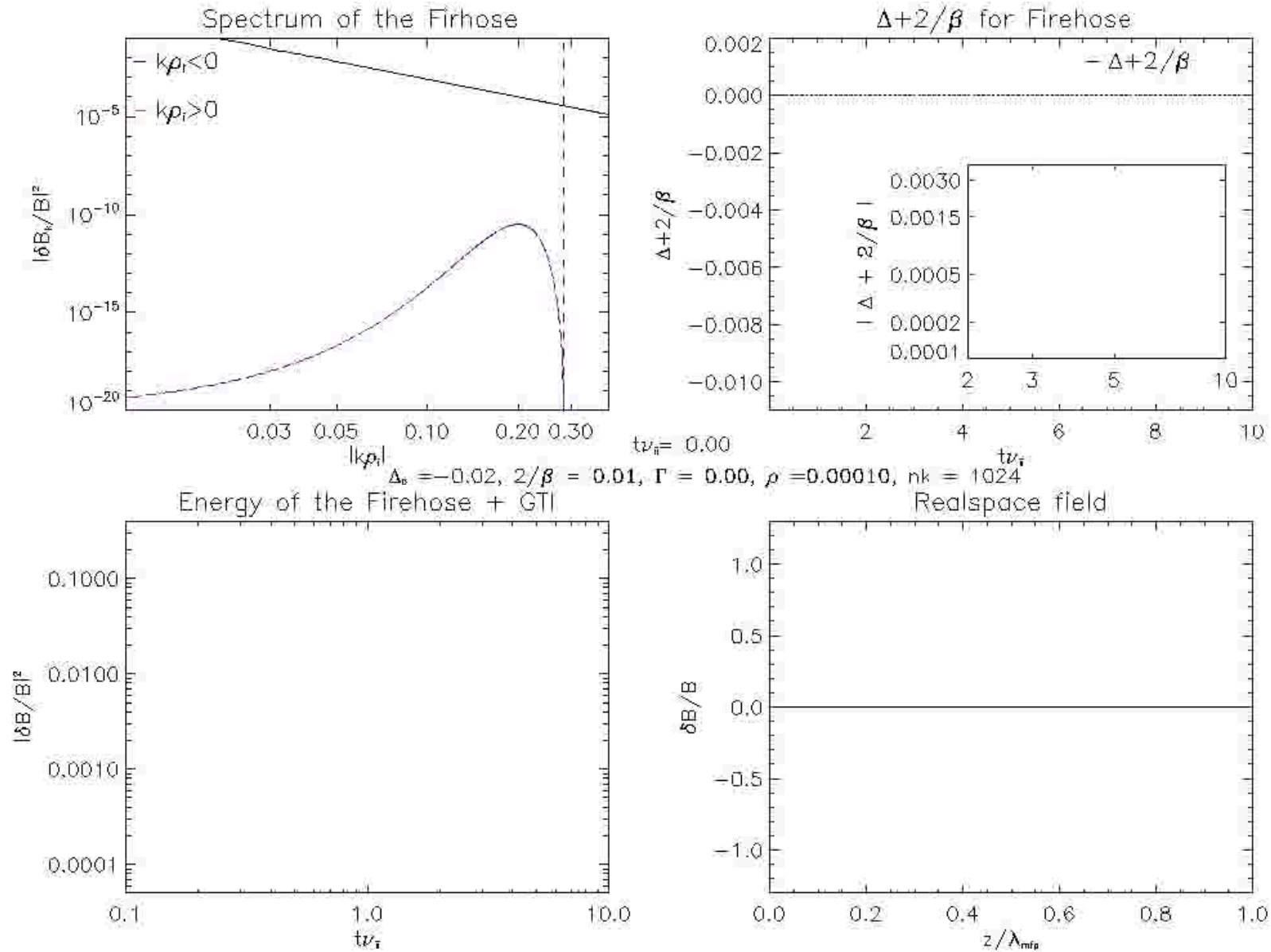
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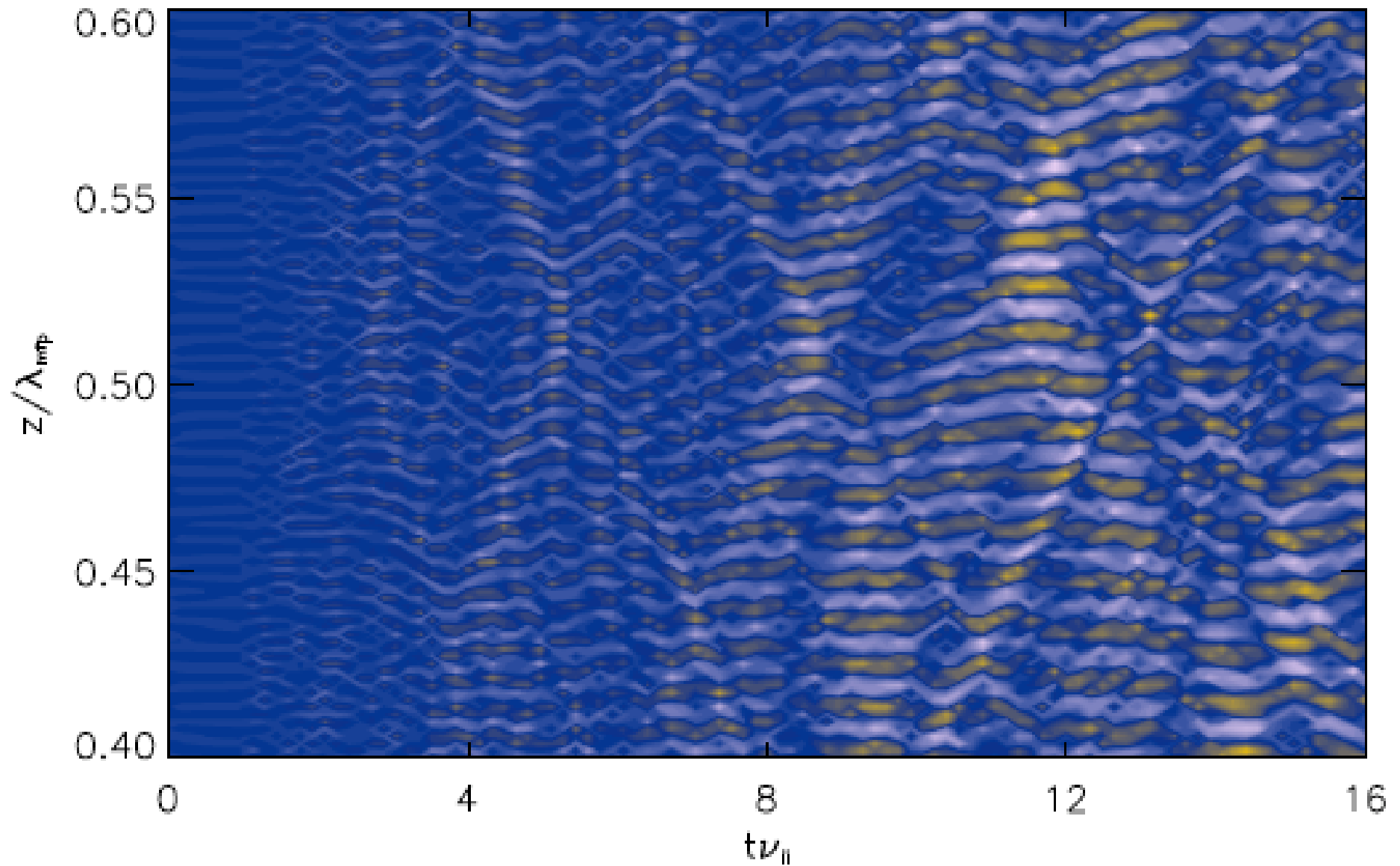


# Nonlinear Firehose



[Rosin *et al.*, arXiv:1002.4017 (2010)]

# Nonlinear Firehose



[Rosin *et al.*, arXiv:1002.4017 (2010)]

# Gyrothermal Instability (GTI)

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*Heat fluxes also drive fast microphysical instabilities*

# Gyrothermal Instability: Equations

*Heat fluxes also drive fast microphysical instabilities*

- Keep the gyroviscous terms in the “Braginskii” stress (this is valid even without collisions and is necessary to get the fastest growing mode for the firehose)
- Keep pressure anisotropies and **parallel ion heat fluxes**

$$mn \frac{du}{dt} = -\nabla \left( p_{\perp} + \frac{B^2}{8\pi} \right) + \nabla \cdot \left[ bb \left( p_{\perp} - p_{\parallel} + \frac{B^2}{4\pi} \right) - \mathbf{G} \right]$$

$$\mathbf{G} = \frac{1}{4\Omega} [\mathbf{b} \times \mathbf{S} \cdot (\mathbf{I} + 3bb) - (\mathbf{I} + 3bb) \cdot \mathbf{S} \times \mathbf{b}] + \frac{1}{\Omega} [\mathbf{b} (\boldsymbol{\sigma} \times \mathbf{b}) + (\boldsymbol{\sigma} \times \mathbf{b}) \mathbf{b}]$$

$$\mathbf{S} = (p_{\perp} \nabla u + \nabla q_{\perp}) + (p_{\perp} \nabla u + \nabla q_{\perp})^T$$

$$\boldsymbol{\sigma} = (p_{\perp} - p_{\parallel}) \left( \frac{d\mathbf{b}}{dt} + \mathbf{b} \cdot \nabla u \right) + (3q_{\perp} - q_{\parallel}) \mathbf{b} \cdot \nabla \mathbf{b}$$

- Consider just  $k_{\perp} = 0$ ,

(Alfvénically polarised parallel-propagating modes – they decouple and can be calculated without knowing pressures or heat fluxes)



# Gyrothermal Instability: Linear Theory

Instability criterion:

$$\Lambda \equiv \Gamma_T^2 - \frac{(1 - \delta)^2}{2} \left( \Delta + \frac{2}{\beta} \right) > 0$$

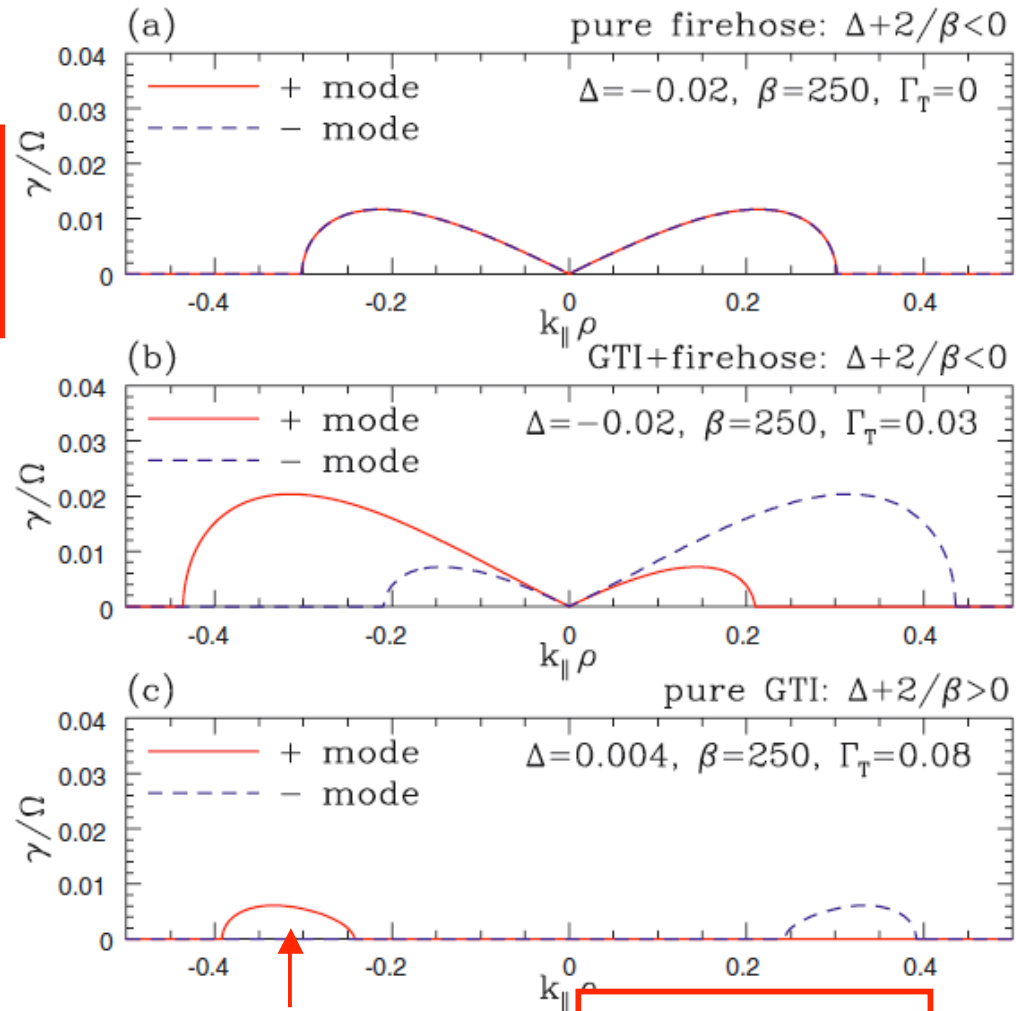
$$\Delta = \frac{p_{\perp i} - p_{\parallel i} + p_{\perp e} - p_{\parallel e}}{p_{\parallel i}}$$

$$\delta = \frac{p_{\perp i} - p_{\parallel i} - (p_{\perp e} - p_{\parallel e})}{p_{\parallel i}} - \frac{2}{\beta}$$

$$\Gamma_T = \frac{2q_{\perp i} - q_{\parallel i}}{p_{\parallel i} v_{th}}$$

In the collisional limit,

$$q_{\perp} = \frac{1}{3} q_{\parallel} = -\frac{1}{2} n \frac{v_{th}^2}{v} \mathbf{b} \cdot \nabla T$$



Preferred scale  
in marginal state:

$$k_{\parallel} \rho_i \sim \frac{\lambda_{mfp}}{l_T}$$

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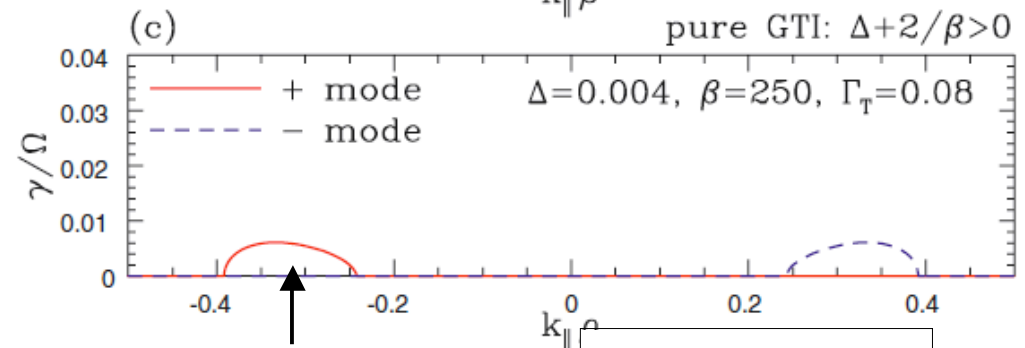
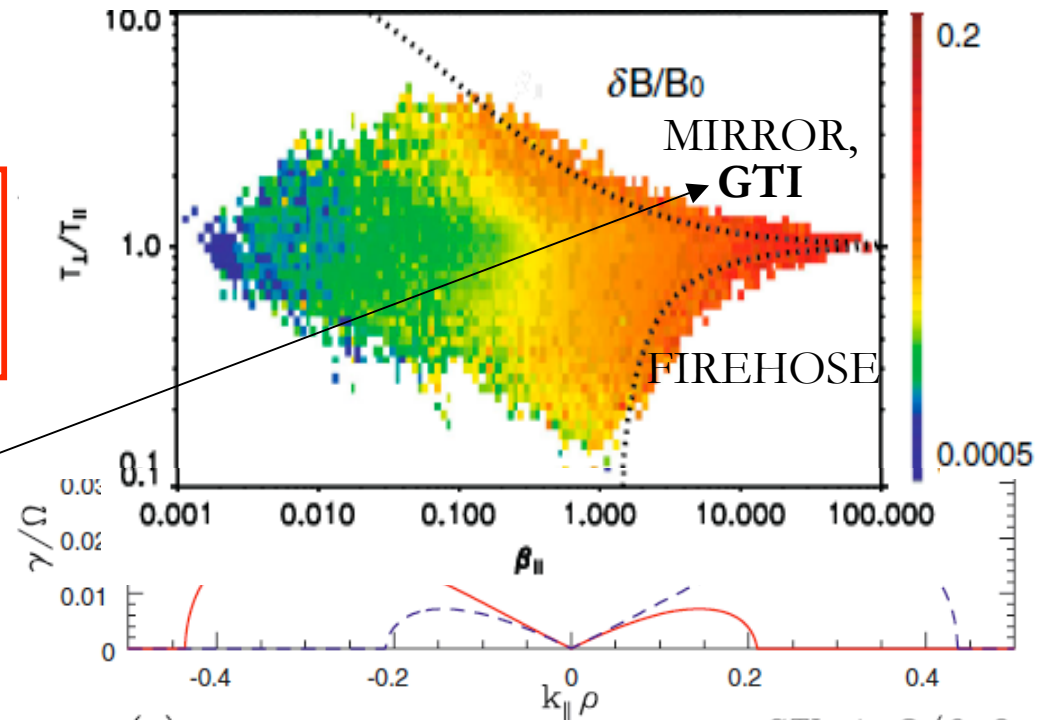
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So, Alfvénically polarised perturbations can be unstable at  $\Delta > 0$ !

