

# Invariant measures exist without a growth condition

Henk Bruin\*, Weixiao Shen<sup>†</sup> and Sebastian van Strien

September 1, 2003

## Abstract

Given a non-flat S-unimodal interval map  $f$ , we show that there exists  $C$  which only depends on the order of the critical point  $c$  such that if  $|Df^n(f(c))| \geq C$  for all  $n$  sufficiently large, then  $f$  admits an absolutely continuous invariant probability measure (acip). As part of the proof we show that if the quotients of successive intervals of the principal nest of  $f$  are sufficiently small, then  $f$  admits an acip. As a special case, any S-unimodal map with critical order  $\ell < 2 + \varepsilon$  having no central returns possesses an acip. These results imply that the summability assumptions in the theorems of Nowicki & van Strien [21] and Martens & Nowicki [17] can be weakened considerably.

## 1 Introduction

In this paper we consider S-unimodal  $C^3$  maps  $f: [0, 1] \rightarrow [0, 1]$ . We assume the unique critical point  $c$  has order  $\ell > 1$ , *i.e.*, for  $x$  near  $c$ , there exists a  $C^2$  diffeomorphism  $\varphi$  such that  $f(x) = \varphi(|x - c|^\ell)$ .

**Theorem 1.** *There exists  $C = C(\ell)$  so that provided  $|Df^n(f(c))| \geq C$  for all  $n$  sufficiently large,  $f$  admits an absolutely continuous invariant probability measure (acip).*

---

\*HB was supported by a fellowship of the Royal Netherlands Academy of Arts and Sciences (KNAW)

<sup>†</sup>WS was supported by EPSRC grant GR/R73171/01

The problem dealt with in Theorem 1 has a long history, with contributions by amongst others [1], [22], [8], [5], [19], [20], [21]. In particular Theorem 1 shows that the well-known Collet-Eckmann condition ( $|Df^n(f(c))| \leq C\gamma^n$  for some  $\gamma \in (0, 1)$ , see [5]) or the more recent summability condition ( $\sum_n |Df^n(f(c))|^{-1/\ell} < \infty$ , see Nowicki & van Strien [21]) are far too restrictive. No growth is needed. Recently, many people are considering weakly hyperbolic systems (in particular in dimensions 2 and larger). Perhaps our techniques indicate that one might not always need to look for growth conditions.

A key idea in our proof is to construct an induced Markov map, and analyse the non-linearities and transition probabilities of the resulting random walk. This Markov map has branches with arbitrarily small ranges. The Markov map we construct is based on the so-called *principal nest*, and the estimates for the transition probabilities come from a careful analysis of the geometry of this principal nest. So let us define this nested sequence of neighbourhoods of the critical point  $c$  starting with  $I_0 = (\hat{q}, q)$ , where  $q \in (0, 1)$  is the orientation reversing fixed point of  $f$  and  $f(\hat{q}) = f(q)$ . Then define inductively  $I_{n+1}$  to be the central domain of the first return map to  $I_n$ . To continue the induction, we need to assume that  $c$  is recurrent, *i.e.*,  $\omega(c) \ni c$ . Without this assumption,  $f$  is a Misiurewicz map, and the conclusions of this paper then follow easily (or from well-known results). Write

$$\mu_n = |I_{n+1}|/|I_n|.$$

Our paper deals with the case that  $\mu_n$  is small for all large  $n$ .

Before stating our result second theorem, let us first discuss  $\mu_n$ . Estimating the  $\mu_n$  has been an eminent problem in one-dimensional dynamics, cf. [6, 7, 9, 12]. More precisely, it has been asked if the *starting condition* [9]

$$\forall \varepsilon > 0 \exists n_0 > 0 \mu_{n_0} < \varepsilon. \tag{1}$$

holds. We speak of a *central return* of  $c$  to  $I_n$  if the first return  $f^s(c)$  of  $c$  into  $I_n$  belongs also to  $I_{n+1}$ . If  $\ell \leq 2$  and there are no central returns, an inductive argument ([9], [12]) shows that (1) implies

$$\forall \varepsilon > 0 \exists n_0 > 0 \forall n \geq n_0 \mu_n < \varepsilon; \tag{2}$$

(if there are central returns at times  $n(k)$  then in (2) then this only holds at all ‘non-central’ times. Lyubich [12] and Graczyk & Świątek [6], using complex methods, have established the starting conditions for quadratic maps.

Note that prior to the results [6, 12], the starting condition was verified for quadratic maps with so-called Fibonacci combinatorics [13, 11]. For this map, it is crucial that the critical order is  $\ell = 2$ , because for  $\ell > 2$ , (1) fails:  $\mu_n$  does **not** tend to zero. More precisely, as was shown in [11],

$$\exists \varepsilon = \varepsilon(\ell) > 0 \exists n_0 > 0 \forall n \geq n_0 \mu_n \leq \varepsilon \text{ and } \varepsilon(\ell) \searrow 0 \text{ as } \ell \searrow 2. \quad (3)$$

In fact, when  $\ell$  is large then  $\mu_n$  is close to 1 for all  $n$  (for the Fibonacci map); this implies that a Fibonacci map with large critical order possesses a Cantor attractor, see [4].

Recently, Shen [23] showed, by purely real methods, that for all  $C^3$   $S$ -unimodal maps without central returns that

- (1) holds for  $\ell \in (1, 2]$ ,
- (3) holds for  $\ell > 2$  close to 2.

In this paper we will show that (3), *i.e.*, large values of  $|I_n|/|I_{n+1}|$  when  $n$  is large, guarantee the existence of an  $f$ -invariant measure  $\mu$  that is absolutely continuous with respect to Lebesgue (acip).

**Theorem 2.** *There exists  $\varepsilon = \varepsilon(\ell)$  such that if  $|I_{n+1}| \leq \varepsilon|I_n|$  for all  $n$  sufficiently large, then  $f$  admits an acip.*

**Remark 1.** *We do not need to assume that  $f$  has no central returns for this theorem to hold.*

Theorem 2 extends a theorem of Martens & Nowicki [17] stating that  $\sum_n \mu_n^{1/\ell} < \infty$  implies the existence of an acip. In fact, as they show,  $\sum_n \mu_n^{1/\ell} < \infty$  implies the Nowicki-van Strien summability condition. Theorem 2 is strictly stronger: for example for the Fibonacci map with critical order  $2 + \varepsilon$  the summability conditions fail, but our assumption holds. Theorem 2 also extends the result of Keller & Nowicki [11] for Fibonacci maps of order  $2 + \eta$  to more general maps:

**Corollary 1.** *There exists  $\eta > 0$  such that for every  $C^3$   $S$ -unimodal map  $f$  with critical order  $\ell < 2 + \eta$ , and with a finite number of central returns holds: If  $f$  has no periodic attractor, then  $f$  has an acip.*

**Proof of Corollary 1.** This follows from Shen's result [23] that under the above conditions, there exists  $\varepsilon = \varepsilon(\ell)$  such that  $|I_{n+1}| \leq \varepsilon|I_n|$  for  $n$  sufficiently large and that  $\varepsilon \rightarrow 0$  as  $\eta \rightarrow 0$ .  $\square$

In [3], conditions (reminiscent of Fibonacci combinatorics) are given under which  $f$  has an acip, irrespective the critical order as long as  $\ell < \infty$ . One can interpret Corollary 1 as a proof that the only mechanism for unimodal maps with critical order  $\ell < 2 + \eta$  not to have an acip, is by (deep) central returns, either of *almost restrictive interval* type (cf. [10]) or of *almost saddle node* type (cf. [2]).

## 2 Preliminaries and structure of the proof

Let us start making precise the condition on  $f$ . It is a  $C^3$  unimodal map with negative Schwarzian derivative such that  $f^2(c) < c < f(c)$  and  $f^3(c) \geq f^2(c)$ . Hence we can rescale  $f$  such that  $f^2(c) = 0$  and  $f(c) = 1$ . The critical order  $\ell \in (1, \infty)$ , the critical point is recurrent but not periodic.

Let us first show that Theorem 2 implies our first theorem:

**Proof of Theorem 1.** Let  $k(n)$  be the minimal integer for which  $f^{k(n)}(c) \in I_n$ . Then  $I_{n+1}$  is the pullback of  $I_n$  by  $f^{k(n)}$ . By real bounds, [18], there exists  $\delta > 0$  (which does not depend on  $n$ ) and a neighbourhood  $T$  of  $f(I_{n+1})$ , such that  $f^{k(n)-1}$  maps  $T$  diffeomorphically onto a  $\delta$ -scaled neighbourhood of  $I_n$ . Hence

$$\begin{aligned} |Df^{k(n)}(f(c))| &= |Df(f^{k(n)}(c))| \cdot |Df^{k(n)-1}(f(c))| \\ &\leq \ell|I_n|^{\ell-1} \cdot K \frac{|f^{k(n)}(I_{n+1})|}{|f(I_{n+1})|} \\ &\leq \ell|I_n|^{\ell-1} \cdot K \frac{|I_n|}{|I_{n+1}|^\ell} \leq \ell K \frac{|I_n|^\ell}{|I_{n+1}|^\ell}, \end{aligned}$$

where we have used the non-flatness of  $f$  and Koebe. Therefore, one obtains that  $|I_{n+1}|/|I_n|$  is small provided  $|Df^{k(n)}(f(c))|$  is large.

