

Finite-strain Poynting-Thomson model: Existence and linearization

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Taming Complexity in
Partial Differential Systems



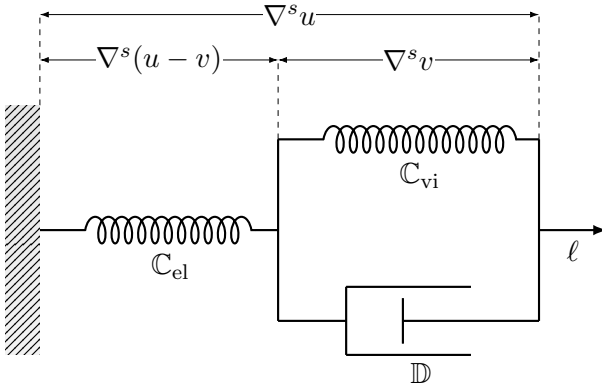
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Outline

- Preliminaries
 - Assumptions
 - Energy and Dissipation
- Existence
- Linearization

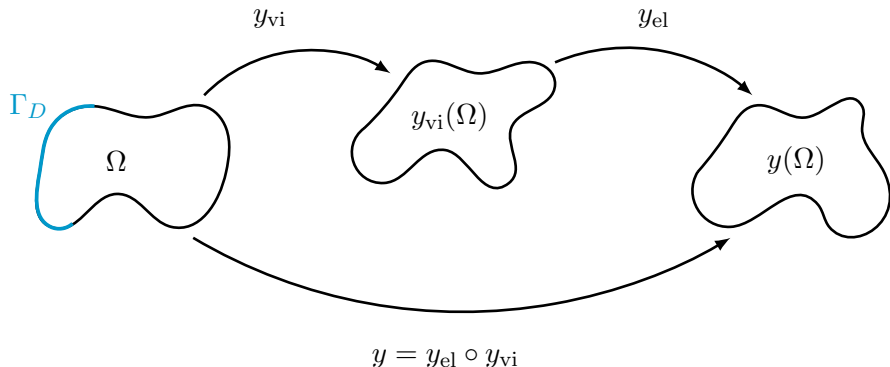
What's our problem?



$$\begin{cases} -\operatorname{div}(\mathbb{C}_{el}\nabla(u - v)) = \ell & \text{in } \Omega \\ -\operatorname{div}(\mathbb{D}\nabla\dot{v} + \mathbb{C}_{vi}\nabla v) = \ell & \text{in } \Omega \end{cases}$$