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# Gyrothermal Instability: Nonlinear Theory

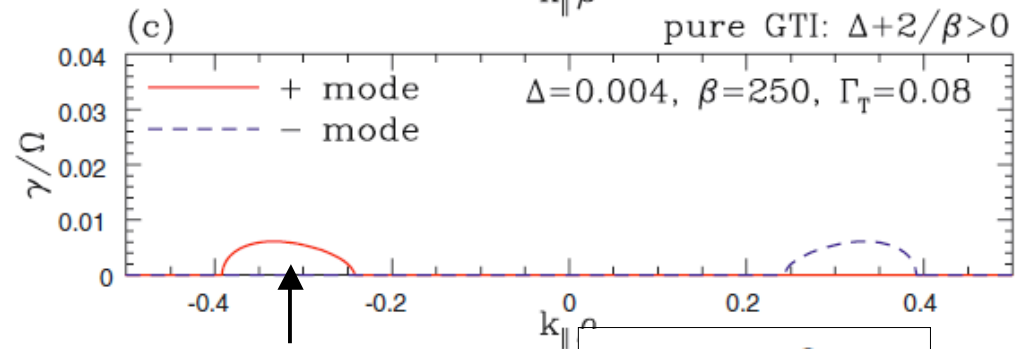
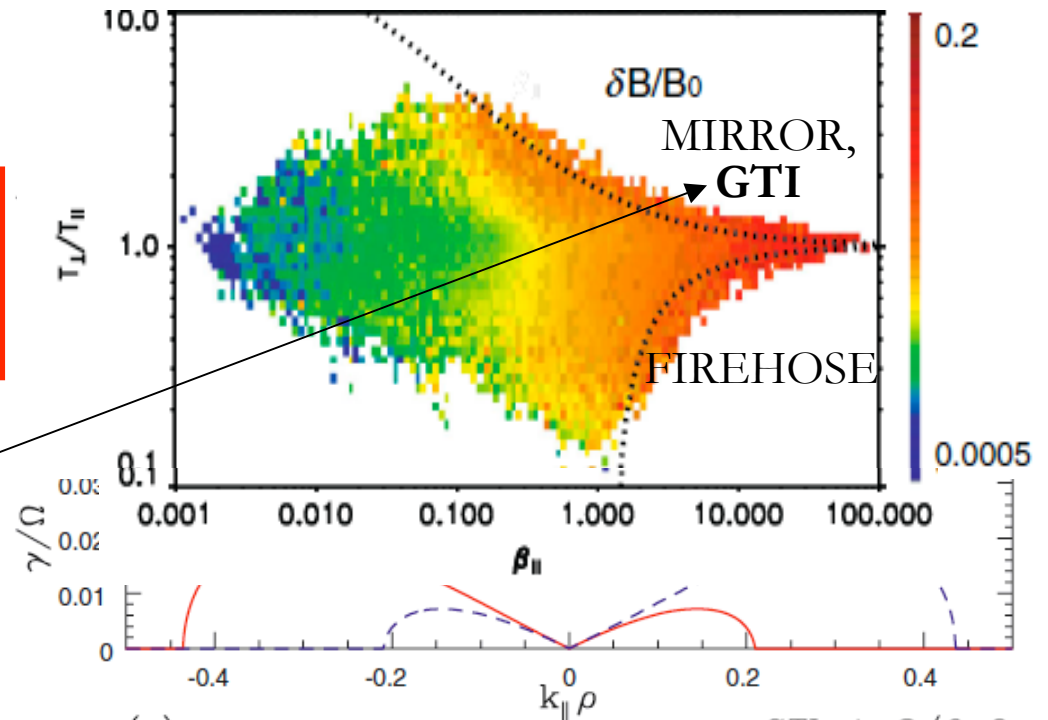
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So, Alfvénically polarised perturbations can be unstable at  $\Delta > 0$ !

GTI saturates by the same mechanism as the firehose: magnetic fluctuations adjusting (increasing)  $\Delta$

[It might actually destabilise mirror — no idea what then]

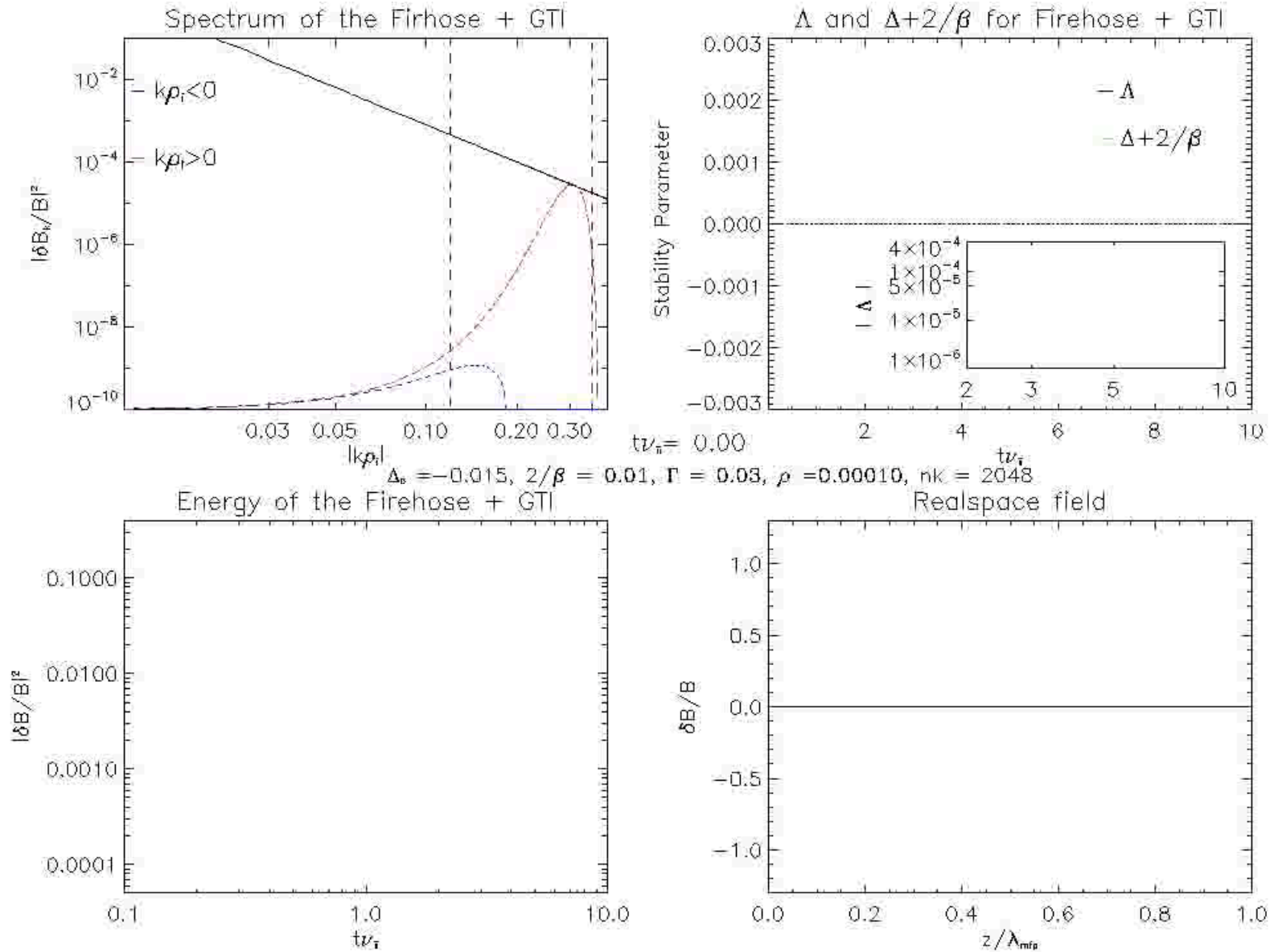


Preferred scale in marginal state:

$$k_{\parallel} \rho_i \sim \frac{\lambda_{\text{mfp}}}{l_T}$$

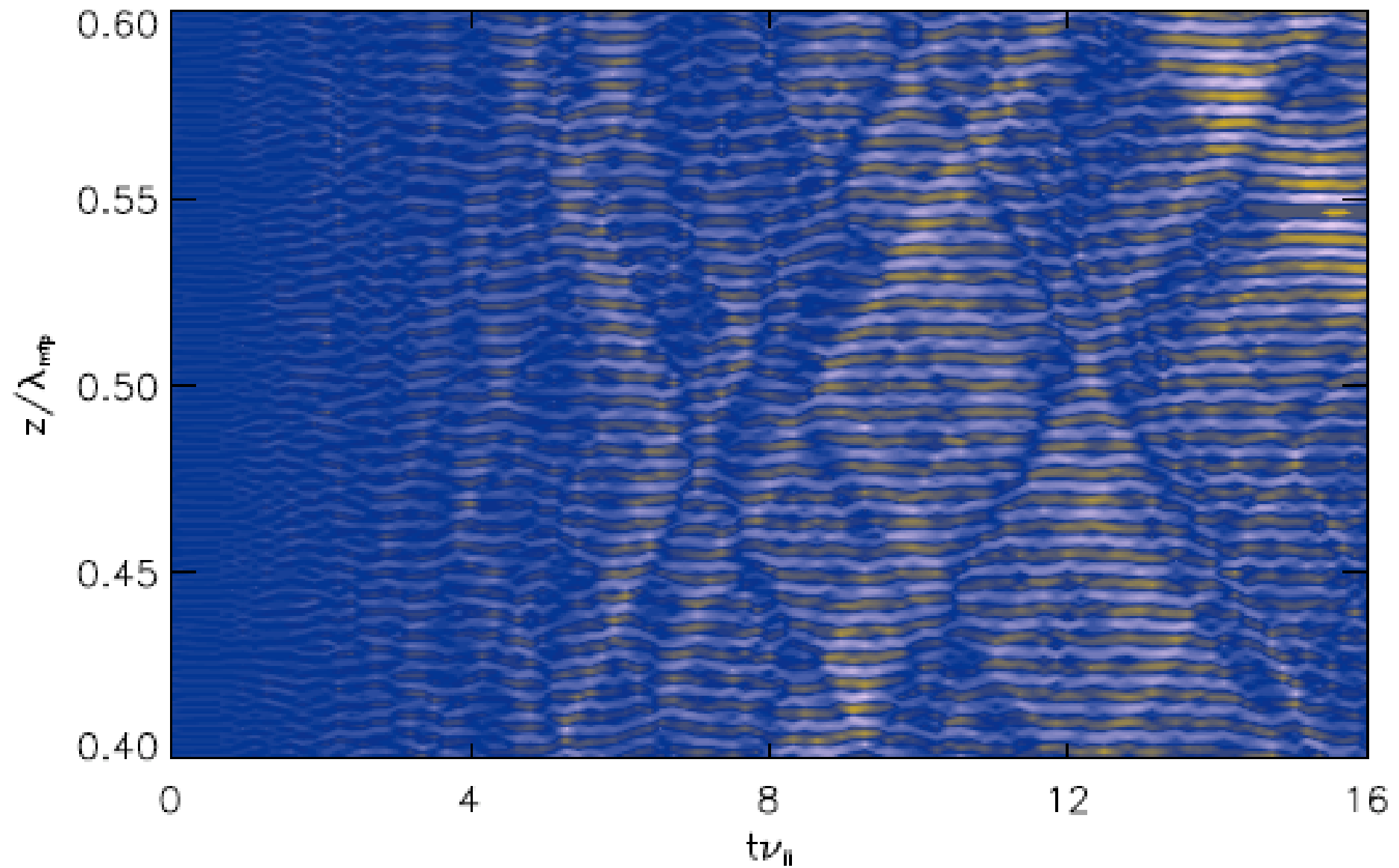
[Rosin *et al.*, arXiv:1002.4017 (2010)]

# Nonlinear GTI

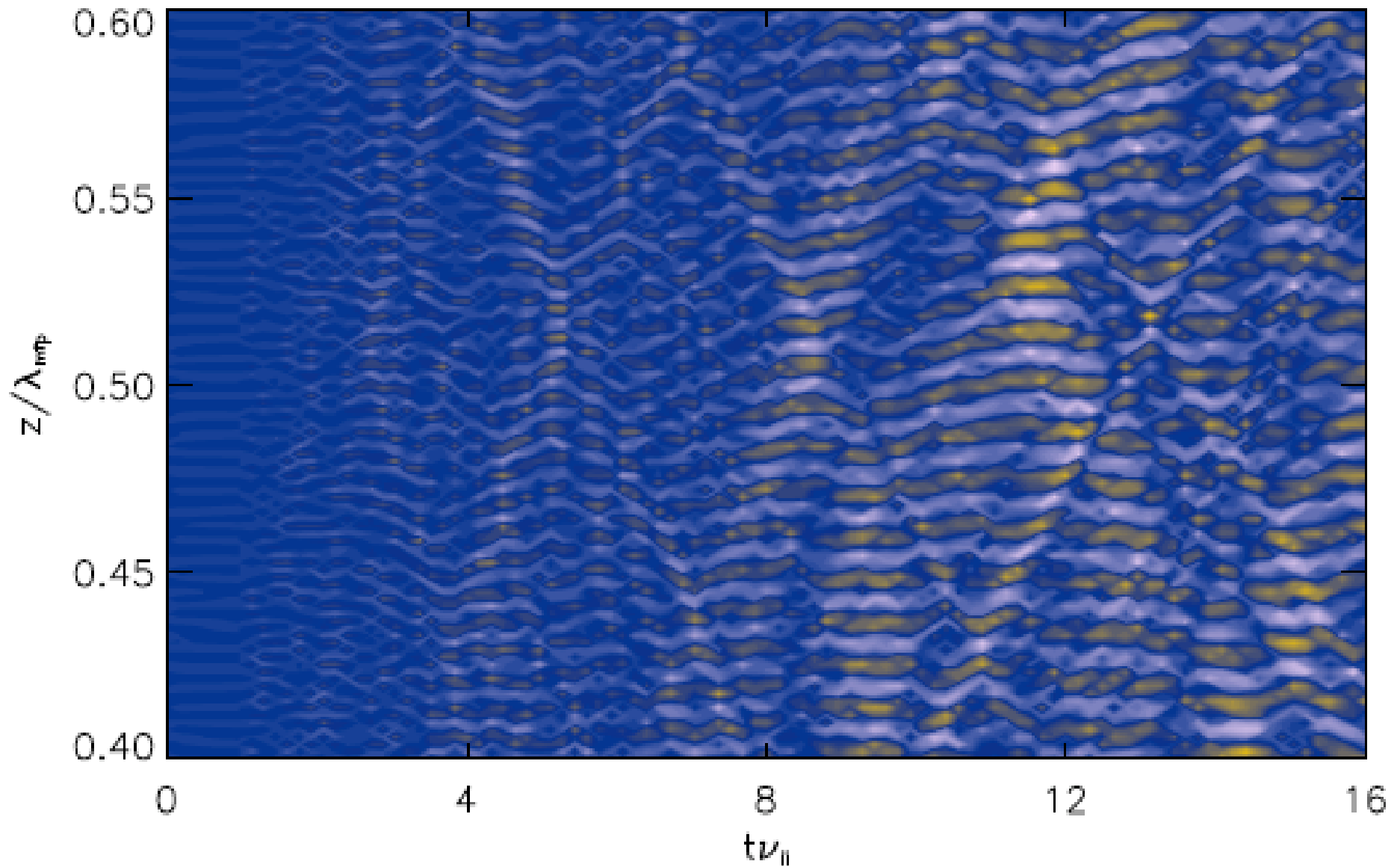


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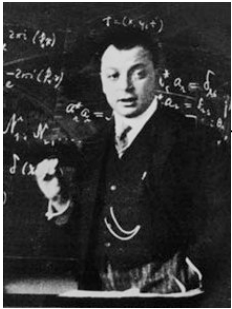
# Nonlinear GTI



# [Cf. Nonlinear Firehose]



[Rosin *et al.*, arXiv:1002.4017 (2010)]



## *Part I. The Knowns*

### **1. Kinetic turbulence is a generalised (free) energy cascade in phase space towards collisional scales.**

The free energy cascade splits into various channels:

*AW* + *compressive* above ion gyroscale (“inertial range”)

*KAW* + *entropy cascade* below ion gyroscale (“dissipation range”)

### **2. Turbulence is anisotropic at all scales**

Scaling theories based on the **critical balance** conjecture give results that seem broadly to be consistent with SW evidence and GK simulations

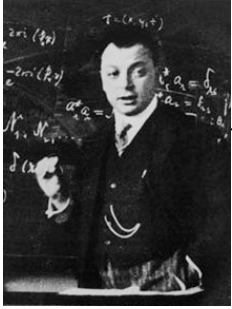
### **3. Plasma is marginal to microinstabilities** (firehose, mirror etc. driven so by spontaneous generation of pressure anisotropies)

Schekochihin *et al.*, *ApJS* **182**, 310 (2009)

Schekochihin *et al.*, *MNRAS* **405**, 291 (2010)

Rosin *et al.*, *MNRAS*, in press; arXiv:1002.4017





## *Part II. The Known Unknowns*

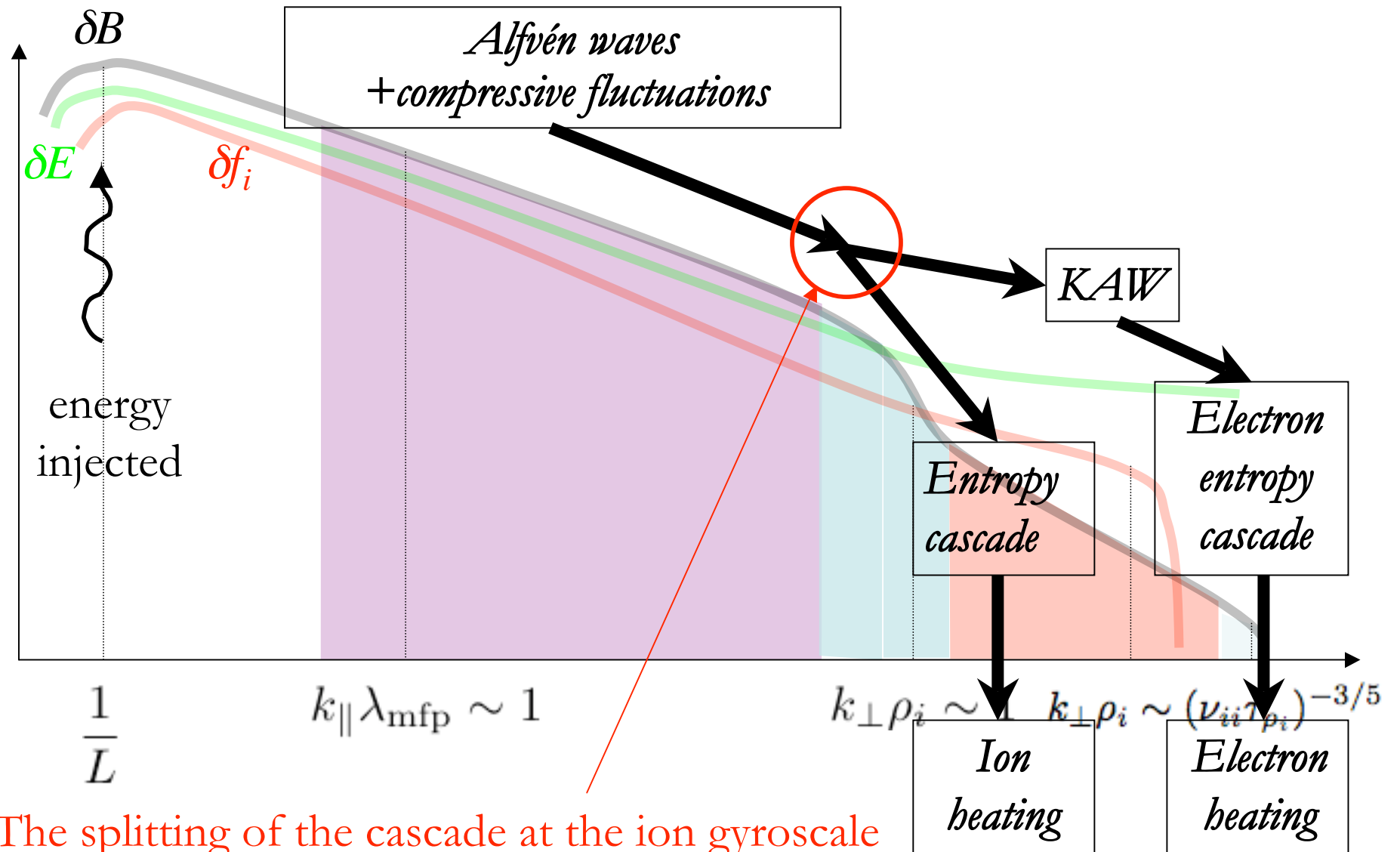
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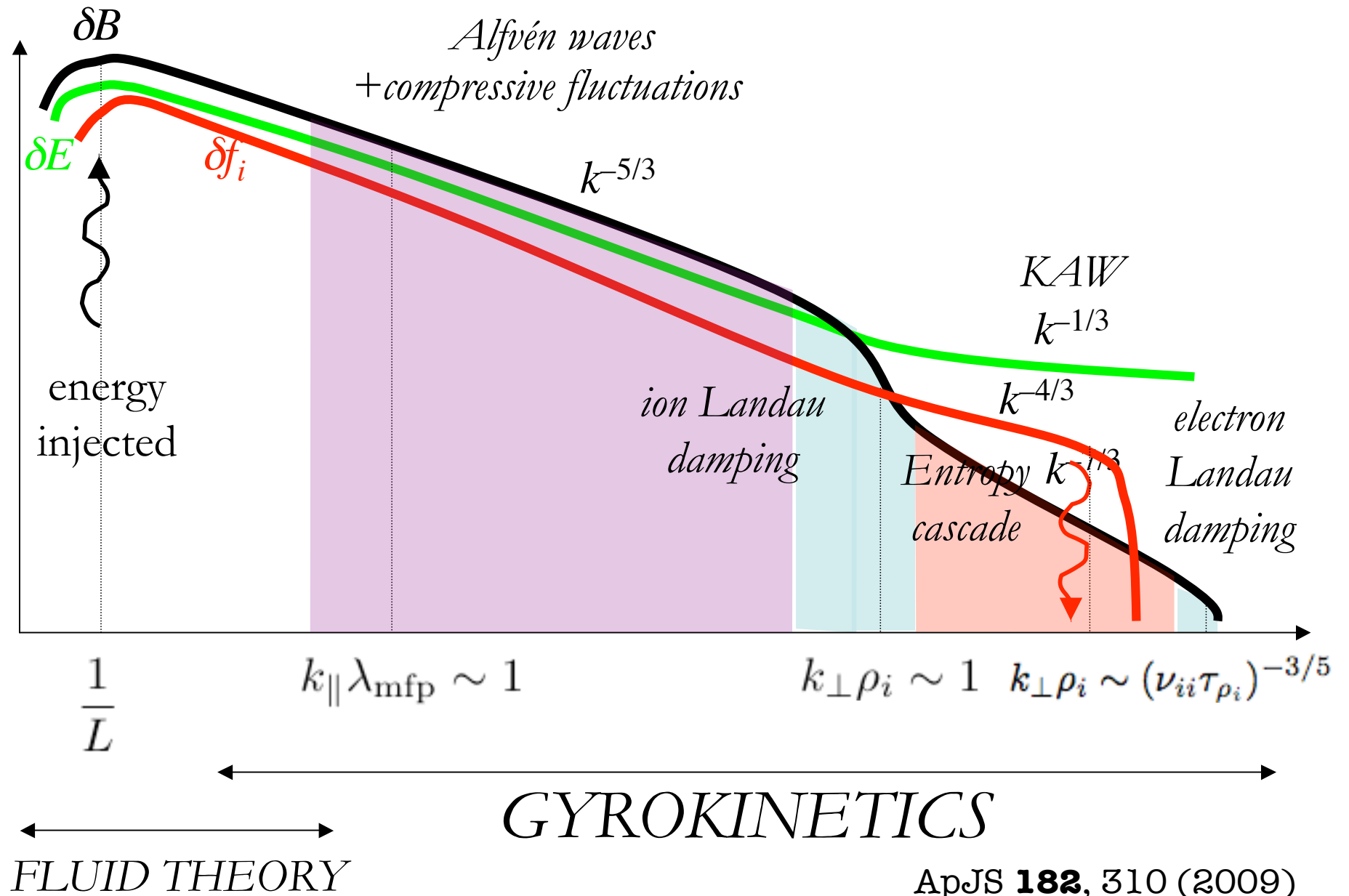


# 1. Ion vs. Electron Heating

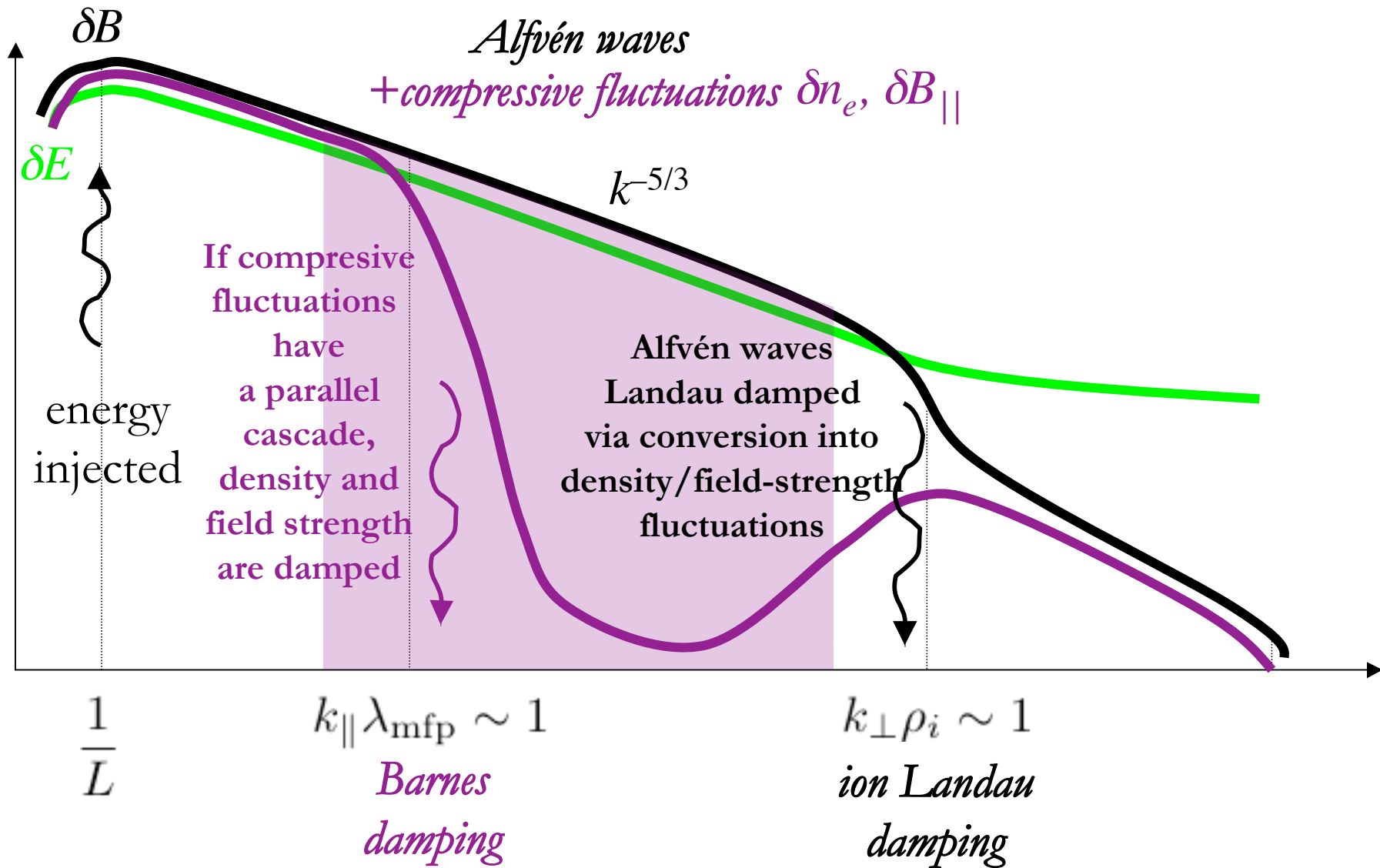


The splitting of the cascade at the ion gyroscale determines relative heating of the species

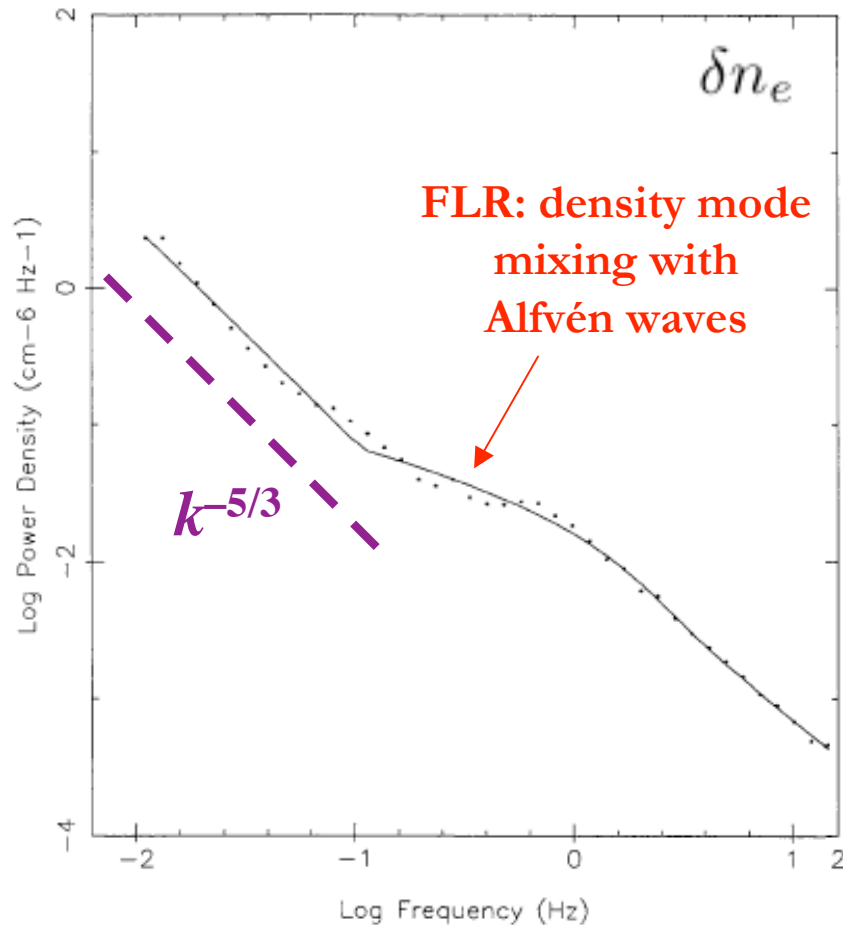
# Free Energy Cascade



## 2. Compressive Fluctuations

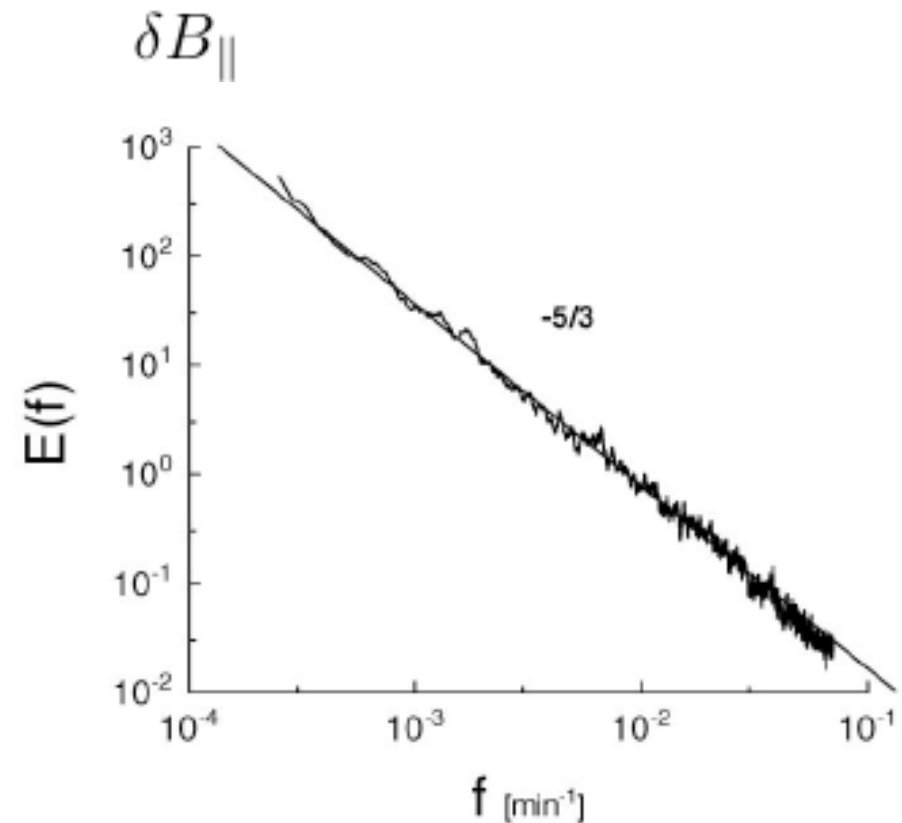


# SW: Compressive Fluctuations Undamped?



Density fluctuations in the solar wind  
at ~1 AU (31 Aug. 1981)