It is possible that  $f$  is renormalizable. In that case  $k(n)$  is equal to the period  $p$  of this renormalization for all  $n$  large and  $I_n$  shrinks to the largest periodic renormalization interval  $J$  (and so  $|I_{n+1}|/|I_n| \rightarrow 1$ ). Then use the same argument for the renormalization: repeat the construction of

the principal nest for  $f^p|J$ . Assume  $f$  is  $s$  times renormalizable and  $J_s$  is its  $s$ -th renormalization interval with period  $p_s$ . Intervals  $I_n$  associated to its  $(s-1)$ -th renormalization shrink to the  $s$ -th renormalization interval  $J_s$ , and therefore  $|Df^{p_s}(f(c))| \leq \ell K \frac{|I_n|^\ell}{|I_{n+1}|^\ell} \leq 2\ell K$  for  $n$  sufficiently large. But since  $p_s \geq 2^s$ , this and the assumption of Theorem 1 imply that  $s$  must be bounded, and so  $f$  can only be finitely often renormalizable. Then consider instead of  $f$  its last renormalization  $f^s|J_s$ . Since the above inequality gives that  $|I_n|/|I_{n+1}|$  is large for all  $n$  large (and in particular  $|I_n| \rightarrow 0$  as  $n \rightarrow \infty$ ), we can apply Theorem 2 and obtain an invariant measure.  $\square$

So it suffices to prove Theorem 2. The boundary points of each  $I_n$  are *nice* in the sense of Martens [16], which means that  $f^i(\partial I_n) \notin I_n$  for all  $i > 0$ . In fact,  $f^i(\partial I_n) \notin I_{n-1}$ . This allows the following priori estimates:

**Lemma 1.** *If  $J \subset I_n$  is a component of the domain of the first return map to  $I_n$  for some  $n > 0$ , say  $f^s|J$  is this return, then there exists an interval  $T \supset f(J)$  such that  $f^{-1}(T) \subset I_n$  and such that  $f^{s-1}|T$  is a diffeomorphism onto  $I_{n-1}$ .*

**Proof of Lemma 1.** See Martens [16] or Section V.1 in [18].  $\square$

The idea is now to construct a Markov induced map  $G$  over  $f$  with the intervals  $I_n$  as countable set of ranges:  $G$  is defined on a countable collection of intervals  $J_i$ ,  $G|J_i = f^{s_i}|J_i$  is a diffeomorphism and  $G(J_i) = I_n$  for some  $n$ . We then will construct a  $G$ -invariant measure  $\nu \ll \text{Leb}$ , and estimate  $\nu(I_n)$ :

**Proposition 1.** *Assume that  $\mu_n \leq \varepsilon$  for all  $n \geq n_0$ . If  $\varepsilon$  is sufficiently small, then the induced transformation  $G$  admits an acip  $\nu$ . Moreover, there exists  $C_0 = C_0(f)$  such that  $\nu(I_n) \leq C_0 \sqrt{|I_n|}$  for all  $n$ .*

**Corollary 2.** *Under the above conditions,  $f$  admits no Cantor attractor.*

**Proof of Corollary 2.** This follows easily, for example, from the observation that any Cantor attractor has zero Lebesgue measure (see [15]), and, disregarding  $c$ , is invariant by  $G$ . Hence  $G$  cannot carry an acip if a Cantor attractor is present.  $\square$

It should be noted that the distortion of the branches of  $G$  is in general not bounded; this comes from the fact that if  $G|J = f^s|J$  is such a branch

and  $G(J) = I_n$ , then this branch need not be extendible, *i.e.*, if  $T \supset J$  is the maximal interval on which  $f^s$  is monotone, then  $f^s(T)$  need not contain a definite scaled neighbourhood of  $I_n$ . In particular,  $d\nu(x)/dx$  can not be expected to be bounded on any of the sets  $I_n \setminus I_{n+1}$ . However, we will still be able to derive the following result:

**Theorem 3.** *There exists  $\varepsilon = \varepsilon(\ell)$  such that if  $|I_{n+1}| \leq \varepsilon|I_n|$  for all  $n \geq n_0$ , then  $\sum s_i \nu(J_i) < \infty$ .*

Once this is obtained, the proof of the main theorem is straight forward.

**Proof of Theorem 2.** This follows by a standard pull-back construction. Given the  $G$ -invariant measure  $\nu$ , define  $\mu$  by

$$\mu(A) = \sum_i \sum_{j=0}^{s_i-1} \nu(f^{-j}(A) \cap J_i).$$

As  $f$  is non-singular with respect to Lebesgue,  $\mu$  is absolutely continuous, and the  $f$ -invariance of  $\mu$  is a standard exercise. The finiteness of  $\mu$  follows directly from Theorem 3.  $\square$

*Comments on constants:* In the following,  $\ell$  is fixed,  $\varepsilon_i$  denotes constants depending only on  $\varepsilon$  which are small provided that  $\varepsilon$  is. Constants  $\rho_i$  depend only on  $\ell$ . Constants  $C_i$  depend only on  $f$ . The numbers  $n_0 \in \mathbb{N}$  and  $\lambda \in (0, 1)$ , which are defined in Section 4, also depend on  $f$ . For local use (*i.e.*, within a proof),  $B$  and  $C = C(f)$  will denote a constant, which might vary within equations.

### 3 Construction of induced maps $G_n$ and $G$

Let  $G_0$  be the first return map to  $I_0$ . Then  $G_0$  has a finite number of branches, the central branch is the branch with the largest return time, and each non-central branch maps diffeomorphically onto  $I_0$ .

In this section we shall construct a sequence of maps  $G_n: \cup_i J_i^{n+1} \rightarrow I_0$  inductively such that

1.  $\cup_i J_i^{n+1}$  is a finite union and for  $n \geq 1$ ,  $G_n = G_{n-1}$  outside  $I_n$ ;
2. The central branch  $J_0^{n+1} = I_{n+1}$  and  $G_n|_{I_{n+1}}$  is the first return map to  $I_n$ ;

3. for each  $i \neq 0$ , there exists  $b_i \leq n$  such that  $G_n: J_i^{n+1} \rightarrow I_{b_i}$  is a diffeomorphism;
4. the outermost branch maps onto  $I_0$ ; more precisely,  $J_i^{n+1} \subset I_n$  and  $\partial J_i^{n+1} \cap \partial I_n \neq \emptyset$  imply  $G_n(J_i^{n+1}) = I_0$  (and the external point of such an interval  $J_i^{n+1}$  maps to the fixed point  $q$ );
5.  $G_n(x) = f^s(x)$  implies that  $f(x), \dots, f^{s-1}(x) \notin I_n$ ;

By definition  $G_0$  satisfies the above statements, so let us assume that by induction  $G_n$  exists with the above properties, and construct  $G_{n+1}$ .

Set  $G_{n+1}(x) = G_n(x)$  for  $x \notin I_{n+1}$ . Let  $k_n \in \mathbb{N} := \{1, 2, 3, \dots\}$  be minimal so that  $G_n^{k_n}(c) \in I_{n+1}$ . This means that  $k_n = 1$  if the return to  $I_n$  is central. Define  $K^0 = I_{n+1}$ ,  $K^{k_n} = I_{n+2}$  and, for  $0 \leq j \leq k_n - 1$ , let  $K^j$  be the component of  $\text{dom}(G_n^{j+1})$  which contains  $c$ . Next define on  $K^j \setminus K^{j+1}$

$$G_{n+1}(x) = \begin{cases} G_n^{j+1}(x) & \text{if } G_n^{j+1}(x) \in I_{n+1} \\ G_n^{j+2}(x) & \text{otherwise.} \end{cases}$$

$G_{n+1}|_{I_{n+2}} = G_n^{k_n}|_{I_{n+2}}$  is the first return map to  $I_{n+1}$ . Properties (1) and (2) hold by construction for  $G_{n+1}$ . Property (3) holds because if  $G_n^{j+1}(x) \in I_{n+1}$  for some  $x \in I_{n+1} \setminus I_{n+2}$  then  $G_{n+1}(J_i^{n+1}) = I_{n+1}$  for the corresponding domain  $J_i^{n+1} \ni x$  and if  $G_n^{j+1}(x) \notin I_{n+1}$  then by the induction assumption  $G_{n+1}(J_i^{n+1})$  is equal to some domain  $I_b$ ,  $b \leq n$ , because then  $G_{n+1}(x) = G_n^{j+2}(x)$ . Property (4) holds immediately because  $\partial I_n$  is mapped by  $G_n$  into  $\partial I_0$ . In order to show Property (5) holds, take  $x \in K^j \setminus K^{j+1}$  and let  $y = G_n^j(x)$ . Note that  $G_n^j|_{K^j}$  is inside a component of  $\text{dom}(G_n)$  and that all iterates  $f(K^j), \dots, G_n^j(K^j) \ni y$  are outside  $I_{n+1}$ . Since  $G_n^{j+1}(x) = G_n(y)$  we get by induction that (5) holds for  $G_{n+1}$  (using that it holds for  $G_n$  and  $y$  instead of  $x$ ).

