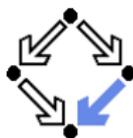


SLC81 (KrattenthalerFest)
Strobl, September 9–12, 2018

Ramanujan's congruences modulo powers of 5, 7, and 11 revisited

Peter Paule (joint work with S. Radu)

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Prelude

1	1	2	3	5
7	11	15	22	30
42	56	77	101	135
176	231	297	385	490
627	792	1002	1255	1575
1958	2436	3010	3718	4565
5604	6842	8349	10143	12310
14883	17977	21637	26015	31185
37338	44583	53174	63261	75175
89134	105558	124754	147273	173525
204226	239943	281589	329931	386155
451276	526823	614154	715220	831820
966467	1121505	1300156	1505499	1741630
2012558	2323520	2679689	3087735	3554345
4087968	4697205	5392783	6185689	7089500
8118264	9289091	10619863	12132164	13848650

Example: $p(4) = 5$: 4, 3 + 1, 2 + 2, 2 + 1 + 1, 1 + 1 + 1 + 1.

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Proof.

$$\begin{aligned}
 \sum_{n=0}^{\infty} p(n)q^n &= \prod_{j=1}^{\infty} \frac{1}{1 - q^j} = \frac{1}{(q; q)_{\infty}} \\
 &= (1 + q^1 + q^{1+1} + q^{1+1+1} + \dots) \\
 &\quad \times (1 + q^2 + q^{2+2} + q^{2+2+2} + \dots) \\
 &\quad \times (1 + q^3 + q^{3+3} + q^{3+3+3} + \dots) \\
 &\quad \times \text{etc.} \\
 &= \dots + q^{1+1+1+2+2+3+\dots} + \dots
 \end{aligned}$$

Holonomic functions have only finitely many singularities. \square

Back to the $p(n)$ table: ANY PATTERN?

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Some properties of $p(n)$, the number of partitions of n^*

Proceedings of the Cambridge Philosophical Society, XIX, 1919, 207 – 210

1. A recent paper by Mr Hardy and myself[†] contains a table, calculated by Major MacMahon, of the values of $p(n)$, the number of unrestricted partitions of n , for all values of n from 1 to 200. On studying the numbers in this table I observed a number of curious congruence properties, apparently satisfied by $p(n)$. Thus

- | | | | | | | |
|------|-----------|-----------|-----------|-----------|---------|------------------------|
| (1) | $p(4),$ | $p(9),$ | $p(14),$ | $p(19),$ | \dots | $\equiv 0 \pmod{5},$ |
| (2) | $p(5),$ | $p(12),$ | $p(19),$ | $p(26),$ | \dots | $\equiv 0 \pmod{7},$ |
| (3) | $p(6),$ | $p(17),$ | $p(28),$ | $p(39),$ | \dots | $\equiv 0 \pmod{11},$ |
| (4) | $p(24),$ | $p(49),$ | $p(74),$ | $p(99),$ | \dots | $\equiv 0 \pmod{25},$ |
| (5) | $p(19),$ | $p(54),$ | $p(89),$ | $p(124),$ | \dots | $\equiv 0 \pmod{35},$ |
| (6) | $p(47),$ | $p(96),$ | $p(145),$ | $p(194),$ | \dots | $\equiv 0 \pmod{49},$ |
| (7) | $p(39),$ | $p(94),$ | $p(149),$ | \dots | | $\equiv 0 \pmod{55},$ |
| (8) | $p(61),$ | $p(138),$ | \dots | | | $\equiv 0 \pmod{77},$ |
| (9) | $p(116),$ | \dots | | | | $\equiv 0 \pmod{121},$ |
| (10) | $p(99),$ | \dots | | | | $\equiv 0 \pmod{125}.$ |

From these data I conjectured the truth of the following theorem: if $\delta = 5^a 7^b 11^c$ and $24\lambda \equiv 1 \pmod{\delta}$ then

Ramanujan's Congruences

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$$\begin{aligned}p(5n + 4) &\equiv 0 \pmod{5}, \\p(7n + 5) &\equiv 0 \pmod{7}, \\p(11n + 6) &\equiv 0 \pmod{11}\end{aligned}$$

Ramanujan's congruences modulo prime powers

$$\begin{aligned} p(5n + 4) &\equiv 0 \pmod{5}, \\ p(5^2n + 24) &\equiv 0 \pmod{5^2}, \\ p(5^3n + 99) &\equiv 0 \pmod{5^3}, \\ &\text{etc.} \end{aligned}$$

Ramanujan's congruences modulo prime powers

$$\begin{aligned} p(7n + 5) &\equiv 0 \pmod{7}, \\ p(7^2n + 47) &\equiv 0 \pmod{7^2}, \\ p(7^3n + 243) &\equiv 0 \pmod{7^2}, \\ &\text{etc.} \end{aligned}$$

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NOTE. Correction for $\ell = 7$: Chowla (1934) using a table of Gupta:

$$p(243) = 2^3 \cdot 7^2 \cdot 97 \cdot 5783 \cdot 609289:$$

$$\begin{aligned}p(11n + 6) &\equiv 0 \pmod{11}, \\p(11^2n + 116) &\equiv 0 \pmod{11^2}, \\p(11^3n + 721) &\equiv 0 \pmod{11^3}, \\&\text{etc.}\end{aligned}$$

Ramanujan's Congruences (modified conjecture 1919)

For $\ell \in \{5, 7, 11\}$ and $\alpha \in \{1, 2, 3, \dots\}$:

$$p(\ell^\alpha n + \mu_{\alpha, \ell}) \equiv \begin{cases} 0 \pmod{\ell^\alpha}, & \ell = 5, 11, \\ 0 \pmod{7^{\lceil \frac{\alpha+1}{2} \rceil}}, & \ell = 7, \end{cases}$$

with $\mu_{\alpha, \ell} \in \{0, \dots, \ell^\alpha - 1\}$ such that

$$24\mu_{\alpha, \ell} \equiv 1 \pmod{\ell^\alpha}.$$

A Bit of History

Watson's proof (1938) for $\ell = 5$ and $\ell = 7$ (modified version)

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- ▶ Regarding $\ell = 11$ Watson states: “Da die Untersuchung der Aussage über 11^α recht langweilig ist, verschiebe ich den Beweis dieses Falles auf eine spätere Abhandlung”.

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Proof by P. and Radu for $\ell = 5, 7, 11$:

- ▶ One common framework – motivated by algorithmics.
- ▶ The case $\ell = 11$ is interesting!

P. and S. Radu: A unified algorithmic framework for Ramanujan's congruences modulo powers of 5, 7, and 11; preprint, 2018

Ramanujan's Most Beautiful Formula

PROOF (mod 5 congruence).

$$\sum_{n=0}^{\infty} p(5n+4)q^n = 5 \prod_{j=1}^{\infty} \frac{(1-q^{5j})^5}{(1-q^j)^6}$$

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“It would be difficult to find more beautiful formulae than the ‘Rogers-Ramanujan’ identities . . . ; but here Ramanujan must take second place to Prof. Rogers; and, if I had to select one formula from all Ramanujan’s work, I would agree with Major MacMahon in selecting . . .” [G.H. Hardy]

PROOF (mod 7 congruence).

$$\begin{aligned} & \sum_{n=0}^{\infty} p(7n+5)q^n \\ &= 7 \prod_{j=1}^{\infty} \frac{(1-q^{7j})^3}{(1-q^j)^4} + 49q \prod_{j=1}^{\infty} \frac{(1-q^{7j})^7}{(1-q^j)^8}. \end{aligned}$$

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NOTE. Given the left sides, Radu's [Ramanujan-Kolberg algorithm](#) computes both of Ramanujan's [1919] witness identities.

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↪ What about the 11 congruence?

Witness identities for $11 \mid p(11n + 6)$

PROOF (mod 11). Joseph Lehner [1943] found that

$$q \sum_{n=0}^{\infty} p(11n + 6)q^n = 11 \frac{11AC^2 - 11^2C + 2AC - 32C - 2}{\prod_{k=1}^{\infty} (1 - q^{11k})}$$

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where

$$A = \frac{\left(\sum_{m,n=-\infty}^{\infty} q^{m^2+mn+3n^2} \right)^2}{q(q; q)_{\infty}^2 (q^{11}; q^{11})_{\infty}^2}, C = \frac{A^2 - 10A - B - 22}{2 \cdot 11^2},$$

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and

$$B = \frac{11^2 E_4(11\tau) - E_4(\tau)}{q^2 (q^{11}; q^{11})_{\infty}^4 (q; q^{11})_{\infty}^4}$$

with

$$120E_4(\tau) = 1 + 240 \sum_{n=1}^{\infty} \left(\sum_{1 \leq d|n} d^3 \right) q^n.$$

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Lehner used modular function machinery.

