# Unbalanced Riemannian Metric Transport and the Wasserstein-Ebin Metric

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This talk is dedicated to Jan Slovák on the occasion of his 65th birthday celebration

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# **Unbalanced Riemannian Metric Transport**

This is joint work with M. Bauer and FX Viallard: We present weak Riemannian metrics on 6 different spaces related to the space of all Riemannian metrics which fit together via Riemannian submersions. Its geodesics are like the unbalanced version of the Brenier-Otto optimal transport with the most interesting version on the space of Riemannian metrics.

[1] J-D Benamou and Y Brenier. A computational fluid mechanics solution to the monge-kantorovich mass transfer problem. Numerische Mathematik, 84(3):375–393, 2000

[2] T Gallouët and F-X Vialard. The Camassa-Holm Equation as an Incompressible Euler Equation: A Geometric Point of View. Journal of Differential Equations, 264(7):4199–4234, 2018.

[3] Felix Otto. The Geometry of Dissipative Evolution Equations: The Porous Medium Equation. Communications in Partial Differential Equations, 26(1-2):101–174, 2001.

## The spaces

Let  $(M, g_0)$  be a compact manifold equipped with a background Riemannian metric  $g_0$  with  $\rho_0 = \text{vol}(g_0)$ . Then let

- $ightharpoonup \mathcal{C}(M) = M \times \mathbb{R}_{>0}$ , the cone over M.
- ▶ Dens $(M) = \Gamma_{>0}(|\Lambda^d|(T^*M))$  the space of all positive densities on M.
- ightharpoonup Diff(M) the diffeomorphism group of M.
- ightharpoonup Met(M) the space of all Riemannian metrics on M.
- ▶ Gau(TM) = GL(TM) the gauge group of TM; all vector bundle automorphisms of TM over  $Id_M$ .
- ▶ Aut(TM) the automorphism group of TM. We have a splitting exact sequence  $p:\bar{\varphi}\mapsto \varphi$

splitting exact sequence 
$$\{\operatorname{Id}_{TM}\} \longrightarrow \operatorname{Gau}(TM) \xrightarrow{i} \operatorname{Aut}(TM) \xrightarrow{p:\bar{\varphi} \mapsto \varphi} \operatorname{Diff}(M) \longrightarrow \{\operatorname{Id}_{M}\}$$

The semidirect product  $\mathsf{Diff}(M) \ltimes \mathsf{Gau}(TM) = \mathsf{Aut}(TM)$  is induced by  $(\varphi, \alpha) \mapsto T\varphi \circ \alpha \in \mathsf{Aut}(TM)$  leading to

$$(\varphi, \alpha)(\varphi', \alpha') = (\varphi \circ \varphi', (T\varphi')^{-1}.\alpha.T\varphi'.\alpha'),$$

$$(\varphi, \alpha)^{-1} = (\varphi^{-1}, T\varphi.\alpha^{-1}.T\varphi^{-1}), \quad \text{for } \varphi \in \mathbb{R}$$

### **Theorem**

Consider the projections  $\pi_i$  defined via

$$\begin{split} \pi_0 &: \begin{cases} \operatorname{Aut}(\mathcal{C}(M)) \to \operatorname{Dens}(M) \\ (\varphi, \lambda) \mapsto \varphi_*(\lambda^2 \rho_0) \end{cases} \qquad \pi_1 : \begin{cases} \operatorname{Met}(M) \to \operatorname{Dens}(M) \\ g \mapsto \operatorname{vol}(g) \end{cases} \\ \pi_2 &: \begin{cases} \operatorname{Aut}(TM) \to \operatorname{Aut}(\mathcal{C}(M)) \\ (\varphi, \alpha) \mapsto (\varphi, \sqrt{|\det \alpha^{-1}|}) \end{cases} \\ \pi_3 &: \begin{cases} \operatorname{Aut}(TM) \to \operatorname{Met}(M) \\ (\varphi, \alpha) \mapsto \varphi_* \alpha_* g_0 = \varphi_*(\alpha^{-T} g_0 \alpha^{-1}) \end{cases} \\ \pi_4 &: \begin{cases} \operatorname{Aut}(TM) \to \operatorname{Diff}(M) \times \operatorname{Met}(M) \\ (\varphi, \alpha) \mapsto (\varphi, \alpha_* g_0) = (\varphi, \alpha^{-T} g_0 \alpha^{-1}) \end{cases} \\ \pi_5 &: \begin{cases} \operatorname{Diff}(M) \times \operatorname{Met}(M) \to \operatorname{Met}(M) \\ (\varphi, g) \mapsto \varphi_* g = (T\varphi)^{-T} g T \varphi^{-1} \end{cases} \end{split}$$