The induced map  $G$  is defined as follows: for each  $n \geq 0$ , each component of the domain  $J$  of  $G_n$  other than the central one  $I_{n+1}$  becomes a component of the domain of  $G$ , and  $G|_J = G_n|_J$ .

For later use, we compute by induction that if  $x \in I_n \setminus I_{n+1}$ , and  $G(x) = f^s(x)$ , then

$$s \leq t_0 \cdot (k_0 + 1) \cdots (k_{n-2} + 1) \cdot (k_{n-1} + 1), \quad (4)$$

where  $t_0 = \min\{i > 0 \ ; \ f^i(c) \in I_0\}$ .

## 4 Distortion properties of the induced map

Suppose  $\varphi : T \rightarrow \varphi(T)$  is a  $C^1$  map. Let us define

$$\text{Dist}(\varphi) := \text{Dist}(\varphi, T) := \sup_{x, y \in T} \log \frac{\varphi'(x)}{\varphi'(y)}.$$

Let us say a diffeomorphism  $h : J \rightarrow h(J)$  belongs to the distortion class  $\mathcal{F}_p^C$  if it can be written as

$$Q \circ \varphi_q \circ Q \circ \varphi_{q-1} \circ \cdots \circ Q \circ \varphi_1,$$

with  $q \leq p$ , where  $Q(x) = |x|^\ell$  and  $\text{Dist}(\varphi_j) \leq C$  for all  $1 \leq j \leq q$ .

Let us fix a large positive integer  $n_0$  such that  $|I_n| \leq \varepsilon |I_{n-1}|$  for all  $n \geq n_0$ , and such that  $f|_{I_{n_0}}$  can be written as  $x \mapsto \varphi(|x|^l)$  with  $\text{Dist}(\varphi) \leq 1/4$ . By Lemma 1, it follows that for each  $n \geq n_0$ , if  $J$  is a return domain to  $I_n$ , and  $f^s|_J$  is the return, then  $f^s|_J$  can be written as  $x \mapsto \varphi(|x|^l)$  with  $\text{Dist}(\varphi) \leq 1/2$  provided  $\varepsilon$  is sufficiently small.

According to Mañé [14], the map  $G$ , restricted to the set of points which stay outside  $I_{n_0+1}$  is a hyperbolic (uniformly expanding) system. Thus, there exists  $C_1 = C_1(f) > 0$  and  $\lambda = \lambda(f) \in (0, 1)$  with the following property. For any  $k \in \mathbb{N}$

1. if  $x$  is a point such that  $G^i(x)$  are defined and  $G^i(x) \notin I_{n_0+1}$  for any  $0 \leq i \leq k-1$ , then

$$|(G^k)'(x)| \geq \frac{1}{C_1 \lambda^k};$$

2. if  $J$  is an interval such that  $G^k|_J$  is defined, and  $G^i(J) \cap I_{n_0+1} = \emptyset$  for all  $0 \leq i \leq k-1$ , then

$$\text{Dist}(G^k|_J) \leq \log C_1.$$

We will use the notation  $\alpha(y) = n$  if  $y \in I_n \setminus I_{n+1}$ .

**Proposition 2.** *Let  $m \geq 1$ , and let  $G^i : J \rightarrow I_m$  be an onto branch of  $G^i$ . There exists  $C_2 = C_2(f)$  such that the following hold:*

- *Suppose that  $\alpha(G^{i-1}J) > m$ . Let  $n > m$  and  $1 \leq k \leq i$  be maximal such that*

$$n = \alpha(G^{i-k}J) > \alpha(G^{i-k+1}J) > \cdots > \alpha(G^{i-1}J) > m.$$



Then  $G^i|J$  can be written as  $\psi \circ \varphi$  such that

$$\text{Dist}(\psi) \leq \log C_2 \text{ and } \varphi \in \mathcal{F}_{2(n-m+1)}^1.$$

- If  $\alpha(G^{i-1}(J)) \leq m$  then  $G^i|J$  can be written as  $\psi \circ \varphi$  such that

$$\text{Dist}(\psi) \leq \log C_2 \text{ and } \varphi \in \mathcal{F}_2^1.$$

**Proof.** Let  $r$  denote the maximum of  $\alpha(G^j(J))$  for  $0 \leq j \leq i-1$ . Let  $C = C(f)$  be a big constant. We shall prove by induction on  $r$  the following stronger statement:  $G^i|J$  can be written as  $\psi \circ H \circ Q \circ \varphi_1$  with

$$\text{Dist}(\psi) \leq \log C, \quad H \in \mathcal{F}_{2(n-m)+1}^1 \text{ and } \text{Dist}(\varphi_1) < 1/2.$$

If  $r \leq n_0$ , then the distortion of  $G^i|J$  is bounded by  $\log C_1(f)$  as we remarked above. Hence the statement is true for  $C > C_1$ . So let us consider the case  $r > n_0$ .

For  $0 \leq j \leq i-1$ , let  $T_j$  denote the domain of  $G$  which contains  $G^j(J)$ . For simplicity of notation, write  $\alpha_j = \alpha(G^j(J))$ . By definition of  $n$ , we have  $\alpha_{i-k-1} \leq \alpha_{i-k} = n$ . Note that  $G^j|J$  extends to a diffeomorphism onto  $I_{\alpha_j}$  for all  $1 \leq j \leq i$ .

*Case 1.*  $n \leq n_0$ . Then  $\alpha_j \leq n_0$  for all  $i-k \leq j \leq i-1$ , and so  $\text{Dist}(G^k|G^{i-k}(J)) \leq \log C_1$ . If  $G(T_{i-k-1}) \supset I_{n-1}$ , then  $\text{Dist}(G^{i-k}|J)$  is bounded by the Koebe principle, and thus we are done. If  $G(T_{i-k-1}) \subset I_n$ , then  $T_{i-k-1}$  is a return domain to  $I_n$ . Since  $n \geq m \geq 1$ , this return domain is well inside  $I_n$ , which implies that  $G^{i-k-1}|J$  has bounded distortion. Since  $n \leq n_0$ , the distortion of  $G|T_{i-k-1}$  has bounded distortion as well, and so the proposition is true for some universal constant  $C$  (which depending on the a priori real bounds).

*Case 2.*  $n > n_0$ . Then similarly as above, we can show that  $G^{i-k}|J$  can be written as  $\varphi_2 \circ h_1$ , with  $\text{Dist}(\varphi_2) \leq 1/2$  and  $h_1 \in \mathcal{F}_1^{1/2}$ . If  $k = 0$ , then the proposition follows. Assume  $k \geq 1$ . Let  $J' = G_{n-1}(G^{i-k}(J))$ , and let  $s \in \mathbb{N}$  be such that  $G = G_n = G_{n-1}^s$  on  $G^{i-k}(J)$ . Since  $\alpha_{i-k+1} < \alpha_{i-k}$ , it follows from our construction that  $G^j(J') \cap I_n = \emptyset$  for all  $0 \leq j < s$ . The same is true for  $s \leq j \leq s-1+k-1$  by definition of  $n$ . Thus

$$\max_{j=0}^{s-1+k-1} \alpha(G^j(J')) \leq n-1 \leq r-1.$$

Applying the induction hypothesis to the map  $G^{k-1} \circ G^{s-1}|J' = G^{k-1} \circ G_{n-1}^{s-1}|J'$ , we see that the map can be written as  $\psi \circ h \circ Q \circ \varphi$  with  $\text{Dist}(\psi) < C$ , and  $\text{Dist}(\varphi) \leq 1/2$ , and  $h \in \mathcal{F}_{2(n-m)-1}^1$ . The map  $G_{n-1}|G^{i-k}(J)$  is a restriction of the first return map to  $I_{n-1}$ , which is of the form  $\varphi_3 \circ Q$  with  $\text{Dist}(\varphi_3) \leq 1/2$ . Therefore

$$\begin{aligned} G^i|J &= G^{s-1+k-1}|J' \circ G_{n-1}|G^{i-k}(J) \circ G^{i-k}|J \\ &= \psi \circ h \circ Q \circ (\varphi \circ \varphi_3) \circ Q \circ \varphi_2 \circ h_1. \end{aligned}$$

Note that  $\text{Dist}(\varphi \circ \varphi_3) < 1$ , and the induction step is completed.  $\square$

We will need another proposition to treat the case  $m = 0$ . By taking  $C_2$  larger if necessary, we prove:

**Proposition 3.** *Consider any branch  $G^i|J$ . Let  $n = \max_{j=0}^{i-1} \alpha(G^j J)$ . Then  $G^i|J$  can be written  $\psi \circ H$  with*

$$\text{Dist}(\psi) \leq \log C_2 \text{ and } H \in \mathcal{F}_{2n}^1.$$

**Proof.** First note that if  $G^i(J) \subset I_1$ , then the assertion follows immediately from the previous proposition. So we shall assume  $G^i(J) = I_0$ . Let us prove by induction that  $G^i|J$  can be written as  $\psi \circ H \circ Q \circ \varphi$ , where  $\psi$  is an iterate of  $G|(I_0 \setminus I_{n_0+1})$ , and  $H \in \mathcal{F}_{n-1}^1$ , and  $\text{Dist}(\varphi) < 1/2$ .

