

## ON SPINOR REPRESENTATION OF $O(\infty, \mathbb{C})$

UDC 513.88

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Let  $G$  be a Lie group and  $G_{\mathbb{C}}$  its complexification. It is known that an infinite-dimensional unitary representation of  $G$  can be continued holomorphically only to a neighborhood of the identity in  $G_{\mathbb{C}}$ . However, the representation operators are unbounded, and their common domain of definition is not invariant. It turns out (see Theorem 2) that if  $G$  is an infinite-dimensional orthogonal group, then its spinor representation can be continued to a global holomorphic representation of  $G_{\mathbb{C}}$  by unbounded operators in a Hilbert space. This assertion extends the range of applicability of "imbedding techniques" in the theory of representations of infinite-dimensional groups (see [2]–[6]). In particular, it allows one to construct an analytic continuation with respect to a parameter for many known series of representations of infinite-dimensional classical groups and also to obtain somewhat unexpected theorems on integrability for representations of the Virasoro algebra and affine algebras.

**1. Notation.** The notation  $A \in \mathcal{L}_1$  (resp.  $A \in \mathcal{L}_2$ ) will mean that  $A$  is a trace class operator (resp. a Hilbert-Schmidt operator). Let  $U(\infty)$ ,  $GL(\infty, \mathbb{C})$ ,  $O(\infty, \mathbb{R})$ , and  $O(\infty, \mathbb{C})$  be, respectively, the full unitary, full linear, and full orthogonal groups of a Hilbert space. Let  $G(\infty) \supset K(\infty)$  be groups of the indicated form. Then  $(G(\infty), K(\infty))$  is the subgroup of  $G(\infty)$  consisting of operators of the form  $A(1+T)$ , where  $A \in K(\infty)$  and  $T \in \mathcal{L}_2$ .

Let  $H$  be a Hilbert space, and let the  $\Lambda^k H$  be its exterior powers. Then  $\bigoplus_0^\infty \Lambda^k H$  is called *Fock's fermion space*  $\Lambda(H)$ . Let  $\xi_1, \xi_2, \dots$  be "holomorphic" anticommuting variables:

$$\xi_k \xi_l = -\xi_l \xi_k, \quad \xi_k \bar{\xi}_l = -\bar{\xi}_l \xi_k, \quad \bar{\xi}_k \bar{\xi}_l = -\bar{\xi}_l \bar{\xi}_k.$$

Then it is convenient to realize  $\Lambda(H)$  as the space of polynomials in  $\xi_1, \xi_2, \dots$  completed with respect to the inner product

$$(f, g) = \int f(\xi) \overline{g(\xi)} d\mu,$$

where

$$d\mu = \left[ \exp \left( - \sum_k \frac{\partial^2}{\partial \xi_k \partial \bar{\xi}_k} \right) \prod_k \xi_k \bar{\xi}_k \right] \prod_k d\xi_k d\bar{\xi}_k,$$

and the Berezin integral of  $\prod_k \xi_k \bar{\xi}_k$  is 1. But if at least one factor in this product is omitted, then the integral equals 0. The monomials in the variables  $\xi_k$  form an orthonormal basis in  $\Lambda(H)$ . The creation and annihilation operators in  $\Lambda(H)$  are introduced, respectively, by the formulas  $A_k f = \xi_k f$  and  $B_k f = (\partial/\partial \xi_k) f$  ( $A_j^* = B_j$ ,  $A_k B_l + B_l A_k = \delta_{k,l}$ ,  $A_k A_l = -A_l A_k$ , and  $B_k B_l = -B_l B_k$ ).