[Celnikier, Muschietti & Goldman 1987,  
*A&A* **181**, 138]



Spectrum of magnetic-field strength  
in the solar wind at ~1 AU (1998)

[Bershadskii & Sreenivasan 2004,  
*PRL* **93**, 064501]

# Compressive Fluctuations are Passive-Kinetic

$\delta n_e$  and  $\delta B_{\parallel}$  require kinetic description: our expansion gives

$$\frac{d}{dt} \left( \delta f_i - \frac{v_{\perp}^2}{v_{\text{th}i}^2} \frac{\delta B_{\parallel}}{B_0} f_{0i} \right) + v_{\parallel} \hat{\mathbf{b}} \cdot \nabla \left( \delta f_i + \frac{\delta n_e}{n_{0e}} f_{0i} \right) = 0.$$

$$\frac{\delta n_e}{n_{0e}} = \frac{1}{n_{0i}} \int d^3 \mathbf{v} \delta f_i$$

$$\frac{\delta B_{\parallel}}{B_0} = -\frac{\beta_i}{2} \frac{1}{n_{0i}} \int d^3 \mathbf{v} \left( 1 + \frac{v_{\perp}^2}{v_{\text{th}i}^2} \right) \delta f_i$$

Maxwellian  
equilibrium

$$\frac{d}{dt} = \frac{\partial}{\partial t} + \mathbf{u}_{\perp} \cdot \nabla_{\perp} = \frac{\partial}{\partial t} + \{\Phi, \dots\}$$

$$\hat{\mathbf{b}} \cdot \nabla = \frac{\partial}{\partial z} + \frac{\delta B_{\perp}}{B_0} \cdot \nabla_{\perp} = \frac{\partial}{\partial z} + \frac{1}{v_A} \{\Psi, \dots\}$$

**Density and field-strength fluctuations are passively mixed  
by Alfvén waves**

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---

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*In the Lagrangian  
frame of the Alfvén  
waves...*

$$\frac{d}{dt} = \frac{\partial}{\partial t} + \mathbf{u}_{\perp} \cdot \nabla_{\perp} \rightarrow \frac{\partial}{\partial t}$$

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equation is linear!

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$\delta n_e$  and  $\delta B_{\parallel}$  require kinetic description: our expansion gives

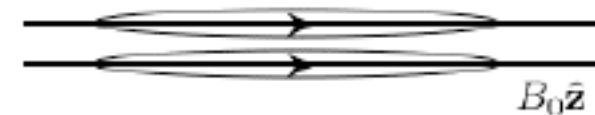
$$\left(\frac{\partial}{\partial t}\right) \left( \delta f_i - \frac{v_{\perp}^2}{v_{\text{th}i}^2} \frac{\delta B_{\parallel}}{B_0} f_{0i} \right) + v_{\parallel} \left(\frac{\partial}{\partial l_0}\right) \left( \delta f_i + \frac{\delta n_e}{n_{0e}} f_{0i} \right) = 0.$$

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*In the Lagrangian  
frame of the Alfvén  
waves...*



time 0



time  $t$

**No refinement of scale along perturbed magnetic field**

(but there is along the guide field, i.e.  $k_z$  grows)



# Collisionless Damping

$\delta n_e$  and  $\delta B_{\parallel}$  require kinetic description: our expansion gives

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For  $\beta_i \sim 1$ ,  $\gamma \sim k_{\parallel 0} v_{\text{th}i} \sim k_{\parallel 0} v_A \ll k_{\parallel} v_A$

[Barnes 1966, *Phys. Fluids* **9**, 1483]



time to be cascaded in  $k_{\perp}$  by Alfvén waves, for which  $k_{\parallel} \sim k_{\perp}^{2/3}$

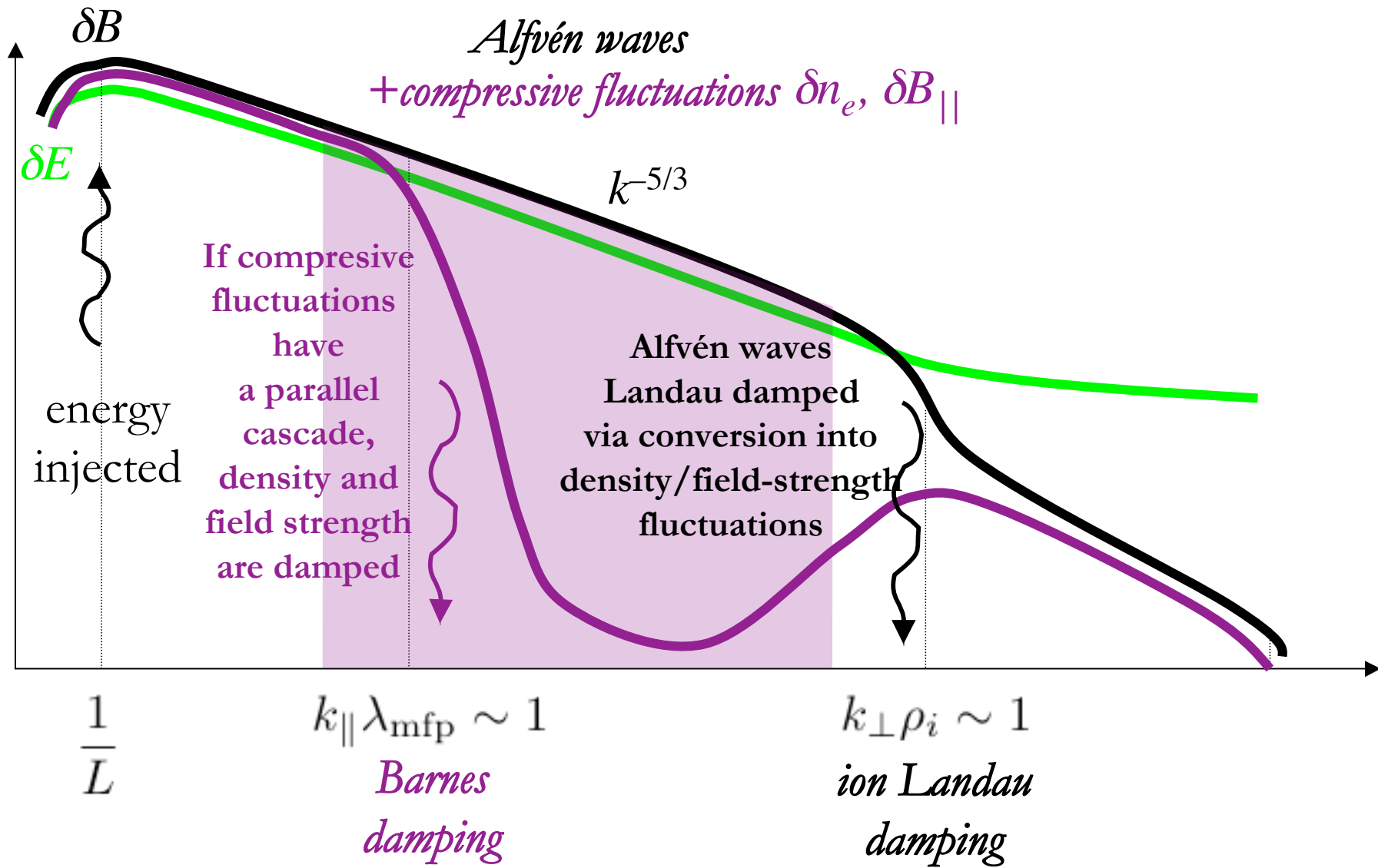
**Cascades of density and field strength fluctuations are undamped above ion gyroscale**

... but parallel cascade might be induced due to dissipation

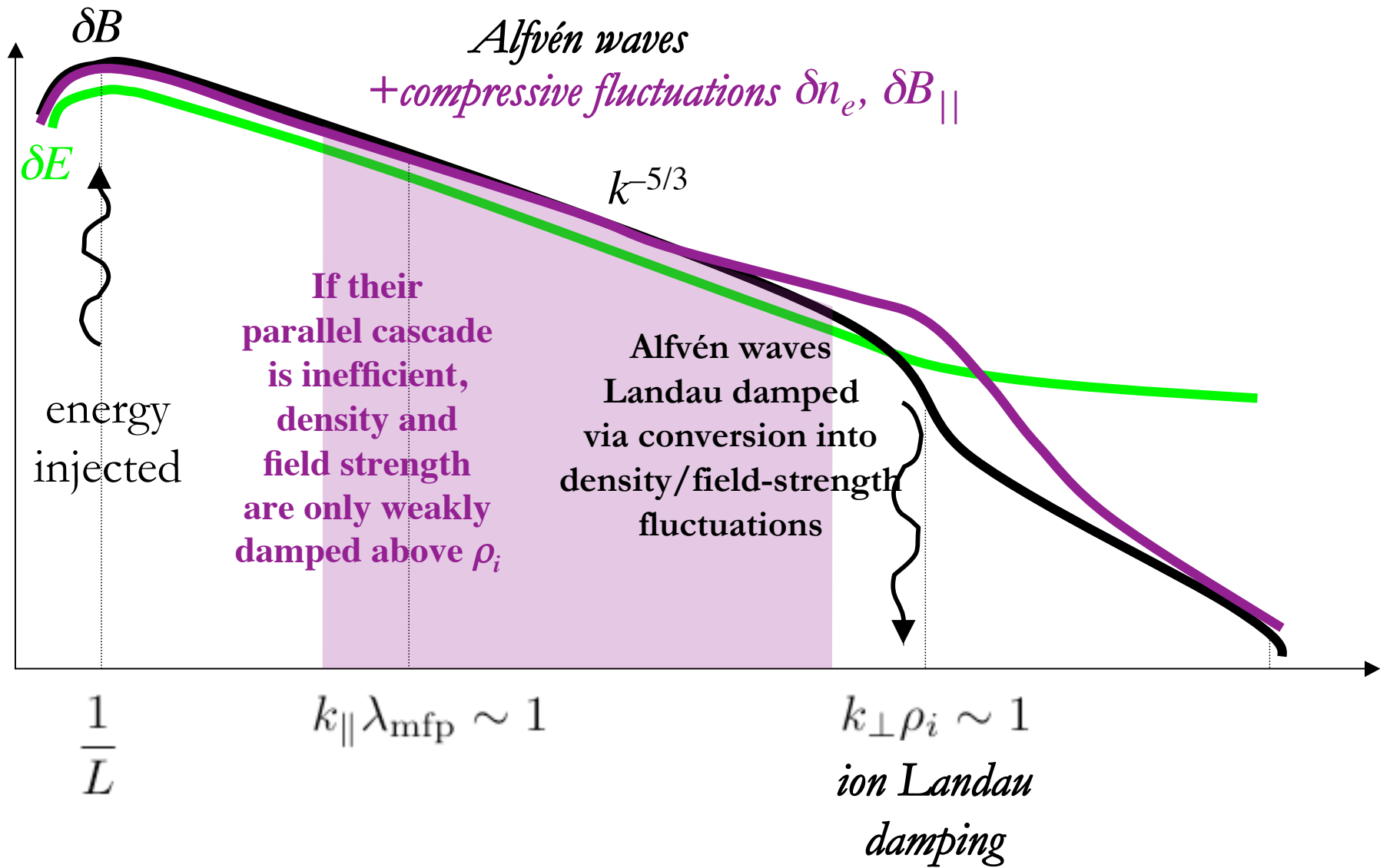
[Lithwick & Goldreich 2001, *ApJ* **562**, 279]

*ApJS* **182**, 310 (2009)