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$$\begin{aligned} q \prod_{k=1}^{\infty} (1 - q^{11k}) \sum_{n=0}^{\infty} p(11n + 6) q^n \\ = 11^4 z_{11} + 11g_2 + 2 \cdot 11^2 g_3 + 11^3 g_4, \end{aligned}$$

where

$$z_{11} := q^5 \frac{(q^{11}; q^{11})_{\infty}^{12}}{(q; q)_{\infty}^{12}} \in M_{\mathbb{Z}}^0(11), \quad g_4 := g_2^2 - g_3,$$

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$$10 g_2 := 11^2 \bar{u}_{11}^5 - \frac{\sum_{n=0}^{\infty} (1 + (\frac{n-3}{11})) p_5(n) q^n}{(q; q)_{\infty}^5}$$

with

$$\bar{u}_{11} := q^5 \frac{(q^{121}; q^{121})_{\infty}}{(q; q)_{\infty}} \quad \text{and} \quad (q; q)_{\infty}^r := \sum_{n=0}^{\infty} p_r(n) q^n,$$

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PROOF (mod 11). Radu's Ramanujan-Kolberg package computes

$$\sum_{n=0}^{\infty} p(11n + 6)q^n = q^{14} \prod_{j=1}^{\infty} \frac{(1 - q^{22j})^{22}}{(1 - q^j)^{10}(1 - q^{2j})^2(1 - q^{11j})^{11}}$$

$$\times (1078t^4 + 13893t^3 + 31647t^2 + 11209t - 21967$$

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Recall

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Use freshman's dream: $(1-x)^n \equiv 1-x^n$. But one can do better!

PROOF (mod 11). Ralf Hemmecke, using his **SAMBA algorithm**, computed

$$\begin{aligned} \sum_{n=0}^{\infty} p(11n + 6)q^n &= q^{14} \prod_{j=1}^{\infty} \frac{(1 - q^{22j})^{22}}{(1 - q^j)^{10}(1 - q^{2j})^2(1 - q^{11j})^{11}} \\ &\times (11^2 \cdot 3068w_7 + 11^2(3w_1 + 4236)w_6 + 11(285w_1 + 11 \cdot 5972)w_5 \\ &+ \frac{11}{8}(w_1^2 + 11 \cdot 4497w_1 + 11^2 \cdot 3156)w_3 \\ &+ 11(1867w_1 + 11 \cdot 2476)w_4 \\ &- \frac{11}{8}(w_1^3 + 1011w_1^2 + 11 \cdot 6588w_1 + 11^2 \cdot 10880)) \text{ with} \end{aligned}$$

PROOF (mod 11). Ralf Hemmecke, using his **SAMBA algorithm**, computed

$$\begin{aligned} \sum_{n=0}^{\infty} p(11n + 6)q^n &= q^{14} \prod_{j=1}^{\infty} \frac{(1 - q^{22j})^{22}}{(1 - q^j)^{10}(1 - q^{2j})^2(1 - q^{11j})^{11}} \\ &\times (11^2 \cdot 3068w_7 + 11^2(3w_1 + 4236)w_6 + 11(285w_1 + 11 \cdot 5972)w_5 \\ &+ \frac{11}{8}(w_1^2 + 11 \cdot 4497w_1 + 11^2 \cdot 3156)w_3 \\ &+ 11(1867w_1 + 11 \cdot 2476)w_4 \\ &- \frac{11}{8}(w_1^3 + 1011w_1^2 + 11 \cdot 6588w_1 + 11^2 \cdot 10880)) \text{ with} \end{aligned}$$

$$\begin{aligned} w_1 &= [-3, 3, -7], w_2 = [8, 4, -8], w_3 = [1, 11, -11], w_4 = [1, 11, -11] \\ w_5 &= [4, 8, -12], w_6 = [2, 10, -14], w_7 = [0, 12, -16] \text{ where} \end{aligned}$$

$$[a_1, a_2, a_3] := q^{\square} \prod_{k=1}^{\infty} \frac{(1 - q^{2k})^{a_1} (1 - q^{11k})^{a_2} (1 - q^{22k})^{a_3}}{(1 - q^k)^{a_1 + a_2 + a_3}} \in M_{\mathbb{Z}}^{\infty}(22).$$

PROOF (mod 11). P. & Radu, using the “NUGGETS algorithm”, found

$$\begin{aligned}
 f^5 &= 5 \cdot 11^4 f^4 + 11^4(-2 \cdot 5 \cdot 11^4 + 251 \bar{z}_{11}) f^3 \\
 &+ 11^3(2 \cdot 5 \cdot 11^9 + 2 \cdot 3 \cdot 5 \cdot 11^5 \cdot 31 \bar{z}_{11} + 4093 \bar{z}_{11}^2) f^2 \\
 &+ 11^4(-5 \cdot 11^{12} + 2 \cdot 5 \cdot 11^8 \cdot 17 \bar{z}_{11} - 2^2 \cdot 3 \cdot 11^3 \cdot 1289 \bar{z}_{11}^2 \\
 &\quad + 3 \cdot 41 \bar{z}_{11}^3) f \\
 &+ 11^5(11^4 + \bar{z}_{11})(11^{11} - 3 \cdot 7 \cdot 11^7 \bar{z}_{11} + 11^2 \cdot 1321 \bar{z}_{11}^2 + \bar{z}_{11}^3)
 \end{aligned}$$

$$f := q \bar{z}_{11} \prod_{k=1}^{\infty} (1 - q^{11k}) \sum_{n=0}^{\infty} p(11n + 6) q^n,$$

where

$$\bar{z}_{11} := \frac{1}{z_{11}} = q^{-5} \prod_{k=1}^{\infty} \left(\frac{1 - q^k}{1 - q^{11k}} \right)^{12}.$$

“NUGGETS algorithm”: Take

$$t := q^{-5} \prod_{k=1}^{\infty} \left(\frac{1 - q^k}{1 - q^{11k}} \right)^{12} \in M^{\infty}(11)$$

and

$$f := qt \prod_{k=1}^{\infty} (1 - q^{11k}) \sum_{n=0}^{\infty} p(11n + 6)q^n \in M^{\infty}(11).$$

Determine polynomials p_j such that

$$f^5 = p_0(t) + p_1(t)f + p_2(t)f^2 + p_3(t)f^3 + p_4(t)f^4.$$

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NOTE. For the pole orders of f, f^2, f^3, f^4 with respect to q :

$$\{4, 8, 12, 16\} \equiv \{4, 3, 2, 1\} \pmod{5}.$$

The pole order of t is 5.

“NUGGETS algorithm” (cont’d): Recall

$$t := q^{-5} \prod_{k=1}^{\infty} \left(\frac{1 - q^k}{1 - q^{11k}} \right)^{12} \in M^{\infty}(11),$$

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TASK: Determine polynomials p_j such that

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TASK: Determine polynomials p_j such that

$$f^5 = p_0(t) + p_1(t)f + p_2(t)f^2 + p_3(t)f^3 + p_4(t)f^4.$$

$$\text{In}[1]:= \text{Tquot}[k_] := \frac{1 - q^k}{1 - q^{11k}};$$

$$\text{In}[2]:= t = \frac{1}{q^5} \text{Product}[\text{Series}[\text{Tquot}[k]^{12}, q, 0, 26], k, 1, 26]$$

$$\text{Out}[2]= \frac{1}{q^5} - \frac{12}{q^4} + \frac{54}{q^3} - \frac{88}{q^2} - \frac{99}{q} + 540 - 418q - 648q^2 + \dots - 22176q^{20} + 61656q^{21} + 0[q]^{22}$$

“NUGGETS algorithm” (cont’d): Recall

$$t := q^{-5} \prod_{k=1}^{\infty} \left(\frac{1 - q^k}{1 - q^{11k}} \right)^{12} \in M^{\infty}(11),$$

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TASK: Determine polynomials p_j such that

$$f^5 = p_0(t) + p_1(t)f + p_2(t)f^2 + p_3(t)f^3 + p_4(t)f^4.$$

In[3]:= `f = qtSeries[(1 - q11)(1 - q22), q, 0, 21] *`

`Sum[PartitionsP[11n + 6]qn, n, 0, 21]`

$$\text{Out[3]= } \frac{11}{q^4} + \frac{165}{q^3} + \frac{748}{q^2} + \frac{1639}{q} + 3553 + 4136q + 6347q^2 + \cdots + 12738q^{16} - 51216q^{17} + 0[q]^{18}$$

"NUGGETS algorithm" (cont'd): Recall

$$\text{In}[4] := t$$

$$\text{Out}[4] = \frac{1}{q^5} - \frac{12}{q^4} + \frac{54}{q^3} - \frac{88}{q^2} - \frac{99}{q} + 540 - 418q - 648q^2 + \dots - 22176q^{20} + 61656q^{21} + 0[q]^{22}$$

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$$\text{In}[6] := \mathbf{F} = \frac{\mathbf{f}}{\mathbf{11}}; \quad \mathbf{F}^5$$

$$\text{Out}[6] = \frac{1}{q^{20}} + \frac{75}{q^{19}} + \frac{2590}{q^{18}} + \dots + \frac{298958660282220}{q} + 530018316923711 + 877706745683995q + 0[q]^2$$

$$\text{In}[7] := \mathbf{F}^5 - t^4$$

$$\text{Out}[7] = \frac{123}{q^{19}} + \frac{1510}{q^{18}} + \frac{69935}{q^{17}} + \dots + 530018316923711 + 877706745683995q + 0[q]^2$$

“NUGGETS algorithm” (cont’d): Recall

$$\text{In}[8] := \mathbf{F}^5 - t^4$$

$$\text{Out}[8] = \frac{123}{q^{19}} + \frac{1510}{q^{18}} + \frac{69935}{q^{17}} + \dots + 530018316923711 + 877706745683995q + O[q]^2$$

$$\text{In}[9] := \mathbf{F}^5 - t^4 - 3 * 41 * t^3 \mathbf{F}$$

$$\text{Out}[9] = \frac{4093}{q^{18}} + \frac{54929}{q^{17}} + \frac{570947}{q^{16}} + \dots + 530565611750339 + 877363195058527q + O[q]^2$$

