

From Matrix Models to the theory of fundamental interactions

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Motivation and background

- aim: quantum theory of fundamental interactions incl. gravity
- present state:
working “standard models” (el.part., cosmology)
big mysteries: dark matter, dark energy;
- theoretical/conceptual challenges (hard): reconcile quantum mechanics & gravity
classical geometry breaks down at Planck scale
“naturalness” problems (separation of scales): Planck scale vs. electroweak scale, cosm. constant

... more radical approach needed?!

matrix models: simple yet far-reaching, pre-geometric

quantized geometry?

combine quantum mechanics & general relativity:

- superposition of massive objects \rightarrow superposition of geometries

- measure short length $\Delta x \leq L_{\text{Planck}}$:

$$\Delta x \Delta E \geq \hbar \quad \& \quad \Delta x \geq R_{\text{Schwarzschild}} \sim \frac{M}{L_{\text{Planck}}}$$

$$\Rightarrow (\Delta x)^2 \geq L_{\text{Planck}}^2$$

- more rigorous: Fredenhagen Doplicher Roberts 1994

\Rightarrow space-time must be **quantized** in some way

(cf. string thy, LQG)

Matrix Models as fundamental theory

1996: BFSS model, IKTT model proposed as
non-perturbative definition of M-theory / IIB string theory

focus on IKKT:

Ishibashi, Kawai, Kitazawa, Tsuchiya 1996

$$S[X, \Psi] = \text{Tr} \left([X^a, X^b][X^{a'}, X^{b'}] \eta_{aa'} \eta_{bb'} + \bar{\Psi} \Gamma_a [X^a, \Psi] \right)$$

$$X^a = X^{a\dagger} \in \text{Mat}(N, \mathbb{C}), \quad a = 0, \dots, 9$$

$$\eta_{ab} \dots \text{SO}(9, 1), \quad \Gamma_a \dots \text{Clifford alg.}$$

$$\Psi \in \text{Mat}(N, \mathbb{C}) \otimes \mathbb{C}^{32} \dots \text{Majorana-Weyl spinor}$$

gauge symmetry $X^a \rightarrow U^{-1} X^a U$, $ISO(9, 1)$, SUSY

- { 1) nonpert. def. of IIB string theory (on \mathbb{R}^{10}) (IKKT)
- { 2) $\mathcal{N} = 4$ SUSY Yang-Mills gauge thy. on “noncommutative” \mathbb{R}_θ^4

dynamical NC branes $\mathcal{M} \subset \mathbb{R}^{10}$, relation w/ string theory

→ brane-world scenarios (→ 4D gravity ? H.S. 2007 ff)

quantization is understood:

$$Z = \int dX^a d\Psi e^{-S[X]-S[\Psi]}$$

$$\langle \text{Tr}([X, X] \dots) \text{Tr}(\dots) \rangle = \frac{1}{Z} \int dX^a d\Psi \text{Tr}([X, X] \dots) \text{Tr}(\dots) e^{-S[X]-S[\Psi]}$$

...suitable for non-perturbative approach

- integral exists (in Euclidean setting) [Krauth, Staudacher 1998](#)
- proposal for regularization in Minkowski setting
"Monte-Carlo" studies: [Nishimura et al 2012 arXiv:1108.1540 \[hep-th\]](#)
hints for "expanding universe" behavior, 3+1 dimensions
- simplified models:
eigenvalue distribution \leftrightarrow (mass) renormalization, phase trans.
[H.S. hep-th/0501174](#), [A. Polychronakos arXiv:1306.6645 \[hep-th\]](#) etc.
RG analysis ([Grosse-Wulkenhaar](#)), multiscale analysis ([Rivasseau](#),
[Vignes-Tourneret](#), [Gurau](#), ...), ...

Here: perturbative approach:

- choose background solution (e.g. \mathbb{R}_θ^4), study fluctuations
look for “effective action” (=generating function)
in suitable (semi-classical?) limit
- expansion around \mathbb{R}_θ^4 :
noncommutative gauge theory, Filk rules, (non-)planar diagrams
(\rightarrow **combinatorics** ...!)
- almost all models (probably) pathological (UV/IR mixing)
- ONE model expected to be well-behaved (perturbatively finite):
 $\mathcal{N} = 4$ NC SYM on $\mathbb{R}_\theta^4 \Leftrightarrow$ (IKKT) model, in 9+1 dimensions
- includes integral over geometries !!

basic solutions: branes

e.o.m.: $\delta S = 0 \Rightarrow [X_a, [X^a, X^b]] = 0, \quad \not{D}\Psi \equiv \Gamma^a [X_a, \Psi] = 0$

basic solutions: (allow $N \rightarrow \infty$)

- flat “branes” \mathbb{R}_θ^{2n} embedded in \mathbb{R}^{10}

$$X^a = \begin{pmatrix} X^\mu \\ 0 \end{pmatrix}, \quad \mu = 1, \dots, 2n$$

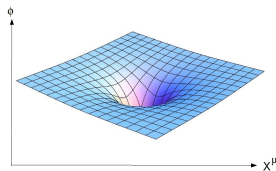
$$[X^\mu, X^\nu] = i\theta^{\mu\nu} \mathbf{1}$$

“Moyal-Weyl quantum plane”

- generic (curved) branes \mathcal{M}^{2n}

$$X^a \sim x^a : \mathcal{M}^{2n} \hookrightarrow \mathbb{R}^{10}$$

... quantized embedding map
 $(\mathcal{M}^{2n}, \omega)$... symplectic manifold

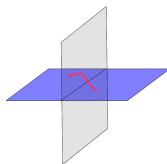


non-commutative, $\omega = \frac{1}{2}\theta^{-1}_{\mu\nu}(x)dx^\mu dx^\nu = B$ -field

stacks of branes in M.M.