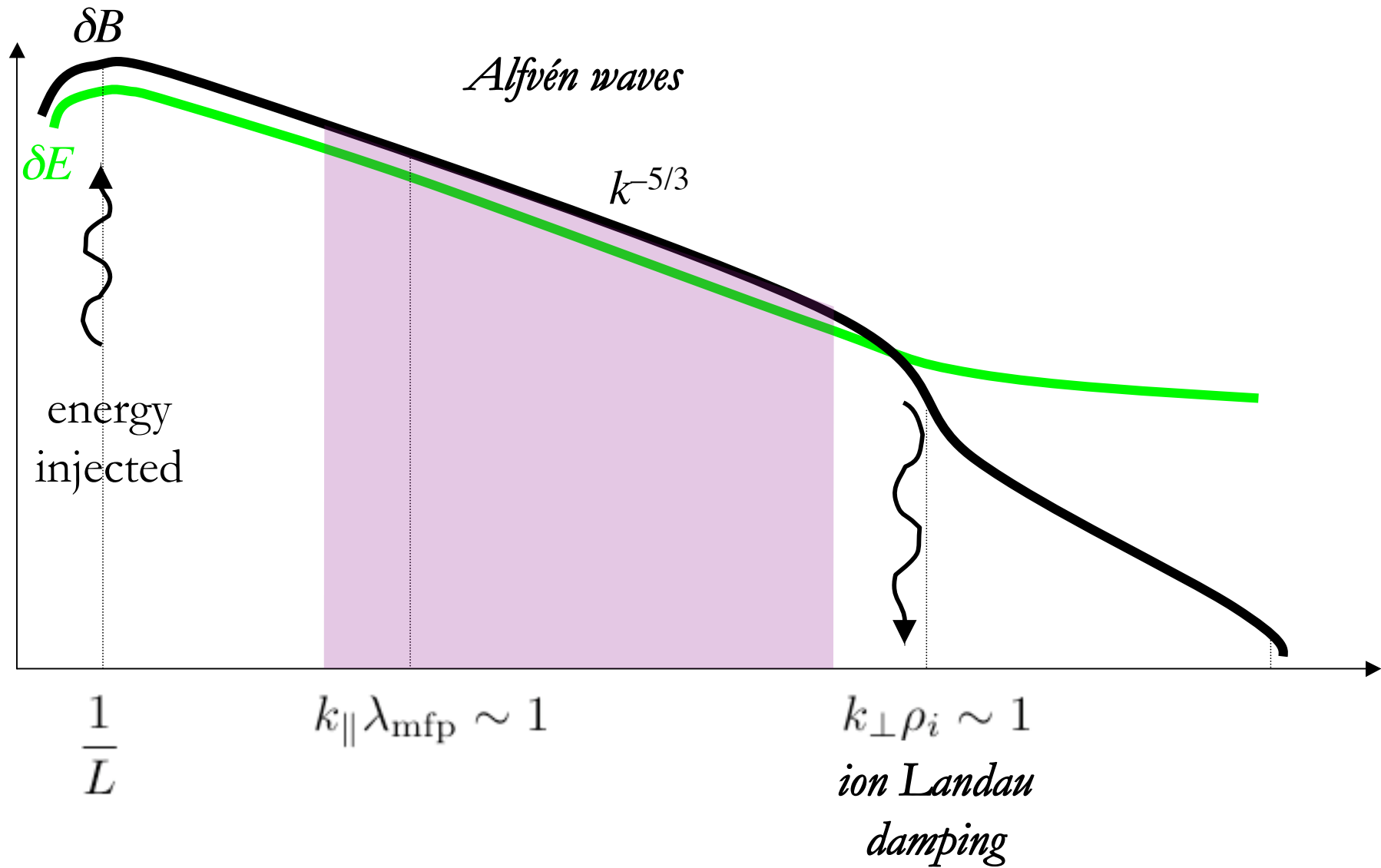
# Compressive Fluctuations



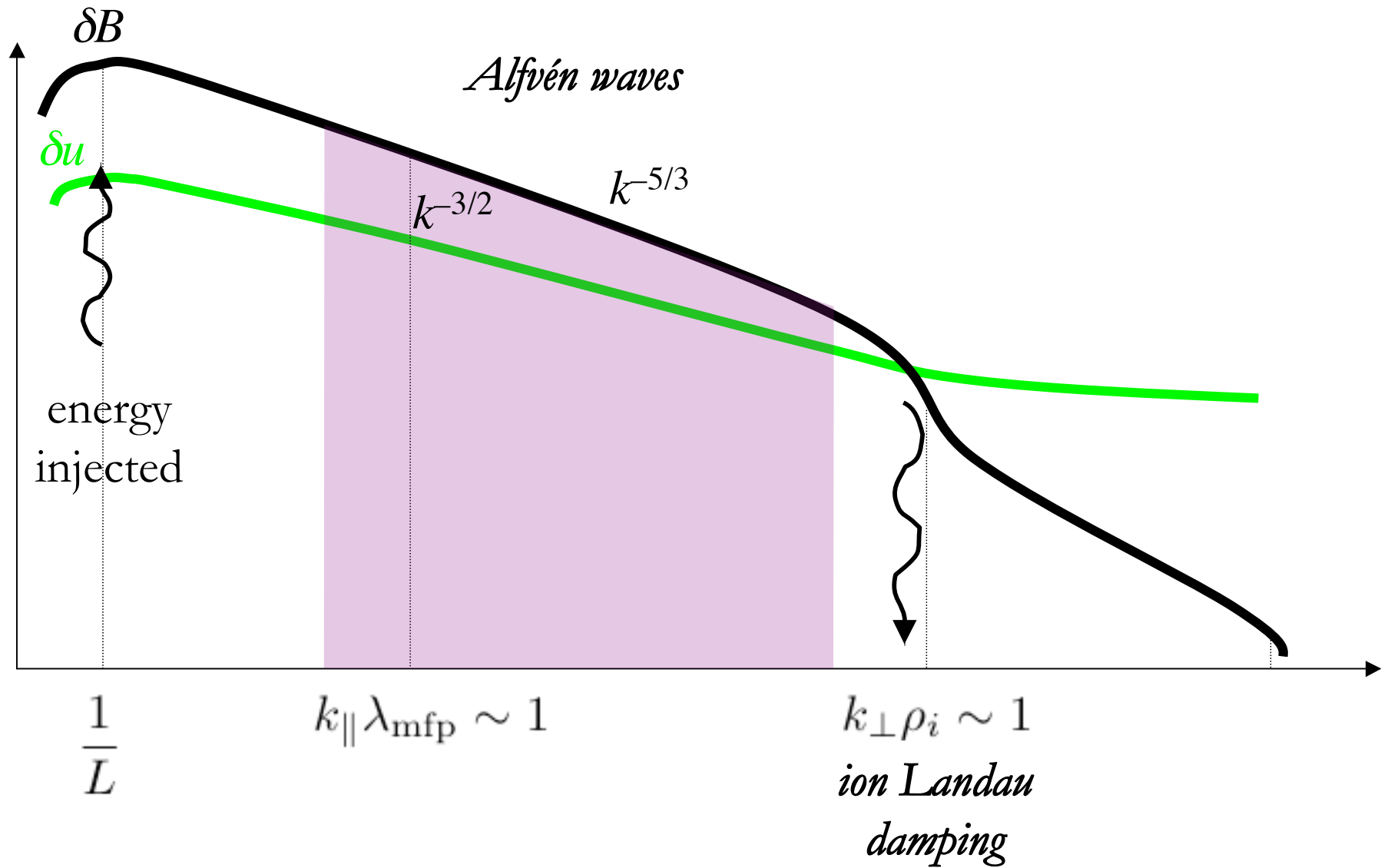
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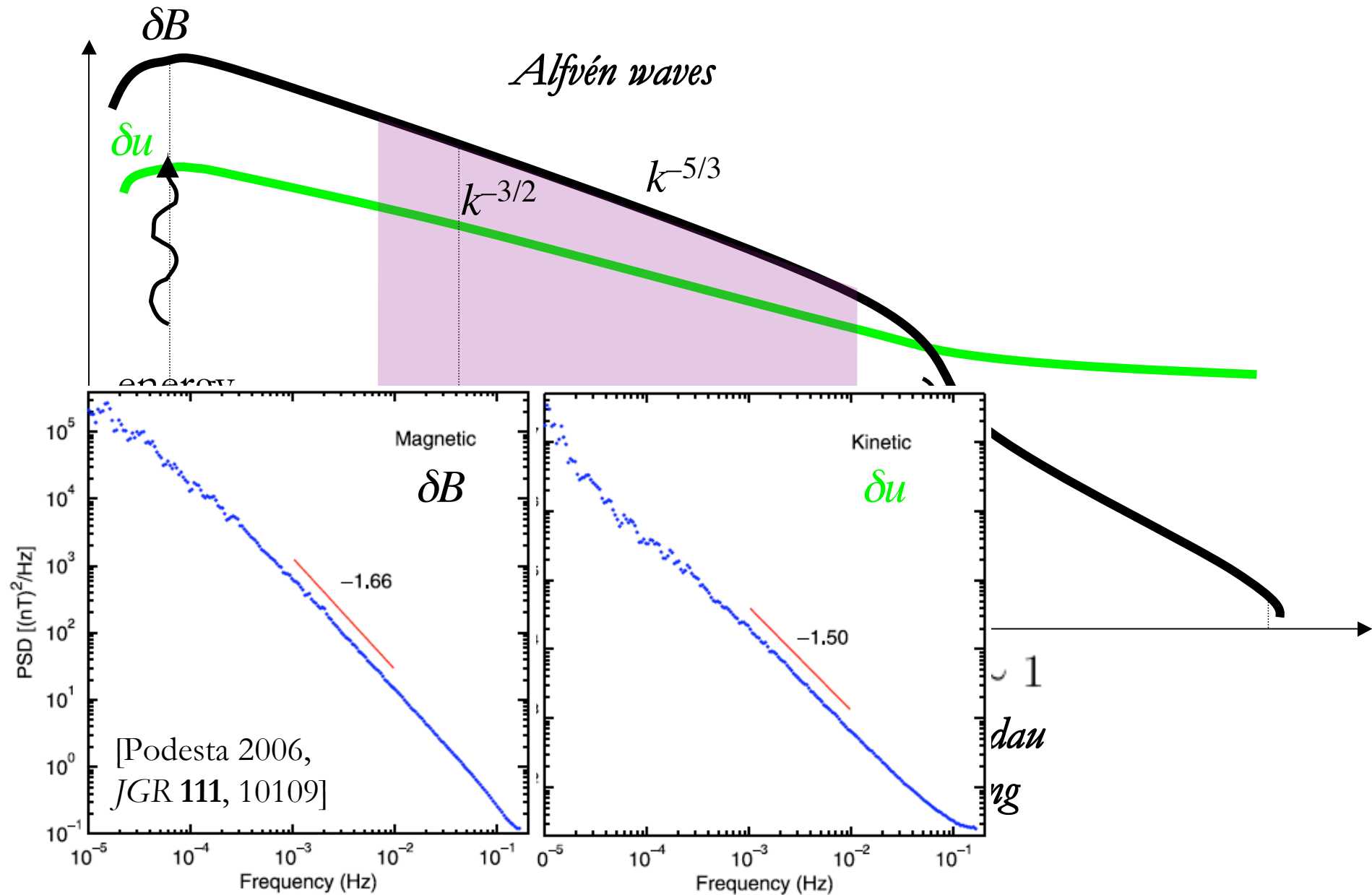
# Back to Alfvén Waves...



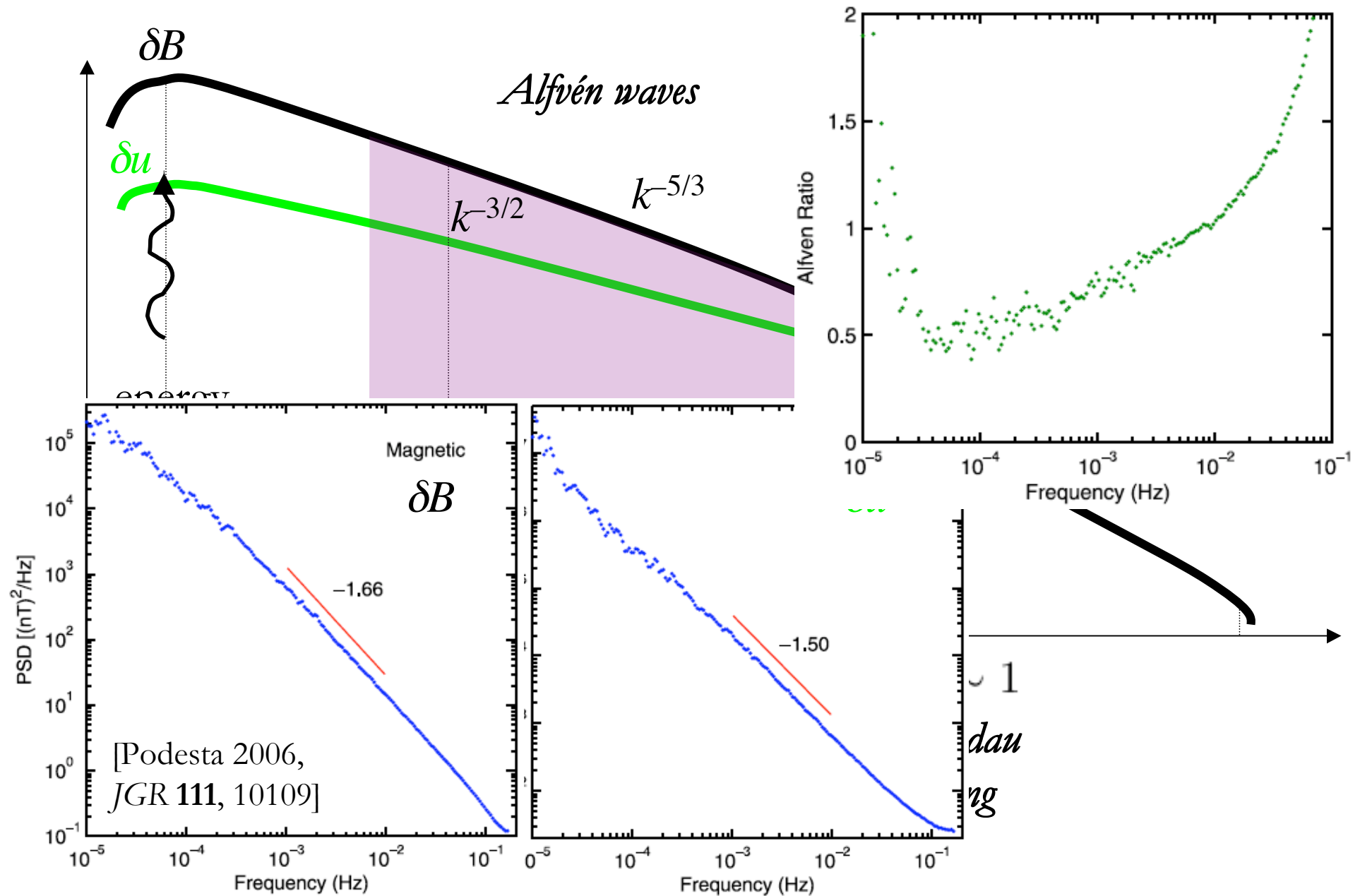
### 3. The 5/3 and the 3/2



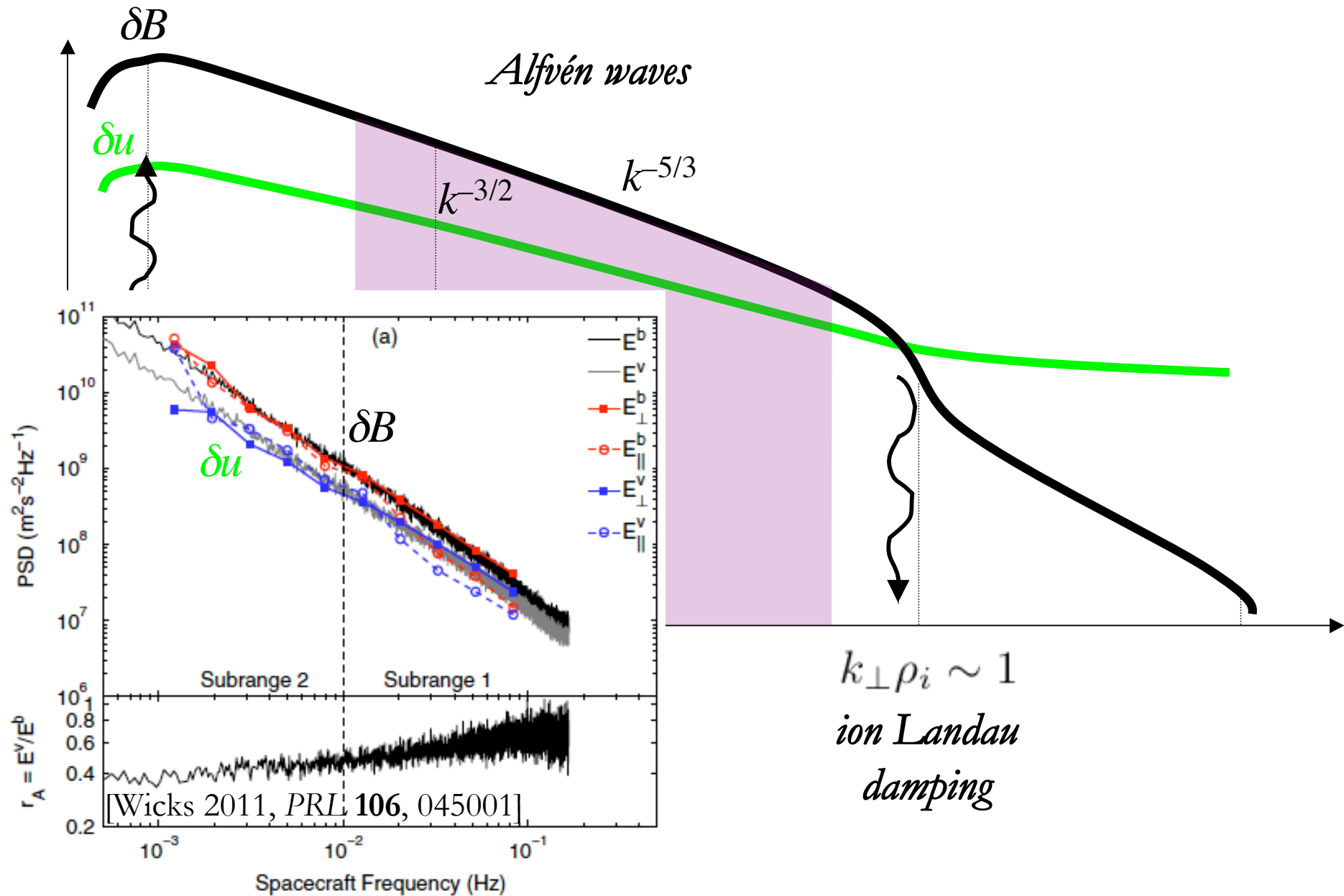
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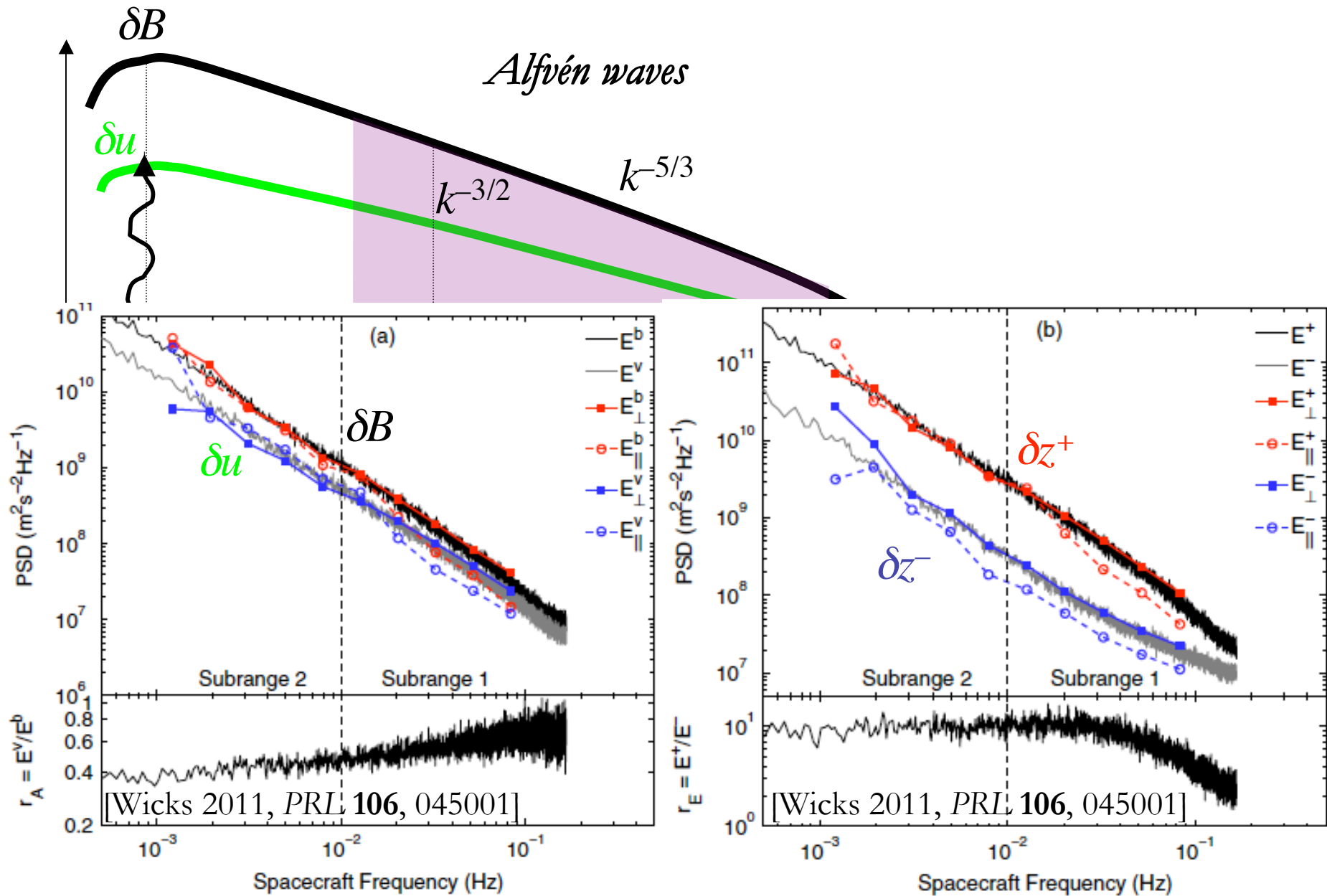