“NUGGETS algorithm” (cont’d): Recall

$$\text{In}[11] := \mathbf{F}^5 - t^4$$

$$\text{Out}[11] = \frac{123}{q^{19}} + \frac{1510}{q^{18}} + \frac{69935}{q^{17}} + \dots + 530018316923711 + 877706745683995q + 0[q]^2$$

$$\text{In}[12] := \mathbf{F}^5 - t^4 - 3 * 41 * t^3 \mathbf{F}$$

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$$\text{In}[13] := \mathbf{F}^5 - t^4 - 3 * 41t^3 \mathbf{F} - 4093t^2 \mathbf{F}^2$$

$$\text{Out}[13] = \frac{30371}{q^{17}} + \frac{1008898}{q^{16}} + \frac{12509585}{q^{15}} + \dots + 536556550241327 + 873666097417069q + 0[q]^2$$

“NUGGETS algorithm” (cont’d): Recall

$$\text{In}[14] := \mathbf{F}^5 - t^4$$

$$\text{Out}[14] = \frac{123}{q^{19}} + \frac{1510}{q^{18}} + \frac{69935}{q^{17}} + \dots + 530018316923711 + 877706745683995q + 0[q]^2$$

$$\text{In}[15] := \mathbf{F}^5 - t^4 - 3 * 41 * t^3 \mathbf{F}$$

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$$\text{In}[16] := \mathbf{F}^5 - t^4 - 3 * 41 t^3 \mathbf{F} - 4093 t^2 \mathbf{F}^2$$

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a.s.o. until \mathbf{F}^5 is reduced to $0[q]^2$.

What is the “nuggets algorithm”?

Nuggets Monoids

Nuggets Partitions

GIVEN



TASK: buy exactly 43 nuggets.

Nuggets Partitions

GIVEN



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IMPOSSIBLE!

Nuggets Partitions

GIVEN



TASK: buy exactly 43 nuggets.

IMPOSSIBLE!

See: "How to order 43 Chicken McNuggets - Numberphile"

www.youtube.com/watch?v=vNTSugyS038

The (additive) nuggets monoid

$$43 \stackrel{?}{\in} M := \langle 6, 9, 20 \rangle := \{6a + 9b + 20c : a, b, c \in \mathbb{Z}_{\geq 0}\}$$

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Many solution strategies, for example,

$$\begin{aligned} 43 &= 6 \cdot 7 + 1 \\ &= 6 \cdot 6 + 7 \\ &= 6 \cdot 5 + 13 \\ &= 6 \cdot 4 + 19 \\ &= \dots \end{aligned}$$

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E.g., $19 = 9 \cdot b + 20 \cdot c$: no solution

Decomposition into residue classes

$$\begin{aligned} M &:= \langle 6, 9, 20 \rangle := \{6a + 9b + 20c : a, b, c \in \mathbb{Z}_{\geq 0}\} \\ &= [M]_0 \cup [M]_1 \cup \dots \cup [M]_5 \end{aligned}$$

where

$$[M]_i := \{x \in M : x \equiv i \pmod{6}\}$$

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 \end{aligned}$$

where

$$[M]_i := \{x \in M : x \equiv i \pmod{6}\}$$

$$[M]_0 = \{0, 6, 12, \dots\},$$

$$[M]_1 = \{\cancel{1}, 7, \cancel{13}, \cancel{19}, \cancel{25}, 31, 37, \cancel{43}, 49, 54, \dots\},$$

$$[M]_2 = \{2, \cancel{8}, \cancel{14}, 20, 26, \dots\},$$

$$[M]_3 = \{\cancel{3}, 9, 15, \dots\},$$

$$[M]_4 = \{4, \cancel{10}, \cancel{16}, \cancel{22}, \cancel{28}, \cancel{34}, 40, 46, \dots\},$$

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$$M = \langle 6, 9, 20 \rangle = \langle 6, 49, 20, 9, 40, 29 \rangle$$

QUESTION. What happens if we add more generators?

$$M^+ := \langle 4, 6, 9, 20 \rangle$$

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$$\begin{aligned} \text{NOW} \quad 43 &= 2(4) + (6) + (9) + 1(20) \\ &= (4) + 2(6) + 3(9) = [\text{four more}]. \end{aligned}$$

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Back to our original TASK:

Given a number x , present it in terms of the generators (6) , (9) , and (20) .

Solution: use the **residue class generators**

Given

$$\begin{aligned} M &= \langle 6, 9, 20 \rangle = \langle 6, 49, 20, 9, 40, 29 \rangle \\ &= (0 + 6\mathbb{Z}_{\geq 0}) \cup (49 + 6\mathbb{Z}_{\geq 0}) \cup (20 + 6\mathbb{Z}_{\geq 0}) \\ &\quad \cup (9 + 6\mathbb{Z}_{\geq 0}) \cup (40 + 6\mathbb{Z}_{\geq 0}) \cup (29 + 6\mathbb{Z}_{\geq 0}), \end{aligned}$$

find a representation $x = u_i + \ell \textcircled{6}$.

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find a representation $x = u_i + \ell \textcircled{6}$.

EXAMPLE. $x = 62$:

$62 \equiv 2 \pmod{6}$; hence by

$$[M]_2 = \{\cancel{2}, \cancel{8}, \cancel{14}, 20, 26, \dots\};$$

one has

$$62 = 20 + 7 \textcircled{6}.$$

NOTE. Given $M = \langle 6, 9, 20 \rangle$, for this STRATEGY we need the “residue class generators”

$$\langle 6, 49, 20, 9, 40, 29 \rangle = M.$$

QUESTION. How to compute the “residue class generators”

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$$\langle 6, 49, 20, 9, 40, 29 \rangle = M.$$

QUESTION. How to compute the “residue class generators”

ANSWER (e.g., for 49). Notice, $49 \in M$ is minimal such that

$$49 \equiv 1 \pmod{6};$$

i.e.; it is the smallest number of the form $1 + 6a = 49$ such that

$$9b + 20c = 1 + 6a, \quad a, b, c \in \mathbb{N}.$$

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This, e.g., can be computed with the Omega package:

In [3] := OEqR [
OEqSum [$x^a y^b z^c$, $\{-6a + 9b + 20c = 1\}$, λ]]

$$\text{Out [3]} = \frac{x^8 y z^2}{(1 - x^3 y^2)(1 - x^{10} z^3)} \cdot$$

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Out [3] means that

$$\sum_{\substack{a, b, c \geq 0 \\ \text{s.t. } -6a + 9b + 20c = 1}} x^a y^b z^c = \frac{x^8 y z^2}{(1 - x^3 y^2)(1 - x^{10} z^3)};$$

in other words,

$$\begin{pmatrix} 8 \\ 1 \\ 2 \end{pmatrix} + \mathbb{N} \begin{pmatrix} 3 \\ 2 \\ 0 \end{pmatrix} + \mathbb{N} \begin{pmatrix} 10 \\ 0 \\ 3 \end{pmatrix}$$

is the solution set of $-6a + 9b + 20c = 1$.

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in other words,

$$\binom{8}{1}{2} + \mathbb{N} \binom{3}{2}{0} + \mathbb{N} \binom{10}{0}{3}$$

is the solution set of $-6a + 9b + 20c = 1$.

In particular, $1 \textcircled{9} + 2 \textcircled{20} = 1 + 8 \textcircled{6} = 49$.

Application: Representations of Subalgebras

Representing \mathbb{K} -subalgebras as $\mathbb{K}[z]$ -modules

GIVEN polynomials $f_0, f_1, f_2 \in \mathbb{K}[z]$, e.g. as in **EXAMPLE II**,

$$\begin{aligned}t &:= f_0 = z^6 + \alpha z^5 + \dots, \\f_1 &= z^9 + \beta z^8 + \dots, \\f_2 &= z^{20} + \gamma z^{19} + \dots;\end{aligned}$$

FIND $g_1, \dots, g_5 \in \mathbb{K}[z]$ such that

$$\begin{aligned}\mathbb{K}[t, f_1, f_2] &= \left\{ \sum_{k_0, k_1, k_2} c(k_0, k_1, k_2) t^{k_0} f_1^{k_1} f_2^{k_2} : c(k_0, k_1, k_2) \in \mathbb{K} \right\} \\&= \langle 1, g_1, \dots, g_5 \rangle_{\mathbb{K}[t]}\end{aligned}$$

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$$\begin{aligned} \langle 1, g_1, \dots, g_5 \rangle_{\mathbb{K}[t]} &:= \mathbb{K}[t] + \mathbb{K}[t] g_1 + \dots + \mathbb{K}[t] g_5 \\ &:= \left\{ p_0(t) + p_1(t) g_1 + \dots + p_5(t) g_5 : p_j(x) \in \mathbb{K}[x] \right\}. \end{aligned}$$

Application: \mathbb{K} -subalgebra membership

GIVEN polynomials f and $f_0, f_1, \dots, f_n \in \mathbb{K}[z]$;

DECIDE whether $f \stackrel{?}{\in} \mathbb{K}[f_0, f_1, \dots, f_n]$.

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APPLICATION to modular functions in

$$M^\infty(N) = \{f \in M(N) : \tilde{f} \text{ has a pole only at } [\infty]\} :$$

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GIVEN polynomials f and $f_0, f_1, \dots, f_n \in \mathbb{K}[z]$;

DECIDE whether $f \stackrel{?}{\in} \mathbb{K}[f_0, f_1, \dots, f_n]$.