- assume $X_{(i)}^a$... solutions of e.o.m.

$$\rightarrow \text{new solution: } X^a = \begin{pmatrix} X_{(1)}^a & 0 \\ 0 & X_{(2)}^a \end{pmatrix}$$



- stacks of n_1 & n_2 coincident branes $X^a = \begin{pmatrix} X_{(1)}^a \mathbf{1}_{n_1} & 0 \\ 0 & X_{(2)}^a \mathbf{1}_{n_2} \end{pmatrix}$

breaks $U(N)$ to $U(n_1) \times U(n_2)$

- fermions may connect different branes

$$\Psi = \begin{pmatrix} 0 & \psi_{(12)} \\ \psi_{(21)} & 0 \end{pmatrix},$$

$\psi_{(12)}$ transforms in bifundamental $(n_1) \otimes (\bar{n}_2)$

branes as quantized Poisson (symplectic) manifolds

$(\mathcal{M}, \theta^{\mu\nu}(x))$... $2n$ -dimensional manifold with Poisson structure

Its **quantization** \mathcal{M}_θ is NC algebra such that

$$\mathcal{Q} : \mathcal{C}(\mathcal{M}) \rightarrow \mathcal{A} \subset \mathcal{L}(\mathcal{H})$$

such that

$$\mathcal{Q}(f) \mathcal{Q}(g) = \mathcal{Q}(fg) + \mathcal{O}(\theta)$$

$$[\mathcal{Q}(f), \mathcal{Q}(g)] = \mathcal{Q}(i\{f, g\}) + \mathcal{O}(\theta^2)$$

(“nice“) $\phi \in \text{Mat}(\infty, \mathbb{C}) \leftrightarrow$ quantized function on \mathcal{M}

furthermore:

$$(2\pi)^n \text{Tr} \mathcal{Q}(\phi) \sim \int \omega^n \phi(x)$$

Example: the fuzzy sphere S_N^2

classical S^2 :

$$\left. \begin{aligned} x^a : S^2 &\hookrightarrow \mathbb{R}^3 \\ x^a x^a &= 1 \end{aligned} \right\} \Rightarrow \mathcal{A} = C^\infty(S^2)$$

fuzzy sphere S_N^2 : (Madore, Hoppe)

algebra $\mathcal{A} = \text{Mat}(N, \mathbb{C})$... alg. of functions on S_N^2

$SO(3)$ action:

$$\begin{aligned} \mathfrak{su}(2) \times \mathcal{A} &\rightarrow \mathcal{A} \\ (J^a, \phi) &\mapsto [J^a, \phi] \end{aligned}$$

decompose $\mathcal{A} = \text{Mat}(N, \mathbb{C})$ into irreps of $SO(3)$:

$$\begin{aligned} \mathcal{A} = \text{Mat}(N, \mathbb{C}) &\cong (N) \otimes (\bar{N}) = (1) \oplus (3) \oplus \dots \oplus (2N-1) \\ &= \{\hat{Y}_0^0\} \oplus \{\hat{Y}_m^1\} \oplus \dots \oplus \{\hat{Y}_m^{N-1}\}. \end{aligned}$$

... fuzzy spherical harmonics; UV cutoff

quantization map:

$$Q: \mathcal{C}(S^2) \rightarrow \mathcal{A} = \text{Mat}(N, \mathbb{C})$$

$$Y_m^I \mapsto \begin{cases} \hat{Y}_m^I, & I < N \\ 0, & I \geq N \end{cases}$$

in particular $X^a := Q(x^a) = \frac{1}{\sqrt{C_N}} J^a$... N -dim irrep of $\mathfrak{su}(2)$ on \mathbb{C}^N
satisfies

$$Q(fg) = Q(f)Q(g) + O\left(\frac{1}{N}\right),$$

$$Q(i\{f, g\}) = [Q(f), Q(g)] + O\left(\frac{1}{N^2}\right)$$

Poisson structure $\{x^a, x^b\} = \frac{2}{N} \varepsilon^{abc} x^c$

$$\boxed{\begin{aligned} [X^a, X^b] &= \frac{i}{\sqrt{C_N}} \varepsilon^{abc} X^c, & C_N &= \frac{1}{4}(N^2 - 1) \\ X^a X^a &= \mathbf{1}, \end{aligned}}$$

S_N^2 ... quantization of $(S^2, N\omega_0)$, $\omega_0 = \varepsilon^{abc} x^a dx^b dx^c$

metric structure on branes:

metric encoded in NC Laplace operator

$$\begin{aligned} \square : \mathcal{A} &\rightarrow \mathcal{A}, \\ \square \phi &= [X^a, [X^b, \phi]] \delta_{ab} = \frac{1}{C_N} J^a J^a \phi \end{aligned}$$

$SO(3)$ invariant \Rightarrow

$$\square \hat{Y}_m^I = \frac{1}{C_N} I(I+1) \hat{Y}_m^I$$

spectrum identical with classical case $\Delta_g \phi = \frac{1}{\sqrt{|g|}} \partial_\mu (\sqrt{|g|} g^{\mu\nu} \partial_\nu \phi)$