Then the following is a commutative diagram of Riemannian submersions:

$$(\operatorname{Aut}(TM), G^{\operatorname{Aut}(TM)}) \xrightarrow{\pi_{2}} (\operatorname{Aut}(\mathcal{C}(M)), G^{\operatorname{Aut}(\mathcal{C}(M))})$$

$$\pi_{3} \qquad (\varphi, \alpha) \mapsto (\varphi, \alpha_{*}g_{0}) \qquad (\varphi, \lambda) \mapsto (\varphi, \lambda^{2}\rho_{0}) \qquad \pi_{8} \qquad \pi_{0}$$

$$(\operatorname{Diff}(M) \times \operatorname{Met}(M), G^{W \times E}) \xrightarrow{\pi_{6}} (\operatorname{Diff}(M) \times \operatorname{Dens}(M), G^{W \times FR})$$

$$(\varphi, \alpha) \mapsto \varphi_{*}\alpha_{*}g_{0} \qquad (\varphi, \beta) \mapsto \varphi_{*}g \qquad (\varphi, \beta) \mapsto \varphi_{*}\rho \qquad \pi_{7} \qquad (\varphi, \lambda) \mapsto \varphi_{*}(\lambda^{2}\rho_{0})$$

$$(\operatorname{Met}(M), G^{WE}) \xrightarrow{g \mapsto \operatorname{vol}(g)} (\operatorname{Dens}(M), G^{WFR})$$

where the corresponding Riemannian metrics are given as follows:

$$\begin{split} G_{(\varphi,\alpha)}^{\mathrm{Aut}(TM)}((\delta\varphi,\delta\alpha),(\delta\varphi,\delta\alpha)) &= \\ &= \int \left( g_0(\delta\varphi,\delta\varphi) + d\Lambda \operatorname{Tr}(g_0\alpha^{-1}\delta\alpha g_0^{-1}\delta\alpha^{\top}\alpha^{-\top}) \right) |\det(\alpha)|^{-1} \operatorname{vol}(g_0) \\ G_{(\varphi,g)}^{W\times E}((\delta\varphi,h),(\delta\varphi,h)) &= \int_M g_0(\delta\varphi,\delta\varphi) \operatorname{vol}(g) + \frac{d\Lambda}{4} \int_M \operatorname{tr}(g^{-1}hg^{-1}h) \operatorname{vol}(g) \\ G_g^{\mathrm{WE}}(\delta g,\delta g) &= \inf_{v,h} \int_M g_0(v,v) \operatorname{vol}(g) + \frac{d\Lambda}{4} \int_M \operatorname{tr}(g^{-1}hg^{-1}h) \operatorname{vol}(g) \\ & \text{subject to:} \quad \delta g = -\mathcal{L}_v g + h \\ G_{\varphi,\lambda}^{\mathrm{Aut}(\mathcal{C}(M))}((\delta\varphi,\delta\lambda),(\delta\varphi,\delta\lambda)) &= \int_M \left(\lambda^2 g_0(\delta\varphi,\delta\varphi) + \Lambda(\delta\lambda)^2\right) \operatorname{vol}(g_0) \\ G_{\varphi,\rho}^{W\times FR}((\delta\varphi,\delta\rho)(\delta\varphi,\delta\rho)) &= \int_M g_0(\delta\varphi,\delta\varphi)\rho + \frac{d\Lambda}{4} \int_M \left(\frac{\delta\rho}{\rho}\right)^2 \rho \\ G_\rho^{\mathrm{WFR}}(\delta\rho,\delta\rho) &= \inf_{v,f} \int_M g_0(v,v)\rho + \Lambda \int_M f^2 \rho \\ &\text{subject to:} \quad \delta\rho = -\mathcal{L}_v \rho + f \rho. \end{split}$$