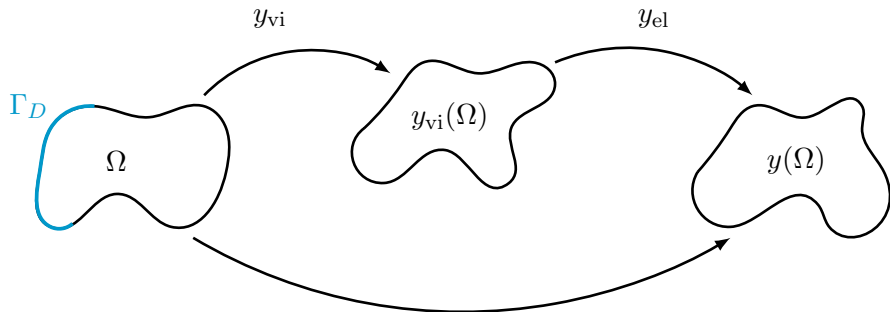
Preliminaries

$\Omega \subset \mathbb{R}^d$ non empty, Lipschitz domain



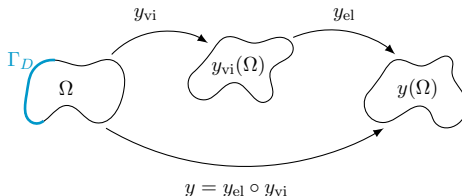
Domains and Deformations

$\Omega \subset \mathbb{R}^d$ non empty, Lipschitz domain

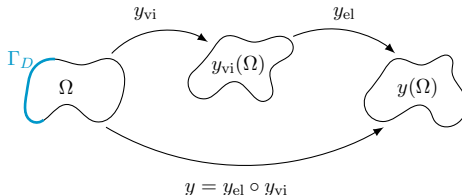


$$y = y_{el} \circ y_{vi}$$

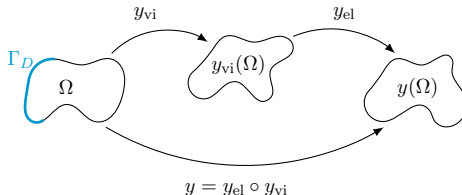
$$\nabla y = F_{el} F_{vi}$$



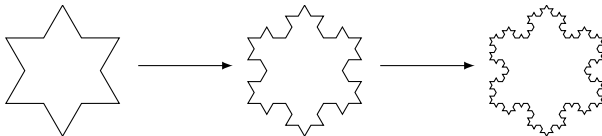
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 - Sobolev extension domains
 - closed under Hausdorff convergence (e.g. uniformly Lipschitz)



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- y_{vi} viscous deformation:

- $y_{vi} \in W^{1,p_{vi}}(\Omega; \mathbb{R}^d)$, $p_{vi} > d(d-1)$
- $\det \nabla y_{vi} = 1$ a.e. in Ω (locally volume preserving)

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disappears in
change of variable

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- chain rule: $\nabla y(X) = \nabla y_{el}(y_{vi}(X)) \nabla y_{vi}(X)$

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$$\nabla y = F_{el} F_{vi}$$

$$\mathcal{A} := \left\{ (y_{\text{el}}, y_{\text{vi}}) \in W^{1,p_{\text{el}}}(y_{\text{vi}}(\Omega); \mathbb{R}^d) \times W^{1,p_{\text{vi}}}(\Omega; \mathbb{R}^d) \mid \right. \\ \left. \det \nabla y_{\text{vi}} = 1 \text{ a.e. in } \Omega, \int_{\Omega} y_{\text{vi}} \, dX = 0, |\Omega| = |y_{\text{vi}}(\Omega)|, \right. \\ \left. y_{\text{vi}}(\Omega) \in \mathcal{J}_{\eta_1, \eta_2}, y = y_{\text{el}} \circ y_{\text{vi}} = \text{id on } \Gamma_D \right\}.$$

Total energy of the system:

$$\mathcal{E}(t, y_{\text{el}}, y_{\text{vi}}) := \underbrace{\mathcal{W}(y_{\text{el}}, y_{\text{vi}})}_{\text{Stored energy}} - \underbrace{\langle \ell(t), y_{\text{el}} \circ y_{\text{vi}} \rangle}_{\text{work of external actions}}$$

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$$\mathcal{W}(y_{\text{el}}, y_{\text{vi}}) = \int_{y_{\text{vi}}(\Omega)} \underbrace{W_{\text{el}}(\nabla y_{\text{el}}(\xi))}_{\text{stored elastic energy}} d\xi + \int_{\Omega} \underbrace{W_{\text{vi}}(\nabla y_{\text{vi}}(X))}_{\text{stored viscous energy}} dX$$

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- $W_{\text{el}} : \mathbb{R}^{d \times d} \rightarrow \mathbb{R}$ polyconvex
- $c_1 |A|^{p_{\text{el}}} \leq W_{\text{el}}(A) \leq \frac{1}{c_1} (1 + |A|^{p_{\text{el}}})$
- $W_{\text{vi}} : \mathbb{R}^{d \times d} \rightarrow \overline{\mathbb{R}}$ polyconvex
- $W_{\text{vi}}(A) \geq \begin{cases} c_2 |A|^{p_{\text{vi}}} - \frac{1}{c_2} & A \in SL(d) \\ \infty & \text{otherwise} \end{cases}$

Instantaneous dissipation of the system:

$$\Psi(y_{vi}, \dot{y}_{vi}) := \int_{\Omega} \underbrace{\psi(\nabla \dot{y}_{vi} (\nabla y_{vi})^{-1})}_{\text{dissipation density}} \, dX$$