Let  $V$  be the space of linear operators in  $\Lambda(H)$  of the form  $\sum p_k A_k + \sum q_k B_k$ , where  $\sum (|p_k|^2 + |q_k|^2) < \infty$ . Then  $V$  is a closed subspace with respect to the uniform topology in the algebra of all bounded operators. A symmetric bilinear form  $(P, Q)$  on  $V$  is defined from the condition  $PQ + QP = (P, Q) \cdot 1$ . The form  $(P, Q)$  is positive definite

in the subspace  $V'$  of selfadjoint elements of  $V$ . We define  $O(2^\infty, \mathbf{C})$  as the group of all bounded operators in  $V$  that preserve the form  $(P, Q)$ . Its subgroup  $O(2^\infty, \mathbf{R})$  consists of the operators in  $V$  that preserve the subspace  $V'$ . We specify the elements of  $O(2^\infty, \mathbf{C})$  by matrices of the form  $S = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  in the basis  $A_1, A_2, \dots, B_1, B_2, \dots$ . The subgroup of block diagonal matrices is isomorphic to  $GL(\infty, \mathbf{C})$ . It is easy to see that

$$(O(2^\infty, \mathbf{C}), GL(\infty, \mathbf{C})) \cap O(2^\infty, \mathbf{R}) = (O(2^\infty, \mathbf{R}), U(\infty)).$$

Let  $G$  be a subgroup of  $O(2^\infty, \mathbf{C})$ . Its projective representation  $\rho$  in  $\Lambda(H)$  is called *spinor* if for any  $A \in G$  and  $T \in V$

$$\rho(A)T\rho(A)^{-1} = A(T).$$

A spinor representation  $(O(2^\infty, \mathbf{R}), U(\infty))$  was constructed in [1] (see also [9] and [10]). We shall attempt to define  $\rho(M)$ ,  $M \in O(2^\infty, \mathbf{C})$ , by means of the formula (this is a holomorphic continuation of (5.15) in [1])

$$(1) \quad \rho \left[ \begin{pmatrix} A & B \\ C & D \end{pmatrix} \right] f(\eta) = \theta \int \exp \left[ -\frac{1}{2}(\eta \bar{\xi}) \begin{pmatrix} CA^{-1} & A^{-1} \\ A^{t-1} & A^{-1}B \end{pmatrix} \begin{pmatrix} \eta \\ \bar{\xi} \end{pmatrix} \right] f(\xi) d\mu,$$

where  $\theta \in \mathbf{C}$ .

**2. A bounded spinor representation.** We consider the group  $O_r(2^\infty) \subset O(2^\infty, \mathbf{C})$  consisting of all operators that are representable in the form  $U(1+T)(1+S)$ , where  $U \in U(\infty)$ ,  $1+T \in O(2^\infty, \mathbf{R})$ ,  $1+S \in O(2^\infty, \mathbf{C})$ ,  $T \in \mathcal{L}_2$ , and  $S \in \mathcal{L}_1$ .

**THEOREM 1.** *There exists a spinor representation of the group  $O_r(2^\infty)$  by bounded operators in  $\Delta(H)$ . The representation is defined by (1) on the matrices  $\begin{pmatrix} A & B \\ C & D \end{pmatrix}$  for which  $A^{-1}$  exists.*

**3. A large spinor representation.** Let  $f \in \Lambda(H)$ ,  $f = (f_0, f_1, \dots)$ , where  $f_k \in \Lambda^k H$ . We consider in  $\Lambda(H)$  the subspace  $\Lambda$  of all elements for which  $\|f_j\|$  decreases faster than any function of the form  $e^{-C_j}$ . A collection of seminorms on  $\Lambda$  is introduced in the obvious way, with respect to which it is a Fréchet space.

**THEOREM 2.** *Formula (1) above gives a well-defined representation of  $(O(2^\infty, \mathbf{C}), GL(\infty, \mathbf{C}))$  by continuous operators in the space  $\Lambda$ .*

**PROOF.** a) The space  $\Lambda$  is invariant under any operator  $T$  of a linear change of variables and also operators of the form

$$Pf = \exp \left( \sum p_{ij} \xi_i \xi_j \right) f, \quad Qf = \exp \left( \sum q_{ij} \frac{\partial^2}{\partial \xi_i \partial \xi_j} \right) f,$$

where  $\sum |p_{ij}|^2 < \infty$  and  $\sum |q_{ij}|^2 < \infty$ .

