

Elliptic hypergeometric combinatorics

Michael J. Schlosser

Faculty of Mathematics



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Outline

- 1 From rational to q - to elliptic
- 2 Weighted lattice paths
- 3 Elliptic-commuting variables
- 4 Special combinatorial numbers
- 5 Basis transitions
- 6 Summary

Notation

The **modified Jacobi theta** function with argument x and **nome** p is defined by

$$\theta(x; p) := \prod_{j \geq 0} ((1 - p^j x)(1 - p^{j+1}/x)), \quad \theta(x_1, \dots, x_m; p) := \prod_{k=1}^m \theta(x_k; p),$$

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and **Weierstraß' addition formula**

$$\theta(xy, x/y, uv, u/v; p) - \theta(xv, x/v, uy, u/y; p) = \frac{u}{y} \theta(yv, y/v, xu, x/u; p).$$

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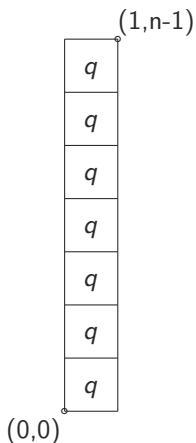
We call $[n]_q$ the q -number of n ,

and $W_q(n) = q^n$ the q -weight of n .

Interpretation of $[n]_q$ as
area generating function
of lattice paths
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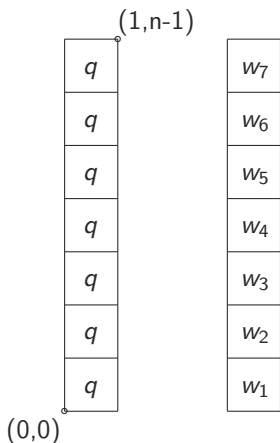
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The idea is to **generalize** this **further** by suitably modifying the weights.

a ; q -analogue: $[n]_{a;q} + W_{a;q}(n) [m - n]_{aq^{2n};q} = [m]_{a;q},$

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The b ; q -analogue clearly reduces to the q -analogue when $b \rightarrow 0$.

$a, b; q$ -analogue (unification of the previous two):

$$[n]_{a,b;q} + W_{a,b;q}(n) [m-n]_{aq^{2n}, bq^n;q} = [m]_{a,b;q},$$

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$$[n]_{a,b;q} = \frac{(1-q^n)(1-aq^n)(1-bq^2)(1-a/b)}{(1-q)(1-aq)(1-bq^{1+n})(1-aq^{n-1}/b)}$$

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$$[n]_{a,b;q,p} = \frac{\theta(q^n, aq^n, bq^2, a/b; p)}{\theta(q, aq, bq^{1+n}, aq^{n-1}/b; p)}$$

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The above expressions involve **ratios** of **modified Jacobi theta functions**.

(Natural) hierarchy of hypergeometric series

Given a series $S = \sum_{k \geq 0} c_k$ with $c_0 = 1$, consider $g(k) = \frac{c_{k+1}}{c_k}$.

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Elliptic functions can be built from **quotients of theta functions**.

For convenience, we define the **theta shifted** (or **$a, b; q, p$ -shifted**) **factorials**:

$$(a; q, p)_k := \prod_{j=0}^{k-1} \theta(aq^j; p),$$

and $(a_1, \dots, a_m; q, p)_k = (a_1; q, p)_k \dots (a_m; q, p)_k$.

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Elliptic hypergeometric series: Frenkel & Turaev [1997],

elliptic solutions of the Yang–Baxter equation.

Frenkel and Turaev's ${}_{10}V_9$ summation [1997].

$$\sum_{k=0}^n \frac{\theta(aq^{2k}; p)}{\theta(a; p)} \frac{(a, b, c, d, e, q^{-n}; q, p)_k}{(q, aq/b, aq/c, aq/d, aq/e, aq^{n+1}; q, p)_k} q^k$$

$$= \frac{(aq, aq/bc, aq/bd, aq/cd; q, p)_n}{(aq/b, aq/c, aq/d, aq/bcd; q, p)_n},$$

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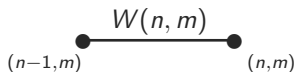
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The ${}_{10}V_9$ is the most fundamental identity in the theory of elliptic hypergeometric series.

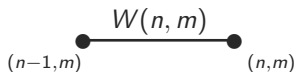
Weighted lattice paths

Lattice paths in \mathbb{Z}^2 :

To each horizontal edge in \mathbb{Z}^2 that connects $(n-1, m)$ and (n, m) we assign the **weight** $W(n, m)$.