Let $t := f_0$ and $\mathbb{K}[f_0, f_1, \dots, f_n] = \langle 1, g_1, \dots, g_k \rangle_{\mathbb{K}[t]}$,

FIND polynomials $p_0, p_1, \dots, p_k \in \mathbb{K}[z]$ such that

$$f = p_0(t) + p_1(t)g_1 + \dots + p_k(t)g_k.$$

APPLICATION to modular functions in

$$M^\infty(N) = \{f \in M(N) : \tilde{f} \text{ has a pole only at } [\infty]\} :$$

In this context, $z = \frac{1}{q}$. Therefore functions like

$$f_1 = \frac{a_6}{q^6} + \frac{a_5}{q^5} + \dots, f_2 = \frac{b_9}{q^9} + \frac{b_8}{q^8} + \dots,$$

$$f_3 = \frac{c_{20}}{q^{20}} + \frac{c_{19}}{q^{19}} + \dots, \text{ etc.},$$

can be treated like **polynomials**.

Recall: APPLICATION to modular functions in

$$M^\infty(N) = \{f \in M(N) : \tilde{f} \text{ has a pole only at } [\infty]\} :$$

In this context, $z = \frac{1}{q}$. Therefore functions like

$$t = f_0 = \frac{a_6}{q^6} + \frac{a_5}{q^5} + \cdots, f_1 = \frac{b_9}{q^9} + \frac{b_8}{q^8} + \cdots,$$

$$f_2 = \frac{c_{20}}{q^{20}} + \frac{c_{19}}{q^{19}} + \cdots, \text{ etc., can be treated like polynomials.}$$

EXAMPLE I. $t := q^{-5} \prod_{k=1}^{\infty} \left(\frac{1 - q^k}{1 - q^{11k}} \right)^{12} \in M^\infty(11)$ and

$$f := qt \prod_{k=1}^{\infty} (1 - q^{11k}) \sum_{n=0}^{\infty} p(11n + 6)q^n \in M^\infty(11),$$

satisfy

$$\mathbb{C}[t, f] = \mathbb{C}[t] + \mathbb{C}[t]g_1 + \cdots + \mathbb{C}[t]g_4$$

with

$$(g_1, g_2, g_3, g_4) := (f, f^2, f^3, f^4).$$

EXAMPLE II.

In[109]:=

$$t = z^6 - 1; \quad f_1 = z^9 + 2; \quad f_2 = z^{20} + 1;$$

WE FIND $g_1, \dots, g_5 \in \mathbb{C}[z]$ such that

$$\begin{aligned} \mathbb{C}[t, f_1, f_2] &= \langle 1, g_1, \dots, g_5 \rangle_{\mathbb{C}[t]} \\ &= \mathbb{C}[t] + \mathbb{C}[t] g_1 + \dots + \mathbb{C}[t] g_5. \end{aligned}$$

NOTE.

$$t(z)^a f_1(z)^b f_2(z)^c = z^{6a+9b+20c} + \dots$$

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NOTE.

$$t(z)^a f_1(z)^b f_2(z)^c = z^{6a+9b+20c} + \dots$$

In[110]:=

$$\{g1 = f1 f2^2, g2 = f2, g3 = f1, g4 = f2^2, g5 = f1 f2\}$$

Out[110]=

$$\{(2 + z^9) (1 + z^{20})^2, 1 + z^{20}, 2 + z^9, (1 + z^{20})^2, (2 + z^9) (1 + z^{20})\}$$

EXAMPLE II contd. (1)

In[110]:=

{g1 = f1 f2 ^ 2, g2 = f2, g3 = f1, g4 = f2 ^ 2, g5 = f1 f2}

Out[110]=

{ (2 + z⁹) (1 + z²⁰)², 1 + z²⁰, 2 + z⁹, (1 + z²⁰)², (2 + z⁹) (1 + z²⁰) }

Recall: **{49, 20, 9, 40, 29}** \equiv {1, 2, 3, 4, 5} (mod 6).

EXAMPLE II contd. (1)

In[110]:=

$\{\mathbf{g1} = \mathbf{f1 f2}^2, \mathbf{g2} = \mathbf{f2}, \mathbf{g3} = \mathbf{f1}, \mathbf{g4} = \mathbf{f2}^2, \mathbf{g5} = \mathbf{f1 f2}\}$

Out[110]=

$\{(2 + z^9) (1 + z^{20})^2, 1 + z^{20}, 2 + z^9, (1 + z^{20})^2, (2 + z^9) (1 + z^{20})\}$

Recall: $\{49, 20, 9, 40, 29\} \equiv \{1, 2, 3, 4, 5\} \pmod{6}$.

NOTE.

$$\mathbb{C}[t, f_1, f_2] = \mathbb{C}[t] + \mathbb{C}[t]g_1 + \cdots + \mathbb{C}[t]g_5$$

iff

$$f_i = p_0^i(t) + p_1^i(t)g_1 + \cdots + p_5^i(t)g_5$$

and

EXAMPLE II contd. (1)

In[110]:=

{g1 = f1 f2 ^ 2, g2 = f2, g3 = f1, g4 = f2 ^ 2, g5 = f1 f2}

Out[110]=

{(2 + z^9) (1 + z^20)^2, 1 + z^20, 2 + z^9, (1 + z^20)^2, (2 + z^9) (1 + z^20)}

Recall: $\{49, 20, 9, 40, 29\} \equiv \{1, 2, 3, 4, 5\} \pmod{6}$.

NOTE.

$$\mathbb{C}[t, f_1, f_2] = \mathbb{C}[t] + \mathbb{C}[t] g_1 + \cdots + \mathbb{C}[t] g_5$$

iff

$$f_i = p_0^i(t) + p_1^i(t)g_1 + \cdots + p_5^i(t)g_5$$

and

$$g_i g_j = p_0^{i,j}(t) + p_1^{i,j}(t)g_1 + \cdots + p_5^{i,j}(t)g_5,$$

EXAMPLE II contd. (1)

In[110]:=

$\{\mathbf{g1} = \mathbf{f1 f2}^2, \mathbf{g2} = \mathbf{f2}, \mathbf{g3} = \mathbf{f1}, \mathbf{g4} = \mathbf{f2}^2, \mathbf{g5} = \mathbf{f1 f2}\}$

Out[110]=

$\{(2 + z^9) (1 + z^{20})^2, 1 + z^{20}, 2 + z^9, (1 + z^{20})^2, (2 + z^9) (1 + z^{20})\}$

Recall: $\{49, 20, 9, 40, 29\} \equiv \{1, 2, 3, 4, 5\} \pmod{6}$.

NOTE.

$$\mathbb{C}[t, f_1, f_2] = \mathbb{C}[t] + \mathbb{C}[t] g_1 + \cdots + \mathbb{C}[t] g_5$$

iff

$$f_i = p_0^i(t) + p_1^i(t)g_1 + \cdots + p_5^i(t)g_5$$

and

$$g_i g_j = p_0^{i,j}(t) + p_1^{i,j}(t)g_1 + \cdots + p_5^{i,j}(t)g_5,$$

Here: $f_1 = g_3, f_2 = g_2$; what, e.g., is $g_3^2 = ?$

EXAMPLE II contd. (2)

In[110]:=

{g1 = f1 f2^2, g2 = f2, g3 = f1, g4 = f2^2, g5 = f1 f2}

Out[110]=

{(2 + z^9) (1 + z^20)^2, 1 + z^20, 2 + z^9, (1 + z^20)^2, (2 + z^9) (1 + z^20)}

RECALL: $t = z^6 - 1$.

In[137]:=

{g3^2, g3^2 - t^3} // Expand

Out[137]=

{4 + 4 z^9 + z^18, 5 - 3 z^6 + 4 z^9 + 3 z^12}

EXAMPLE II contd. (2)

In[110]:=

{g1 = f1 f2^2, g2 = f2, g3 = f1, g4 = f2^2, g5 = f1 f2}

Out[110]=

{(2 + z^9) (1 + z^20)^2, 1 + z^20, 2 + z^9, (1 + z^20)^2, (2 + z^9) (1 + z^20)}

RECALL: $t = z^6 - 1$.

In[138]:=

{g3^2, g3^2 - t^3, g3^2 - t^3 - 3 t^2} // Expand

Out[138]=

{4 + 4 z^9 + z^18, 5 - 3 z^6 + 4 z^9 + 3 z^12, 2 + 3 z^6 + 4 z^9}

EXAMPLE II contd. (3)

In[110]:=

{g1 = f1 f2^2, g2 = f2, g3 = f1, g4 = f2^2, g5 = f1 f2}

Out[110]=

{(2 + z^9) (1 + z^20)^2, 1 + z^20, 2 + z^9, (1 + z^20)^2, (2 + z^9) (1 + z^20)}

RECALL: $t = z^6 - 1$.

In[141]:=

{g3^2 - t^3 - 3 t^2, g3^2 - t^3 - 3 t^2 - 4 g3} // Expand

Out[141]=

{2 + 3 z^6 + 4 z^9, -6 + 3 z^6}

EXAMPLE II contd. (3)

In[110]:=

{g1 = f1 f2^2, g2 = f2, g3 = f1, g4 = f2^2, g5 = f1 f2}

Out[110]=

{(2 + z^9) (1 + z^20)^2, 1 + z^20, 2 + z^9, (1 + z^20)^2, (2 + z^9) (1 + z^20)}

RECALL: $t = z^6 - 1$.