b) We apply a local Iwasawa decomposition in  $(O(2^\infty, \mathbf{C}), GL(\infty, \mathbf{C}))$  with respect to  $GL(\infty, \mathbf{C})$  to an operator of the form  $1+T$ , where  $T \in \mathcal{L}_2$  and  $\|T\|$  is small. Then  $\rho(1+T)$  can be represented as a product of operators of the form  $Q, T$ , and  $P$ .

c)  $GL(\infty)$  acts on  $\Lambda$  by linear changes of variables.

d) Let  $H = \mathbf{C}^n \oplus L$ . Then  $\Lambda(H) = \Lambda(\mathbf{C}^n) \otimes \Lambda(L)$ . This defines an action of  $O(n, \mathbf{C})$  in  $\Lambda$ .

e) The compatibility of b), c), and d) and the fact that the representation on the matrices for which  $A^{-1}$  does not exist is well-defined can be verified by the induced action on the creation and annihilation operators.

**PROOF OF THEOREM 1.** It is necessary to use a polar decomposition for operators of the form  $1+S$ ,  $S \in \mathcal{L}_1$ . Then the question of the boundedness of  $\rho(1+S)$  reduces to normal operators, for which the spectrum can be explicitly computed.

**4. The Virasoro algebra.** Let  $\text{Diff}$  be the group of diffeomorphisms of a circle and  $V$  the Virasoro algebra (see, for example, [5], [7], or [8]).

**THEOREM 3.** *Any irreducible representation of  $V$  with highest weight (not necessarily unitarizable) can be integrated to a continuous projective representation of  $\text{Diff}$  in some locally convex space.*

For the proof it is enough to verify the following assertions:

a) Suppose that the universal covering  $\text{Diff}^\sim$  of the group  $\text{Diff}$  is realized as the group of diffeomorphisms of  $\mathbf{R}$  that satisfy the condition  $q(x + 2\pi) = q(x) + 2\pi$ . Let  $H_\alpha$  ( $\alpha \in \mathbf{C}$ ,  $0 \leq \text{Re } \alpha \leq 1$ ) be the space of functions on  $\mathbf{R}$  that satisfy the condition  $q(x + 2\pi) = e^{2\pi i \alpha} q(x)$ . An inner product in  $H_\alpha$  is introduced by the formula  $\int_0^{2\pi} f \bar{g} dx$ . Suppose that  $\text{Diff}^\sim$  acts in  $H_\alpha$  by the formula ( $\omega \in \mathbf{C}$ )

$$T(q)f(x) = f(q(x))q'(x)^{1/2+\omega}.$$

Suppose that  $H_{\alpha,n}^+ \subset H_\alpha$  is spanned by the vectors  $e^{i(k+\alpha)\varphi}$ ,  $k \geq n$ , and  $H_{\alpha,n}^-$  by the vectors  $e^{i(k+\alpha)\varphi}$ ,  $k < n$ . Then

$$T(q) \in (\text{GL}(2^\infty, \mathbf{C}), \text{GL}(\infty, \mathbf{C}) \times \text{GL}(\infty, \mathbf{C}))$$

(the subgroup  $\text{GL}(\infty, \mathbf{C}) \times \text{GL}(\infty, \mathbf{C})$  consists of matrices that preserve the subspaces  $H_{\alpha,n}^\pm$ ).

b) We denote by  $L_{\alpha,n}^+$  the space  $H_{\alpha,n}^+$  with a complex conjugate structure. Then the identity mapping  $H_\alpha \rightarrow H_{\alpha,n}^- \oplus L_{\alpha,n}^+$  defines an imbedding of  $(\text{GL}(2^\infty, \mathbf{C}), \text{GL}(\infty, \mathbf{C}) \times \text{GL}(\infty, \mathbf{C}))$  into  $(\text{O}(2^\infty, \mathbf{C}), \text{GL}(\infty, \mathbf{C}))$  (see also [2]).

c) Restricting the spinor representation to  $\text{Diff}^\sim$ , we obtain a series  $A(\alpha, \omega, n)$  of representations of  $V$ . All the irreducible representations of  $V$  with highest weight are contained among its subfactors.

d) Each  $\text{Diff}$ -subrepresentation in  $A(\alpha, \omega, n)$  is the closure of the set of its finitary vectors.

