

THE CLASS OF BANACH SPACES, WHICH DO NOT HAVE  $c_0$  AS A SPREADING MODEL, IS NOT  $L^2$ -HEREDITARY

by

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1. INTRODUCTION: In [4] the problem was raised whether the fact, that a Banach space  $E$  does not have  $c_0$  as a spreading model, implies that  $L^2([0,1]; E)$  has the same property. It was conjectured that the answer is no, as the property of not having  $c_0$  as a spreading model is somewhat dual to the Banach-Saks property (see [2]) and for this latter property J. Bourgain has constructed a counterexample ([3]).

The present author has constructed independently of J. Bourgain another space  $E$  with the Banach-Saks property and  $L^2(E)$  failing it ([6]) and it turns out that the dual  $E'$  gives a counterexample to the problem raised in the title.

2. THE EXAMPLE: Let  $\gamma = \{n_1, n_2, \dots, n_k\}$  an increasing finite sequence of natural numbers. Write  $n_i = 2^{u_i} + v_i$  where this expression is unique, if we require that  $0 \leq v_i < 2^{u_i}$ . As in [6] we associate to every  $n_i$  the real number  $t(n_i) = v_i / 2^{u_i} \in [0, 1[$  and call  $\gamma$  admissible if

- (1)  $k \leq n_1$
- (2) For every  $0 \leq j < 2^{u_1+1}$  there is only one  $i$  such that  $t(n_i) \in [j/2^{u_1+1}, (j+1)/2^{u_1+1}[$ .

For an admissible  $\gamma = (n_1, \dots, n_k)$  and  $x \in \mathbb{R}^{(\mathbb{N})}$ , the space of finite sequences, we define

$$\|x\|_\gamma = \sum_{i=1}^k |x_{n_i}|.$$

For our purposes it will this time be convenient, not to use interpolation but to follow Baernstein's original definition ([1]): For  $x \in \mathbb{R}^{(\mathbb{N})}$  define

$$\|x\|_E = \sup \left\{ \left( \sum_{\ell=1}^{\infty} \|x\|_{\gamma_\ell}^2 \right)^{1/2} \right\}$$

where the sup is taken over all increasing sequences  $\{\gamma_\ell\}_{\ell=1}^{\infty}$  of admissible sets (i.e. the last member of  $\gamma_\ell$  is smaller than the first member of  $\gamma_{\ell+1}$ ).

Let  $(E, \|\cdot\|_E)$  be the completion of  $\mathbb{R}^{(\mathbb{N})}$  with respect to this norm. In an analogous way as in [6] one shows that  $E$  has the Banach-Saks property.

PROPOSITION 1:

$E'$  does not have  $c_0$  as a spreading model.

PROOF: As  $E$  does not have  $\ell^1$  as spreading model ([2]), no quotient of  $E$  has  $c_0$  as spreading model ([5]), hence in particular  $E'$  does not have  $c_0$  as spreading model.

□

To show that  $L^2(E')$  does have  $c_0$  as spreading model we need a trivial probabilistic lemma, whose proof is left to the reader.

LEMMA: Let  $k \in \mathbb{N}$  and  $\epsilon > 0$ ; there is  $N(k, \epsilon)$  such that for  $M > N(k, \epsilon)$  and for independent random variables  $X_1, \dots, X_k$  taking their values in  $\{1, \dots, M\}$  in a uniformly distributed way, we have

$$P \left\{ \begin{array}{l} \omega : \text{there is } 1 \leq i < j \leq k \text{ with} \\ X_i(\omega) = X_j(\omega) \end{array} \right\} < \epsilon$$

□

PROPOSITION 2:  $L^2_{(0,1)}(E)$  has  $c_0$  isometrically as spreading model.

PROOF: Similarly as in [6] we let  $\{\vec{f}_u\}_{u=1}^{\infty}$  be an independent sequence in  $L^2(E')$  such that  $\vec{f}_u$  takes the value  $e_{2^u+v}$  with probability  $2^{-u}$  (for  $v=0, \dots, 2^u-1$ ). This time the  $e_{2^u+v}$  are unit-vectors in  $E'$ .

Clearly  $\|f_u\|_{L^2(E')} = 1$  and for every sequence  $u_1 < u_2 < \dots < u_k$  and  $\epsilon_i = \pm 1$

$$\left\| \sum_{i=1}^k \epsilon_i f_{u_i} \right\|_{L^2(E')} \geq 1$$

Hence the following claim will prove the proposition.

CLAIM: For every  $k \in \mathbb{N}$

$$\limsup_{u \rightarrow \infty} \left\{ \left\| \sum_{i=1}^k \epsilon_i f_{u_i} \right\| : u \leq u_1 < \dots < u_k \right. \\ \left. \epsilon_i = \pm 1 \right\} = 1$$

To prove the claim fix  $k$  and  $\epsilon > 0$  and let  $u$  be such that  $2^u > \max(k, N(k, \epsilon))$ , where the  $N(k, \epsilon)$  is defined in the preceding lemma. Now fix  $u \leq u_1 < u_2 < \dots < u_k$  and a sequence of signs  $\epsilon_1, \dots, \epsilon_k$ .

To apply the above lemma let  $X_1, \dots, X_k$  be the random variables with values in  $\{1, \dots, 2^{u_1+1}\}$  defined by

$$X_i(\omega) = m \text{ if } f_{u_i}(\omega) = e_2^{u_i+v} \text{ and} \\ t(2^{u_i+v}) = v/2^{u_i} \in [(m-1)/2^{u_1+1}, m/2^{u_1+1}]$$

It follows from the above lemma and the definition of admissible sets  $\gamma$  that there is a subset  $A \subseteq [0, 1]$  of measure greater than  $1 - \epsilon$  such that for  $\omega \in A$  the set  $\gamma_\omega = \{n_1, \dots, n_k\}$  corresponding to the indices of the unit vectors  $\{f_{u_1}(\omega), \dots, f_{u_k}(\omega)\}$  is admissible. Hence for  $\omega \in A$  we have

$$\left\| \sum_{i=1}^k \epsilon_i f_{u_i}(\omega) \right\|_{E'} = \sup \left\{ \left\langle \sum_{i=1}^k \epsilon_i f_{u_i}(\omega), x \right\rangle : \|x\|_E \leq 1 \right\} \\ \leq \sup \left\{ \left\langle \sum_{i=1}^k \epsilon_i f_{u_i}(\omega), x \right\rangle : \|x\|_{\gamma_\omega} \leq 1 \right\} \\ = 1.$$

Integrating we obtain

$$\begin{aligned} \left\| \sum_{i=1}^k \varepsilon_i \vec{f}_{u_i} \right\|_{L^2(E')}^2 &\leq \int_A \left\| \sum_{i=1}^k \vec{f}_{u_i}(\omega) \right\|_{E'}^2 d\omega + \int_{[0,1] \setminus A} \left( \sum_{i=1}^k \|\vec{f}_{u_i}\|_{E'} \right)^2 d\omega \\ &\leq 1 + k^2 \varepsilon. \end{aligned}$$

This proves the claim and therefore proposition 2.

□

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