\Rightarrow effective metric of $\square =$ round metric on S^2

generic branes = quantized symplectic (immersed) submanifolds in \mathbb{R}^{10} :

- ① take some nice manifold $x^a : \mathcal{M}^{2n} \hookrightarrow \mathbb{R}^{10}$

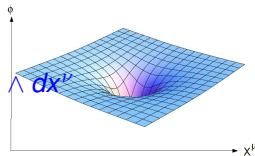
induced metric $g_{\mu\nu}$ on \mathcal{M}

- ② equip \mathcal{M} with symplectic form $\omega = \theta_{\mu\nu}^{-1} dx^\mu \wedge dx^\nu$

→ **quantization** of (\mathcal{M}, ω) :

$$Q : \mathcal{C}(\mathcal{M}) \rightarrow \mathcal{A} \cong \text{Mat}(\infty, \mathbb{C})$$

in particular: $X^a := Q(x^a) \sim x^a$



→ "noncommutative brane", matrix geometry

... class of NC spaces under consideration.

- ③ → effective metric $G^{\mu\nu}$, encoded in **matrix Laplacian**

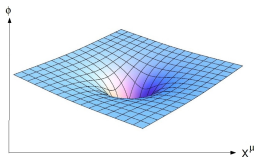
$$\square = [X^a, [X^b, \cdot]] \delta_{ab} \sim -\{x^a, \{x^b, \cdot\}\} \delta_{ab}$$

$g_{\mu\nu} \sim G_{\mu\nu}$ if \mathcal{M} almost-Kähler, $g_{\mu\nu} \neq G_{\mu\nu}$ for Minkowski sign.

extracting the **geometry** of matrices:

(H.S. Nucl.Phys. B810 (2009))

$$X^a \sim x^a : \mathcal{M} \hookrightarrow \mathbb{R}^{10}$$



Lemma: assume $\dim \mathcal{M} > 2$. Then

$$\square f(X) \sim -\eta_{ab} \{x^a, \{x^b, f(x)\}\} = -e^\sigma \square_G f(x)$$

... Matrix Laplace- operator, effective metric

$$G^{\mu\nu}(x) = e^{-\sigma} \theta^{\mu\mu'}(x) \theta^{\nu\nu'}(x) g_{\mu'\nu'}(x) \quad \text{effective metric (cf. open string m.)}$$

$$g_{\mu\nu}(x) = \partial_\mu x^a \partial_\nu x^b \eta_{ab} \quad \text{induced metric on } \mathcal{M}_\theta^4 \text{ (cf. closed string m.)}$$

$$e^{-2\sigma} = \frac{|\theta_{\mu\nu}^{-1}|}{|g_{\mu\nu}|}$$

follows by coupling to scalar field φ :

$$S[\varphi] = \text{Tr} [X^a, \varphi] [X^b, \varphi] g_{ab}$$

$$\sim \int d^{2n}x \sqrt{|G|} G^{\mu\nu}(x) \partial_\mu \varphi \partial_\nu \varphi = \int d\varphi \wedge \star_G d\varphi$$

fluctuations on branes \rightarrow noncommutative gauge fields

the Moyal-Weyl quantum plane \mathbb{R}_θ^4 :

$$[\bar{X}^\mu, \bar{X}^\nu] = i\theta^{\mu\nu} \mathbf{1}, \quad \mu, \nu = 1, \dots, 4$$

... Heisenberg algebra, interpreted as **space of functions on \mathbb{R}_θ^4**
 uncertainty relations $\Delta\bar{X}^\mu \Delta\bar{X}^\nu \geq |\theta^{\mu\nu}|$

relation with classical \mathbb{R}^4 : Weyl quantization

$$\mathcal{Q}: L^2(\mathbb{R}^4) \xrightarrow{\cong} HS(\mathcal{H})$$

$$\phi(x) = \int d^4k e^{ik_\mu x^\mu} \hat{\phi}(k) \leftrightarrow \int d^4k e^{ik_\mu \bar{X}^\mu} \hat{\phi}(k) =: \Phi(\bar{X})$$

note:

$\bar{X}^\mu \in Mat(\infty, \mathbb{C})$... quantized coordinate functions on \mathbb{R}_θ^4
 $\Phi(\bar{X}^\mu) \in Mat(\infty, \mathbb{C})$... general function on \mathbb{R}_θ^4

$$(2\pi)^2 Tr(\mathcal{Q}(f)) = \int \omega^2 f \quad \omega = \frac{1}{2} \theta_{\mu\nu}^{-1} dx^\mu dx^\nu$$

claim

M.M. fluctuations on a stack of n coincident \mathbb{R}_θ^4 branes

→ **noncommutative** $U(n)$ $\mathcal{N} = 4$ super-Yang-Mills on \mathbb{R}_θ^4

sketch:

- background solution: stack of n coinciding \mathbb{R}_θ^4 branes

$$X^a = \begin{pmatrix} X^\mu \\ \phi^j \end{pmatrix} = \begin{pmatrix} \bar{X}^\mu \otimes \mathbf{1}_n \\ 0 \end{pmatrix}, \quad \begin{array}{l} \mu = 0, \dots, 3 \\ j = 4, 5, \dots, 9 \end{array}$$