In[143]:=

{g3^2 - t^3 - 3 t^2, g3^2 - t^3 - 3 t^2 - 4 g3 - 3 t + 3} // Expand

Out[143]=

{2 + 3 z^6 + 4 z^9, 0}

EXAMPLE II contd. (4)

In[110]:=

```
{g1 = f1 f2^2, g2 = f2, g3 = f1, g4 = f2^2, g5 = f1 f2}
```

Out[110]=

```
{(2 + z^9) (1 + z^20)^2, 1 + z^20, 2 + z^9, (1 + z^20)^2, (2 + z^9) (1 + z^20)}
```

RECALL: $t = z^6 - 1$.

In EXAMPLE II this works for all $g_i g_j$. E.g., to reduce $g_2 g_4$ we need

In[157]:=

```
p24 = t^10 + 10 t^9 + 45 t^8 + 120 t^7 + 210 t^6 +
      252 t^5 + 210 t^4 + 120 t^3 + 45 t^2 + 10 t + 2;
```

In[159]:=

```
g2 g4 - (3 g4 - 3 g2 + p24) // Expand
```

Out[159]=

```
0
```

MONOID PROPERTY PROBLEM. To construct the g_i from the given $f_0(=t), f_1, \dots, f_n$ such that

$$\mathbb{K}[t, f_1, \dots, f_n] = \mathbb{K}[t] + \mathbb{K}[t] g_1 + \dots + \mathbb{K}[t] g_k \quad (\star)$$

we require the **MONOID PROPERTY**:

$$\langle \deg(f) : f \in \mathbb{K}[t, f_1, \dots, f_n] \rangle = \langle \deg(t), \deg(f_1), \dots, \deg(f_n) \rangle.$$

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NOTE. (\star) implies

$$\langle \deg(f) : f \in \mathbb{K}[t, f_1, \dots, f_n] \rangle = \langle \deg(t), \deg(g_1), \dots, \deg(g_k) \rangle.$$

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$$\langle \deg(f) : f \in \mathbb{K}[t, f_1, \dots, f_n] \rangle = \langle \deg(t), \deg(g_1), \dots, \deg(g_k) \rangle.$$

Back to EXAMPLE II: Here

$$\mathbb{C}[t, f_1, f_2] = \mathbb{C}[t] + \mathbb{C}[t] g_1 + \dots + \mathbb{C}[t] g_5$$

with $f_1 = g_3$ and $f_2 = g_2$. Hence the **MONOID PROPERTY** holds.

WARNING. The **MONOID PROPERTY**

$$\langle \deg(f) : f \in \mathbb{C}[t, f_1, \dots, f_n] \rangle = \langle \deg(t), \deg(f_1), \dots, \deg(f_n) \rangle$$

is not always satisfied!

EXAMPLE III.

In[161]:=

$$t = z^6 - 1; \quad f_1 = z^9 + 2; \quad f_2 = z^{20} + 1; \quad f_3 = z^{18} + z^4;$$

Here we have

$$f_3 - (t^3 + 3t^2 + 3t) = z^4 + 1.$$

WARNING. The **MONOID PROPERTY**

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$$t = z^6 - 1; \quad f_1 = z^9 + 2; \quad f_2 = z^{20} + 1; \quad f_3 = z^{18} + z^4;$$

Here we have

$$f_3 - (t^3 + 3t^2 + 3t) = z^4 + 1.$$

SOLUTION: add $f_4 := z^4 + 1$ (i.e., $\mathbb{K}[t, f_1, f_2] \rightsquigarrow \mathbb{K}[t, f_1, f_2, f_4]$) and **update** the module basis as in the **nuggets example**:

In[184]:=

$$f_4 = z^4 + 1;$$

In[185]:=

$$\{G_1 = f_1 f_4, G_2 = f_4^2, G_3 = f_1, G_4 = f_4, G_5 = f_1 f_4^2\}$$

Out[185]=

$$\left\{ (1 + z^4) (2 + z^9), (1 + z^4)^2, 2 + z^9, 1 + z^4, (1 + z^4)^2 (2 + z^9) \right\}$$

EXAMPLE III contd. (1)

SOLUTION: add $f_4 := z^4 + 1$ (i.e., $\mathbb{K}[t, f_1, f_2] \rightsquigarrow \mathbb{K}[t, f_1, f_2, f_4]$);
i.e., **update** the generators as in the **nuggets problem**:

In[184]:=

$$f4 = z^4 + 1;$$

In[185]:=

$$\{G1 = f1 f4, G2 = f4^2, G3 = f1, G4 = f4, G5 = f1 f4^2\}$$

Out[185]=

$$\left\{ (1 + z^4) (2 + z^9), (1 + z^4)^2, 2 + z^9, 1 + z^4, (1 + z^4)^2 (2 + z^9) \right\}$$

EXAMPLE III contd. (1)

SOLUTION: add $f_4 := z^4 + 1$ (i.e., $\mathbb{K}[t, f_1, f_2] \rightsquigarrow \mathbb{K}[t, f_1, f_2, f_4]$);
i.e., **update** the generators as in the **nuggets problem**:

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$$\{G1 = f1 f4, G2 = f4^2, G3 = f1, G4 = f4, G5 = f1 f4^2\}$$

Out[185]=

$$\left\{ (1 + z^4) (2 + z^9), (1 + z^4)^2, 2 + z^9, 1 + z^4, (1 + z^4)^2 (2 + z^9) \right\}$$

NOTE. Now all the f_i and $G_i G_j$ are in the $\mathbb{C}[t]$ -module. —

The G_i -degrees are much smaller

$$\{13, 8, 9, 4, 17\} \equiv \{1, 2, 3, 4, 5\} \pmod{6};$$

in comparison to the g_i -degrees

$$\{49, 20, 9, 40, 29\} \equiv \{1, 2, 3, 4, 5\} \pmod{6}.$$

The Modular Module Point of View

$$\Gamma_0(\ell) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}) : \ell \mid c \right\}$$

$f \in M(\ell)$; i.e., f is a MODULAR FUNCTION for $\Gamma_0(\ell) : \Leftrightarrow$

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$f \in M(\ell)$; i.e., f is a MODULAR FUNCTION for $\Gamma_0(\ell) : \Leftrightarrow$

- f is analytic on the upper half complex plane.
- f satisfies the modular transformation property

$$(f | \gamma)(\tau) := f\left(\frac{a\tau + b}{c\tau + d}\right) = f(\tau) \text{ for all } \gamma \in \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(\ell).$$

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- f is analytic on the upper half complex plane.
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$$(f \mid \gamma)(\tau) := f\left(\frac{a\tau + b}{c\tau + d}\right) = f(\tau) \text{ for all } \gamma \in \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(\ell).$$

- For $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$ and $\mathrm{Im}(\tau)$ big:

$$f\left(\frac{a\tau + b}{c\tau + d}\right) = \sum_{n=\mathrm{ord}_{a/c} f}^{\infty} a_n q_w^n \text{ where } q_w := q_w(\tau) = e^{2\pi i \tau / w}$$

and $w = w(a/c) := \ell / \mathrm{gcd}(c^2, \ell)$.

Example. $q := q_1(\tau) = e^{2\pi i\tau}$, $W := \begin{pmatrix} 0 & -1 \\ 11 & 0 \end{pmatrix}$,

$$f(\tau) := z_{11}(\tau) = q^5 \frac{(q^{11}; q^{11})_{\infty}^{12}}{(q; q)_{\infty}^{12}} \in M_{\mathbb{Z}}^0(11) \text{ and } f | W \in M^{\infty}(11) :$$

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$f(\tau) := z_{11}(\tau) = q^5 \frac{(q^{11}; q^{11})_{\infty}^{12}}{(q; q)_{\infty}^{12}} \in M_{\mathbb{Z}}^0(11)$ and $f|W \in M^{\infty}(11)$:

$$f\left(\frac{1\tau + 0}{0\tau + 1}\right) = q^5 + 12q^6 + O(q^9), \quad \text{ord}_{\infty} f = 5;$$

$$f\left(\frac{0\tau - 1}{1\tau + 0}\right) = \frac{1}{11^6} \left(\frac{1}{q^{5/11}} - \frac{12}{q^{4/11}} + O((q^{1/11})^{-2}) \right), \quad \text{ord}_0 f = -5.$$

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$$(z_{11} | W)(\tau) := z_{11} | \begin{pmatrix} 0 & -1 \\ 11 & 0 \end{pmatrix}(\tau) = z_{11}\left(-\frac{1}{11\tau}\right) = \frac{11^{-6}}{z_{11}(\tau)}.$$

Zero test in $M^\infty(\ell)$, ℓ prime

$$f = \sum_{n=-M}^{\infty} a(n)q^n \in M^\infty(\ell), \quad g = \sum_{n=-N}^{\infty} b(n)q^n \in M^\infty(\ell)$$

$$f = g \Leftrightarrow$$

Zero test in $M^\infty(\ell)$, ℓ prime

$$f = \sum_{n=-M}^{\infty} a(n)q^n \in M^\infty(\ell), \quad g = \sum_{n=-N}^{\infty} b(n)q^n \in M^\infty(\ell)$$

$f = g \Leftrightarrow M = N$ and

$$\frac{a_{-N}}{q^N} + \frac{a_{-N+1}}{q^{N-1}} + \cdots + a_0 = \frac{b_{-N}}{q^N} + \frac{b_{-N+1}}{q^{N-1}} + \cdots + b_0$$

