

Fefferman-type constructions for parabolic geometries

Matthias Hammerl

University of Vienna, Faculty of Mathematics

Nov 16 2011 (University of Washington)

The original Fefferman construction [Fefferman, '76] describes a natural conformal structure on a circle bundle over a CR-structure. It was shown by Sparling and [Graham, '87] that a conformal structure is the Fefferman-space of some CR-structure if and only if it admits a light-like conformal Killing field which also satisfies additional (conformally invariant) properties.

The characterizing property can alternatively be understood as a *holonomy reduction* of the conformal structure: It was shown in [Čap-Gover, '10] that a conformal structure (M, \mathcal{C}) is locally the Fefferman-space of a CR-structure if and only if its conformal holonomy satisfies

$$\text{Hol}(\mathcal{C}) \subset \text{SU}(p+1, q+1) \subset \text{SO}(2p+2, 2q+2).$$

A generalization of the original Fefferman-construction was described in [Čap, '05], and in recent years a number of constructions have been discussed in that framework:

- The original construction was treated by [Čap-Gover, '10]
- A construction of [Biquard, '00] of conformal structures from quaternionic contact structures was treated by [Alt, '10]
- Nurowski's conformal structures that are associated to generic rank 2 distributions on 5-manifolds and Bryant's [Bryant, '06] conformal structures associated to generic rank 3 distributions on 6-manifolds were discussed in joint work [H.-Sagerschnig, '10, '11]

In all cited cases the Fefferman-type construction is *normal*: a usually non-trivial computation shows that the construction is compatible with the canonical normalization condition one has for a parabolic geometry.

This has immediate strong geometric consequences, since this implies that the holonomy of the conformal structure reduces. It also allows one to derive a **holonomy-based characterization of the induced Fefferman-spaces**.

Via the BGG-machinery for parabolic geometries this **characterization can also be understood in terms of solutions of natural overdetermined equations on the manifold**.

Basic facts about parabolic geometries

Parabolic geometries are Cartan geometries of type (G, P) , with P a parabolic subgroup of a Lie group G : A parabolic geometry of the given type on a manifold M is described by a principal P -bundle $\mathcal{G} \rightarrow M$ that is endowed with a Cartan connection form $\omega \in \Omega^1(\mathcal{G}, \mathfrak{g})$.

The Cartan connection form $\omega \in \Omega^1(\mathcal{G}, \mathfrak{g})$ has to satisfy the usual properties: it has to be P -equivariant, reproduce fundamental vector fields of that P -action and provide an absolute parallelism $\omega : T\mathcal{G} \cong \mathcal{G} \times \mathfrak{g}$.

The curvature of ω can be regarded as a function $\kappa : \mathcal{G} \rightarrow \Lambda^2(\mathfrak{g}/\mathfrak{p})^* \otimes \mathfrak{g}$, and the geometry is flat if and only if it is locally isomorphic to the homogeneous model G/P .

Example:

Conformal (spin) structures as parabolic geometries

When $G = \mathrm{SO}(p+1, q+1)$ and P the stabilizer of a null-ray $R \subset \mathbb{R}^{p+1, q+1}$, $G/P \cong S_p \times S_q$. With g_p, g_q the standard metrics on $S_p \subset \mathbb{R}^p$ and $g_q \subset \mathbb{R}^q$, G/P is endowed with the conformal class \mathcal{C} of signature (p, q) -metrics which contains $(g_p, -g_q)$. This is the homogeneous model of conformal structures in that signature.

If in addition one has a spin structure on the manifold, the geometry is modeled on $G = \mathrm{Spin}(p+1, q+1)$ and $P \subset G$ is again the stabilizer of a null-ray.

If (M, \mathcal{C}) is the conformal structure that is described by the Cartan geometry (\mathcal{G}, ω) of type (G, P) on M , then flatness of ω implies that (M, \mathcal{C}) is locally isomorphic to $(S^p \times S^q, [g_p, -g_q])$, which says that \mathcal{C} is locally conformally flat.

Basic facts about parabolic geometries

The main feature of parabolic geometries is that they allow uniform regularity and normality conditions: if these conditions are satisfied, the parabolic structure is an equivalent description of an underlying geometric structure, like a projective, conformal or CR-structure.