If  $n \leq n_0$ , then the claim is clearly true. Assume  $n > n_0$ . Let  $0 \leq p < i$  be the largest such that  $\alpha_p = n$ . Using similar argument as in the proof of the previous proposition, the map  $G^p|J$  can be written as  $\varphi_0 \circ h$ , where  $\text{Dist}(\varphi_0) < 1/2$ , and  $h \in \mathcal{F}_1^{1/2}$ . Note that  $\alpha(G^{p-1}J) \leq \alpha(G^p J)$  by the maximality of  $\alpha(G^p J)$ . Let  $s$  be the positive integer such that

$$G|G^p J = G_{\alpha_p}|G^p J = G_{\alpha_p-1}^s|G^p J,$$

and let  $J' = G_{\alpha_p-1}(G^p J)$ . It follows from the construction of  $G$  and the maximality of  $\alpha_p$  that  $\alpha(G^j(J')) \leq n-1$  for all  $0 \leq j \leq s-2+(i-p)$ . By the induction hypothesis, we can decompose the map  $G^{i-p+s-1}|J'$  as  $\psi_1 \circ H_1 \circ Q \circ \varphi_1$  such that  $\psi_1$  is an iterate of  $G|I_0 \setminus I_{n_0+1}$ , and  $H_1 \in \mathcal{F}_{n-2}^1$ . The map  $G_{n-1}|G^p J$  is a restriction of the first return map to  $I_{n-1}$ , and thus it can be written as  $\varphi \circ Q$  with  $\text{Dist}(\varphi) < 1/2$ . Combining all these facts, we decompose

$$G^i|J = \psi_1 \circ \{H_1 \circ [Q \circ (\varphi_1 \circ \varphi_0)]\} \circ h,$$

as required. This completes the proof of the induction step.  $\square$

We are going to use the following lemma many times.

**Lemma 2.** *If  $h : J \rightarrow I$  is a diffeomorphism in  $\mathcal{F}_p^1$ , and  $A \subset J$  is a measurable set, then*

$$\frac{1}{(\ell e)^p} \frac{\text{Leb}(h(A))}{|I|} \leq \frac{\text{Leb}(A)}{|J|} \leq e^p \left( \frac{\text{Leb}(h(A))}{|I|} \right)^{1/\ell p}. \quad (5)$$

**Proof.** First we note that for any interval  $T \subset \mathbb{R} \setminus \{0\}$  and any measurable set  $A \subset T$ , we have

$$\frac{\text{Leb}(A)}{|T|} \leq \left( \frac{\text{Leb}(Q(A))}{|Q(T)|} \right)^{1/\ell}.$$

To see this, note that for a fixed  $\text{Leb}(Q(A))$ , the left hand side takes its maximum in the case that  $A$  is an interval adjacent to the endpoint of  $\partial T$  which is closer to 0.

It suffices to prove the two inequalities in case  $p = 1$ . So let us consider the case  $h = Q \circ \varphi$  with  $\text{Dist}(\varphi) \leq 1$ . For any  $A \subset J$ , we have

$$\frac{\text{Leb}(A)}{|J|} \leq e \frac{\text{Leb}(\varphi(A))}{|\varphi(J)|} \leq e \left( \frac{\text{Leb}(h(A))}{|h(I)|} \right)^{1/\ell}.$$

This proves the second inequality of (5). On the other hand,

$$\begin{aligned} \frac{\text{Leb}(\varphi(A))}{|\varphi(J)|} &= 1 - \frac{\text{Leb}(\varphi(J \setminus A))}{|\varphi(J)|} \\ &\geq 1 - \left( \frac{\text{Leb}(h(J) \setminus h(A))}{|h(J)|} \right)^{1/\ell} \\ &= 1 - \left( 1 - \frac{\text{Leb}(h(A))}{|I|} \right)^{1/\ell} \\ &\geq \frac{1}{\ell} \frac{\text{Leb}(h(A))}{|I|}, \end{aligned}$$

and thus

$$\frac{\text{Leb}(A)}{|J|} \geq \frac{1}{e} \frac{\text{Leb}(\varphi(A))}{|\varphi(J)|} \geq \frac{1}{e\ell} \frac{\text{Leb}(h(A))}{|I|},$$

proving the first inequality.  $\square$

## 5 Outermost branches

Within  $I_n$ , there are two special branches which have common endpoints with  $I_n$ . These branches always mapped onto  $I_0$  by the map  $G$ , and need special care in our argument. In this section, we shall prove that these branches can not be too small.

**Proposition 4.** *There exist a constant  $\rho_1 = \rho_1(\ell) > 0$  and a constant  $C_3 = C_3(f) > 0$ , such that if  $J_n$  is one of the two outermost branches of  $G$  in  $I_n$ , then*

$$\frac{|J_n|}{|I_n|} \geq \frac{\rho_1^n}{C_3}.$$

**Proof.** Let  $\delta_n := |J_n|/|I_n|$  and  $\hat{J}_{n-1}$  the outer-most branch of  $I_{n-1} \setminus I_n$  for which  $\hat{J}_{n-1} \supset G_{n-1}(J_n)$ . Write  $G_{n-1}|I_n = f^{t_n}$ . Since this is a first return, one has  $\text{Dist}(f^{t_n-1}|f(I_n)) \leq 1$  for all  $n$  sufficiently big.

*Case 1.*  $G_{n-1}(c) \notin \hat{J}_{n-1}$ . Then by the distortion bound for  $f^{t_n-1}|f(I_n)$ ,

$$\frac{|f(a) - f(c)|}{|f(b) - f(c)|} = 1 + \frac{|f(a) - f(b)|}{|f(b) - f(c)|} \geq 1 + C\delta_{n-1},$$

where  $a$  and  $b$  are the end points of  $J_n$  with  $b$  between  $a$  and  $c$ . Hence, using that  $c$  is a critical point of order  $\ell$ ,

$$\frac{|a - c|}{|b - c|} \geq (1 + C\delta_{n-1})^{1/\ell} \geq 1 + \frac{C\delta_{n-1}}{\ell}.$$

Hence

$$\delta_n = \frac{|J_n|}{|I_n|} = \frac{1}{2} \frac{|a - b|}{|a - c|} \geq \frac{1}{2} \left( 1 - \frac{1}{1 + C\delta_{n-1}/\ell} \right) \geq C\delta_{n-1}/\ell.$$

By induction,  $|J_n|/|I_n| \geq \rho_1^n/C_3$  for  $\rho_1 = \rho_1(\ell) \asymp 1/\ell$ .

*Case 2.*  $G_{n-1}(c) \in \hat{J}_{n-1}$ . Note that  $G_{n-1}(\hat{J}_{n-1}) = I_0$  and that  $G_{n-1}^2 J_n$  intersects an outermost branch  $\hat{J}_0$  of  $I_0$ . Let  $p \geq 0$  be minimal so that  $G_{n-1}^{p+2}(c) \notin \hat{J}_0$ . Then  $|\hat{J}_0|/|G_{n-1}^{p+2}I_n|$  is bounded from below (by a bound which depends only on  $f$ ), and since  $G_{n-1}^{p+2}(J_n) = \hat{J}_0$ , and  $f|(I_0 \setminus I_1)$  is hyperbolic this implies

$$|G_{n-1}^2 J_n|/|G_{n-1}^2 I_n| \geq C > 0.$$

According to the distortion control on  $G_{n-1}|_{\hat{J}_{n-1}}$  given by Proposition 3, this implies

$$|G_{n-1}J_n|/|G_{n-1}I_n| \geq C\rho^n > 0.$$

Since  $I_n$  is a first return domain of  $G_{n-1}$ , by Lemma 1, this implies

$$|J_n|/|I_n| \geq \rho_1^n/C_3,$$

with  $\rho_1 = \rho_1(\ell) \asymp 1/\ell$  and  $C_3 = C_3(f) \asymp 1/C$ . □

## 6 Improved decay for deep returns

Let  $x$  and  $m$  be so that  $G_n^m(x)$  is well-defined and  $G_n^i(x) \notin I_{n+1}$  for  $0 \leq i < m$ . Let  $T_i = T_i(x)$  be the component of  $\text{dom}(G_n)$  which contains  $G_n^i(x)$ . Define  $\alpha(y) = j$  if  $y \in I_j \setminus I_{j+1}$  and  $s(y) = s$  if  $G(y) = f^s(y) = G_{\alpha(y)}(y)$ . Let  $t_n$  be the return time of  $c$  to  $I_n$  under  $f$ . Define

$$\begin{aligned} \Lambda &= \{0 \leq i \leq m-2 \ ; \ \alpha(T_{i+1}) \geq \alpha(T_i)\}, \\ N &= \sum_{i \in \Lambda} [\alpha(T_{i+1}) - \alpha(T_i) + 1] \text{ and } r = \#\Lambda. \end{aligned}$$

Moreover, define

$$T'_0 = \{y \in T_0 \ ; \ G_n^i(y) \in T_i \text{ for all } i \leq m-1\}.$$

If  $\varphi : T \rightarrow \varphi(T)$  is a homeomorphism and  $J \subset T$  is a subinterval of  $T$ , we denote the components of  $T \setminus J$  by  $L$  and  $R$ , and write

$$Cr(T, J) := \frac{|T| \cdot |J|}{|L| \cdot |R|}$$

for the *cross-ratio* of  $J$  in  $T$ .