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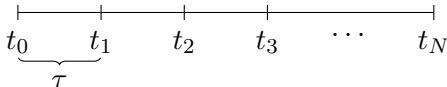
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$$\left. \begin{aligned} \bullet \quad & \psi : \mathbb{R}^{d \times d} \rightarrow \mathbb{R} \text{ convex, differentiable at } 0 \\ \bullet \quad & \psi(A) \geq c_3 |A|^{p_\psi} \\ \bullet \quad & \psi(\lambda A) = |\lambda|^{p_\psi} \psi(A) \end{aligned} \right\} \rightsquigarrow \psi(A) := \frac{|A|^{p_\psi}}{p_\psi}$$

Existence

The Time Discretization Scheme

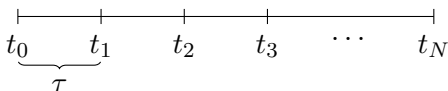
- $\Pi_\tau := \{0 = t_0 < t_1 < \dots < t_N = T\}$, $t_i - t_{i-1} = \tau = T/N$



- $(y_{\text{el}}^0, y_{\text{vi}}^0)$ compatible initial condition

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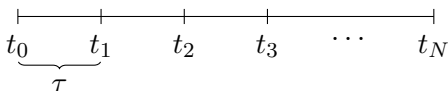
- $(y_{\text{el}}^0, y_{\text{vi}}^0)$ compatible initial condition

Incremental minimization problem, $i = 1, \dots, N$:

$$\inf_{(y_{\text{el}}, y_{\text{vi}}) \in \mathcal{A}} \left\{ \mathcal{E}(t_i, y_{\text{el}}, y_{\text{vi}}) + \int_{\Omega} \tau \psi \left(\frac{\nabla(y_{\text{vi}} - y_{\text{vi}}^{i-1})}{\tau} (\nabla y_{\text{vi}}^{i-1})^{-1} \right) \right\} \quad (IP)$$

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Theorem

Problem (IP) admits minimizers (not unique).

Theorem (C.-Kružík-Stefanelli)

Given $(y_{\text{el}}^0, y_{\text{vi}}^0) \in \mathcal{A}$, for any sequence $(\Pi_\tau)_\tau$ of partitions of the interval $[0, T]$ with mesh sizes $\tau \rightarrow 0$, there exist a (not relabeled) subsequence and functions $(y_{\text{el}}, y_{\text{vi}}) : [0, T] \rightarrow \mathcal{A}$ such that, for a.e. $t \in [0, T]$,

- [Approximation]

$$(\bar{y}_{\text{el},\tau}(t), \bar{y}_{\text{vi},\tau}(t)) \rightharpoonup (y_{\text{el}}(t), y_{\text{vi}}(t)) \quad \text{in } \mathcal{A},$$

- [Energy inequality]

$$\mathcal{E}(t, y_{\text{el}}(t), y_{\text{vi}}(t)) + p_\psi \int_0^t \Psi(y_{\text{vi}}, \dot{y}_{\text{vi}}) \, ds \leq \mathcal{E}(0, y_{\text{el}}^0, y_{\text{vi}}^0) - \int_0^t \langle \dot{\ell}, y \rangle \, ds,$$

- [Semistability]

$$\mathcal{E}(t, y_{\text{el}}(t), y_{\text{vi}}(t)) \leq \mathcal{E}(t, \tilde{y}_{\text{el}}, y_{\text{vi}}(t)) \quad \forall \tilde{y}_{\text{el}} \text{ with } (\tilde{y}_{\text{el}}, y_{\text{vi}}(t)) \in \mathcal{A}.$$



“Naive” Energy Inequality

$$\mathcal{E}(t, y_{\text{el}}(t), y_{\text{vi}}(t)) + \int_0^t \Psi(y_{\text{vi}}, \dot{y}_{\text{vi}}) \, ds \leq \mathcal{E}(0, y_{\text{el}}^0, y_{\text{vi}}^0) - \int_0^t \langle \dot{\ell}, y \rangle \, ds$$