**5. Affine algebras.** Let  $G$  be a complex Lie group,  $\mathfrak{G}$  its Lie algebra, and  $K$  a maximal compact subgroup. The standard construction for imbedding  $C^\infty(S^1, \text{SO}(n))$  into  $(\text{O}(2^\infty, \mathbf{R}), \text{U}(\infty))$  (Vershik, Frenkel, and Ismagilov; see, for example, [4]) can be continued to an imbedding of  $C^\infty(S^1, \text{SO}(n, \mathbf{C}))$  into  $(\text{O}(2^\infty, \mathbf{C}), \text{GL}(\infty))$ . The next theorem follows from this.

**THEOREM 4.** *A basis representation of the affine algebra  $C^\infty(S^1, \mathfrak{G})$  can be integrated to a projective representation of  $C^\infty(S^1, G)$  that is unitary on  $C^\infty(S^1, K)$ .*

In the case of the series  $C^\infty(S^1, \mathfrak{sl}(n, \mathbf{C}))$  integrability follows for all representations with highest weight.

**6. REMARK 1.** For a Weyl representation of the group  $\text{Sp}_0 = (\text{Sp}(2^\infty, \mathbf{R}), \text{U}(\infty))$  the analogs of Theorems 1 and 2 are false. However, the standard representation of the group  $\text{Sp}_0 \times H$ , where  $H$  is an infinite-dimensional Heisenberg group, can be continued holomorphically to a representation of  $\text{Sp}_0 \times H_{\mathbf{C}}$  by unbounded operators in Fock's space. We consider the standard holomorphic realization of Fock's boson space (see [1]). Then  $\text{Sp}_0 \times H_{\mathbf{C}}$ , an invariant dense subset of  $\Omega$ , forms finitary linear combinations of functions of the form

$$P(z_1, z_2, \dots) \exp\left(\sum \alpha_i z_i\right) \exp(\langle Az, z \rangle),$$

where  $P$  is a homogeneous form in  $z_1, z_2, \dots$ ,  $\sum |\alpha_i|^2 < \infty$ , and  $A$  is an antilinear Hilbert-Schmidt operator.  $\|A\| < \frac{1}{2}$ . The author is not aware of any natural topology on  $\Omega$ . The restriction of our representation to the subgroup  $\text{U}(\infty) \times H_{\mathbf{C}}$  is continuous in the topology of uniform convergence on balls.

REMARK 2. For infinite-dimensional classical groups Theorem 2 has consequences of two types. First, Ol'shanskiĭ's fermion representations (see [2]) of real groups can be continued holomorphically to representations of the corresponding complex groups. Second, any series of fermion representations [2] (for certain groups the construction in [2] is equivalent to the Thoma-Voiculescu-Vershik-Kerov construction of quotient representations; see [3] or [2]) that depends on real parameters can be continued holomorphically to a series of representations (already nonunitary) that depends on a complex parameter. It follows from Remark 1 that the same holomorphic continuations also exist for the "intermediate" representations in [2]. It would be interesting to construct analogs of the limit theorems [3] for these series.

REMARK 3. The subgroups of  $O_r(2^\infty)$  and  $(O(2^\infty, \mathbb{C}), GL(\infty, \mathbb{C}))$  on which the spinor representation can be chosen to be two-valued are distinguished by the condition that  $A - E \in \mathcal{L}_1$  and  $D - E \in \mathcal{L}_1$  ( $\theta = \pm\sqrt{\det A}$  in (1)).\*

REMARK 4. The representations constructed in Theorems 3 and 4 cannot be realized in a Banach space. For this reason it was assumed that integrability can hold only in the unitary case (on unitary integrability see [5]–[8]).

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Received 5/MAY/85

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Translated by R. LENET

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\* *Editor's note.* The Russian cites preprints of these items.