**Lemma 3.** *Assume that  $\alpha(T_i) \geq n_0$  for all  $i = 0, \dots, m-2$ , then for  $\varepsilon_1 \asymp \varepsilon^{1/\ell}$*

- $Cr(T_0, T'_0) \leq \varepsilon_1^N$  if  $r \geq 1$ ;
- for each interval  $J \subset G_n(T_{m-1})$  with  $J \ni G_n^m(x)$ , and  $J' := \{y \in T'_0 \ ; \ G_n^m(y) \in J\}$  we have

$$Cr(T_0, J') \leq \varepsilon_1^N \cdot Cr(G_n(T_{m-1}), J)$$

(even if  $r = 0$ ).

**Proof of Lemma 3.** For  $0 \leq j \leq m - 2$ , write

$$\begin{aligned} Cr(I_{\alpha(T_j)}, G_n^j T'_0) &\leq Cr(T_j, G_n^j T'_0) \\ &\leq Cr(G_n T_j, G_n^{j+1} T'_0) \\ &\leq Cr(I_{\alpha(T_{j+1})}, G_n^{j+1} T'_0). \end{aligned}$$

Here the first and third inequality hold by inclusion of intervals, and the second inequality because  $f$  has negative Schwarzian derivative. Note that  $G_n T_j \supset I_{\alpha(T_j)}$ . If  $j \in \Lambda$  then one gets improved inequalities: if

$$G_n T_j \supset I_{\alpha(T_j)-1} \supset I_{\alpha(T_{j+1})-1},$$

then in the third inequality one gets an additional factor  $\varepsilon_1^{[\alpha(T_{j+1})-\alpha(T_j)+1]}$ , while if  $G_n T_j = I_{\alpha(T_j)} \supset I_{\alpha(T_{j+1})}$  then in the first inequality one gets an factor  $\varepsilon_1$  (because then  $G_n$  is a first return and so a composition of  $x^\ell$  and a map which extends diffeomorphically to  $I_{\alpha(T_j)-1}$ ) and in the third we get an additional factor

$$\varepsilon_1^{[\alpha(T_{j+1})-\alpha(T_j)]}.$$

To prove the second assertion of the lemma one proceeds in the same way. Note that all this holds, provided  $\alpha(T_j) \geq n_0$  for each  $j \in \Lambda$  where  $n_0$  is chosen so that  $|I_{n+1}|/|I_n| < \varepsilon$  for  $n \geq n_0$ .  $\square$

Let  $k_n$  be as in Section 3.

**Corollary 3.** *There exists  $C_4 = C_4(f) > 1$  and  $\varepsilon_2 \asymp \varepsilon_1^{1/\ell}$  with the following property.*

(1) *If  $\alpha(G_n^i(I_{n+2})) \geq n_0$  for all  $0 \leq i \leq k_n$ , then*

$$\frac{|I_{n+2}|}{|I_{n+1}|} \leq C_4 \varepsilon_2^{k_n}.$$

(2) *If  $\alpha(G_n^i(I_{n+2})) \leq n_0$  for some  $1 \leq i \leq k_n$ , then*

$$\frac{|I_{n+2}|}{|I_{n+1}|} \leq C_4 \varepsilon_2^{n-n_0}.$$

**Proof.** (1) Let  $x = G_n(c)$  and  $m = k_n - 1$ , and let  $T_i, \Lambda, N$  be defined as above. Write  $n' = \alpha(G_n^{k_n-1}(c))$ . Note that  $\alpha(G_n(c)) = n$ . Then

$$\sum_{i=0}^{m-1} [\alpha(T_{i+1}) - \alpha(T_i)] = n' - n.$$

Thus

$$\begin{aligned}
N - r &= \sum_{i \in \Lambda} [\alpha(T_{i+1}) - \alpha(T_i)] \\
&= n' - n + \sum_{i \notin \Lambda} [\alpha(T_i) - \alpha(T_{i+1})] \\
&\geq n' - n + m - r,
\end{aligned}$$

which implies

$$N \geq n' - n + m. \quad (6)$$

Let  $J = G_n^{k_n}(I_{n+2})$ . Then

$$Cr(G_n(T_{m-1}), J) \leq Cr(I_{n'}, I_{n+1}) \leq 3\varepsilon_1^{n+1-n'}.$$

Applying the last part of the previous lemma, we obtain

$$Cr(T_0, G_n(I_{n+2})) \leq 3\varepsilon_1^N \varepsilon_1^{n+1-n'} \leq 3\varepsilon_1^{m+1} = 3\varepsilon_1^{k_n},$$

which implies this corollary.

(2) Let  $p < k_n$  be the largest integer for which  $\alpha(G^p(I_{n+2})) \leq n_0$ . Let  $\tilde{\Lambda} = \{p \leq i \leq k_n - 2 : i \in \Lambda\}$ , and let  $\tilde{N} = \sum_{i \in \tilde{\Lambda}} [\alpha(T_{i+1}) - \alpha(T_i) + 1]$ . Then we can show similarly

$$\tilde{N} \geq n' - n_0 + k_n - p \geq n' - n_0,$$

and

$$Cr(T_p, G_n^p(I_{n+2})) \leq \varepsilon_1^{\tilde{N}} Cr(I_{n'}, I_{n+1}) \leq \varepsilon_1^{n-n_0},$$

which implies the statement.  $\square$

## 7 Improved decay in general

Let  $I_{n+1} = K^0 \supset K^1 \supset \dots \supset K^{k_n} = I_{n+2}$  be the domains of  $G_n^j$  as in Section 3.

**Lemma 4.** *Assume*

$$K^i \ni K^{i+1} = K^{i+2} = \dots = K^{i+m} \ni K^{i+m+1}.$$

Then there exists  $C_5 = C_5(f) > 0$  and  $\rho_2 = \rho_2(\ell) \in (0, 1)$  such that (provided  $n$  is sufficiently large)

$$\frac{|K^{i+1}|}{|K^i|} \leq (1 - \rho_2^n / C_5)^m. \quad (7)$$

**Proof of Lemma 4.** By construction,  $G^{i+1}K^i$  contains the outermost domain of some interval  $I_j$ , with  $j \leq n$ , while  $G^{i+1}K^{i+1} \subset I_j$  is not contained in that outermost domain. By Proposition 4, this outermost domain is at least  $\rho_1^j / C_3 (\geq \rho_1^n / C_3)$  times as long as  $|I_j|$ . By Propositions 2 and 3, the map  $G^i|_{G_n K^i} = G_n^i|_{G_n K^i}$  can be written as  $\psi \circ H$  with

$$\text{Dist}(\psi) \leq \log C_3 \text{ and } H \in \mathcal{F}_{2n}^1.$$

By the left inequality of (5), this implies that

$$\frac{|G_n(K^i \setminus K^{i+1})|}{|G_n K^i|} \geq \rho^n / C,$$

for some  $\rho = \rho(\ell) \in (0, 1)$ . Since  $G_n|_{K^i}$  is a restriction of the first return map to  $I_n$ , it follows that

$$\frac{|K^{i+1}|}{|K^i|} \leq 1 - \rho^n / C.$$

for  $n$  large. Hence, at least provided  $\frac{\log m}{n}$  is not too large, i.e., bounded by a universal constant, (7) holds (taking  $\rho_2 > 0$  small). So we need to consider the case that  $\frac{\log m}{n}$  is large. Then  $K^{i+1} = \dots = K^{i+m}$ ,  $G_n^{i+2}K^{i+1}$  is contained in an outermost domain, and so one of the endpoints of  $G_n^{i+3}K^{i+1}$  is a boundary point of  $I_0$ . Using that  $K^{i+1} = \dots = K^{i+m}$ ,

$$\frac{|G_n^{i+3}K^{i+1}|}{|\hat{J}_0|} \leq C\lambda^m,$$

where  $\hat{J}_0$  is the outermost branch of  $I_0$ ,  $C = C(f)$ , and  $\lambda \in (0, 1)$  comes from the beginning of Section 4. The distortion control given by Proposition 3 gives

$$\frac{|G_n^{i+1}K^{i+1}|}{|T_{i+1}|} \leq C\lambda^{m/\ell^{2n}},$$



where  $T_{i+1}$  is the domain of  $G_n^2$  containing  $G_n^{i+1}K^{i+1}$ . Since  $|G_n^{i+1}(K^i \setminus K^{i+1})| \geq \rho_1^n |T_{i+1}|/C$ , it follows

$$\frac{|G_n^{i+1}K^{i+1}|}{|G_n^{i+1}K^i|} \leq C \frac{\lambda^{m/\ell^{2n}}}{\rho_1^n}.$$

Using the distortion control given by Proposition 2 or 3, and equation (5), we obtain

$$\frac{|G_n(K^{i+1})|}{|G_n(K^i)|} \leq C e^n \lambda^{m/\ell^{4n}} / \rho_1^{n/\ell^{2n}}.$$

Pulling back by the first return map  $G_n|K^i$ , we obtain

$$\frac{|K^{i+1}|}{|K^i|} \leq C e^{n/\ell} \lambda^{m/\ell^{4n+1}} / \rho_1^{n/\ell^{2n+1}},$$

which clearly implies (7) when  $\frac{\log m}{n} \gg 4 \log \ell$  and  $\rho_2 \ll \ell^{-4}$ .  $\square$

**Lemma 5.** *Let  $\lambda \in (0, 1)$  be as in the beginning of Section 4. Let  $m$  be so that  $I_{n+1} = K^0 = \dots = K^m \neq K^{m+1} \supset I_{n+2}$ . Assume  $m \geq 1$ . Then*

$$\frac{|I_{n+1}|}{|I_n|} \leq C_6 \lambda^{m/\ell^{n+1}},$$

where  $C_6 = C_6(f)$  is a constant.