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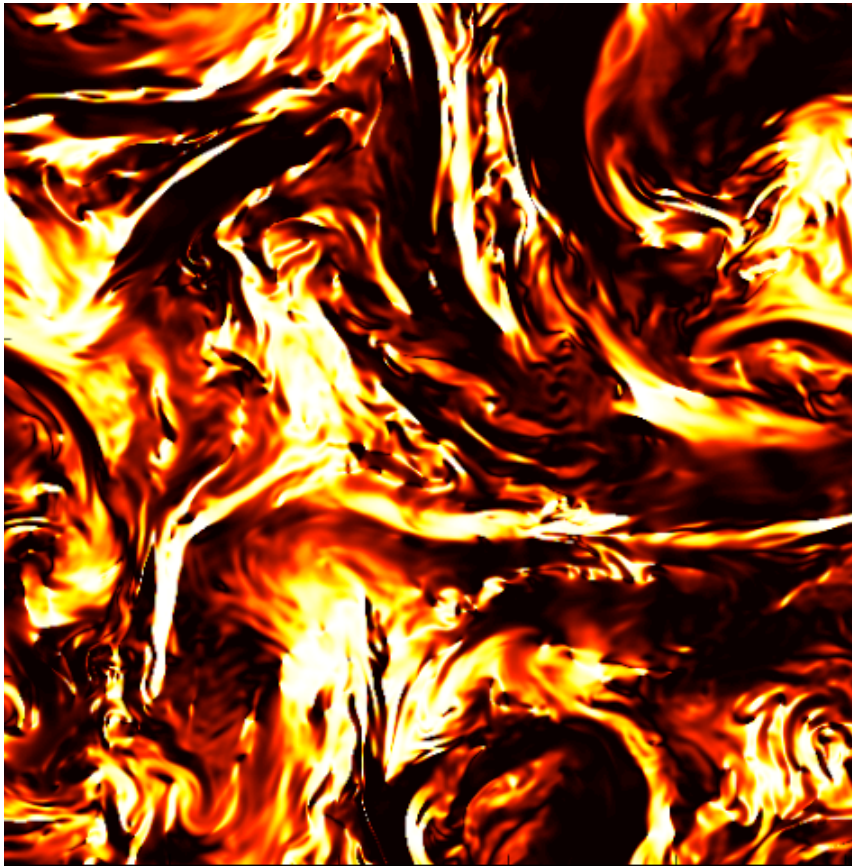


# 4. Imbalanced Cascade



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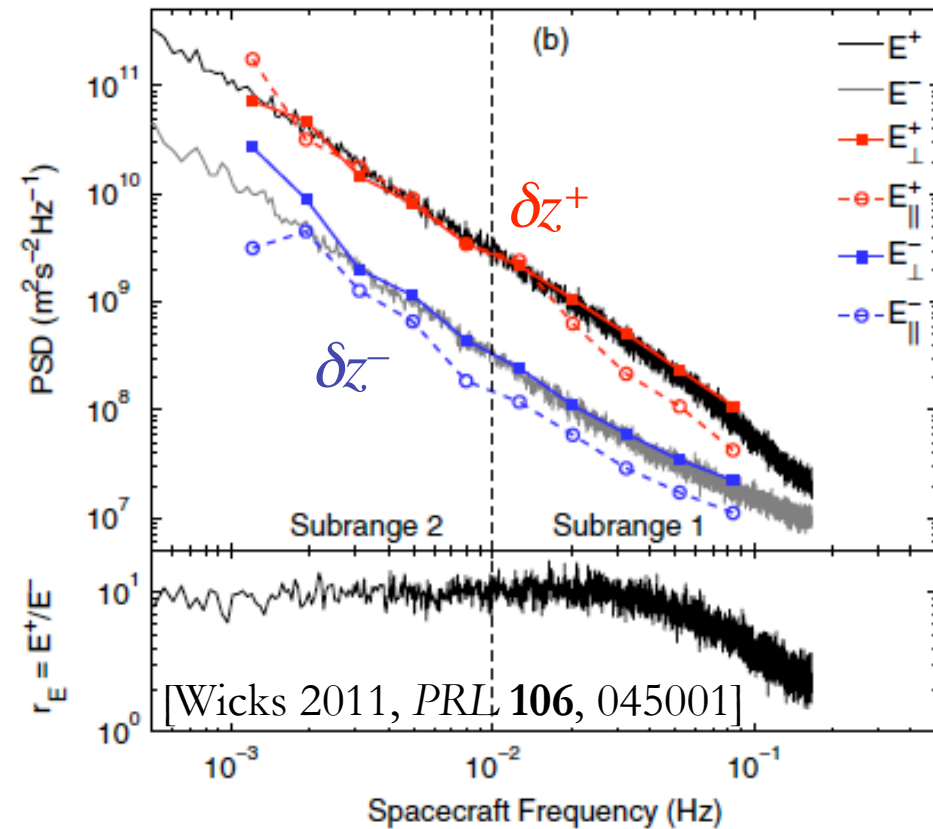
In fact, all MHD turbulence is locally imbalanced



$$\mathbf{u} \cdot \mathbf{b} / |\mathbf{u}| |\mathbf{b}|$$

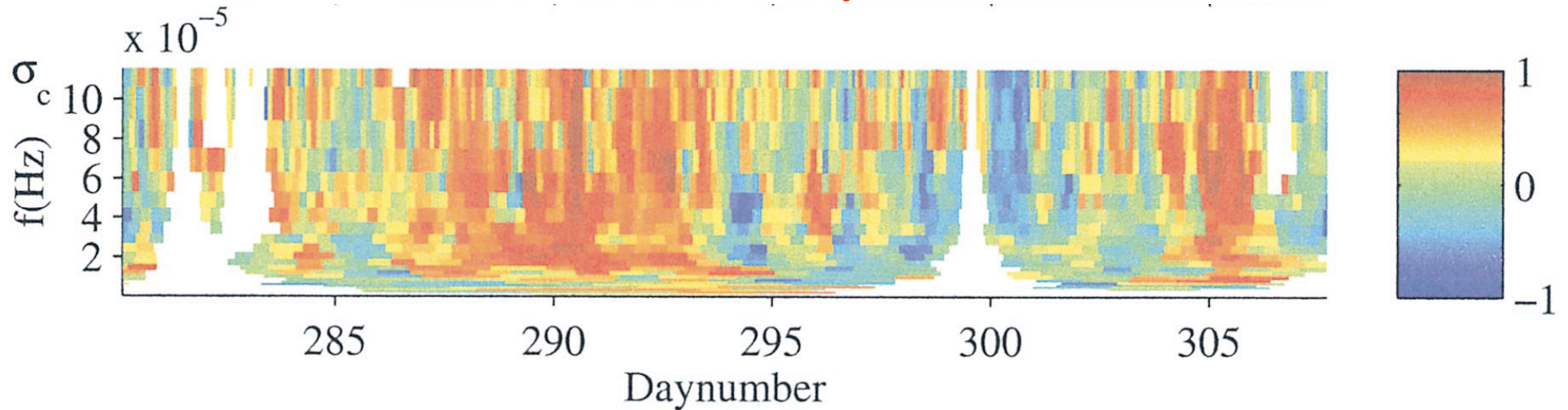
[From a balanced  $512^3$  RMHD simulation by A. Mallet (2010)]

[Perez & Boldyrev 2009, *PRL* **102**, 025003]

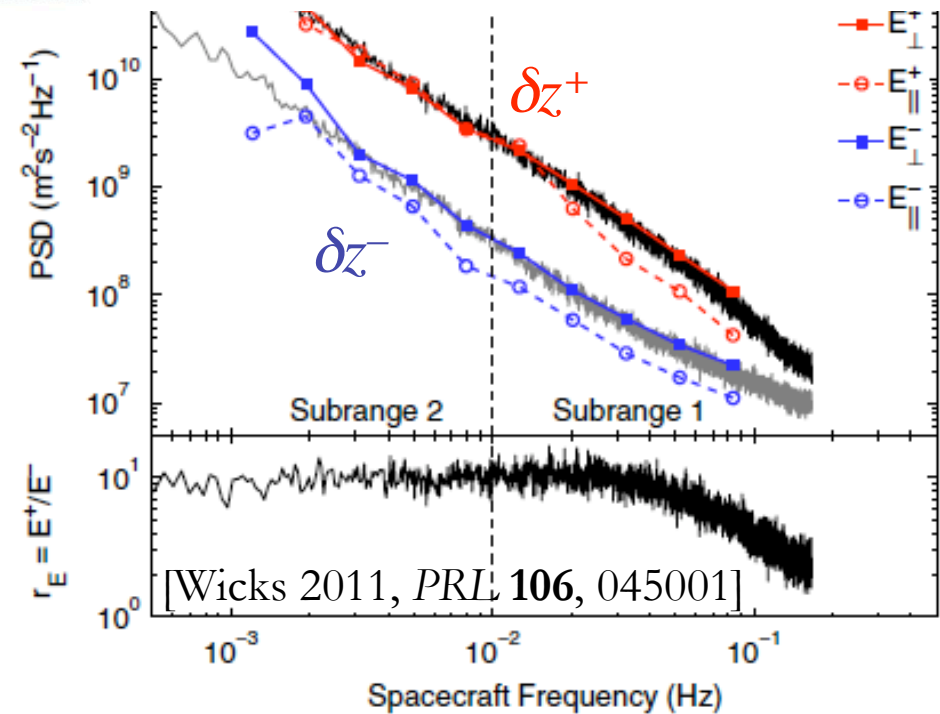


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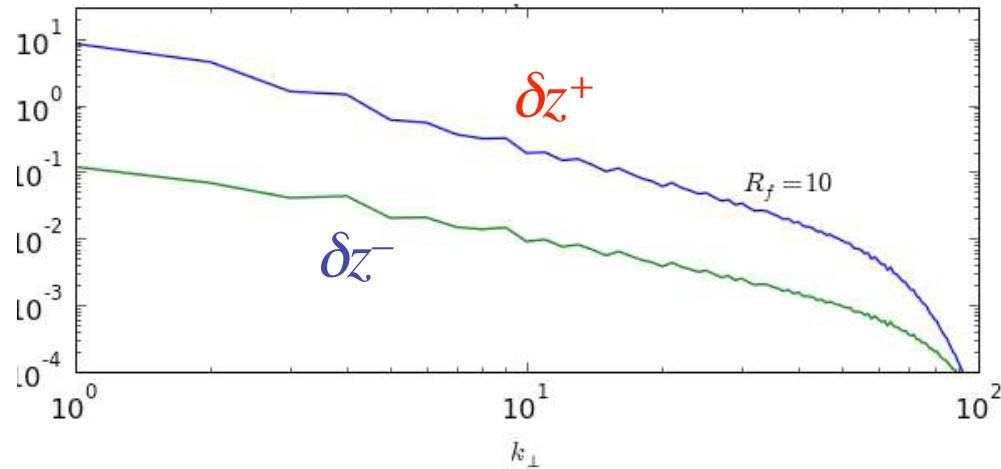
[Lucek & Balogh 1998, *ApJ* 507,984]



[Wicks 2011, *PRL* 106, 045001]

# 4. Imbalanced Cascade

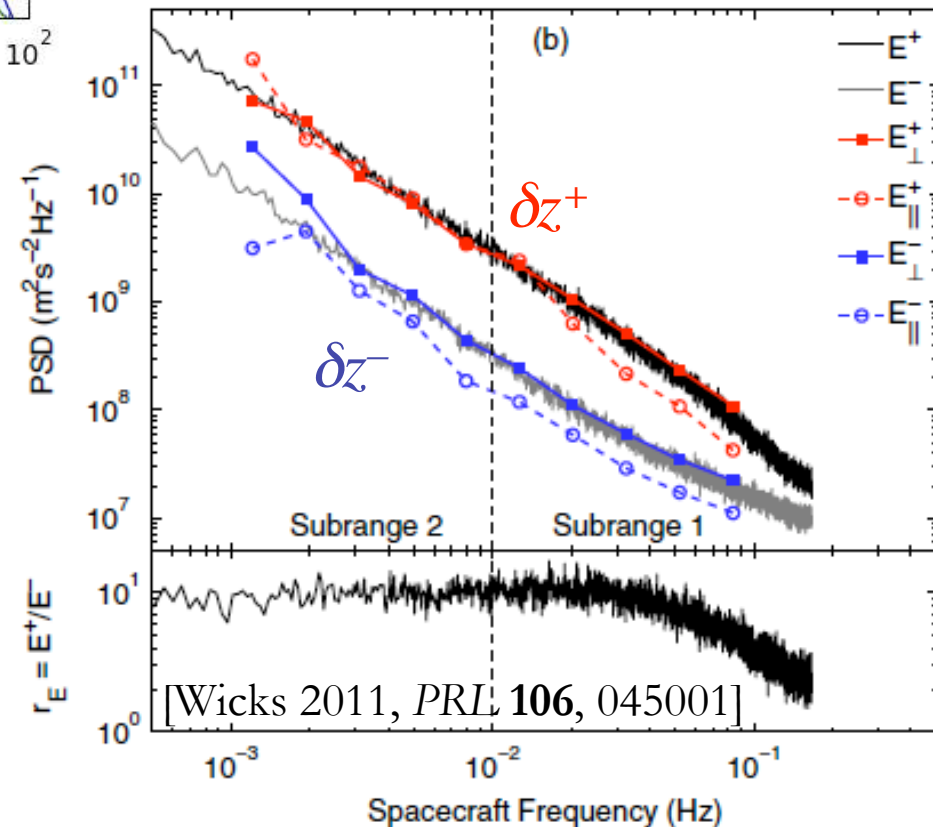
In fact, all MHD turbulence is locally imbalanced



[From an imbalanced  $512^3$  RMHD simulation by A. Mallet (2010)]

*Scaling theories that are simple extensions of those for the balanced case do not describe correctly either numerics or measurements (which also disagree with each other)*

[Perez & Boldyrev 2009, *PRL* **102**, 025003]

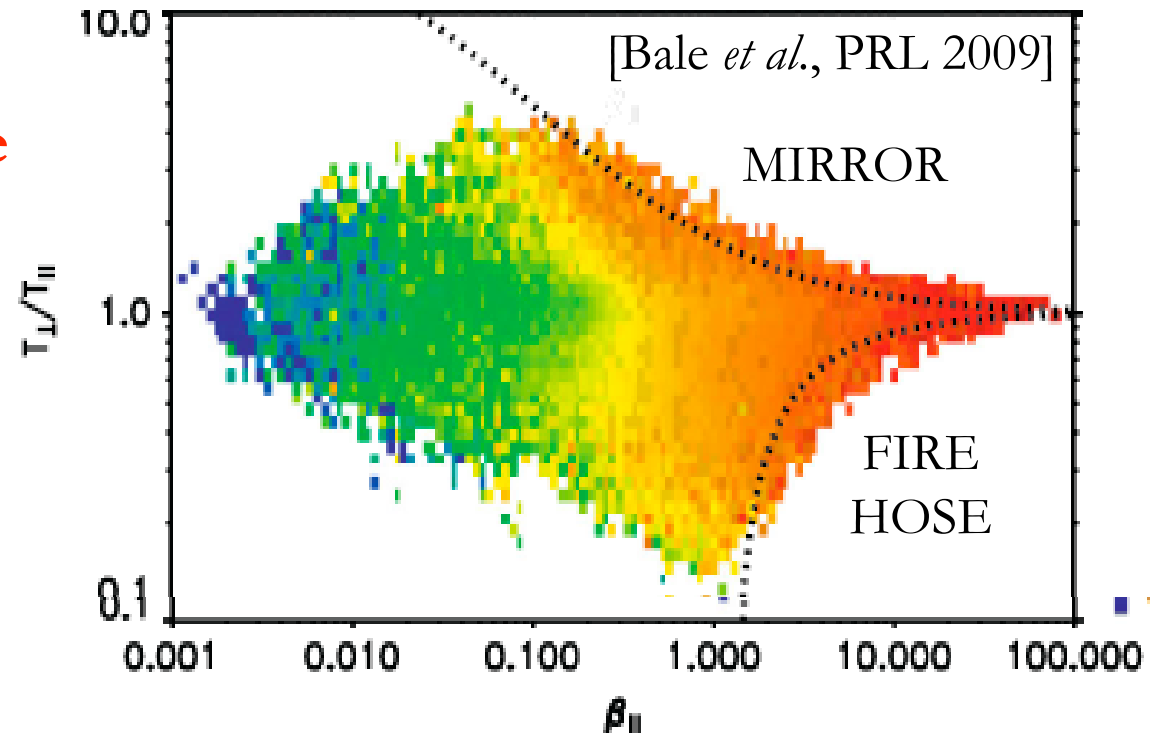


[Wicks 2011, *PRL* **106**, 045001]