$[\bar{X}^\mu, \bar{X}^\nu] = i\theta^{\mu\nu} \dots$ Heisenberg algebra, generate $\mathcal{A}_\theta \approx \text{End}(\mathcal{H})$

- add **fluctuations**:

$$X^a = \begin{pmatrix} \bar{X}^\mu \otimes \mathbf{1}_n + \theta^{\mu\nu} A_\nu \\ \phi^j \end{pmatrix} \in \mathcal{A}_\theta \otimes \text{Mat}(n, \mathbb{C})$$

$$A_\mu = A_\mu(\bar{X}) = A_{\mu,\alpha}(\bar{X})\lambda_\alpha \in \text{End}(\mathcal{H}^n) \cong \mathcal{A}_\theta \otimes \text{Mat}(n, \mathbb{C})$$

formally $A_\mu = \int d^4k e^{ik_\mu \bar{X}^\mu} A_{\mu,\alpha}(k)\lambda_\alpha, \quad \lambda_\alpha \in \mathfrak{su}(n)$

$$\phi = \int d^4k e^{ik_\mu \bar{X}^\mu} \phi_\alpha(k)\lambda_\alpha$$

define derivatives as inner derivations:

$$[\bar{X}^\mu, \phi(X)] =: i\theta^{\mu\nu} \partial_\nu \phi(X), \quad [\partial_\mu, \partial_\nu] = 0$$

thus

$$\begin{aligned} [X^\mu, \phi(X)] &= i\theta^{\mu\nu} D_\nu \phi(X), & D_\mu &= \partial_\mu + i[A_\mu, \cdot] \\ [X^\mu, X^\nu] &= i\theta^{\mu\nu} + i\theta^{\mu\mu'} \theta^{\nu\nu'} (\partial_{\mu'} A_{\nu'} - \partial_{\nu'} A_{\mu'} + [A_{\mu'}, A_{\nu'}]) \\ &= i\theta^{\mu\nu} + i\theta^{\mu\mu'} \theta^{\nu\nu'} F_{\mu'\nu'} \end{aligned}$$

$F_{\mu'\nu'}$... Yang-Mills field strength

$S = \text{Tr}([X^a, X^b][X_a, X_b])$ is gauge-invariant: $X^a \rightarrow U^{-1} X^a U$

→ tangential fluctuations $X^\mu = \bar{X}^\mu + \theta^{\mu\nu} A_\nu$ transform as
 $A_\mu \rightarrow U^{-1} A_\mu U + iU^{-1} \partial_\mu U$...u(n) gauge fields!

→ transversal fluctuations $\phi^i \rightarrow U^{-1} \phi^i U$...u(n) scalar fields!

$\mathcal{N} = 4$ Super-Yang-Mills

\Rightarrow effective action on \mathbb{R}_θ^4 :

$$\begin{aligned}
 S &= \Lambda_0^4 \text{Tr} \left([X^a, X^b][X_a, X_b] + \bar{\Psi} \Gamma_a [X^a, \Psi] \right) \\
 &= \int d^4x \sqrt{G} \text{tr}_n \left(\frac{1}{4g^2} (\mathcal{F}\mathcal{F})_G + \frac{1}{2} G^{\mu\nu} D_\mu \Phi^i D_\nu \Phi_i - \frac{1}{4} g^2 [\Phi^i, \Phi^j][\Phi_i, \Phi_j] \right. \\
 &\quad \left. + \bar{\psi} \tilde{\gamma}^\mu (i\partial_\mu + [\mathcal{A}_\mu, \cdot]) \psi + g \bar{\psi} \Gamma^i [\Phi_i, \psi] \right)
 \end{aligned}$$

where

$$\begin{aligned}
 G^{\mu\nu} &= \rho \theta^{\mu\nu'} \theta^{\nu\nu'} g_{\mu'\nu'}, & \rho &= \sqrt{|\theta^{-1}|} \\
 \tilde{\gamma}^\mu &= \rho^{1/2} \theta^{\nu\mu} \gamma_\nu, \\
 \frac{1}{4g^2} &= \frac{\Lambda_0^4}{(2\pi)^2} \rho^{-1}
 \end{aligned}$$

IKKT on stack of branes $\rightarrow U(n)$ $\mathcal{N} = 4$ SYM coupled to $G^{\mu\nu}$

better: $U(1)_{\text{tr}}$ \rightarrow dynamical $G^{\mu\nu}$, $SU(n)$ SYM coupled to $G^{\mu\nu}(x)$

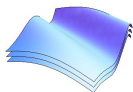
H.S., JHEP 0712:049 (2007), JHEP 0902:044,(2009)

analogous for finite matrix geometries, $\mathcal{A} = \text{Mat}(N, \mathbb{C})$

stack of coincident **curved** branes \rightarrow $su(n)$ gauge th

generic background branes

$$X^a = \begin{pmatrix} \bar{X}^\mu \otimes \mathbf{1}_n \\ \bar{\phi}^j \otimes \mathbf{1}_n \end{pmatrix}$$



general CR $[\bar{X}^\mu, \bar{X}^\nu] = i\theta^{\mu\nu}(\bar{X})$

fluctuations:

$$X^a = \begin{pmatrix} \bar{X}^\mu \otimes \mathbf{1}_N + \mathcal{A}^\mu \\ \bar{\phi}^j \otimes \mathbf{1}_N + \Phi^j \end{pmatrix}$$