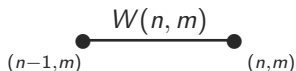


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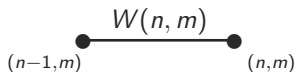
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Here we are interested in employing the **elliptic weight**

$$W(s, t) = \frac{\theta(aq^{s+2t}, bq^{2s}, bq^{2s-1}, aq^{1-s}/b, aq^{-s}/b; p)}{\theta(aq^s, bq^{2s+t}, bq^{2s+t-1}, aq^{1+t-s}/b, aq^{t-s}/b; p)} q^t.$$

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The weight $W(P)$ of a path P is defined to be the product of the weights of all its horizontal steps.

Denote the **weighted generating function** of all paths from $(0, 0)$ to $(k, n - k)$ by

$${}_w \begin{bmatrix} n \\ k \end{bmatrix} := W(\mathcal{P}((0, 0) \rightarrow (k, n - k))).$$

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and

$${}_w \begin{bmatrix} n \\ k \end{bmatrix} = 0, \quad \text{whenever } k = -1, -2, \dots, \quad \text{or } k > n.$$

Furthermore, since the last step of the path is either vertical or horizontal, we have the **recursion**

$${}_W \begin{bmatrix} n+1 \\ k \end{bmatrix} = {}_W \begin{bmatrix} n \\ k \end{bmatrix} + {}_W \begin{bmatrix} n \\ k-1 \end{bmatrix} W(k, n+1-k),$$

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For the elliptic weights $W(s, t) = W_{a,b;q,p}(s, t)$ we have [M.S., 2007]

$${}_W \begin{bmatrix} n \\ k \end{bmatrix} = \begin{bmatrix} n \\ k \end{bmatrix}_{a,b;q,p} := \frac{(q^{1+k}, aq^{1+k}, bq^{1+k}, aq^{1-k}/b; q, p)_{n-k}}{(q, aq, bq^{1+2k}, aq/b; q, p)_{n-k}},$$

due to Weierstraß' addition formula for theta functions.

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Theorem [M.S., 2007].

Let l, k, n, m be four integers with $n - l + m - k \geq 0$.

The **elliptic generating function of paths** running from (l, k) to (n, m) is

$$\begin{aligned} & W(\mathcal{P}((l, k) \rightarrow (n, m))) \\ &= \frac{(q^{1+n-l}, aq^{1+n+2k}, bq^{1+n+k+l}, aq^{1+k-n}/b; q, p)_{m-k}}{(q, aq^{1+l+2k}, bq^{1+2n+k}, aq^{1+k-l}/b; q, p)_{m-k}} \\ & \quad \times \frac{(aq^{1+l+2k}, aq^{1-n}/b, aq^{-n}/b; q, p)_{n-l} (bq^{1+2l}; q, p)_{2n-2l}}{(aq^{1+l}, aq^{1+k-n}/b, aq^{k-n}/b; q, p)_{n-l} (bq^{1+k+2l}; q, p)_{2n-2l}} q^{(n-l)k}. \end{aligned}$$

Convolutions

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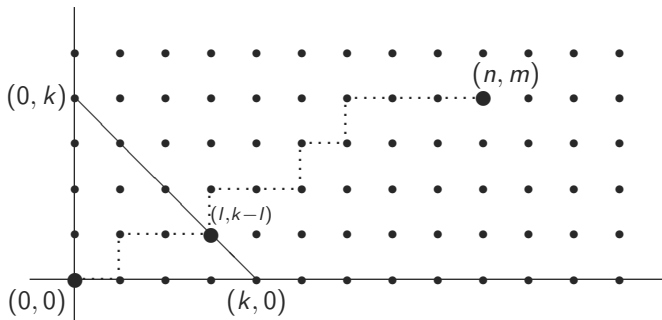
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Elliptic generalization of the q -Chu–Vandermonde identity.