# 5. Microphysical Energy Injection

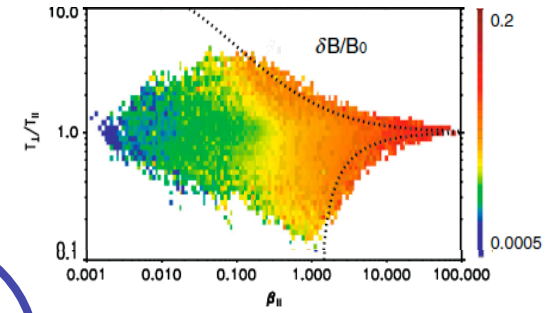
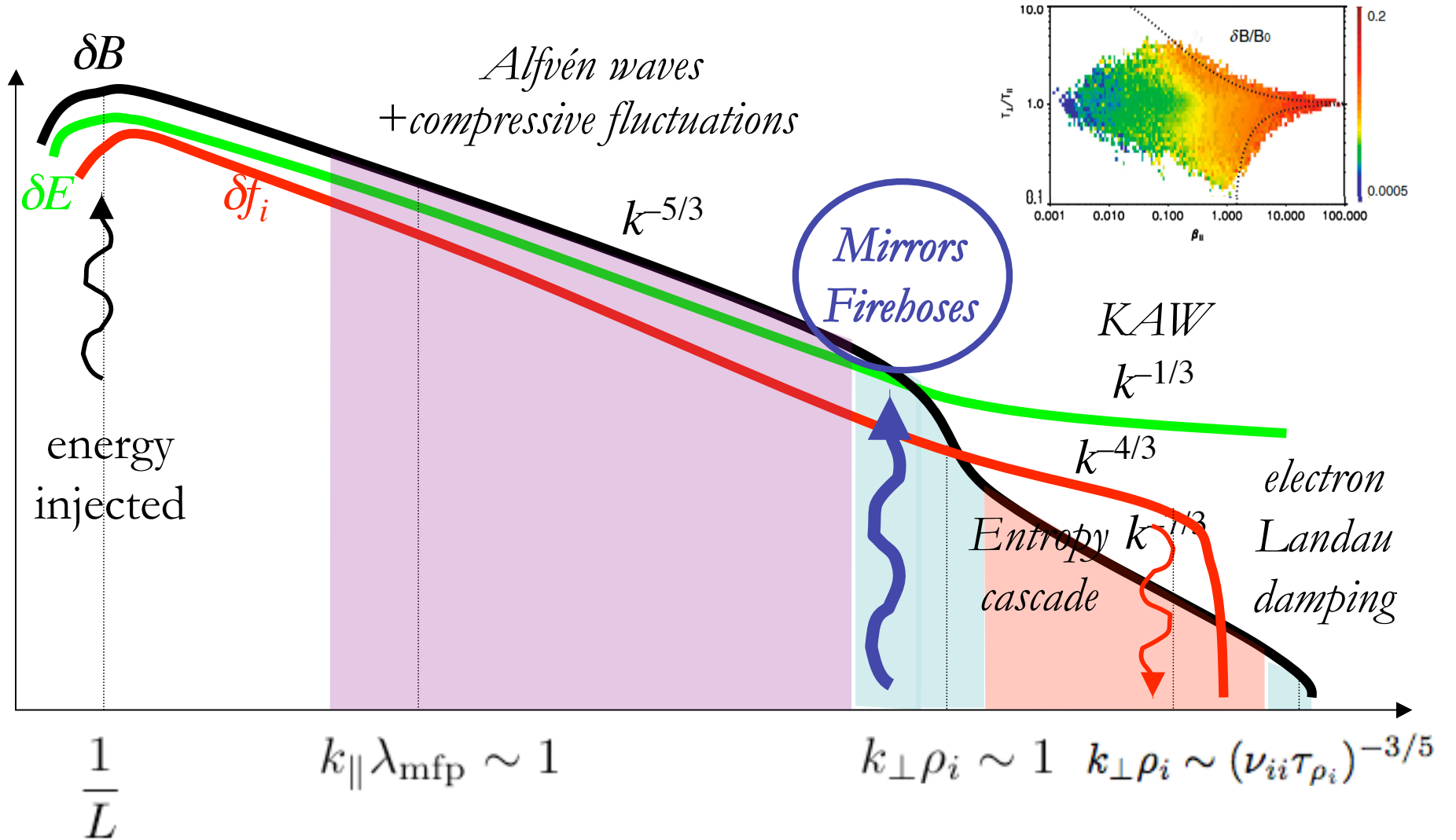
This means that there is energy injection just above the ion Larmor scale

*NB:* The firehose ( $k_{||} \sim k_{\perp}$ ) or the nonlinear state of mirror modes ( $\delta B/B \sim 1$ ) are not described by GK!



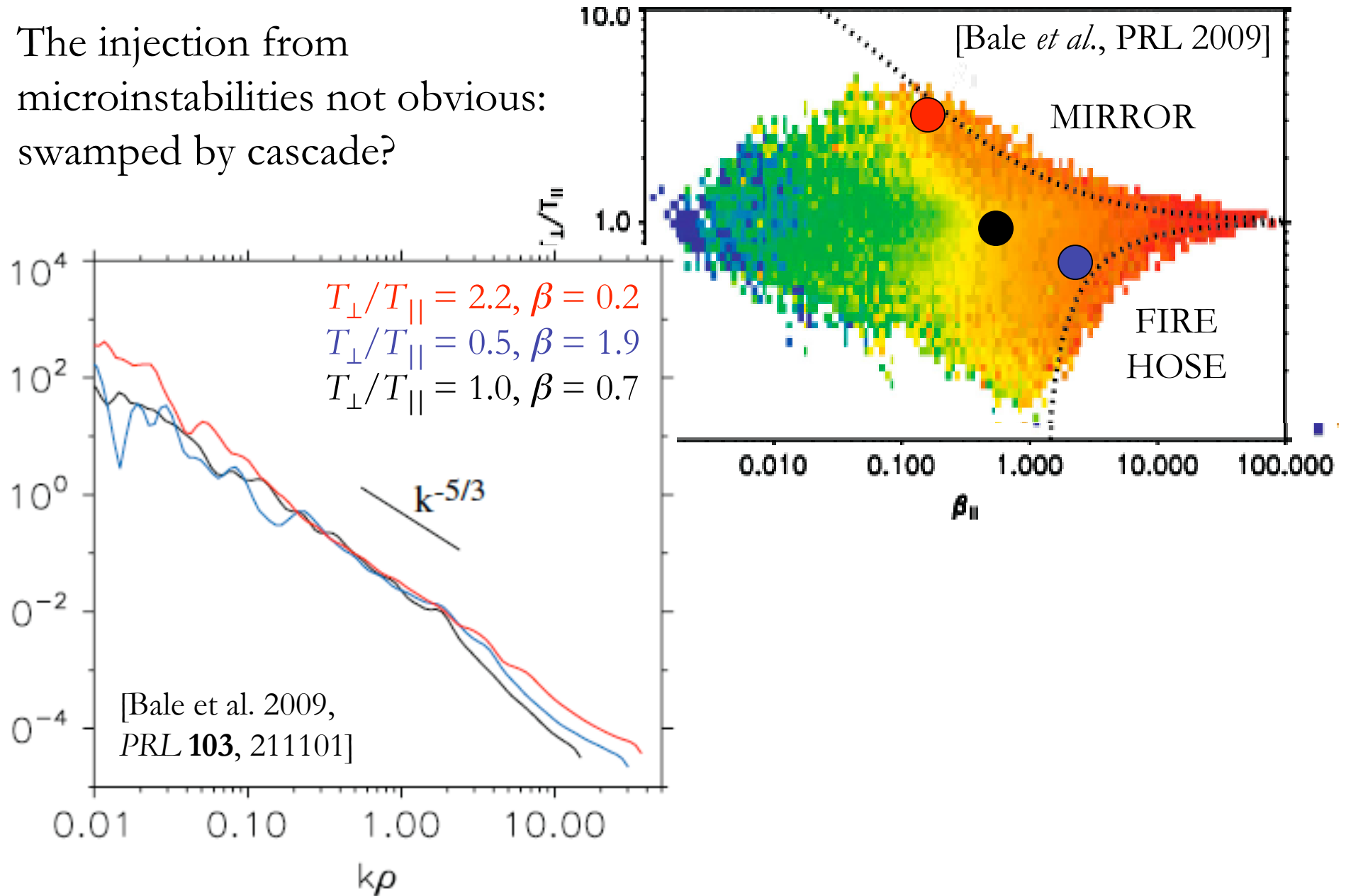


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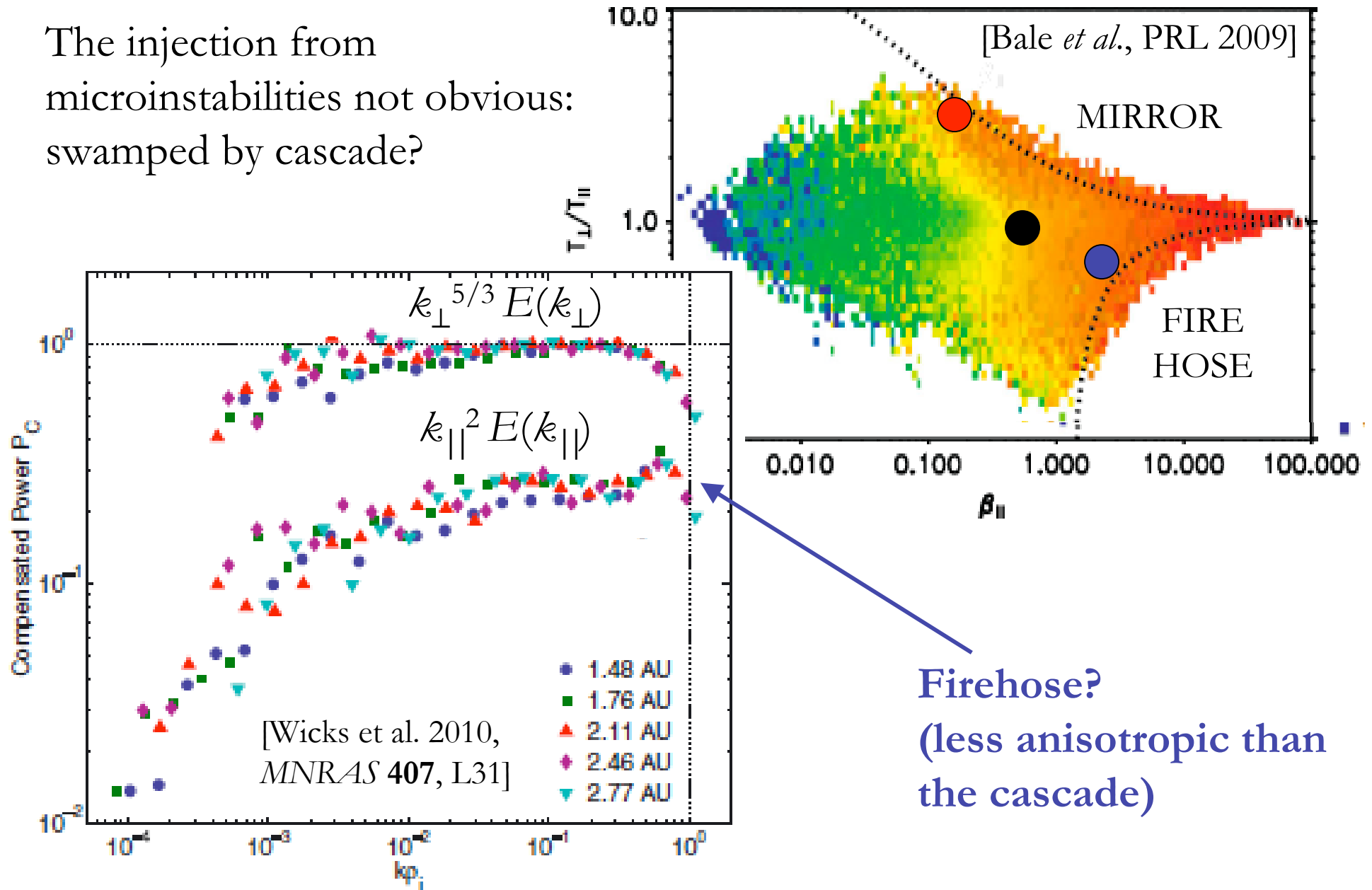
# 5. Microphysical Energy Injection

The injection from microinstabilities not obvious: swamped by cascade?



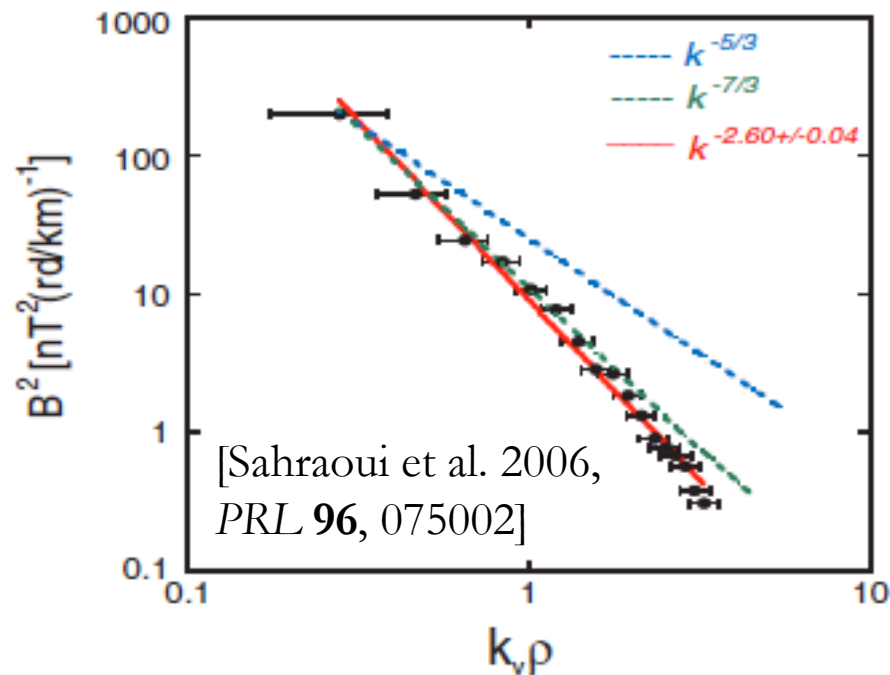
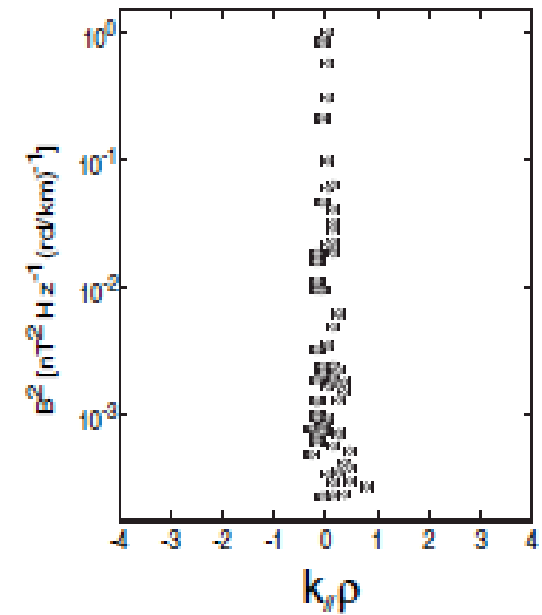
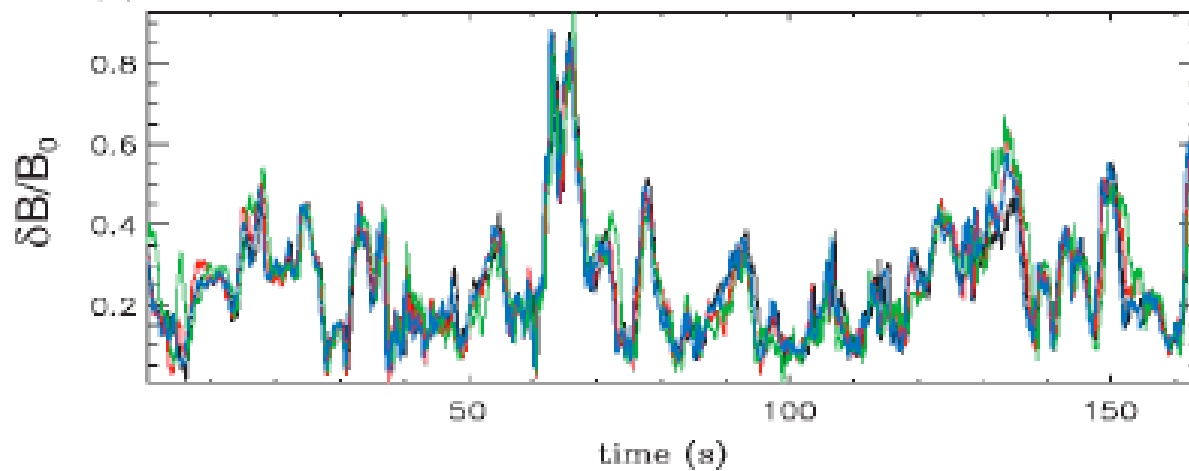
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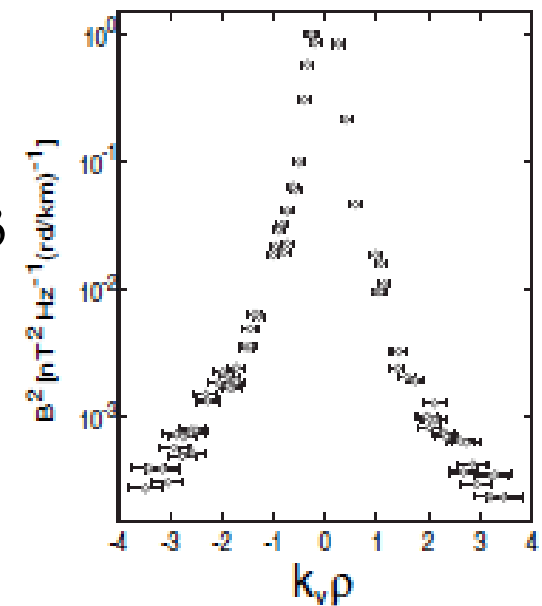