This normalization condition employs the Kostant co-differential $\partial^* : \Lambda^{k+1}(\mathfrak{g}/\mathfrak{p})^* \otimes \mathfrak{g} \rightarrow \Lambda^k(\mathfrak{g}/\mathfrak{p})^* \otimes \mathfrak{g}$: It is algebraic and defines a complex, $\partial^* \circ \partial^* = 0$. The Cartan connection ω is normal if and only if $\kappa : \mathcal{G} \rightarrow \Lambda^2(\mathfrak{g}/\mathfrak{p})^* \otimes \mathfrak{g}$ has values in the kernel of ∂^* .

Fefferman-type constructions

A Fefferman-type construction [Čap, '05] is a natural procedure that starts with a parabolic geometry of a type (G, P) on a manifold M and associates a parabolic geometry of another type (\tilde{G}, \tilde{P}) on a (possibly larger) manifold \tilde{M} .

The algebraic input for this is an inclusion of Lie groups $i : G \hookrightarrow \tilde{G}$ with the property that $Q := G \cap \tilde{P} \subset P$. The Fefferman-type construction $(G, P) \rightsquigarrow (\tilde{G}, \tilde{P})$ is always possible if G acts (locally) transitive on the homogeneous space \tilde{G}/\tilde{P} .

Example: The Fefferman-construction for CR-structures

To describe the original Fefferman construction we use that CR structures (of hypersurface type) can be equivalently encoded as parabolic geometries of type $(G, P) = (SU(p+1, q+1), P)$, where P is the stabilizer of a complex null-line in $\mathbb{C}^{p+1, q+1}$: for some element $r \in \mathbb{C}^{p+1, q+1}$ with $\langle r, r \rangle = 0$, P is defined as the stabilizer of $\mathbb{C}r \subset \mathbb{C}^{p+1, q+1}$.

The Fefferman construction employs the standard embedding $G = SU(p+1, q+1) \hookrightarrow SO(2p+2, 2q+2) = \tilde{G}$: recall that the parabolic subgroup $\tilde{P} \subset \tilde{G}$ can be described as the stabilizer of the null-ray $\mathbb{R}_+ r \subset \mathbb{R}^{2p+2, 2q+2}$.

By linear algebra, $G = SU(p+1, q+1)$ acts transitively on the space \tilde{G}/\tilde{P} of null-rays in $\mathbb{R}^{2p+2, 2q+2}$: The isotropy subgroup in $SU(p+1, q+1)$ of this action is $Q = G \cap \tilde{P}$, which is the stabilizer in $SU(p+1, q+1)$ of the null-ray $\mathbb{R}_+ r$: thus $P/Q = S^1$.

Example: The Fefferman-construction for CR-structures

Now if (\mathcal{G}, ω) is a parabolic geometry of type $(SU(p+1, q+1), P)$ that describes a CR-structure on M , then the Fefferman-space is obtained as $\tilde{M} = \mathcal{G}/Q = \mathcal{G} \times_P P/Q$, which is an S^1 -bundle over M .

$\mathcal{G} \rightarrow \tilde{M}$ is a Q -principal bundle, which can be extended to the \tilde{P} -principal bundle $\tilde{\mathcal{G}} = \mathcal{G} \times_Q \tilde{P}$. It is easy to see that ω defines a canonical Cartan connection form $\tilde{\omega}$ on \mathcal{G} by extension, and therefore \tilde{M} naturally carries a conformal structure \mathcal{C} of signature $(2p+1, 2q+1)$.

General procedure for a Fefferman-type construction

The first step is to form the **correspondence space**

$\tilde{M} := \mathcal{G}/Q = \mathcal{G} \times_P P/Q$. $\mathcal{G} \rightarrow \tilde{M}$ is then a Q -principal bundle endowed with the Cartan connection form ω of type (G, Q) .

The second step is to form the **extended Cartan bundle**

$\tilde{\mathcal{G}} = \mathcal{G} \times_Q \tilde{P}$ and canonically extend ω to a Cartan connection form $\tilde{\omega}$ on $\tilde{\mathcal{G}}$. Then $(\tilde{\mathcal{G}}, \tilde{\omega})$ is a Cartan geometry of type (\tilde{G}, \tilde{P}) on \tilde{M} .