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$$\begin{aligned} \mathcal{E}(t_i, y_{\text{el}}^i, y_{\text{vi}}^i) + \int_{\Omega} \tau \psi \left(\frac{\nabla(y_{\text{vi}}^i - y_{\text{vi}}^{i-1})}{\tau} (\nabla y_{\text{vi}}^{i-1})^{-1} \right) &\stackrel{\text{min}}{\leq} \mathcal{E}(t_i, y_{\text{el}}^{i-1}, y_{\text{vi}}^{i-1}) \\ &= \mathcal{E}(t_{i-1}, y_{\text{el}}^{i-1}, y_{\text{vi}}^{i-1}) - \int_{t_{i-1}}^{t_i} \langle \dot{\ell}, y^{i-1} \rangle \end{aligned}$$

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Summing up $\Downarrow i = 1, \dots, n$

$$\begin{aligned} \mathcal{E}(t_n, y_{\text{el}}^n, y_{\text{vi}}^n) + \sum_{i=1}^n \int_{\Omega} \tau \psi \left(\frac{\nabla(y_{\text{vi}}^i - y_{\text{vi}}^{i-1})}{\tau} (\nabla y_{\text{vi}}^{i-1})^{-1} \right) \\ \leq \mathcal{E}(0, y_{\text{el}}^0, y_{\text{vi}}^0) - \sum_{i=1}^n \int_{t_{i-1}}^{t_i} \langle \dot{\ell}, y^{i-1} \rangle \end{aligned}$$



Sharp Energy Inequality

$$\mathcal{E}(t, y_{\text{el}}(t), y_{\text{vi}}(t)) + p_{\psi} \int_0^t \Psi(y_{\text{vi}}, \dot{y}_{\text{vi}}) \, ds \leq \mathcal{E}(0, y_{\text{el}}^0, y_{\text{vi}}^0) - \int_0^t \langle \dot{\ell}, y \rangle \, ds$$



Sharp Energy Inequality

$$\mathcal{E}(t, y_{\text{el}}(t), y_{\text{vi}}(t)) + 2 \int_0^t \Psi(y_{\text{vi}}, \dot{y}_{\text{vi}}) \, ds \leq \mathcal{E}(0, y_{\text{el}}^0, y_{\text{vi}}^0) - \int_0^t \langle \dot{\ell}, y \rangle \, ds$$

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$$\Phi(\tau; y_{\text{old}}, y_{\text{el}}, y_{\text{vi}}) := \mathcal{E}(t_i, y_{\text{el}}, y_{\text{vi}}) + \tau \Psi\left(y_{\text{old}}, \frac{y_{\text{vi}} - y_{\text{old}}}{\tau}\right)$$

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$$\Phi(\tau; y_{\text{old}}, y_{\text{el}}, y_{\text{vi}}) := \mathcal{E}(t_i, y_{\text{el}}, y_{\text{vi}}) + \frac{1}{2\tau} d^2(y_{\text{vi}}, y_{\text{old}})$$

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$$\mathcal{E}(t_i, y_{\text{el}, \tau}, y_{\text{vi}, \tau}) + \tau \Psi\left(y_{\text{old}}, \frac{y_{\text{vi}, \tau} - y_{\text{old}}}{\tau}\right) - \mathcal{E}(t_i, y_{\text{el}}, y_{\text{old}}) = - \int_0^\tau \Psi_r(y_{\text{old}}) \, dr$$

Theorem (C.-Kružík-Stefanelli)

Given $(y_{\text{el}}^0, y_{\text{vi}}^0) \in \mathcal{A}$, for any sequence $(\Pi_\tau)_\tau$ of partitions of the interval $[0, T]$ with mesh sizes $\tau \rightarrow 0$, there exist a (not relabeled) subsequence and functions $(y_{\text{el}}, y_{\text{vi}}) : [0, T] \rightarrow \mathcal{A}$ such that, for a.e. $t \in [0, T]$,

- [Approximation]

$$(\bar{y}_{\text{el},\tau}(t), \bar{y}_{\text{vi},\tau}(t)) \rightharpoonup (y_{\text{el}}(t), y_{\text{vi}}(t)) \quad \text{in } \mathcal{A},$$