Short notation for partition generating functions

$\ell = 5, 7, 11$:

$$L_\ell := q \prod_{k=1}^{\infty} (1 - q^{\ell k}) \sum_{n=0}^{\infty} p(\ell n + \mu_\ell) q^n \in M_{\mathbb{Z}}^0(\ell).$$

where

$$\mu_\ell := \begin{cases} 4, & \text{if } \ell = 5 \\ 5, & \text{if } \ell = 7 \\ 6, & \text{if } \ell = 11 \end{cases}$$

Ramanujan's witness identities for $\ell = 5, 7$:

$$L_5 = 5z_5$$

where

$$z_5 := q \frac{(q^5; q^5)_\infty^6}{(q; q)_\infty^6} \in M_{\mathbb{Z}}^0(5)$$

Ramanujan's witness identities for $\ell = 5, 7$:

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$$L_7 = 7z_7 + 49z_7^2$$

where

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where

$$z_7 := q \frac{(q^7; q^7)_\infty^4}{(q; q)_\infty^4} \in M_{\mathbb{Z}}^0(7)$$

Module point of view for $\ell = 5, 7$:

$$\begin{aligned} M_{\mathbb{Z}}^0(\ell) &= \mathbb{Z}[z_\ell] = \langle \mathbf{1} \rangle_{\mathbb{Z}[\ell]} \\ &= \{p(z_\ell) \cdot \mathbf{1} : p(z_\ell) \in \mathbb{Z}[\ell]\} \end{aligned}$$

Lehner's witness identity for $\ell = 11$:

$$L_{11} = 11(11AC^2 - 11^2C + 2AC - 32C - 2)$$

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$$L_{11} = 11(11AC^2 - 11^2C + 2AC - 32C - 2)$$

Module point of view:

$$\begin{aligned} M_{\mathbb{Z}}^0(11) &= \langle \mathbf{1}, AC \rangle_{\mathbb{Z}[C]} \\ &= \{p_0(C) \cdot \mathbf{1} + p_1(C) \cdot AC : p_i(C) \in \mathbb{Z}[C]\} \end{aligned}$$

Atkin's witness identity for $\ell = 11$:

$$L_{11} = 11^4 z_{11} + 11g_2 + 2 \cdot 11^2 g_3 + 11^3 g_4$$

Atkin's witness identity for $\ell = 11$:

$$L_{11} = 11^4 z_{11} + 11g_2 + 2 \cdot 11^2 g_3 + 11^3 g_4$$

Module point of view:

$$\begin{aligned} M_{\mathbb{Z}}^0(11) &= \langle 1, g_2, g_3, g_4, g_6 \rangle_{\mathbb{Z}[z_{11}]} \\ &= \{p_0(z_{11}) \cdot 1 + p_2(z_{11}) \cdot g_2 + p_3(z_{11}) \cdot g_3 \\ &\quad + p_4(z_{11}) \cdot g_4 + p_6(z_{11}) \cdot g_6 : \\ &\quad p_i(z_{11}) \in \mathbb{Z}[z_{11}]\} \end{aligned}$$

NOTE. $g_6 := g_2 g_4$.

Radu's witness identity for $\ell = 11$:

$$\begin{aligned} \frac{w_6^2 w_7}{w_3^2} L_{11} = & 1078t^4 + 13893t^3 + 31647t^2 + 11209t - 21967 \\ & + s_1(187t^3 + 5390t^2 + 594t - 9581) \\ & + s_2(11t^3 + 2761t^2 + 5368t - 6754); \end{aligned}$$

t, s_1, s_2 are \mathbb{Q} -linear combinations of 3 eta quotients in $M_{\mathbb{Z}}^{\infty}(22)$:

$$\left(\frac{\eta(2\tau)}{\eta(\tau)}\right)^a \left(\frac{\eta(11\tau)}{\eta(\tau)}\right)^b \left(\frac{\eta(22\tau)}{\eta(\tau)}\right)^c = q^{\square} \prod_{k=1}^{\infty} \frac{(1 - q^{2k})^a (1 - q^{11k})^b (1 - q^{22k})^c}{(1 - q^k)^{a+b+c}}$$

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Module point of view:

$$\begin{aligned} M_{\mathbb{Z}}^{\infty}(22) &= \langle 1, s_1, s_2 \rangle_{\mathbb{Z}[t]} \\ &= \{p_0(t) \cdot 1 + p_1(t) \cdot s_1 + p_2(t) \cdot s_2 : p_i(t) \in \mathbb{Z}[t]\} \end{aligned}$$

Hemmecke's witness identity for $\ell = 11$:

$$\begin{aligned}
 F := \frac{w_6^2 w_7}{w_3^2} L_{11} &= 11^2 \cdot 3068 w_7 + 11^2 (3w_1 + 4236) w_6 \\
 &+ 11(285w_1 + 11 \cdot 5972) w_5 + \frac{11}{8} (w_1^2 + 11 \cdot 4497 w_1 + 11^2 \cdot 3156) w_3 \\
 &+ 11(1867w_1 + 11 \cdot 2476) w_4 \\
 &- \frac{11}{8} (w_1^3 + 1011w_1^2 + 11 \cdot 6588w_1 + 11^2 \cdot 10880),
 \end{aligned}$$

$$w_j := q^{\square} \prod_{k=1}^{\infty} \frac{(1 - q^{2k})^{a_1(j)} (1 - q^{11k})^{a_2(j)} (1 - q^{22k})^{a_3(j)}}{(1 - q^k)^{a_1(j) + a_2(j) + a_3(j)}} \in M_{\mathbb{Z}}^{\infty}(22).$$

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Module point of view: choosing $t := w_1, h_1, h_2$ [\rightarrow next page],

$$F \in C[w_1, \dots, w_7] = \langle 1, h_1, h_2, w_3, w_4, w_6 \rangle_{C[t]},$$

$C :=$ rational numbers with denominators not divisible by 11; an Euclidean domain with “degree” function the 11-adic evaluation

$$v\left(\frac{a}{b}\right) = v(\pm 2^{\alpha} 3^{\beta} \dots 11^{\gamma} \dots) := \gamma.$$

$$\begin{aligned}h_1 &= \frac{1}{2^{10}}(8w_7 - 40w_6 + 168w_5 + (2343 - w_1)w_3 \\ &\quad - 680w_4 + w_1^2 + 505w_1), \\ &= 11q^{-3} + 11q^{-1} - \frac{11^2}{2^5} + O(q), \\ h_2 &= \frac{1}{2^3}(w_3 - w_1) \\ &= q^{-4} - 2q^{-3} + 2q^{-2} + 3 + O(q)\end{aligned}$$

The witness identity by P. and Radu for $\ell = 11$:

$$f^5 = p_0(\bar{z}_{11}) + p_1(\bar{z}_{11})f + p_2(\bar{z}_{11})f^2 + p_3(\bar{z}_{11})f^3 + p_4(\bar{z}_{11})f^4$$

where

$$f := \bar{z}_{11} L_{11} = q \bar{z}_{11} \prod_{k=1}^{\infty} (1 - q^{11k}) \sum_{n=0}^{\infty} p(11n + 6) q^n$$

with

$$\bar{z}_{11} := \frac{1}{z_{11}} = q^{-5} \prod_{k=1}^{\infty} \left(\frac{1 - q^k}{1 - q^{11k}} \right)^{12} \in M_{\mathbb{Z}}^{\infty}(11).$$

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Module point of view:

$$\begin{aligned} \mathbb{C}[\bar{z}_{11}, f] &= \mathbb{C}[\bar{z}_{11}] + \mathbb{C}[\bar{z}_{11}] f + \mathbb{C}[\bar{z}_{11}] f^2 + \mathbb{C}[\bar{z}_{11}] f^3 + \mathbb{C}[\bar{z}_{11}] f^4 \\ &= \langle 1, f, f^2, f^3, f^4 \rangle_{\mathbb{C}[\bar{z}_{11}]} \end{aligned}$$

Atkin's Generators Revisited

$$M_{\mathbb{Z}}^0(11) = \langle 1, g_2, g_3, g_4, g_6 \rangle_{\mathbb{Z}[z_{11}]}.$$

NOTE. g_2, g_3, g_4 are as in Atkin's 11-witness identity;
in addition, $g_6 := g_2 g_4$.

$$\text{Recall: } z_{11} := q^5 \frac{(q^{11}; q^{11})_{\infty}^{12}}{(q; q)_{\infty}^{12}} \in M_{\mathbb{Z}}^0(11), \quad g_4 := g_2^2 - g_3,$$

$$M_{\mathbb{Z}}^0(11) = \langle 1, g_2, g_3, g_4, g_6 \rangle_{\mathbb{Z}[z_{11}]}.$$

NOTE. g_2, g_3, g_4 are as in Atkin's 11-witness identity;
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Recall: $z_{11} := q^5 \frac{(q^{11}; q^{11})_{\infty}^{12}}{(q; q)_{\infty}^{12}} \in M_{\mathbb{Z}}^0(11), \quad g_4 := g_2^2 - g_3,$

$$10 g_2 := 11^2 \bar{u}_{11}^5 - \frac{\sum_{n=0}^{\infty} \left(1 + \left(\frac{n-3}{11}\right)\right) p_5(n) q^n}{(q; q)_{\infty}^5}$$

with

$$\bar{u}_{11} := q^5 \frac{(q^{121}; q^{121})_{\infty}}{(q; q)_{\infty}} \quad \text{and} \quad (q; q)_{\infty}^r := \sum_{n=0}^{\infty} p_r(n) q^n,$$

$$14 g_3 := -14 g_2 + 11^3 \bar{u}_{11}^7 - \frac{\sum_{n=0}^{\infty} \left(1 + \left(\frac{2-n}{11}\right)\right) p_7(n) q^n}{(q; q)_{\infty}^7}.$$