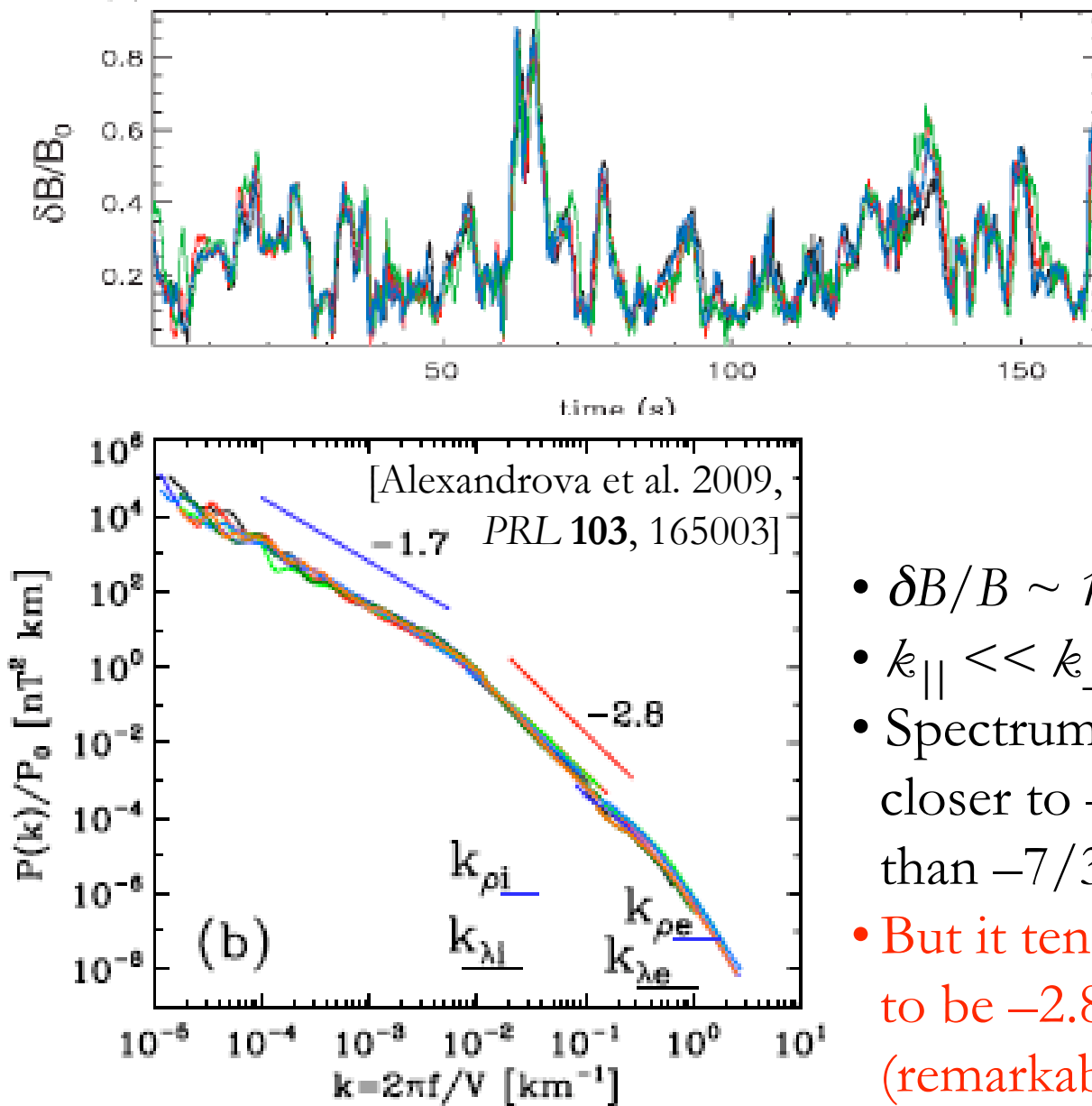
# “Mirror Cascade”?



- $\delta B/B \sim 1$
- $k_{\parallel} \ll k_{\perp}$
- Spectrum closer to  $-8/3$  than  $-7.3$



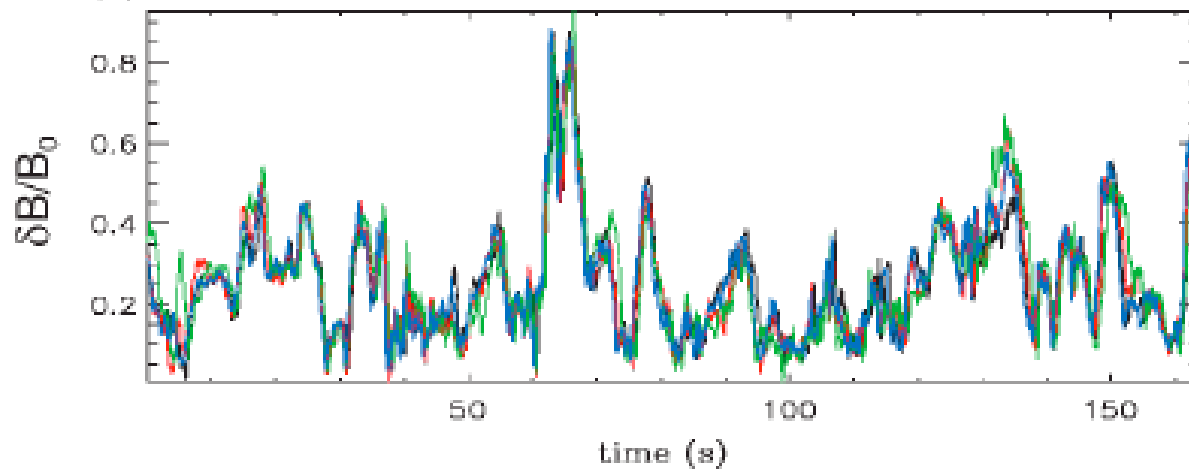
# 6. Universal Not-Quite-KAW Cascade?



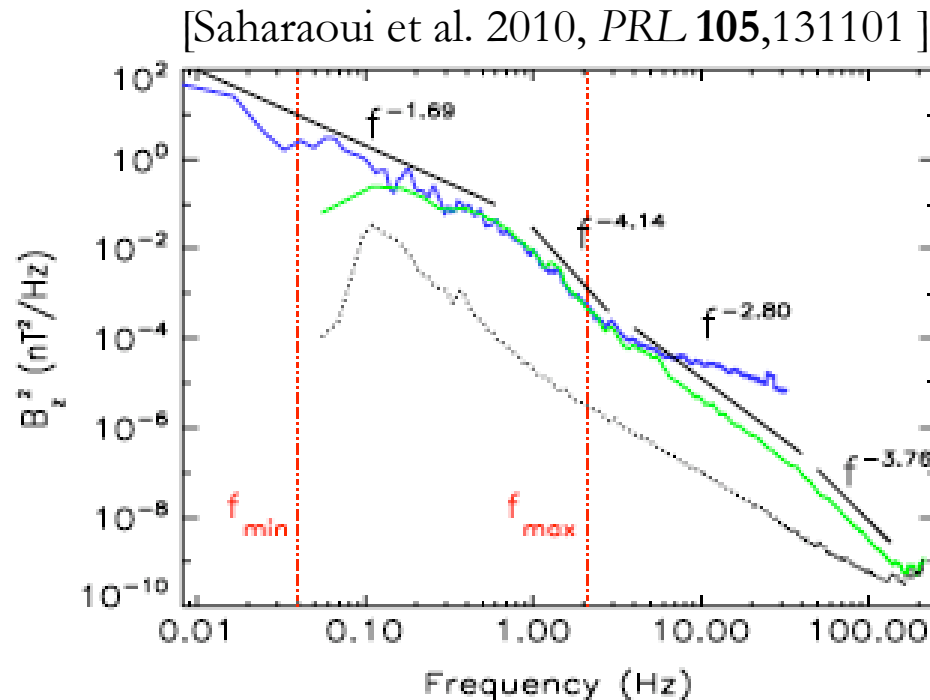
*Another interesting problem to sort out*

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- But it tends to be  $-2.8$  anyways (remarkable **universality**, btw!)

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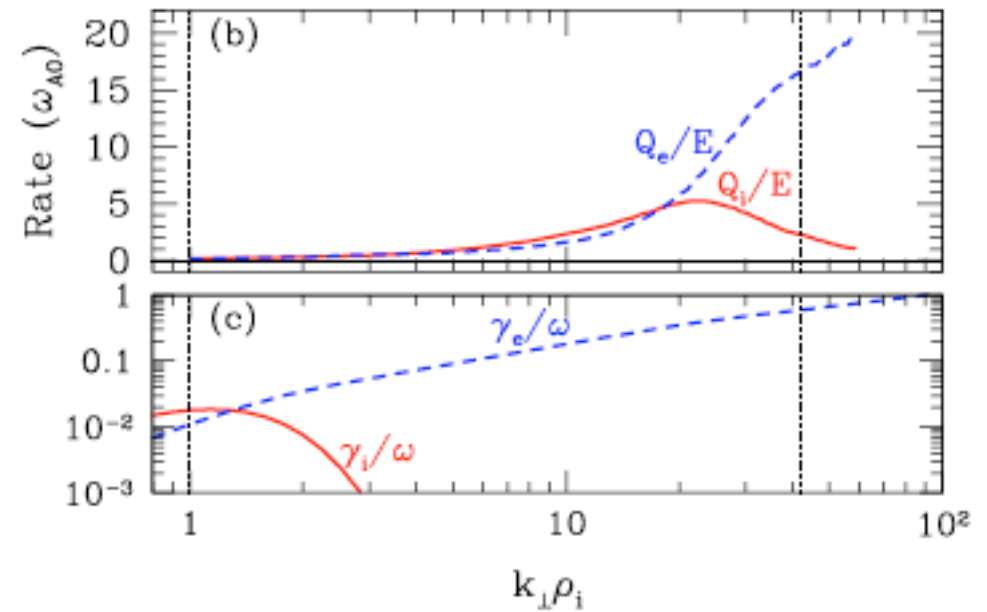
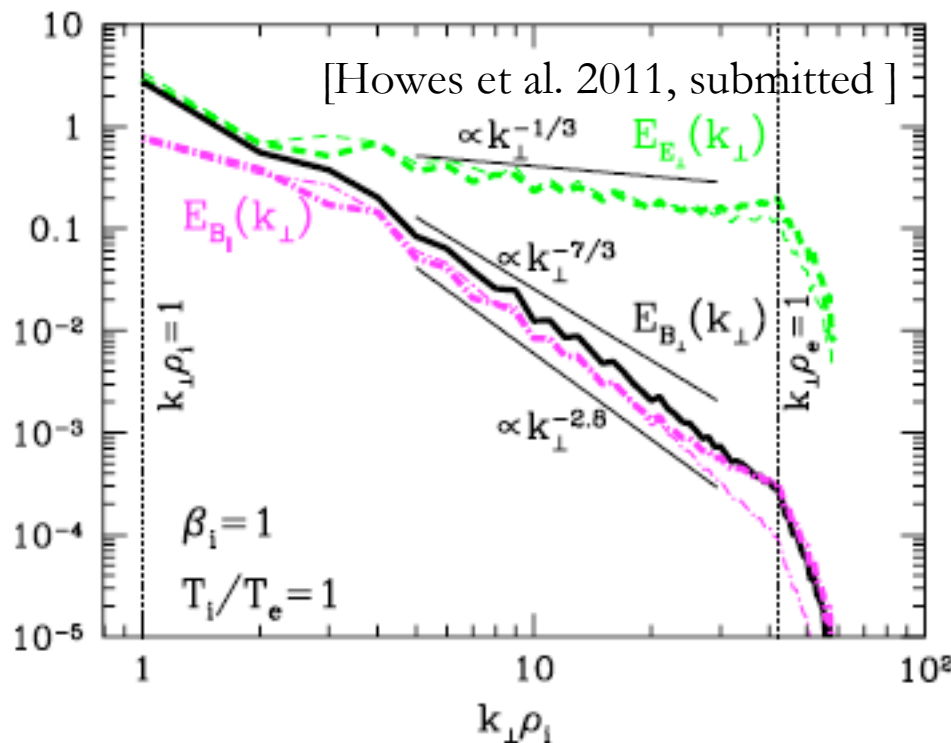


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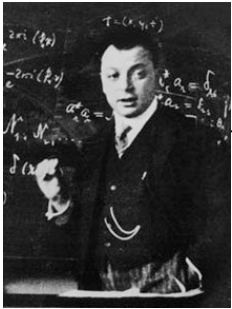
# 6. Universal Not-Quite-KAW Cascade?

It might be just the usual critically balanced KAW cascade ( $-7/3$ ) steepened a bit by electron Landau damping (but no theory for that...)

## GK simulations:



- $k_{\parallel} \ll k_{\perp}$
- Spectrum closer to  $-8/3$  than  $-7/3$
- But it tends to be  $-2.8$  anyways (remarkable **universality**, btw!)



## *Part II. The Known Unknowns*

### **1. Ion vs. Electron Heating**

What sets  $T_i/T_e$ ?

### **2. Compressive fluctuations in the inertial range**

Why are they not damped?

### **3. Velocity (3/2) and magnetic (5/3) spectra in the inertial range**

### **4. Nature of imbalanced Alfvénic cascade**

### **5. Microphysical energy injection**

How do the mirror/firehose fluctuations and the KAW cascade coexist in the sub-Larmor range?

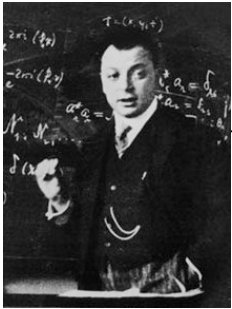
### **6. Universal scaling in the sub-Larmor range?**

### **[7. Perpendicular vs. parallel heating]**

Schekochihin *et al.*, *ApJS* **182**, 310 (2009)

Schekochihin *et al.*, *MNRAS* **405**, 291 (2010)

Rosin *et al.*, *MNRAS*, in press; arXiv:1002.4017



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### **2. Turbulence is anisotropic at all scales**

Scaling theories based on the **critical balance** conjecture give results that seem broadly to be consistent with SW evidence and GK simulations

### **3. Plasma is marginal to microinstabilities** (firehose, mirror etc. driven so by spontaneous generation of pressure anisotropies)

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