**Proof of Lemma 5.** Note that  $G_n|I_{n+1}$  is a first return map to  $I_n$ , and so there exists a neighbourhood  $T \ni f(c)$  such that  $f^{t_n-1}: T \rightarrow I_{n-1}$  is a diffeomorphism and  $f^{-1}(T) \subset I_n$ . Therefore

$$\frac{|I_{n+1}|}{|I_n|} \leq \left( \frac{|G_n I_{n+1}|}{|I_{n-1}|} \right)^{1/\ell}.$$

If  $m \geq 1$ , then  $G_n(I_{n+1})$  is contained in an outermost branch  $J_n$  in  $I_n$ . Similarly as before

$$\frac{|G_n I_{n+1}|}{|J_n|} \leq C \lambda^{m/\ell^n} \text{ and so } \frac{|I_{n+1}|}{|I_n|} \leq C \lambda^{m/\ell^{n+1}}.$$

$\square$

**Lemma 6.** *There exists  $\varepsilon(\ell)$  so that if  $|I_{n+1}| \leq \varepsilon|I_n|$  for all  $n$  sufficiently large, then for all  $n$  sufficiently large,*

$$\frac{|I_{n+2}|}{|I_{n+1}|} \leq \frac{1}{(k_n + 1)^4}.$$

**Proof of Lemma 6.** Consider  $\alpha(G_n^i c)$  for  $1 \leq i < k_n$ . If all these are larger than  $n_0$  then by Corollary 3

$$\frac{|I_{n+2}|}{|I_{n+1}|} \leq C_4 \varepsilon_2^{k_n} < \frac{1}{(k_n + 1)^4}.$$

So assume that there exists  $1 \leq i < k_n$  such that  $\alpha(G_n^i c) \leq n_0$ . Then at least we have

$$\frac{|I_{n+2}|}{|I_{n+1}|} \leq C_4 \varepsilon_2^{(n-n_0)/\ell},$$

by the second statement of Corollary 3. This implies the lemma, unless  $k_n \geq \varepsilon_2^{-(n-n_0)/(4\ell)}/C_4$ . Let  $m$  as before be so that  $I_{n+1} = K^0 = K^1 = \dots = K^m \neq K^{m+1} \supset I_{n+2}$ . Then respectively by the previous lemma and by Lemma 4,

$$\frac{|I_{n+1}|}{|I_n|} \leq C \lambda^{m/\ell^{n+1}} \quad \text{and} \quad \frac{|I_{n+2}|}{|I_{n+1}|} \leq (1 - \rho_2^n / C_5)^{k_n - m}.$$

*Case 1.*  $m < k_n/2$ . According to the second inequality, we have

$$\frac{|I_{n+2}|}{|I_{n+1}|} \leq (1 - \rho_2^n / C_5)^{k_n/2} \leq \frac{1}{(k_n + 1)^4},$$

provided we choose  $\varepsilon(\ell)$  so small that for  $\varepsilon_2$  from Corollary 3,  $\varepsilon_2 < \rho_2^{4\ell}$  and we take  $n$  sufficiently large. Here we have used the assumption that  $k_n \geq \varepsilon_2^{-(n-n_0)/(4\ell)}/C_4$ .

*Case 2.*  $m \geq k_n/2$ . Then by the first inequality,

$$Cr(I_n, I_{n+1}) \asymp \frac{|I_{n+1}|}{|I_n|} \leq \lambda^{k_n/2\ell^{n+1}}.$$

By Lemma 1, there is an interval  $T \ni f(c)$  such that  $f^{-1}(T) \subset I_{n+1}$  and such that  $f^{t_{n+1}-1} : T \rightarrow I_n$  is a diffeomorphism, where  $t_{n+1}$  is the first return time

of  $c$  to  $I_{n+1}$ . Since also  $f^{t_{n+1}}(I_{n+2}) \subset I_{n+1}$ , we obtain

$$\begin{aligned} Cr(T, f(I_{n+2})) &\leq Cr(f^{t_{n+1}-1}(T), f^{t_{n+1}}(I_{n+2})) \\ &\leq Cr(I_n, I_{n+1}) \\ &\asymp \lambda^{k_n/2\ell^{n+1}}. \end{aligned}$$

Since  $f^{-1}(T) \subset I_{n+1}$ ,  $f(I_{n+1})$  contains a component of  $T \setminus f(I_{n+2})$ . Thus

$$\frac{|f(I_{n+2})|}{|f(I_{n+1})|} \leq Cr(T, f(I_{n+2})) \leq C\lambda^{k_n/2\ell^{n+1}}.$$

Finally, the non-flatness of the critical point gives

$$\frac{|I_{n+2}|}{|I_{n+1}|} \leq C\lambda^{k_n/2\ell^{n+2}} \leq \frac{1}{(k_n + 1)^4},$$

provided that  $\varepsilon_2 < \ell^{-4\ell}$  and  $n$  is sufficiently large.  $\square$

## 8 The measure for the induced map

In this section we prove the existence of an acip for the induced map  $G$ .

**Proof of Proposition 1.** We will use the result by Straube [24] claiming that  $G$  has an acip if (and only if) there exists some  $\eta \in (0, 1)$  and  $\delta > 0$  such that for every measurable set  $A$  of measure  $\text{Leb}(A) < \delta$  holds  $\text{Leb}(G^{-k}(A)) < \eta|I_0|$ .

The assumptions give that there exists a constant  $B$  with the following property: If  $J$  is any branch of  $G^k$  and  $G^k(J) = I_n$ , then

$$\frac{\text{Leb}(\{x \in J; G^k(x) \in I_{n+m}\})}{|J|} \leq B \frac{|I_{n+m}|}{|I_n|}. \quad (8)$$

This includes trivially the branch of  $G^0$ , that is the identity. Note that  $B$  is a distortion constant, and  $B \leq 2$  for  $\varepsilon \approx 0$  and  $n \geq n_0$ . So we can assume that  $B\sqrt{\varepsilon}/(1 - \sqrt{\varepsilon}) < 1/3$ . Moreover,  $|I_n| \leq \varepsilon^{n-m}|I_m|$  for all  $n \geq m \geq n_0$ .

**Lemma 7.** *If  $J$  is a branch of  $G^{k-1}$  such that  $G^{k-1}(J) = I_{n+1}$ , then*

$$\text{Leb}(\{x \in J; \alpha(G^k(x)) \geq n + 1\}) \leq \frac{1}{6}|J|, \quad (9)$$

*provided  $n \geq n_0$ .*

**Proof.** Let  $I_{n+1} = K^0 \supset K^1 \supset \dots \supset K^{k_n} = I_{n+2}$  be as in Section 3. For each  $0 \leq i \leq k_n - 1$  with  $K^i \neq K^{i+1}$ , there can be at most two branches of  $G$ , symmetric w.r.t. the critical point, which map onto  $I_{n+1}$ . We claim that each of these branches  $P$  lies deep inside  $K^i$  (if they exist). To see this, let  $s \in \mathbb{N}$  be such that  $G|_P = f^s|_P$ . Then by our construction,  $f^{s-1}$  maps an interval  $T \ni f(c)$  onto some interval  $I_j$  with  $j \leq n$ , and  $f^{-1}(T) = K_i$ . Since  $f^{s-1}(f(P)) = I_{n+1}$  lies deep inside  $I_j$ , it follows from the Koebe principle that  $f(P)$  lies deep inside  $T$ . The claim follows from the non-flatness of the critical point.

Let  $U_{n+1}$  be the union of those domains of  $G$  inside  $I_{n+1} \setminus I_{n+2}$  which are mapped onto  $I_{n+1}$  by  $G$ . Then it follows from the Koebe principle

$$\text{Leb}(\{x \in J : G^{k-1}(x) \in U_{n+1}\}) \leq \frac{1}{10}|J|.$$

It remains to consider branches of  $J'$  of  $G^k|_J$  for which  $G^k(J') = I_{n'}$  with  $n' \leq n$ . But using the remark before this lemma, we obtain an estimate for this part also, and thus we conclude the proof.  $\square$

Write  $y_{n,k} = \text{Leb}(\{x \in I_0; \alpha(G^k(x)) = n\})$ . Take  $C_0 > 6B/|I_{n_0}|$ . We will show by induction that  $y_{n,k} \leq C_0\sqrt{|I_n|}$  for all  $n, k \geq 0$ . For  $k = 0$ , this is obvious, and the choice of  $C_0$  assures that  $y_{n,k} \leq C_0\sqrt{|I_n|}$  for all  $n < n_0$ .