\rightsquigarrow Are there alternative functions or better representations of the g_i ?

$$(\text{ord}_\infty g_2, \text{ord}_\infty g_3, \text{ord}_\infty g_4, \text{ord}_\infty g_6) = (1, 2, 3, 4) :$$

$$g_2 = q + 5q^2 + O(q^3), \quad g_3 = q^2 + 9q^3 + O(q^4),$$

$$g_4 = q^3 + 14q^4 + O(q^5), \quad g_6 = q^4 + 19q^5 + O(q^6)$$

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$$(\text{ord}_0 g_2, \text{ord}_0 g_3, \text{ord}_0 g_4, \text{ord}_0 g_6) = (-2, -3, -4, -6) :$$

$$g_2 | W = \frac{1}{11^2} \left(\frac{1}{q^2} + \frac{2}{q} - \dots \right), \quad g_3 | W = \frac{1}{11^3} \left(\frac{1}{q^3} - \frac{3}{q^2} - \dots \right),$$

$$g_4 | W = \frac{1}{11^4} \left(\frac{1}{q^4} - \frac{1}{q^3} + \dots \right), \quad g_6 | W = \frac{1}{11^6} \left(\frac{1}{q^6} - \frac{5}{q^3} - \dots \right).$$

Recall,

$$W = \begin{pmatrix} 0 & -1 \\ 11 & 0 \end{pmatrix} \text{ and } \text{ord}_0 f = \text{ord}_\infty f | W.$$

Which $J_i = \eta(\tau)^{r_1(i)} \eta(11\tau)^{r_{11}(i)} \in M_{\mathbb{Z}}^0(11)$ satisfy

$$(\text{ord}_0 J_2, \text{ord}_0 J_3, \text{ord}_0 J_4, \text{ord}_0 J_6) = (-2, -3, -4, -6)?$$

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By Ligozat's formula and Newman's Lemma this translates into

$$11r_1(i) + r_{11}(i) = -120, r_1(i) + r_{11}(i) = 0, r_1(i) + 11r_{11}(i) = 24a(i), \\ 11r_1(i) + r_{11}(i) = 24b(i), \text{ and } r_{11}(i) = 2c(i), i = 2, 3, 4, 6.$$

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- No integer solution for $i = 2, 3, 4, 6$.
- For $i = 5$: exactly one solution, z_{11} with $\text{ord}_0 z_{11} = -5$;
 $(r_1(5), r_{11}(5)) = (-12, 12)$ and $(a(5), b(5), c(5)) = (5, -5, 6)$.

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\rightsquigarrow Increase the "search space" to find alternatives J_i to Atkin's g_i :

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\rightsquigarrow Increase the "search space" to find alternatives J_i to Atkin's g_i :

- ▶ by allowing non-eta quotients;
- ▶ by extending from $M(11)$ to $M(22)$; etc.

TASK 1. Construct $F_i \in M^\infty(11)$, $i = 2, 3, 4, 6$, such that

$$\text{ord}_\infty F_i = -i \text{ and } \text{ord}_0 F_i \geq 0.$$

Then $\text{ord}_0 J_i = -i$ for $J_i := F_i | W \in M^0(11)$.

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Then $\text{ord}_0 J_i = -i$ for $J_i := F_i | W \in M^0(11)$.

TASK 2. Turn the J_i into $g_i \in M^0(11)$ such that $\text{ord}_0 g_i = -i$ remains valid, and

$$(\text{ord}_\infty g_2, \text{ord}_\infty g_3, \text{ord}_\infty g_4, \text{ord}_\infty g_6) = (1, 2, 3, 4).$$

Recall TASK 1. Construct $F_i \in M^\infty(11)$, $i = 2, 3, 4, 6$, such that

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Then $\text{ord}_0 J_i = -i$ for $J_i := F_i \mid W \in M^0(11)$.

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Then $\text{ord}_0 J_i = -i$ for $J_i := F_i | W \in M^0(11)$.

$$\Gamma_0(11) = \Gamma_0(22) \cup \Gamma_0(22)V \cup \Gamma_0(22)V^2 \text{ for } V = \begin{pmatrix} 1 & 1 \\ 11 & 12 \end{pmatrix}.$$

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 $\text{ord}_\infty F_i = -i$ and $\text{ord}_0 F_i \geq 0$.

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$$\Gamma_0(11) = \Gamma_0(22) \cup \Gamma_0(22)V \cup \Gamma_0(22)V^2 \text{ for } V = \begin{pmatrix} 1 & 1 \\ 11 & 12 \end{pmatrix}.$$

F_2 : Hence for any $f_2 \in M^\infty(22)$,

$$F_2 := f_2 + f_2 | V + f_2 | V^2 \in M^\infty(11).$$

To have $\text{ord}_\infty F_2 = -2$, we solve the linear Ligozat-Newman system with ansatz $f_2 = \eta(\tau)^{r_1} \eta(2\tau)^{r_2} \eta(11\tau)^{r_{11}} \eta(22\tau)^{r_{22}}$ s.t.

$$f_2 \in M^\infty(22) \text{ and } \text{ord}_\infty f_2 = -2 \text{ and } \text{ord}_{1/11} f_2 \geq -4 :$$

Solution:
$$f_2(\tau) := \frac{\eta(\tau)\eta(2\tau)^3}{\eta(11\tau)^3\eta(22\tau)} = q^{-2} \prod_{k=1}^{\infty} \frac{(1-q^k)(1-q^{2k})^3}{(1-q^{11k})^3(1-q^{22k})}.$$

F_3 : Next for $f_3 \in M^\infty(22)$,

$$F_3 := f_3 + f_3 | V + f_3 | V^2 \in M^\infty(11).$$

To have $\text{ord}_\infty F_3 = -3$, we solve the linear Ligozat-Newman system with ansatz $f_3 = \eta(\tau)^{r_1} \eta(2\tau)^{r_2} \eta(11\tau)^{r_{11}} \eta(21\tau)^{r_{22}}$ s.t.

$$f_3 \in M^\infty(22) \text{ and } \text{ord}_\infty f_3 = -3 \text{ and } \text{ord}_{1/11} f_3 \geq -6 :$$

$$\text{Solution: } f_3(\tau) := \frac{\eta(\tau)^3 \eta(2\tau)}{\eta(11\tau) \eta(22\tau)^3} = q^{-3} \prod_{k=1}^{\infty} \frac{(1 - q^k)^3 (1 - q^{2k})}{(1 - q^{11k})(1 - q^{22k})^3}.$$

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$$f_3 \in M^\infty(22) \text{ and } \text{ord}_\infty f_3 = -3 \text{ and } \text{ord}_{1/11} f_3 \geq -6 :$$

Solution:
$$f_3(\tau) := \frac{\eta(\tau)^3 \eta(2\tau)}{\eta(11\tau) \eta(22\tau)^3} = q^{-3} \prod_{k=1}^{\infty} \frac{(1 - q^k)^3 (1 - q^{2k})}{(1 - q^{11k})(1 - q^{22k})^3}.$$

F_4 and F_6 : Finally, for $i = 4$ and $i = 6$,

$$F_i := f_i + f_i | V + f_i | V^2 \in M^\infty(11)$$

with

$$f_4 := f_2^2 = \frac{1}{q^4} + O(q^{-3}) \text{ and } f_6 := f_3^2 = \frac{1}{q^6} + O(q^{-5}) \text{ in } M^\infty(22).$$

$$V = \begin{pmatrix} 1 & 1 \\ 11 & 12 \end{pmatrix}, W = \begin{pmatrix} 0 & -1 \\ 11 & 0 \end{pmatrix}, U_2 \sum_{n=N}^{\infty} a(n)q^n := \sum_{2n \geq N}^{\infty} a(2n)q^n:$$

$$\begin{aligned} F_i(\tau) &:= f_i(\tau) + (f_i | V)(\tau) + (f_i | V^2)(\tau) \\ &= f_i(\tau) + 2 U_2((f_i | V)(2\tau)) \in M^{\infty}(11) \end{aligned}$$

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$$\begin{aligned} (f_2 | V)(2\tau) &= -\frac{1}{2}f_3(\tau) \text{ and } (f_3 | V)(2\tau) = -2f_2(\tau), \text{ and} \\ (f_2 | W)(2\tau) &= \frac{11^2}{2} \frac{1}{f_2(\tau)} \text{ and } (f_3 | W)(2\tau) = 2 \cdot 11^2 \frac{1}{f_3(\tau)}. \end{aligned}$$

This gives compact representations for the F_i , resp. J_i :