The Fefferman-type construction $(G, P) \rightsquigarrow (\tilde{G}, \tilde{P})$ is called **normal if normality of ω automatically implies normality of $\tilde{\omega}$** . Normality is a very strong condition, and if satisfied, the special (or characterizing) properties of the structures induced by the Fefferman-type construction can often be easily identified by holonomy techniques:

Background: the holonomy of a parabolic geometry

To form the holonomy $\text{Hol}(\omega)$ of a parabolic geometry (\mathcal{G}, ω) , one extends \mathcal{G} to a principal G -bundle $\hat{G} = \mathcal{G} \times_P G$ and canonically extends ω to a principal connection form $\hat{\omega}$ on \hat{G} . Then

$$\text{Hol}(\omega) := \text{Hol}(\hat{\omega}).$$

The geometric meaning of a reduced holonomy $\text{Hol}(\omega) \subset H \subset G$ for some subgroup $H \subset G$ is already visible on the homogeneous (flat) model G/P : The holonomy-reduction to $H \subset G$ decomposes G/P into the disjoint union of its H -orbits,

$$G/P = \bigcup_{HgP \in H \backslash G/P} H \cdot gP/P.$$

Each orbit $H \cdot gP/P \cong H/(H \cap gPg^{-1})$ is again the homogeneous model of a Cartan geometry of type $(H, H \cap gPg^{-1})$.

Theorem (Čap-Gover-H., '11)

Let (\mathcal{G}, ω) be a parabolic geometry of type (G, P) with $\text{Hol}(\omega) \subset H \subset G$. Then there exists a natural decomposition

$$M = \bigcup_{HgP \in H \backslash G/P} M_{HgP}$$

of M that is parametrized by the set of H -orbits on G/P , which is the double co-set space $H \backslash G/P$.

Each $M_{HgP} \subset M$ is an initial submanifold that carries a canonical Cartan geometry of type $(H, H \cap gPg^{-1})$.

This decomposition is called the **curved orbit decomposition** of M with respect to the given holonomy reduction. When H acts transitively on G/P this shows that M carries a global reduced geometry of type $(H, H \cap P)$.

Holonomies of Fefferman spaces

We consider now a normal Fefferman-type construction $(G, P) \rightsquigarrow (\tilde{G}, \tilde{P})$: This starts with a geometry (\mathcal{G}, ω) of type (G, P) and associates a geometry $(\tilde{\mathcal{G}}, \tilde{\omega})$ of type (\tilde{G}, \tilde{P}) .

It follows immediately from the construction that with $\hat{i} : \hat{\mathcal{G}} \hookrightarrow \hat{\tilde{\mathcal{G}}}$ the canonical embedding of the extended G -principal bundle into the extended \hat{G} -principal bundle, $\hat{i}^*(\hat{\omega}) = \hat{\omega}$, and therefore $\text{Hol}(\tilde{\omega}) = \text{Hol}(\omega)$.

Of course this has geometric meaning only in the case where the construction is normal: In this case, $\text{Hol}(\tilde{\omega})$ is the well-defined holonomy of the parabolic geometry on \tilde{M} . In particular, this implies that if ω is non-flat, $(\tilde{\mathcal{G}}, \tilde{\omega})$ is a non-flat parabolic geometry on \tilde{M} with holonomy contained in $G \subset \tilde{G}$.

Induced solutions of BGG-equations

In many cases the inclusion $G \hookrightarrow \tilde{G}$ is realized as the stabilizer of an element in a \tilde{G} -representation V . It is well known that the *tractor bundle* $\mathcal{V} = \tilde{\mathcal{G}} \times_{\tilde{p}} V$ carries the *tractor connection* ∇ that is naturally induced from the Cartan connection form $\tilde{\omega}$. Then $\text{Hol}(\tilde{\omega}) \subset G$ is equivalent to the existence of a parallel section $s \in \Gamma(\mathcal{V})$ of a suitable type.

By the general theory of BGG-operators on parabolic geometries as developed by [Čap-Slovak-Souček, '01], such a parallel section s is equivalent to a **normal solution of the first BGG-operator** $\Theta_0 : \mathcal{H}_0 \rightarrow \mathcal{H}_1$ associated to \mathcal{V} .

Example:

Characterization of the Fefferman-space of a CR-structure

Let $\mathbb{J} \in \mathfrak{so}(2p+2, 2q+2)$ be the orthogonal complex structure on $\mathbb{R}^{2p+2, 2q+2}$ corresponding to multiplication with i on $\mathbb{C}^{p+1, q+1}$.