The second summand in the integral in the definition of the metric  $G^{\operatorname{Aut}(TM)}$  comes from the left invariant Riemannian metric on  $GL(T_xM)$  given by

$$G_A^{GL(T_xM),g_0}(X,X) = \operatorname{tr}(A^{-1}Xg_0^{-1}(A^{-1}X)^Tg_0)$$
  
=  $\operatorname{tr}(A^{-1}Xg_0^{-1}X^TA^{-T}g_0),$ 

where 
$$A^{-T} = (A^{-1})^T = (A^T)^{-1} : T_x^* M \to T_x^* M$$
.

Attention: We do not yet have a proof that the Wasserstein-Ebin metric  $G^{WE}$  on Met(M) induces a non-degenerate metric via the infimum of length of curves connecting two points.

#### Lemma

Let  $\varphi(t)$  be a smooth curve of diffeomorphisms on a manifold M locally defined for each t, with  $f_0 = \operatorname{Id}_M$ . We consider the two time dependent vector fields

$$X(t)(x) := (T_x \varphi(t))^{-1} \partial_t \varphi(t)(x), \qquad Y(t)(x) := (\partial_t \varphi(t))(\varphi(t)^{-1}(x)).$$

Then  $T(\varphi(t)).X(t) = \partial_t \varphi(t) = Y(t) \circ \varphi(t)$ , so X(t) and Y(t) are  $\varphi(t)$ -related. Moreover, for any tensor field K on M we have:

$$\begin{split} &\partial_t \varphi(t)^* K = \varphi(t)^* \mathcal{L}_{Y(t)} K = \mathcal{L}_{X(t)} \varphi(t)^* K \,. \\ &\partial_t \varphi(t)_* K = \partial_t (\varphi(t)^{-1})^* K = -\varphi(t)_* \mathcal{L}_{X(t)} K = -\mathcal{L}_{Y(t)} \varphi(t)_* K \,. \end{split}$$

## $\pi_0$ is a Riemannian submersion

Let  $(\varphi, \lambda) \in \operatorname{Aut}(\mathcal{C}(M))$  with  $\pi_0(\varphi, \lambda) = \varphi_*(\lambda^2 \rho_0) = \rho$ , and let  $Y := \delta \varphi \circ \varphi^{-1} \in \mathfrak{X}(M)$ . By the lemma we have:

$$\begin{split} T_{(\varphi,\lambda)}\pi_{0}.(\delta\varphi,\delta\lambda) &= -\mathcal{L}_{Y}\varphi_{*}(\lambda^{2}\rho_{0}) + \varphi_{*}(2\lambda.\delta\lambda.\rho_{0}) \\ &= -\mathcal{L}_{Y}\rho + \varphi_{*}(2\frac{\delta\lambda}{\lambda}).\varphi_{*}(\lambda^{2}\rho_{0}) \\ &= -\mathcal{L}_{Y}\rho + \varphi_{*}(2\frac{\delta\lambda}{\lambda}).\rho = -\mathcal{L}_{Y}\rho + f\rho, \text{ where } f = \varphi_{*}(2\frac{\delta\lambda}{\lambda}). \end{split}$$

For fixed  $(\varphi, \lambda) \in \operatorname{Aut}(\mathcal{C}(M))$  with  $\pi_0(\varphi, \lambda) = \varphi_*(\lambda^2 \rho_0) = \rho$ , i.e.,  $\rho_0 = \frac{1}{\lambda^2} \varphi^* \rho$ , we have to find the infimum of the  $G_{\varphi, \lambda}^{\operatorname{Aut}(\mathcal{C}(M))}$ -norm of all  $(\delta \varphi, \delta \lambda) \in T_{(\varphi, \lambda)} \operatorname{Aut}(\mathcal{C}(M))$  with