- [Energy inequality]

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- [Semistability]

$$\mathcal{E}(t, y_{\text{el}}(t), y_{\text{vi}}(t)) \leq \mathcal{E}(t, \tilde{y}_{\text{el}}, y_{\text{vi}}(t)) \quad \forall \tilde{y}_{\text{el}} \text{ with } (\tilde{y}_{\text{el}}, y_{\text{vi}}(t)) \in \mathcal{A}.$$



The role of Approximability

Approximability ensures that viscous evolution occurs:

constant-in-time y_{vi}



- ✓ energy inequality
- ✓ semistability
- × limit of discrete solutions

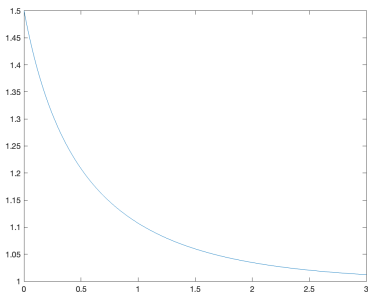
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$\rightsquigarrow$

- ✓ energy inequality
- ✓ semistability
- ✗ limit of discrete solutions

$$\min_{F \in \mathbb{R}, F_{vi} > 0} \left(\frac{1}{2} |F F_{vi}^{-1} - 1|^2 + \frac{1}{2} |F_{vi} - 1|^2 + \frac{1}{2\tau} |(F_{vi} - F_{vi}^{i-1})(F_{vi}^{i-1})^{-1}|^2 \right)$$



Linearization



Linearization

$$u := \frac{y - \text{id}_\Omega}{\varepsilon}, \quad \text{and} \quad v := \frac{y_{vi} - \text{id}_\Omega}{\varepsilon}$$

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By (formal) Taylor expansion

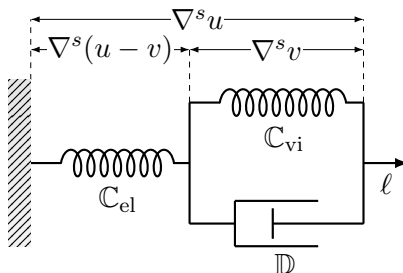
$$\frac{1}{\varepsilon^2} \int_{\Omega} W_{\text{el}} \left((I + \varepsilon \nabla u)(I + \varepsilon \nabla v)^{-1} \right) dX \rightarrow \frac{1}{2} \int_{\Omega} \nabla(u-v) : \mathbb{C}_{\text{el}} \nabla(u-v) dX$$

$$\frac{1}{\varepsilon^2} \int_{\Omega} W_{\text{vi}}(I + \varepsilon \nabla v) dX \rightarrow \frac{1}{2} \int_{\Omega} \nabla v : \mathbb{C}_{\text{vi}} \nabla v dX$$

$$\frac{1}{\varepsilon^2} \int_{\Omega} \psi \left(\varepsilon \nabla \dot{v} (I + \varepsilon \nabla v)^{-1} \right) dX \rightarrow \frac{1}{2} \int_{\Omega} \nabla \dot{v} : \mathbb{D} \nabla \dot{v} dX$$

$$\int_{\Omega} \nabla(u-v) : \mathbb{C}_{\text{el}} \nabla(u-v) \, dX \quad \int_{\Omega} \nabla v : \mathbb{C}_{\text{vi}} \nabla v \, dX$$

$$\int_{\Omega} \nabla \dot{v} : \mathbb{D} \nabla \dot{v} \, dX$$



$$\begin{cases} -\operatorname{div}(\mathbb{C}_{\text{el}} \nabla(u-v)) = \ell & \text{in } \Omega \\ -\operatorname{div}(\mathbb{D} \nabla \dot{v} + \mathbb{C}_{\text{vi}} \nabla v) = \ell & \text{in } \Omega \end{cases}$$

Corollary (C.-Kružík-Stefanelli)