$\mathcal{A}^\mu, \Phi^j \sim \mathbf{1}_n$ d.o.f. change background \bar{X}^a , **geometrical** d.o.f. $\theta^{\mu\nu}, g_{\mu\nu}$

write $\mathcal{A}^\mu = \theta^{\mu\nu} A_\nu$, note $[\bar{X}^\mu, f] \sim i\theta^{\mu\nu} \partial_\nu f$

$$\begin{aligned} [X^\mu, X^\nu] &= i\theta^{\mu\nu} + i\theta^{\mu\mu'} \theta^{\nu\nu'} (\partial_{\mu'} A_{\nu'} - \partial_{\nu'} A_{\mu'} + [A_{\mu'}, A_{\nu'}]) \\ &= i\theta^{\mu\nu} + i\theta^{\mu\mu'} \theta^{\nu\nu'} F_{\mu'\nu'} \quad \text{field strength} \end{aligned}$$

⇒ effective action on \mathcal{M}_θ^4 :

$$\begin{aligned}
 S &= \Lambda_0^4 \text{Tr} \left([X^a, X^b][X_a, X_b] + \bar{\Psi} \Gamma_a [X^a, \Psi] \right) \\
 &\sim \int d^4x \sqrt{G} \text{tr}_n \left(\frac{1}{4g^2} (\mathcal{F}\mathcal{F})_G + \frac{1}{2} (D\Phi^i D\Phi_i)_G - \frac{1}{4} g^2 [\Phi^i, \Phi^j][\Phi_i, \Phi_j] \right. \\
 &\quad \left. + \bar{\psi} \tilde{\gamma}^\mu (i\partial_\mu + [\mathcal{A}_\mu, \cdot]) \psi + g \bar{\psi} \Gamma^i [\Phi_i, \psi] \right) + \int 2\eta (\theta \wedge \theta + \text{tr}_n F \wedge F)
 \end{aligned}$$

where

$$\begin{aligned}
 G^{\mu\nu}(x) &= \rho \theta^{\mu\nu'}(x) \theta^{\nu''\mu}(x) g_{\mu'\nu''}(x), & \rho &= \sqrt{|\theta^{-1}|} \\
 \tilde{\gamma}^\mu(x) &= \rho^{1/2} \theta^{\nu\mu}(x) \gamma_\nu, & \eta &= Gg \\
 \frac{1}{4g^2} &= \frac{\Lambda_0^4}{(2\pi)^2} \rho^{-1}
 \end{aligned}$$

IKKT on stack of branes → $SU(n)$ $\mathcal{N} = 4$ SYM coupled to $G^{\mu\nu}$

dynamical $G^{\mu\nu}(x)$! (→ gravity ?!)

H.S., JHEP 0712:049 (2007), JHEP 0902:044,(2009), Class.Quant.Grav. 27 (2010)

fermions

Ψ ... \mathcal{A} - valued Majorana-Weyl spinor of $SO(9, 1)$

action

$$\begin{aligned} S[\Psi] &= \text{Tr} \bar{\Psi} \Gamma_a [X^a, \Psi] \equiv \text{Tr} \bar{\Psi} \not{D} \Psi \\ &\sim \int d^4x \sqrt{\theta^{-1}} \bar{\Psi} i \gamma^\mu (\partial_\mu + [A_\mu, \cdot]) \Psi, \end{aligned}$$

$$\tilde{\gamma}^\mu = \rho^{1/2} \Gamma_a \theta^{\nu\mu} \partial_\nu X^a$$

note

$$\begin{aligned} \{\tilde{\gamma}^\mu, \tilde{\gamma}^\nu\} &= \rho \{\Gamma_a, \Gamma_b\} \theta^{\mu'\mu} \partial_{\mu'} X^a \theta^{\nu'\nu} \partial_{\nu'} X^b \\ &= 2\rho \theta^{\mu'\mu} \theta^{\nu'\nu} \eta_{\mu'\nu'} \\ &= 2G^{\mu\nu} \end{aligned}$$

Ψ decomposes into 4 Weyl fermions $\rightarrow \mathcal{N} = 4$ SYM

result:

- trace- $U(1)$ sector defines **geometry** $\mathcal{M}^{2n} \subset \mathbb{R}^{10}$
- $SU(n)$ **fluctuations** of matrices X^a, Ψ
 → gauge fields, scalar fields, fermions on \mathcal{M}^{2n} (**NOT** 10 dim!)

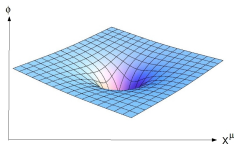
all fields couple to metric $G^{\mu\nu}(x)$
 determined by $\theta^{\mu\nu}(x)$, embedding
 dynamical \Rightarrow (“emergent”) **gravity**

matrix e.o.m $[X^a, [X^{a'}, X^b]] \eta_{aa'} = 0 \iff$

$$\square_G X^a = 0, \quad \text{“minimal surface”}$$

$$\nabla^\mu (e^\sigma \theta_{\mu\nu}^{-1}) = e^{-\sigma} G_{\rho\nu} \theta^{\rho\mu} \partial_\mu \eta$$

$$\eta \sim G^{\mu\nu} g_{\mu\nu}$$



covariant formulation in semi-classical limit (H.S. Nucl.Phys. B810 (2009))

2 interpretations for quantization:

$$Z = \int dX^a d\Psi e^{-S[X]-S[\Psi]}$$

- ① on \mathbb{R}_θ^4 : $X^\mu = \bar{X}^\mu + \bar{\theta}^{\mu\nu} A_\nu$, $\bar{X}^\mu \dots$ Moyal-Weyl
 → NC gauge theory on \mathbb{R}_θ^4 , UV/IR mixing in $U(1)$ sector

IKKT model: $\mathcal{N} = 4$ SYM, perturb. finite !(?)