$$-\mathcal{L}_{Y}
ho + f
ho = \mathcal{T}_{(\varphi,\lambda)}\pi_{0}.(\delta\varphi,\delta\lambda) = \delta
ho$$
 Continuity Equation

where  $f=arphi_*(2rac{\delta\lambda}{\lambda})\in C^\infty(M,\mathbb{R}_{>0}).$ 

Fix 
$$(\varphi, \lambda) \in \operatorname{Aut}(\mathcal{C}(M))$$
 with  $\pi_0(\varphi, \lambda) = \varphi_*(\lambda^2 \rho_0) = \rho$ , i.e.,  $\rho_0 = \frac{1}{\lambda^2} \varphi^* \rho$ . Search the inf over all  $(\delta \varphi, \delta \lambda) \in T_{(\varphi, \lambda)} \operatorname{Aut}(\mathcal{C}(M))$  with  $-\mathcal{L}_Y \rho + f \rho = T_{(\varphi, \lambda)} \pi_0.(\delta \varphi, \delta \lambda) = \delta \rho$ , where  $Y := \delta \varphi \circ \varphi^{-1} \in \mathfrak{X}(M)$  and  $f = \varphi_*(2\frac{\delta \lambda}{\lambda}) \in C^\infty(M, \mathbb{R}_{>0})$ , of

$$\begin{split} G_{\varphi,\lambda}^{\operatorname{Aut}(\mathcal{C}(M))}((\delta\varphi,\delta\lambda),(\delta\varphi,\delta\lambda)) &= \int_{M} \left(\lambda^{2}g_{0}(\delta\varphi,\delta\varphi) + \Lambda(\delta\lambda)^{2}\right)\rho_{0} \\ &= \int_{M} g_{0}(\delta\varphi,\delta\varphi)\lambda^{2}\rho_{0} + \Lambda \int_{M} \delta\lambda^{2}\rho_{0} \\ &= \int_{M} g_{0}(\delta\varphi,\delta\varphi)\varphi^{*}\rho + \Lambda \int_{M} \frac{\delta\lambda^{2}}{\lambda^{2}}\varphi^{*}\rho \\ &= \int_{M} \varphi^{*}\left(g_{0}(\delta\varphi\circ\varphi^{-1},\delta\varphi\circ\varphi^{-1})\rho\right) + \frac{\Lambda}{4} \int_{M} \varphi^{*}(f^{2}\rho) \\ &= \int_{M} g_{0}(Y,Y)\rho + \frac{\Lambda}{4} \int_{M} f^{2}\rho \quad \text{subject to } -\mathcal{L}_{Y}\rho + f\rho = \delta\rho \\ &= G_{0}^{\text{WFR}}(\delta\rho,\delta\rho) \end{split}$$

This is independent of the choice of  $(\varphi, \lambda)$  which satisfy  $\pi_0(\varphi, \lambda) = \varphi_*(\lambda^2 \rho_0) = \rho$ .

# **Example Proof:** $\pi_5$ is a Riemannian submersion

It is obviously a submersion.

$$\pi_{5}(\varphi,g) = \varphi_{*}g = T^{*}\varphi^{-1} \circ g \circ T\varphi^{-1} =: \bar{g}$$

$$T_{(\varphi,g)}\pi_{5}(\delta\varphi,h) = \boxed{-\mathcal{L}_{Y}\varphi_{*}g + \varphi_{*}h} \quad \text{where } Y = \delta\varphi \circ \varphi^{-1} = \varphi_{*}X$$

$$\pi_{5}^{-1}(\bar{g}) = \{(\varphi,g) \in \text{Diff}(M) \times \text{Met}(M) : \varphi_{*}g = \bar{g}\}$$

$$= \{(\varphi,\varphi^{*}\bar{g}) : \varphi \in \text{Diff}(M)\}$$

$$T_{(\varphi,\varphi^{*}\bar{g})}\pi_{5}^{-1}(\bar{g}) = \{(Y \circ \varphi,\varphi^{*}\mathcal{L}_{Y}\bar{g}) : Y \in \mathfrak{X}(M)\}$$

Note the appearence of the continuity equation in  $T\pi_5$ .