Given $(u_\varepsilon^0, v_\varepsilon^0) \in \mathcal{A}^\varepsilon$, for any sequence $(\Pi_\tau)_\tau$ of partitions of the interval $[0, T]$ with mesh sizes $\tau \rightarrow 0$, there exist a (not relabeled) subsequence and functions $(u_\varepsilon, v_\varepsilon) : [0, T] \rightarrow \mathcal{A}^\varepsilon$ such that, for a.e. $t \in [0, T]$,

- [Approximation]

$$(\bar{u}_\varepsilon^\tau(t), \bar{v}_\varepsilon^\tau(t)) \rightharpoonup (u_\varepsilon(t), v_\varepsilon(t)) \quad \text{in } \mathcal{A}^\varepsilon,$$

- [Energy inequality]

$$\mathcal{E}^\varepsilon(t, u_\varepsilon(t), v_\varepsilon(t)) + \textcolor{red}{p}_\psi \int_0^t \Psi^\varepsilon(v_\varepsilon, \dot{v}_\varepsilon) \, ds \leq \mathcal{E}^\varepsilon(0, u_\varepsilon^0, v_\varepsilon^0) - \int_0^t \langle \dot{\ell}^\varepsilon, u_\varepsilon \rangle \, ds,$$

- [Semistability]

$$\mathcal{E}^\varepsilon(t, u_\varepsilon(t), v_\varepsilon(t)) \leq \mathcal{E}(t, \tilde{u}_\varepsilon, v_\varepsilon(t)) \quad \forall \tilde{u}_\varepsilon \text{ with } (\tilde{u}_\varepsilon, v_\varepsilon(t)) \in \mathcal{A}^\varepsilon.$$

Theorem (C.-Kružík-Stefanelli)

For every $\varepsilon > 0$ let $(u_\varepsilon, v_\varepsilon)$ be an approximable solutions. Then, under suitable assumptions there exist functions $(u, v) : [0, T] \rightarrow H_{\Gamma_D}^1(\Omega; \mathbb{R}^d) \times H_\#^1(\Omega; \mathbb{R}^d)$ such that, for every $t \in [0, T]$, (up to a subsequence),

$$\begin{aligned} u_\varepsilon(t) &\rightharpoonup u(t), \quad v_\varepsilon(t) \rightharpoonup v(t) \quad \text{weakly in } H^1(\Omega; \mathbb{R}^d), \\ \nabla \dot{v}_\varepsilon(t) &\rightharpoonup \nabla \dot{v}(t) \quad \text{weakly in } L^2(\Omega; \mathbb{R}^{d \times d}). \end{aligned}$$

Moreover, for every $t \in [0, T]$, we have:

- [Linearized energy inequality]
- [Linearized semistability]

$$\begin{aligned} & \frac{1}{2} \int_{\Omega} \nabla(u(t)-v(t)) : \mathbb{C}_{\text{el}} \nabla(u(t)-v(t)) \, dX + \frac{1}{2} \int_{\Omega} \nabla v(t) : \mathbb{C}_{\text{vi}} \nabla v(t) \, dX \\ & \quad - \int_{\Omega} \ell^0(t) \cdot u(t) \, dX + \int_0^t \int_{\Omega} \mathbb{D} \nabla \dot{v}(s) : \nabla \dot{v}(s) \, dX \, ds \\ & \leq \frac{1}{2} \int_{\Omega} \nabla(u_0-v_0) : \mathbb{C}_{\text{el}} \nabla(u_0-v_0) \, dX + \frac{1}{2} \int_{\Omega} \nabla v_0 : \mathbb{C}_{\text{vi}} \nabla v_0 \, dX \\ & \quad - \int_{\Omega} \ell^0(0) \cdot u_0 \, dX - \int_0^t \int_{\Omega} \dot{\ell}^0(s) \cdot u(s) \, dX \, ds \end{aligned}$$

For every $\hat{u}$ admissible

$$\begin{aligned} \frac{1}{2} \int_{\Omega} \nabla(u(t)-v(t)) : \mathbb{C}_{\text{el}} \nabla(u(t)-v(t)) \, dX - \int_{\Omega} \ell^0(t) \cdot u(t) \, dX \\ \leq \frac{1}{2} \int_{\Omega} \nabla(\hat{u}-v(t)) : \mathbb{C}_{\text{el}} \nabla(\hat{u}-v(t)) \, dX - \int_{\Omega} \ell^0(t) \cdot \hat{u} \, dX \end{aligned}$$