The isotropy group of \mathbb{J} in $SO(2p+2, 2q+2)$ is

$$SO(2p+2, 2q+2)_{\mathbb{J}} = U(p+1, q+1).$$

It was shown by [Čap-Gover, Leitner], that conformal holonomy $\text{Hol}(\omega) \subset U(p+1, q+1)$ already implies locally that $\text{Hol}(\omega) \subset SU(p+1, q+1)$. Therefore a parallel orthogonal complex structure, which is a section of $\tilde{\mathcal{G}} \times_{\tilde{p}} \mathfrak{so}(2p+2, 2q+2)$ can be used to (locally) characterize $SU(p+1, q+1)$ -holonomy: the corresponding normal BGG-solution is a **light-like conformal Killing field on (M, \mathcal{C}) that inserts trivially into Weyl curvature and Cotton tensor.**

Summary of some constructions

- $SU(p+1, q+1) \hookrightarrow SO(2p+2, 2q+2)$: [normal]
 CR-structure \rightsquigarrow
 signature $(2p+1, 2q+1)$ -conformal structure on S^1 -bundle
 + lightlike conformal Killing field (with additional properties)
- $G_2 \hookrightarrow Spin(3, 4)$: [normal]
 generic rank 2-distribution on 5-manifold \rightsquigarrow
 signature $(2, 3)$ -conformal spin structure + generic twistor
 spinor
- $SL(n+1) \hookrightarrow Spin(n+1, n+1)$: [non-normal for $n \geq 3$]
 projective structure on n -manifold \rightsquigarrow
 signature (n, n) -conformal spin structure + twistor spinor

Projective \rightsquigarrow split signature conformal

This is recent joint work with K. Sagerschnig. The original motivation for this Fefferman-type construction was work by [Dunajski-Tod, '10]:

Extending a construction due to [Walker, '54], which associates a pseudo-Riemannian split signature (n, n) -metric to an affine torsion-free connection on an n -manifold, they **associate a conformal split signature (n, n) -metric to a projective class of torsion-free affine connections on an n -manifold**. Using a normal form for the induced metrics it is also shown that they admit a twistor spinor. For $n = 2$ this construction was also observed in work by [Nurowski-Sparling, '03]. The precise relation between the cited works and the construction here has been shown recently by [Šilhan-Žádník, '11].

Projective \rightsquigarrow split signature conformal

This Fefferman-type construction is based on an inclusion $\mathrm{SL}(n+1) \hookrightarrow \mathrm{Spin}(n+1, n+1)$:

Denote by $\Delta = \Delta_+^{n+1, n+1} \oplus \Delta_-^{n+1, n+1}$ the real 2^{n+1} -dimensional spin representation of $\tilde{G} = \mathrm{Spin}(n+1, n+1)$. Then we fix two pure spinors $s_F \in \Delta_-^{n+1, n+1}$, $s_E \in \Delta_{\pm}^{n+1, n+1}$ with non-trivial pairing - here s_E lies in $\Delta_+^{n+1, n+1}$ if n is even or $\Delta_-^{n+1, n+1}$ if n is odd.

These assumptions guarantee that the kernels $E, F \subset \mathbb{R}^{n+1, n+1}$ of s_E, s_F with respect to Clifford multiplication are complementary maximally isotropic subspaces.

Then

$G := \{g \in \mathrm{Spin}(n+1, n+1) : g \cdot s_E = s_E, g \cdot s_F = s_F\} \cong \mathrm{SL}(n+1)$,
 defines an embedding $G = \mathrm{SL}(n+1) \xrightarrow{i} \mathrm{Spin}(n+1, n+1)$.

Induced structure

One computes $\tilde{M} = \mathcal{G} \times_Q P/Q \cong (T^*M \otimes \mathcal{E}[2])/\{0\}$. Here we use the notation $\mathcal{E}[w]$ for suitably weighted (projective) version of the density bundle.

The invariant spinors s_E and s_F give rise to pure spin

tractors: The spin tractor bundle of (M, \mathcal{C}) is $\mathcal{S} = \mathcal{S}_+ \oplus \mathcal{S}_-$, where $\mathcal{S}_\pm = \tilde{\mathcal{G}} \times_{\tilde{P}} \Delta_\pm^{n+1, n+1} = \mathcal{G} \times_Q \Delta_\pm^{n+1, n+1}$. Since

$s_E \in \Delta_\pm^{n+1, n+1}$ and $s_F \in \Delta_-^{n+1, n+1}$ are Q -invariant, they induce canonical sections $\mathbf{s}_E \in \Gamma(\mathcal{S}_\pm)$ and $\mathbf{s}_F \in \Gamma(\mathcal{S}_-)$.