We do not have access to a horizontal bundle, thus we proceed as follows.  $\pi_5: \mathsf{Diff}(M) \times \mathsf{Met}(M) \to \mathsf{Met}(M)$  is a Riemannian submersion in the sense that

 $T_{(\varphi,g)}\pi_5: T_{\varphi} \operatorname{Diff}(M) \times T_g \operatorname{Met}(M) \to T_{\varphi_*g} \operatorname{Met}(M)$  is a norm-quotient mapping independently of  $\varphi$ .

For 
$$g = \varphi^* \bar{g}$$
 and  $k = T_{(\varphi,g)} \pi_5(\delta \varphi, h) = -\mathcal{L}_Y \varphi_* g + \varphi_* h = -\mathcal{L}_Y \bar{g} + \varphi_* h \in T_{\bar{g}} \operatorname{Met}(M)$  we have 
$$\|k\|_{G_{\bar{g}}^{WE}}^2 = G_{\bar{g}}^{WE}(k,k) = G_{\varphi_*g}^{WE}(T_{(\varphi,g)} \pi_5(\delta \varphi, h), T_{(\varphi,g)} \pi_5(\delta \varphi, h))$$

$$= \inf \left\{ \int_M g_0(Y,Y) \operatorname{vol}(\bar{g}) + \frac{d\Lambda}{4} \int_M \operatorname{tr}(\bar{g}^{-1} \bar{h} \bar{g}^{-1} \bar{h}) \operatorname{vol}(\bar{g}) : \right.$$

$$Y \in \mathfrak{X}(M), \bar{h} \in T_{\bar{g}} \operatorname{Met}(M) \text{ with } k = -\mathcal{L}_Y \bar{g} + \bar{h} \right\}$$

$$= \inf \left\{ \int_M g_0(Y \circ \varphi, Y \circ \varphi) \operatorname{vol}(g) + \frac{d\Lambda}{4} \int_M \operatorname{tr}(g^{-1} h g^{-1} h) \operatorname{vol}(g) : \right.$$

$$Y \in \mathfrak{X}(M), h = \varphi^* \bar{h} \in T_g \operatorname{Met}(M) \text{ with } k = -\mathcal{L}_Y \bar{g} + \bar{h} \right\}$$

where in the end we applied  $\varphi^*$  to both integrands.

This should be equal to

$$\begin{split} \inf \left\{ \left\| (Y \circ \varphi, h) \right\|^2_{G_{(\varphi, g)}^{W \times E}} &: (Y \circ \varphi, h) \in \mathit{T}_{\varphi} \, \mathsf{Diff}(\mathit{M}) \times \mathit{T}_{g} \, \mathsf{Met}(\mathit{M}) \\ & h \in \mathit{T}_{g} \, \mathsf{Met}(\mathit{M}), \, Y \in \mathfrak{X}(\mathit{M}) \, \, \mathsf{with} \, \, \mathit{T}_{(\varphi, \varphi^* \bar{g})} \pi_5(Y \circ \varphi, h) = k \right\} \\ &= \inf \left\{ \int_{\mathit{M}} g_0(Y \circ \varphi, Y \circ \varphi) \, \mathsf{vol}(g) + \frac{d \Lambda}{16} \int_{\mathit{M}} \mathrm{tr}(g^{-1} h g^{-1} h) \, \mathsf{vol}(g) : \\ & h \in \mathit{T}_{g} \, \mathsf{Met}(\mathit{M}), \, Y \in \mathfrak{X}(\mathit{M}) \, \, \mathsf{with} \, \, - \mathit{\mathcal{L}}_{Y} \bar{g} + \varphi_* h = k \right\} \end{split}$$

independently of  $\varphi$ , which is the case since both integrals do not change by applying  $\psi^*$  for each fixed  $\varphi$  and g separately, independently of  $\varphi$ . Since squared norms are homogeneous of order 2, that is,  $\|tk\|^2 = t^2 \|k\|^2$ , it also follows that the induced norm on the image by such a Riemannian submersion actually comes from a Riemannian metric. Unfortunately, the horizontal lifts for these Riemannian submersions are not simple: They may lie in a completion of each fiber.

Thank you for listening.