- ② on $\mathcal{M}^4 \subset \mathbb{R}^{10}$: $U(1)$ absorbed in $\theta^{\mu\nu}(x)$, $g_{\mu\nu}$
 → quantized gravity, induced E-H. action

$$S_{\text{eff}} \sim \int d^4x \sqrt{|G|} (\Lambda^4 + c\Lambda_4^2 R[G] + \dots)$$

-
- explanation for UV/IR mixing & $U(1)$ entanglement
 - good quantization for theory with “gravity”! (maximal SUSY)
 - emergence of Einstein equations not established, not clear

2 interpretations for quantization:

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further prospects:

- well suited for quantization
- can be put on computer (Monte Carlo; Lorentzian) !

measure effective dimensions [Kim, Nishimura, Tsuchiya PRL 108 \(2012\)](#)

result:

3 out of 9 spatial directions start to expand at some 'critical time',

3+1 dims at late times

- intersecting branes \rightarrow chiral fermions

[A. Chatzistavrakidis, H.S., G. Zoupanos \(2011\)](#)

study compactifications $\mathcal{M}^4 \times \mathcal{K}_N$

may get close to standard model (for low/intermediate energies)

[J. Zahn, H.S. \(2014\)](#)

towards particle physics

internal Dirac operator \leftrightarrow fermion masses:

$$\int d^4x \sqrt{G} \operatorname{tr}_N g \bar{\psi} \Gamma^i [\Phi_i, \psi] =: \int d^4x \sqrt{G} \operatorname{tr}_N g \bar{\psi} \mathcal{D}_{\text{int}} \psi$$

$$\mathcal{D}_{\text{int}} = \Gamma^i [\Phi_i, \cdot]$$

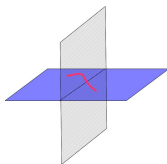
- $\mathcal{D}_{\text{int}} \psi = 0$... massless fermion;
4D chirality = internal chirality (Weyl constraint!)
- $\mathcal{D}_{\text{int}} \psi = m \psi$... massive (Dirac) fermion
(combining two spinors with opposite internal chirality);

mass $m \sim$ EV of \mathcal{D}_{int}

chiral fermions on intersecting NC branes

A. Chatzistavrakidis, H.S., G. Zoupanos (2011)

$$\Phi^i = \begin{pmatrix} \Phi_{(1)}^i \\ \Phi_{(2)}^i \end{pmatrix}, \quad \Psi = \begin{pmatrix} \Psi_{(12)} \\ \Psi_{(21)} \end{pmatrix}$$



M.M. Dirac operator on $\mathbb{R}_\theta^2 \cap \mathbb{R}_\theta^2$

$$\begin{aligned} \not{D}_{\text{int}} \Psi_{(12)} &= \Gamma_i [\Phi^i, \Psi_{(12)}] = \Gamma_i (\Phi_{(1)}^i \Psi_{(12)} - \Psi_{(12)} \Phi_{(2)}^i) \\ &= \not{D}_{(1)} \Psi_{(12)} - \not{D}_{(2)} \Psi_{(12)} \end{aligned}$$

use oscillator basis for (noncommutative!) branes

$$\begin{aligned} a &= \Phi^4 - i\Phi^5, & b &= \Phi^6 - i\Phi^7, \\ \alpha &= \frac{1}{2}(\Gamma^4 + i\Gamma^5), & \beta &= \frac{1}{2}(\Gamma^6 + i\Gamma^7) \\ \not{D}_{(1)} \Psi &= (\alpha a^\dagger + \alpha^\dagger a) \Psi \\ \not{D}_{(2)} \Psi &= \beta \Psi b^\dagger + \beta^\dagger \Psi b \end{aligned}$$

$$\not{D}_{\text{int}} \Psi_{(12)} = 0 \quad \Leftrightarrow \quad \Psi_{(12)} = |0, \downarrow\rangle_{(1)} \langle 0, \uparrow|_{(2)}$$

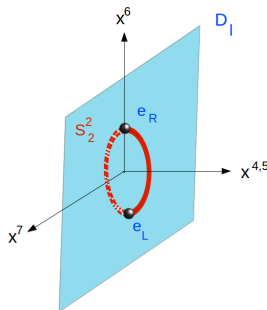
chiral zero mode in $\mathbb{R}^2 \times \mathbb{R}^2$

localized at intersection (coherent state)

fermions on intersection $S^2_2 \cap \mathbb{R}^2_\theta$:

$$\phi^j = \begin{pmatrix} \Phi^j_{(1)} & \\ & \Phi^j_{(2)} \end{pmatrix}, \quad \psi = \begin{pmatrix} \Psi_{(21)} & \Psi_{(12)} \end{pmatrix}$$

with
$$\Phi^j_{(1)} = \begin{pmatrix} \phi\sigma_1 \\ \phi\sigma_2 \\ r\sigma_3 \\ 0 \end{pmatrix}, \quad \Phi^j_{(2)} = \begin{pmatrix} 0 \\ 0 \\ y^6 \\ y^7 \end{pmatrix}$$