Twistor spinors

With respect to a choice of metric $g \in \mathcal{C}$ the spin tractor bundle can be written as the sum of weighted spin bundles:

$[\mathcal{S}]_g = \mathcal{S}[-\frac{1}{2}] \oplus \mathcal{S}[\frac{1}{2}]$. **Parallel sections of \mathcal{S} with respect to the normal conformal tractor connection are in 1 : 1-correspondence with solutions of the twistor spinor equation $\chi \mapsto D\chi + \frac{1}{2n}\gamma\mathcal{D}\chi$:** If $\tau \oplus \chi$ is parallel, then χ is a twistor spinor, and conversely, if χ satisfies the twistor spinor equation, then $\frac{1}{\sqrt{2n}}\mathcal{D}\chi \oplus \chi$ is parallel.

The conformal Cartan connection $\tilde{\omega} \in \Omega^1(\tilde{\mathcal{G}}, \tilde{\mathfrak{g}})$ obtained via the Fefferman construction induces a tractor connection ∇ on each conformal tractor bundle; by construction the spin tractors $\mathbf{s}_E, \mathbf{s}_F$ are parallel with respect to the induced tractor connections on the respective spin tractor bundles. **But these are not necessarily the normal conformal tractor connections!**

The normal case $n = 2$:

Proposition

The Fefferman-type construction $SL(3) \hookrightarrow Spin(3, 3)$ is normal.

Corollary

The split-signature conformal structures obtained from two-dimensional projective structures have the following properties:

- ① *The **conformal holonomy** $\text{Hol}(\tilde{\omega})$ is contained in $SL(3)$.*
- ② *The spin tractor bundle has two sections \mathbf{s}_E and \mathbf{s}_F with non-trivial pairing that are parallel with respect to the normal tractor connection, i.e. $\nabla^{S_+, \text{nor}} \mathbf{s}_E = 0$ and $\nabla^{S_-, \text{nor}} \mathbf{s}_F = 0$. Thus they correspond to **two pure twistor spinors** $\chi_E \in \Gamma(\mathbf{S}_+[\frac{1}{2}])$ and $\chi_F \in \Gamma(\mathbf{S}_-[\frac{1}{2}])$.*

The non-normal case: $n \geq 3$

Proposition

For $n \geq 3$ The conformal Cartan connection form $\tilde{\omega} \in \Omega^1(\tilde{\mathcal{G}}, \tilde{\mathfrak{g}})$ induced by the normal projective Cartan connection form $\omega \in \Omega^1(\mathcal{G}, \mathfrak{g})$ is normal if and only if ω is flat, in which case also $\tilde{\omega}$ is flat.

Since for $n \geq 3$ the induced Cartan connection form $\tilde{\omega} \in \Omega^1(\tilde{\mathcal{G}}, \tilde{\mathfrak{g}})$ is not already the normal conformal connection form, one needs a **modification $\Psi \in \Omega^1(\tilde{\mathcal{G}}, \tilde{\mathfrak{p}})$ with the property that $\tilde{\omega}^{nor} = \tilde{\omega} + \Psi$ is normal**. While it is difficult to obtain an explicit formula for this modification Ψ , it is possible to show specific properties; in particular, the normalized connection still preserves one of the pure tractor spinors:

The non-normal case: $n \geq 3$

Theorem

$s_F \in \Gamma(\mathcal{S}_-)$ is parallel with respect to the normal conformal spin tractor connection $\nabla^{\mathcal{S}_-, \text{nor}} s_F = 0$. In particular, the conformal spin structure (M, \mathcal{C}) carries a canonical (pure) twistor spinor $\chi_F \in \Gamma(\mathbf{S}_-[\frac{1}{2}])$.

Corollary

The conformal holonomy $\text{Hol}(\mathcal{C})$ is contained in the isotropy subgroup of $s_F \in \Delta_-^{n+1, n+1}$ in $\text{Spin}(n+1, n+1)$; this is $SL(n+1) \ltimes \Lambda^2(\mathbb{R}^{n+1})^* \subset \text{Spin}(n+1, n+1)$.

Outlook

The following questions are currently treated in joint work with K. Sagerschnig, J. Šilhan and V. Žádník:

- In the normal case $n = 2$: [Dunajski-Tod, '10] showed that the original projective structure on M is metrizable if and only if the induced signature $(2, 2)$ conformal structure includes a Kähler or para-Kähler metric. Using the description of these geometric solutions as parallel sections of suitably modified tractor connections we show this correspondence as a direct consequence of normality.
- For the non-normal case $n \geq 3$, it is an open problem to characterize the induced conformal structures. The twistor spinor described above will play a role in this, but additional characterizing data is necessary.