Recall

$$f_2(\tau) := \frac{\eta(\tau)\eta(2\tau)^3}{\eta(11\tau)^3\eta(21\tau)} = q^{-2} \prod_{k=1}^{\infty} \frac{(1-q^k)(1-q^{2k})^3}{(1-q^{11k})^3(1-q^{22k})}.$$

$$f_3(\tau) := \frac{\eta(\tau)^3\eta(2\tau)}{\eta(11\tau)\eta(21\tau)^3} = q^{-3} \prod_{k=1}^{\infty} \frac{(1-q^k)^3(1-q^{2k})}{(1-q^{11k})(1-q^{22k})^3}.$$

$$U_2 \sum_{n=N}^{\infty} a(n)q^n := \sum_{2n \geq N}^{\infty} a(2n)q^n.$$

$$F_2(\tau) = f_2(\tau) - (U_2 f_3)(\tau) = q^{-2} + 2q^{-1} - 12 + 5q + 8q^2 + \dots;$$

$$F_3(\tau) = f_3(\tau) - 4(U_2 f_2)(\tau) = q^{-3} - 3q^{-2} - 5q^{-1} + 24 - 13q - \dots;$$

$$F_4(\tau) = f_2(\tau)^2 + \frac{1}{2}(U_2 f_3^2)(\tau) = q^{-4} - \frac{3}{2}q^{-3} - \frac{7}{2}q^{-2} - \frac{21}{2}q^{-1} + \dots;$$

$$F_6(\tau) = f_3(\tau)^2 + 8(U_2 f_2^2)(\tau) = q^{-6} - 6q^{-5} + 7q^{-4} + 22q^{-3} + \dots$$

Recall $J_i := F_i | W$:

$$J_2(\tau) = -11^2 \left(\frac{1}{f_3} - U_2 \frac{1}{f_2} \right) (\tau) = 11^2 (q + 5q^2 + \dots);$$

$$J_3(\tau) = -11^2 \left(\frac{1}{f_2} - 4U_2 \frac{1}{f_3} \right) (\tau) = 11^2 (11q^2 + 99q^3 + \dots);$$

$$J_4(\tau) = 11^4 \left(\frac{1}{f_3^2} + \frac{1}{2} U_2 \frac{1}{f_2^2} \right) (\tau) = 11^4 \left(\frac{1}{2} q^2 + \frac{11}{2} q^3 + \dots \right);$$

$$J_6(\tau) = 11^4 \left(\frac{1}{f_2^2} + 8U_2 \frac{1}{f_3^2} \right) (\tau) = 11^4 (8q^3 + 233q^4 + \dots).$$

Recall $J_i := F_i | W$:

$$J_2(\tau) = -11^2 \left(\frac{1}{f_3} - U_2 \frac{1}{f_2} \right) (\tau) = 11^2 (q + 5q^2 + \dots);$$

$$J_3(\tau) = -11^2 \left(\frac{1}{f_2} - 4U_2 \frac{1}{f_3} \right) (\tau) = 11^2 (11q^2 + 99q^3 + \dots);$$

$$J_4(\tau) = 11^4 \left(\frac{1}{f_3^2} + \frac{1}{2} U_2 \frac{1}{f_2^2} \right) (\tau) = 11^4 \left(\frac{1}{2} q^2 + \frac{11}{2} q^3 + \dots \right);$$

$$J_6(\tau) = 11^4 \left(\frac{1}{f_2^2} + 8U_2 \frac{1}{f_3^2} \right) (\tau) = 11^4 (8q^3 + 233q^4 + \dots).$$

Recall TASK 2. Turn the J_i into $g_i \in M^0(11)$ such that $\text{ord}_0 g_i = -i$ remains valid, and

$$(\text{ord}_\infty g_2, \text{ord}_\infty g_3, \text{ord}_\infty g_4, \text{ord}_\infty g_6) = (1, 2, 3, 4).$$

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$$g_4 := \frac{J_4}{11^4} - \frac{1}{2} \frac{J_3}{11^3} = q^3 + \dots \in M_{\mathbb{Z}}^0(11).$$

Proof. $\frac{J_4}{11^4} - \frac{1}{2} \frac{J_3}{11^3} = \frac{F_4 | W}{11^4} - \frac{1}{2} \frac{F_3 | W}{11^3} = z_{11} F_2 \in M_{\mathbb{Z}}^0(11).$

Recall $J_i := F_i | W$:

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New representations of Atkin's g_i :

$$g_2(\tau) = \frac{J_2(\tau)}{11^2} = q + q^5 + \dots \in M_{\mathbb{Z}}^0(11);$$

$$g_3(\tau) = \frac{J_3(\tau)}{11^3} = q^2 + 9q^3 + \dots \in M_{\mathbb{Z}}^0(11);$$

$$g_4(\tau) = \frac{J_4(\tau)}{11^4} - \frac{1}{2} \frac{J_3(\tau)}{11^3} = q^3 + O(q^4) \in M_{\mathbb{Z}}^0(11).$$

$$g_6(\tau) = \frac{J_6(\tau)}{11^6} - 8 \frac{J_4(\tau)}{11^6} + 4 \frac{J_3(\tau)}{11^5} = q^4 + O(q^5) \in M_{\mathbb{Z}}^0(11).$$

Atkin's functions:

$$g_2(\tau) = \frac{J_2(\tau)}{11^2} = q + q^5 + \cdots \in M_{\mathbb{Z}}^0(11);$$

$$g_3(\tau) = \frac{J_3(\tau)}{11^3} = q^2 + 9q^3 + \cdots \in M_{\mathbb{Z}}^0(11);$$

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NOTE.

$$\left(\frac{J_4}{11^4} - \frac{1}{2} \frac{J_3}{11^3} \right) \bar{z}_{11} = F_2;$$

$$\left(\frac{J_6}{11^6} - 8 \frac{J_4}{11^6} + 4 \frac{J_3}{11^5} \right) \bar{z}_{11}^2 = F_6 - 8F_4 + 44F_3.$$

This can be algorithmically derived and proved.

A New 11-Witness Identity

Recall: Atkin found

$$\begin{aligned}L_{11} &= q \prod_{k=1}^{\infty} (1 - q^{11k}) \sum_{n=0}^{\infty} p(11n + 6)q^n \\ &= 11^4 z_{11} + 11g_2 + 2 \cdot 11^2 g_3 + 11^3 g_4 \\ &= \frac{1}{11} J_4 - \frac{7}{22} J_3 + \frac{1}{11} J_2 + 11^4 z_{11}.\end{aligned}$$

We express the J_i in terms of f_2 and f_3 :

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 L_{11} &= q \prod_{k=1}^{\infty} (1 - q^{11k}) \sum_{n=0}^{\infty} p(11n + 6) q^n \\
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We express the J_i in terms of f_2 and f_3 :

$$\begin{aligned}
 L_{11} &= 11^3 \left(\frac{1}{f_3^2} + \frac{1}{2} U_2 \frac{1}{f_2^2} \right) + \frac{7}{2} 11 \left(\frac{1}{f_2} - 4U_2 \frac{1}{f_3} \right) \\
 &\quad - 11 \left(\frac{1}{f_3} - U_2 \frac{1}{f_2} \right) + 11^4 z_{11}
 \end{aligned}$$

$$L_{11} = 11^3 \left(\frac{1}{f_3^2} + \frac{1}{2} U_2 \frac{1}{f_2^2} \right) + \frac{7}{2} 11 \left(\frac{1}{f_2} - 4U_2 \frac{1}{f_3} \right) \\ - 11 \left(\frac{1}{f_3} - U_2 \frac{1}{f_2} \right) + 11^4 z_{11}$$

Recall: $z_{11} := q^5 \frac{(q^{11}; q^{11})_{\infty}^{12}}{(q; q)_{\infty}^{12}} \in M_{\mathbb{Z}}^0(11),$

$$f_2(\tau) := \frac{\eta(\tau)\eta(2\tau)^3}{\eta(11\tau)^3\eta(21\tau)} = q^{-2} \prod_{k=1}^{\infty} \frac{(1-q^k)(1-q^{2k})^3}{(1-q^{11k})^3(1-q^{22k})}.$$

$$f_3(\tau) := \frac{\eta(\tau)^3\eta(2\tau)}{\eta(11\tau)\eta(21\tau)^3} = q^{-3} \prod_{k=1}^{\infty} \frac{(1-q^k)^3(1-q^{2k})}{(1-q^{11k})(1-q^{22k})^3}.$$

$$U_2 \sum_{n=N}^{\infty} a(n)q^n := \sum_{2n \geq N}^{\infty} a(2n)q^n.$$

Conclusion

Recall:

- ▶ Regarding $\ell = 11$ Watson states: “Da die Untersuchung der Aussage über 11^α recht langweilig ist, verschiebe ich den Beweis dieses Falles auf eine spätere Abhandlung”.
 - ▶ Atkin ends with the comment: “We may observe finally that, in comparison with $\ell = 5$ and $\ell = 7$, this proof is indeed “langweilig” [i.e., “boring”] as Watson suggested.”
-

We disagree!

What about $p(25) = 1958$?

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Kolberg [Mathematica Scandinavica, 1957]:

$$\begin{aligned} & \left(\sum_{n=0}^{\infty} p(5n)q^n \right) \left(\sum_{n=0}^{\infty} p(5n+3)q^n \right) \\ &= 3 \prod_{j=1}^{\infty} \frac{(1-q^{5j})^6}{(1-q^j)^4} + 25q \prod_{j=1}^{\infty} \frac{(1-q^{5j})^{10}}{(1-q^j)^{12}}. \end{aligned}$$

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Given the left side, the right side is produced by Radu's Ramanujan-Kolberg algorithm.

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Mathematica implementation by Nicolas Smoot.

Happy Birthday, Christian!



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