- 1 no Higgs $\phi = 0$: 2 points at $x^6 = \pm r$
pair of zero modes, **both chiralities**, at each location

- 2 switch on Higgs $\phi \neq 0$:

one chiral zero mode localized at each intersection $x^6 = \pm r$
(coherent states)

$$e_R = |+, \downarrow\rangle_{(1)} \langle +r, \uparrow|_{(2)}, \quad e_L = |-, \uparrow\rangle_{(1)} \langle -r, \uparrow|_{(2)}$$

massive mirror fermion at each intersection, mass $m \sim \phi$

$$\tilde{e}_L = |+, \uparrow\rangle_{(1)} \langle +r, \uparrow|_{(2)}, \quad \tilde{e}_R = |-, \downarrow\rangle_{(1)} \langle -r, \uparrow|_{(2)}$$

on $S^2 \times \mathbb{R}^2 \cap \mathbb{R}^2$:

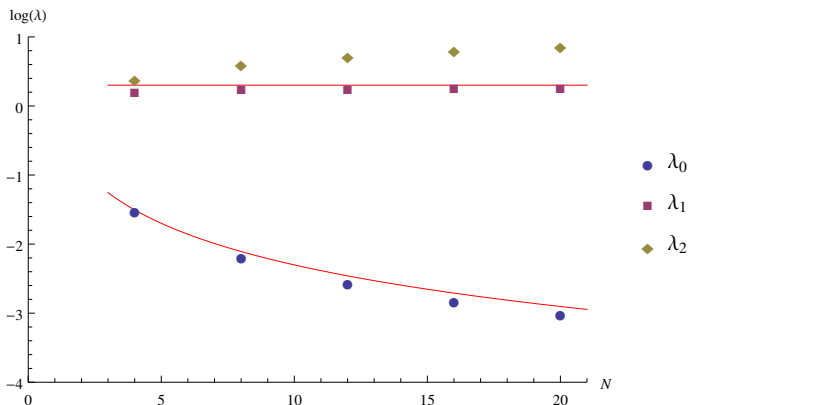
- exact chiral zero modes, e.g. $\Psi_{(12)} = | + 0, \uparrow \downarrow \rangle_{(1)} \langle + r, \downarrow |_{(2)}$
- massive mirror fermions, e.g. $\tilde{\Psi}_{(12)} = | + 0, \downarrow \downarrow \rangle_{(1)} \langle + r, \downarrow |_{(2)}$,
mass $m \sim \phi$
(opposite chirality on S^2_2 , same localization)

on $\mathcal{K}_N^4 \cap S_N^2$:

expect pairs of **near-zero eigenmodes** of \mathcal{D}_{int}
consisting of nearly-localized chiral states

can estimate lowest eigenvalues of \mathcal{D}_{int} , depend on local geometry near intersections for “projected” $S_N^2 \tilde{\times} S_N^2 \cap S_N^2$

numerical results: lowest eigenvalues of \mathcal{D}_{int} (= Yukawas) for $N_j = N, R_j = 1, r = \phi = 1$:



towards the standard model (of particle physics)

- consider intersecting branes $\mathbb{R}^4 \times \mathcal{K}_i \subset \mathbb{R}^{10}$
 - $\mathcal{K}_i \dots$ fuzzy spaces (=quantized compact spaces)
 - e.g. S_N^2 , $S_N^2 \times S_N^2$, $\mathbb{C}P_N^2$, ...
 - chiral fermions localized at $\mathcal{K}_i \cap \mathcal{K}_j$, propagate on \mathbb{R}^4
- stacks** of n_i branes → $SU(n_i)$ gauge fields
fermions $\Psi_{(12)}$ in $(n_1) \otimes (\bar{n}_2)$
- find explicit brane solution which breaks
 $SU(N) \rightsquigarrow SU(3)_c \times U(1)_Q \times U(1)_B$
- correct matter content of S.M. + ν_R at brane intersections

standard model fields embedded in adjoint of $SU(N)$:

A. Chatzistavrakidis, H.S., G. Zoupanos (2011)

$$\Psi = \begin{pmatrix} 0_2 & 0 & 0 & I_L & Q_L \\ & 0 & \begin{pmatrix} 0 & e_R \\ 0 & \nu_R \end{pmatrix} & & Q_R \\ & & & 0 & 0 \\ & & & & 0_3 \end{pmatrix},$$

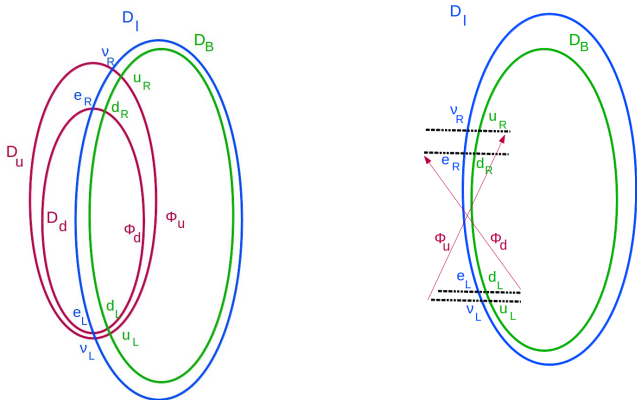
where

$$Q_L = \begin{pmatrix} u_L \\ d_L \end{pmatrix}, \quad I_L = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}, \quad Q_R = \begin{pmatrix} d_R \\ u_R \end{pmatrix}$$