Now for the inductive step, assume that  $y_{n,k-1} \leq C_0\sqrt{|I_n|}$  for all  $n$ . Pick  $n$  such that (9) holds (i.e.,  $n \geq n_0 + 1$ ), and write  $y_{n',k}^{n'}$  for the measure of the set  $x$  such that  $\alpha(G^{k-1}x) = n'$  and  $\alpha(G^kx) = n$ .

Then by equations (8), (9) and induction,

$$\begin{aligned} y_{n,k} &= \sum_{n' < n_0} y_{n',k-1}^{n'} + \sum_{n_0 \leq n' < n} y_{n',k-1}^{n'} + y_{n,k-1}^n + \sum_{n' > n} y_{n',k-1}^{n'} \\ &\leq B \frac{|I_n|}{|I_{n_0}|} + \sum_{n' < n} C_0 B \frac{|I_n|}{|I_{n'}|} \sqrt{|I_{n'}|} + \frac{C_0}{6} \sqrt{|I_n|} + \sum_{n' > n} C_0 \sqrt{|I_{n'}|} \\ &\leq C_0 \sqrt{|I_n|} \left( \frac{1}{6} + \sum_{n' < n} B(\sqrt{\varepsilon})^{n-n'} + \frac{1}{6} + \sum_{n' > n} (\sqrt{\varepsilon})^{n'-n} \right) \\ &< \left( \frac{1}{6} + \frac{1}{3} + \frac{1}{6} + \frac{1}{3} \right) C_0 \sqrt{|I_n|} = C_0 \sqrt{|I_n|}. \end{aligned}$$

If an acip  $\nu$  exists, then it can be written as  $\nu(A) = \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{i=0}^{n-1} \text{Leb}(G^{-i}A)$ .

Therefore,

$$\nu(I_n) \leq C_0 \sqrt{|I_n|}. \quad (10)$$

Take  $\eta \in (0, 1)$ . Fix  $n_1$  such that  $\sum_{n \geq n_1} y_{n,k} < \eta/2$  for all  $k \geq 0$ . We need to show that we can choose  $\delta > 0$  so that if  $A \subset I_0$  is a set of measure  $\text{Leb}(A) < \delta$ , then  $\text{Leb}(G^{-k}(A)) < \eta$  for all  $k \geq 0$ . By the choice of  $n_1$ , it suffices to show that  $\text{Leb}(G^{-k}(A)) < \eta/2$ ,  $k \geq 0$ , for any  $A \subset I_0 \setminus I_{n_1}$ .

Assume that  $A \subset I_n \setminus I_{n+1}$  for some  $n < n_1$ . Proposition 2 shows that any onto branch  $G^k : J \rightarrow I_n$  can be written as  $\psi \circ \varphi$  with

$$\text{Dist}(\psi) \leq \log C_2 \text{ and } \varphi \in \mathcal{F}_{3(m-n+1)}^1,$$

where

$$m = \alpha(G^i J) > \alpha(G^{i+1} J) > \dots > \alpha(G^{k-1} J) > n$$

for some  $i < k$ . Clearly  $i \geq k - m + n - 1$ . For such a branch we have

$$\text{Leb}(G^{-k} A \cap J) \leq C_2 B \left( \frac{|A|}{|I_n|} \right)^{1/\ell^{3(m-n+1)}} |J|.$$

For fixed  $m$ , the total measure of the set of points arriving to  $I_n$  in this fashion is bounded by  $\sum_{i=k-m+n-1}^{k-1} y_{m,i} \leq (m-n+1) \cdot C_0 \cdot \sqrt{|I_m|}$ . Summing over all branches  $J$  (including the ones that do have extensions and hence distortion bounded by  $C_1$ ), and all  $m \geq n$ , we find

$$\text{Leb}(G^{-k} A) \leq C_1 \frac{|A|}{|I_n|} + \sum_{m \geq n} (m-n+1) C_0 \sqrt{|I_m|} C_2 B \left( \frac{|A|}{|I_n|} \right)^{1/\ell^{3(m-n+1)}}.$$

Thus  $\text{Leb}(G^{-k} A) \leq \eta/2n_1$  for any integer  $k$  and any  $A \subset I_n \setminus I_{n+1}$ ,  $n < n_1$ , with  $|A| \leq \delta$ , provided  $\delta$  is sufficiently small. It follows that if  $A \subset I_0 \setminus I_{n_1}$  has sufficiently small measure, then  $\text{Leb}(G^{-k} A) < n_1 \eta / (2n_1) = \eta/2$ . This concludes the verification of Straube's condition.  $\square$

## 9 Summability

We finish by proving Theorem 3. This theorem follows immediately from the next lemma.

**Lemma 8.** *The partial sum  $\sum_{J_j \subset I_{n+1} \setminus I_{n+2}} s_j \nu(J_j)$  is exponentially small in  $n$ .*

**Proof of Lemma 8.** Let  $I_{n+1} = K^0 \supset \cdots \supset K^{k_n} = I_{n+2}$  be as in Section 3, and let  $m \geq 0$  be minimal such that  $K^m \supsetneq K^{m+1}$ . Let us first comment on the induce times  $s_j$ . If  $J_j \subset K^i \setminus K^{i+1}$ , then  $G|_{J_j}$  corresponds to at most  $(i+2)$  iterates of  $G_n$ , and thus  $s_j \leq (i+2)t_0(k_0+1) \cdots (k_{n-1}+1)$  according to (4). For  $J_j \subset K^m \setminus K^{m+1}$ , we need a better estimate than (4). Note that if  $m \geq 2$ , then  $G_n^p(J_j)$  is contained in one of the outermost branches in  $I_0$  for all  $2 \leq p \leq m-1$ , where iterates of  $G$  corresponds to  $f^2$ , and thus we have in this case that

$$s_j \leq 2t_0(k_0+1) \cdots (k_{n-1}+1) + 2m. \quad (11)$$

By Lemma 6, we have

$$\sqrt{|I_{n+1}|}(k_0+1)(k_1+1) \cdots (k_{n-1}+1) \leq C|I_{n+1}|^{1/4} \leq C\varepsilon^{n/4}. \quad (12)$$

A direct computation shows that  $m\lambda^{m/\ell^n}/R^n \leq C = C(\lambda)$  for  $\lambda \in (0, 1)$  and all  $m, n \geq 0$ , provided  $R > \ell$ . So, by Lemma 5, we have

$$m|I_{n+1}|^{1/2} \leq Cm\lambda^{m/2\ell^{n+1}}|I_n|^{1/2} \leq C\ell^{2n}|I_n|^{1/2} \leq C(\sqrt{\varepsilon}\ell^2)^n. \quad (13)$$

**Sum over outermost branches:** Note that if  $J_i$  is an outermost branch in  $I_{n+1}$ , then  $s_i \leq 2t_0(k_0+1) \cdots (k_{n-1}+1) + 2m$  by (11). Using also the obvious estimate  $\nu(J_i) \leq \nu(I_{n+1}) \leq C_0\sqrt{|I_{n+1}|}$ , we obtain

$$\begin{aligned} s_i \nu(J_i) &\leq 4C_0(t_0(k_0+1) \cdots (k_{n-1}+1) + m)\sqrt{|I_{n+1}|} \\ &\leq C(\varepsilon^{n/4} + (\sqrt{\varepsilon}\ell^2)^n) \end{aligned}$$

according to (12) and (13). Since there are only two outermost branches, the term over these branches is exponentially small in  $n$  (provided that  $\varepsilon$  is sufficiently small).

**Sum over all other branches:** Note that if  $A$  is a subset of a component of  $I_{n+1} \setminus I_{n+2}$ , and the distance  $d(A, \partial I_{n+1}) \geq \delta \cdot \text{diam}(A)$ , then the Koebe distortion lemma gives that for every  $i \geq 0$  and every onto branch  $G^i : J \rightarrow I_{n+1}$ , we have

$$\frac{\text{Leb}(G^{-i}(A) \cap J)}{|J|} \leq K(\delta) \frac{\text{Leb}(A)}{|I_{n+1}|},$$

where  $K(\delta) = 2(1 + \delta)^2/\delta^2$ . Hence

$$\begin{aligned} \text{Leb}(G^{-i}A) &\leq \sum_{G^i J = I_{n+1}} K(\delta) \frac{\text{Leb}(A)}{|I_{n+1}|} |J| \\ &\quad + \sum_{G^i J \supsetneq I_{n+1}} K(1/\varepsilon) \frac{\text{Leb}(A)}{|I_{n+1}|} \text{Leb}(G^{-i}(I_{n+1}) \cap J), \end{aligned}$$

so that  $\text{Leb}(G^{-i}A)/\text{Leb}(G^{-i}I_{n+1}) \leq K(\delta)\text{Leb}(A)/|I_{n+1}|$ . In particular, this implies that

$$\nu(A) \leq K(\delta)\nu(I_{n+1}) \frac{\text{Leb}(A)}{|I_{n+1}|}.$$

By Proposition 4, the length of each of the outermost branches is at least  $\rho_1^n/C_3$ , and thus for any other branch  $J_j \subset I_{n+1} \setminus I_{n+2}$ ,

$$d(J_j, \partial I_{n+1}) \geq \rho_1^n |J_j|/C_3,$$

which implies

$$\frac{\nu(J_j)}{|J_j|} \leq \frac{C}{\rho_1^{2n}} \frac{\nu(I_{n+1})}{|I_{n+1}|} \leq \frac{C}{\rho_1^{2n}} \frac{C_0}{\sqrt{|I_{n+1}|}}.$$