For every $\hat{u}$ admissible

$$\begin{aligned} \frac{1}{2} \int_{\Omega} \nabla(u(t)-v(t)) : \mathbb{C}_{\text{el}} \nabla(u(t)-v(t)) \, dX - \int_{\Omega} \ell^0(t) \cdot u(t) \, dX \\ \leq \frac{1}{2} \int_{\Omega} \nabla(\hat{u}-v(t)) : \mathbb{C}_{\text{el}} \nabla(\hat{u}-v(t)) \, dX - \int_{\Omega} \ell^0(t) \cdot \hat{u} \, dX \end{aligned}$$

Uniqueness?

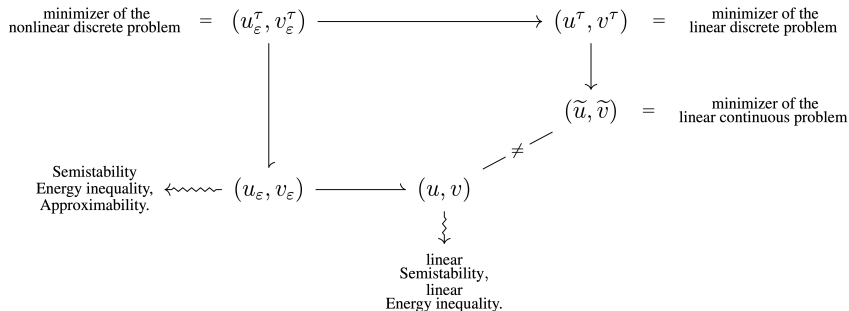
For every $\hat{u}$ admissible

$$\begin{aligned} \frac{1}{2} \int_{\Omega} \nabla(u(t)-v(t)) : \mathbb{C}_{\text{el}} \nabla(u(t)-v(t)) \, dX - \int_{\Omega} \ell^0(t) \cdot u(t) \, dX \\ \leq \frac{1}{2} \int_{\Omega} \nabla(\hat{u}-v(t)) : \mathbb{C}_{\text{el}} \nabla(\hat{u}-v(t)) \, dX - \int_{\Omega} \ell^0(t) \cdot \hat{u} \, dX \end{aligned}$$

Uniqueness?

Given v , we have that u is uniquely determined!

Weakness of the notion of solution



We proved

- Existence of solutions at the non-linear level
 - Energy inequality
 - Semistability
 - Approximability
- Convergence of non-linear trajectories to linear ones

We proved

- Existence of (weak) solutions at the non-linear level
 - Energy inequality $\rightsquigarrow$ sharp
 - Semistability $\rightsquigarrow y_{\text{el}}$ solves elastic equilibrium
 - Approximability $\rightsquigarrow$ viscous evolution occurs
- Convergence of non-linear trajectories to linear ones

But:

- No second gradients $\nabla^2 y_{\text{vi}}$!
- Just assume $F = \nabla y = \nabla(y_{\text{el}} \circ y_{\text{vi}})$

Main reference:

- [1] A. Chiesa, M. Kružík, U. Stefanelli, Finite-strain Poynting-Thomson model: Existence and linearization. *Preprint, arXiv:2303.10933*.

Additional references:

- [2] M. Kružík, D. Melching, U. Stefanelli, Quasistatic evolution for dislocation-free finite plasticity. *ESAIM Control Optim. Calc. Var.* 26 (2020), Paper No. 123.
- [3] M. Kružík, T. Roubíček, *Mathematical methods in continuum mechanics of solids*, Interaction of Mechanics and Mathematics, Springer, Cham, 2019.
- [4] A. Mielke, U. Stefanelli, Linearized plasticity is the evolutionary Γ -limit of finite plasticity. *J. Eur. Math. Soc. (JEMS)*, 15 (2013), no. 3, pp. 923–948.

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