Higgs:

$$\Phi_{(H)}^a = \begin{pmatrix} 0_2 & H_d & H_u & 0 & 0 \\ & 0 & 0 & 0 & 0 \\ & & 0 & S & 0 \\ & & & 0 & 0 \\ & & & & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & \phi_u & 0 & 0 \\ 0 & 0 & \phi_d & 0 & 0 & 0 \\ 0 & \phi_d^\dagger & 0 & 0 & 0 & 0 \\ \phi_u^\dagger & 0 & 0 & 0 & S & 0 \\ 0 & 0 & 0 & S^\dagger & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}.$$

S ... sterile Higgs



precisely chiral matter content of standard model + ν_R
 Higgs ... intrinsic part of fuzzy internal geometry

intersecting brane solutions

need to stabilize compact branes:

- rotating branes
- deform model by $SO(6)$ -invariant potential

$$S \rightarrow S - V_{\text{def}},$$

$$V_{\text{def}} = f(\text{tr}_N \sum_{i=4}^9 X_i X^i) \stackrel{\text{e.g.}}{=} -m^2 \text{tr}(X_i X^i) + \lambda (\text{tr} X_i X^i)^2$$

e.o.m.

$$\square X^i = -(2\pi g \rho^{-\frac{1}{2}} f') X^i, \quad \square = [X^j, [X_j, \cdot]]$$

- better: add cubic potential terms ("soft SUSY breaking, flux")

$$V_{\text{def}} = X^a X^b X^c f_{abc}$$

f_{abc} tot. antisymm.

- branes **interact** (1-loop $\rightarrow \approx$ SUGRA, typically **attraction**)

intersecting brane solutions

$\mathcal{D}_u \sim \mathcal{D}_d$:

$$\Phi_{(u)}^a = \begin{pmatrix} R'_u L_3 + \phi_u \sigma'_1 \\ \phi_u \sigma'_2 \\ r_u \sigma'_3 \\ 0 \\ R_u L_1 \\ R_u L_2 \end{pmatrix} \cong S^2_{N_u} \tilde{\times} S^2_2$$

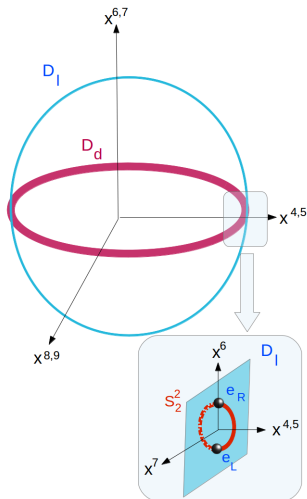
$\mathcal{D}_l \sim \mathcal{D}_B$:

$$\Phi_{(l)}^a = \begin{pmatrix} R'_l K_3 \\ 0 \\ R_l K_1 \\ R_l K_2 \\ 0 \\ 0 \end{pmatrix} \cong S^2_{N_l}$$

solution of eom if

$$R_u = R'_u = R_l = R'_l = r_u = \phi_u = \sqrt{-\pi g \rho^{-1/2} f'}$$

J. Zahn, H.S. (2014)



results:

- background branes lead to correct symmetry breaking
 $SU(N) \rightsquigarrow SU(3)_c \times U(1)_Q \times U(1)_B$ (assume appropriate S)
- resembles S.M. at low energies:
 - correct matter content of S.M. (2 generations ...) + ν_R
 coupled to $SU(3)_c \times SU(2)_L \times U(1)_Y$
 - electroweak SSB $SU(2)_L \times U(1)_Y \rightsquigarrow U(1)_Q$ via
two Higgs doublets,
 intrinsic part of geometry (minimal fuzzy spheres),
 essential for chiral nature of fermions
- **mirror fermions** at intermediate energies (above m_W),
- gauginos, towers of massive KK modes,
 ultimately completing $\mathcal{N} = 4$ SUSY

summary, conclusion

- matrix-models $\text{Tr}[X^a, X^b][X^{a'}, X^{b'}] \eta_{aa'} \eta_{bb'} + \text{fermions}$
 - **dynamical NC branes** \leftrightarrow "emergent" **gravity**
- fluctuations of matrices \rightarrow gauge theory on brane
all ingredients for physics
- rich solutions of IKKT model with $\mathbb{R}^4 \times \mathcal{K}$ (with extra V_{def})
building blocks for intersecting branes \rightarrow standard model ?
- **nonperturbative insights very desirable:**
eigenvalue distribution, ... !?
new, adapted methods ??
- ... very rich model, more to be discovered

quantum numbers: adjoint action $Q\Psi_{(12)} = [t_Q, \Psi_{(12)}]$ etc.,
e.g.

$$t_Q = \frac{1}{2} \begin{pmatrix} \sigma_3 & & & & \\ & -1 & & & \\ & & 1 & & \\ & & & 1 & \\ & & & & -\frac{1}{3} \end{pmatrix}, \quad t_Y = \begin{pmatrix} 0_2 & & & & \\ & -1 & & & \\ & & 1 & & \\ & & & 1 & \\ & & & & -\frac{1}{3} \end{pmatrix}.$$