Therefore the sum of  $s_j \nu(J_j)$  over all branches other than the outermost ones is bounded from above by the following

$$\frac{C}{\rho_1^{2n}} \frac{C_0}{\sqrt{|I_{n+1}|}} \sum_{J_j} s_j |J_j| = \frac{C}{\rho_1^{2n}} \frac{C_0}{\sqrt{|I_{n+1}|}} \left( \sum_{J_j \subset K^m \setminus K^{m+1}} + \sum_{J_j \subset K^{m+1} \setminus I_{n+2}} s_j |J_j| \right)$$

(note that  $K^m = I_{n+1}$ ). Let us first estimate the first part of this sum. Using (11), Corollary 3, Lemma 5 and (13), we obtain

$$\begin{aligned} &\frac{1}{\rho_1^{2n} \sqrt{|I_{n+1}|}} \sum_{J_j \subset K^m \setminus K^{m+1}} s_j |J_j| \leq \\ &\leq \frac{2}{\rho_1^{2n}} (t_0(k_0 + 1) \cdots (k_{n-1} + 1) + m) \frac{|K^m \setminus K^{m+1}|}{\sqrt{|I_{n+1}|}} \\ &\leq \frac{2}{\rho_1^{2n}} (t_0(k_0 + 1) \cdots (k_{n-1} + 1) + m) \sqrt{|I_{n+1}|} \\ &\leq \frac{2}{\rho_1^{2n}} (t_0(k_0 + 1) \cdots (k_{n-1} + 1)) C_4^n \varepsilon_2^{k_0 + \cdots + k_{n-1}} C_6 \lambda^{m/\ell^{n+1}} + \\ &\quad + 2C \left( \frac{\sqrt{\varepsilon} \ell^2}{\rho_1^2} \right)^n, \end{aligned}$$

is exponentially small provided that  $\varepsilon$  is sufficiently small.

For each domain  $J_j \subset K^i \setminus K^{i+1}$  with  $i \geq m+1$ , we have

$$s_j \leq (i+2)t_0(k_0+1) \cdots (k_{n-1}+1) \leq C(i+2) \left( \frac{1}{|I_{n+1}|} \right)^{1/4}.$$

Therefore,

$$\begin{aligned} \frac{1}{\sqrt{|I_{n+1}|}} \sum_{J_j \subset K^{m+1} \setminus I_{n+2}} s_j |J_j| &= \frac{1}{\sqrt{|I_{n+1}|}} \sum_{i=m+1}^{k_n-1} \sum_{J_j \subset K^i \setminus K^{i+1}} s_j |J_j| \\ &\leq \frac{C}{|I_{n+1}|^{3/4}} \sum_{i=m+1}^{k_n-1} (i+2) |K^i| \\ &= C \cdot |I_{n+1}|^{1/4} \sum_{i=m+1}^{k_n-1} (i+2) \frac{|K^i|}{|I_{n+1}|}. \end{aligned}$$

By Lemma 4 (applied repeatedly),

$$\frac{|K^i|}{|I_{n+1}|} = \frac{|K^i|}{|K^m|} \leq \left(1 - \frac{\rho_2^n}{C_5}\right)^{i-m},$$

which implies

$$\begin{aligned} \sum_{i=m+1}^{k_n-1} (i+2) \frac{|K^i|}{|I_{n+1}|} &\leq \sum_{i>m} (i+2) \left(1 - \frac{\rho_2^n}{C_5}\right)^{i-m} \\ &\leq (m+2) \frac{C_5}{\rho_2^n} + \left(\frac{C_5}{\rho_2^n}\right)^2 \\ &\leq 2C(m+2) \frac{1}{\rho_2^{2n}}. \end{aligned}$$

Thus, using again Lemma 5,

$$\begin{aligned} \frac{1}{\sqrt{|I_{n+1}|}} \sum_{J_j \subset K^{m+1} \setminus I_{n+2}} s_j |J_j| &\leq C |I_{n+1}|^{1/4} (m+2) \frac{1}{\rho_2^{2n}} \\ &\leq C \left(\frac{\varepsilon^{1/4}}{\rho_2}\right)^n C_6 (m+2) \lambda^{m/\ell^{n+1}}, \end{aligned}$$



and so

$$\frac{1}{\rho_1^{2n}} \frac{1}{\sqrt{|I_{n+1}|}} \sum_{J_i \subset K^{m+1} \setminus I_{n+2}} s_i |J_i| \leq C(m+2) \lambda^{m/\ell^{n+1}} \left(\frac{\varepsilon}{\rho_1^8 \rho_2^8}\right)^{n/4},$$

which is again exponentially small in  $n$  provided that  $\varepsilon$  is sufficiently small. This completes the proof.  $\square$

## References

- [1] R. Bowen, *Invariant measures for Markov maps of the interval*, Commun. Math. Phys. **69** (1979) 1–17
- [2] H. Bruin, *Topological conditions for the existence of invariant measures for unimodal maps*, Ergod. Th. & Dynam. Sys. **14** (1994) 433–451.
- [3] H. Bruin, *Topological conditions for the existence of Cantor attractors*, Trans. Amer. Math. Soc. **350** (1998) 2229–2263.
- [4] H. Bruin, G. Keller, T. Nowicki, S. van Strien, *Wild Cantor attractors exist*, Annals of Math. **143** (1996) 97–130.
- [5] P. Collet and J.-P. Eckmann, *Positive Liapunov exponents and absolute continuity for maps of the interval*, Ergod. Th. & Dyn. Sys. **3** (1983) 13–46
- [6] J. Graczyk, G. Świątek, *Induced expansion for quadratic polynomials*, Ann. Sci. Éc. Norm. Sup. **29** (1996) 399–482.
- [7] J. Graczyk, D. Sands, G. Świątek, *Decay of geometry for unimodal maps: Negative Schwarzian case*, Preprint (2000).
- [8] M.V. Jakobson, *Absolutely continuous invariant measures for one-parameter families of one-dimensional maps*, Commun. Math. Phys. **81** (1981) 39–88
- [9] M. Jakobson, G. Świątek, *Metric properties of non-renormalizable S-unimodal maps. I. Induced expansion and invariant measures*, Ergod. Th. & Dynam. Sys. **14** (1994) 721–755.

- [10] S. Johnson, *Singular measures without restrictive intervals*, Commun. Math. Phys. **110** (1987) 185–190.
- [11] G. Keller, T. Nowicki, *Fibonacci maps re(al)visited*, Ergod. Th. & Dyn. Sys. **15** (1995) 99–120.
- [12] M. Lyubich, *Combinatorics, geometry and attractors of quasi-quadratic maps*, Ann. of Math. **140** (1994) 347–404 and *Erratum* Manuscript (2000).
- [13] M. Lyubich, J. Milnor, *The Fibonacci unimodal map*, J. Amer. Math. Soc. **6** (1993) 425–457.
- [14] R. Mañé, *Hyperbolicity, sinks and measure in one-dimensional dynamics*, Comm. Math. Phys. **100** (1985), no. 4, 495–524.
- [15] M. Martens, *Interval dynamics*, Ph.D. Thesis, Delft (1990).
- [16] M. Martens, *Distortion results and invariant Cantor sets of unimodal maps*, Ergod. Th. & Dynam. Sys. **14** (1994) 331–349.
- [17] M. Martens, T. Nowicki, *Invariant measures for typical quadratic maps*, Géométrie complexe et systèmes dynamiques (Orsay, 1995). Astérisque **261** (2000) 239–252.
- [18] W. de Melo, S. van Strien, *One-dimensional dynamics*, Springer (1993).
- [19] M. Misiurewicz, *Absolutely continuous measures for certain maps of an interval*, Publ. Math. I.H.E.S. **53** (1981) 17–51
- [20] T. Nowicki, *A positive Liapunov exponent for the critical value of an S-unimodal mapping implies uniform hyperbolicity*, Ergod. Th. & Dynam. Sys. **8** (1988) 425–435
- [21] T. Nowicki, S. van Strien, *Invariant measures exist under a summability condition*, Invent. Math. **105** (1991) 123–136.
- [22] G. Pianigiani, *Absolutely continuous invariant measures on the interval for the process  $x_{n+1} = Ax_n(1 - x_n)$* , Boll. Un. Mat. Ital. **16** (1979) 364–378

- [23] W. Shen, *Decay geometry for unimodal maps: an elementary proof*, Preprint Warwick (2002).
- [24] E. Straube, *On the existence of invariant absolutely continuous measures*, Commun. Math. Phys. **81** (1981) 27–30.

Department of Mathematics  
University of Groningen  
P.O. Box 800, 9700 AV Groningen  
The Netherlands  
bruin@math.rug.nl  
<http://www.math.rug.nl/~bruin>

Department of Mathematics  
University of Warwick  
Coventry CV4 7AL  
UK  
wxshen@maths.warwick.ac.uk  
<http://www.maths.warwick.ac.uk/~wxshen>

Department of Mathematics  
University of Warwick  
Coventry CV4 7AL  
UK  
strien@maths.warwick.ac.uk  
<http://www.maths.warwick.ac.uk/~strien>