Let n , m , and k be nonnegative integers, let a , b , q , and p be complex numbers with $|p| < 1$. Then there holds:

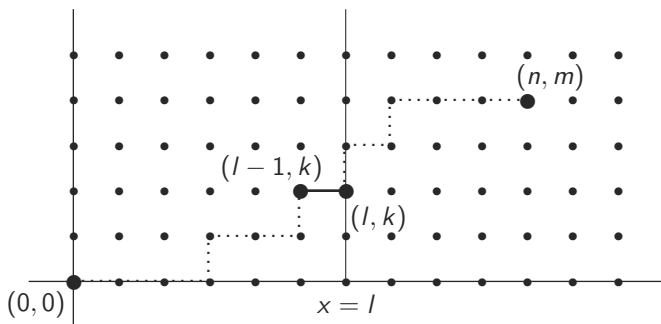
$$\begin{aligned} & \begin{bmatrix} n+m \\ k \end{bmatrix}_{a,b;q,p} \\ &= \sum_{j=0}^k \begin{bmatrix} n \\ j \end{bmatrix}_{a,b;q,p} \begin{bmatrix} m \\ k-j \end{bmatrix}_{aq^{2n-j}, bq^{n+j};q,p} \prod_{i=1}^{k-j} W_{a,b;q,p}(i+j, n-j). \end{aligned}$$

We also have the following **convolution** of (elliptic) generating functions:

$$\begin{aligned} & W(\mathcal{P}((0, 0) \rightarrow (n, m))) \\ &= \sum_{k=0}^m W(\mathcal{P}((0, 0) \rightarrow (l-1, k))) W(l, k) W(\mathcal{P}((l, k) \rightarrow (n, m))). \end{aligned}$$

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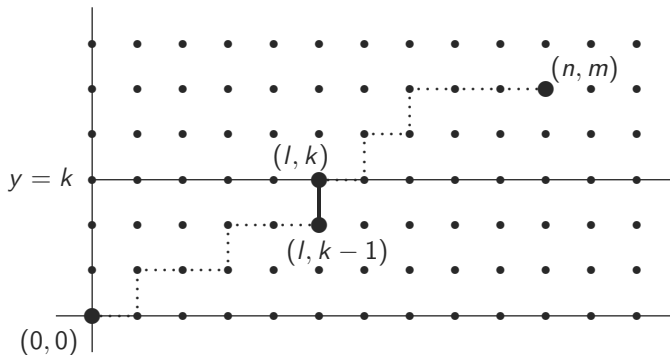


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Clearly,

$$\lim_{q \rightarrow 1} \begin{bmatrix} n \\ k \end{bmatrix}_q = \binom{n}{k}.$$

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Note that $\mathbb{C}_q[x, y]$ is a q -deformation of the commutative algebra $\mathbb{C}[x, y]$.

We refer to the variables x, y forming $\mathbb{C}_q[x, y]$ as **q -commuting** (or **quasi-commuting**) variables.

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[Harold S.A. Potter (1950); M.P. Schützenberger (1953)]

Binomial theorem for q -commuting variables. The following identity is valid in $\mathbb{C}_q[x, y]$:

$$(x + y)^n = \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix}_q x^k y^{n-k}.$$

Writing, as before, $q = e^{2\pi i\sigma}$, $p = e^{2\pi i\tau}$, we denote the **space of elliptic functions** over \mathbb{C} of the complex variable u , meromorphic in u with the two periods σ^{-1} and $\tau\sigma^{-1}$, by

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More generally, we denote the **space of totally elliptic multivariate functions** over \mathbb{C} of the complex variables u_1, \dots, u_n , meromorphic in each variable with equal periods, σ^{-1} and $\tau\sigma^{-1}$, of double periodicity, by

$$\mathbb{E}_{q^{u_1}, \dots, q^{u_n}; q, p}.$$

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We recall the definition of the **elliptic binomial coefficient**:

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Note that

$$\lim_{b \rightarrow 0} \left(\lim_{a \rightarrow 0} \left(\lim_{p \rightarrow 0} \begin{bmatrix} n \\ k \end{bmatrix}_{a,b;q,p} \right) \right) = \begin{bmatrix} n \\ k \end{bmatrix}_q.$$

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This elliptic binomial coefficient is indeed **totally elliptic**; in particular,

$$\begin{bmatrix} n \\ k \end{bmatrix}_{a,b;q,p} \in \mathbb{E}_{a,b,q^n,q^k;q,p}.$$

Recall, that using Weierstraß' addition formula, one can verify the following **recursion** for the elliptic binomial coefficients:

$$\begin{bmatrix} n \\ 0 \end{bmatrix}_{a,b;q,p} = \begin{bmatrix} n \\ n \end{bmatrix}_{a,b;q,p} = 1,$$

$$\begin{bmatrix} n+1 \\ k \end{bmatrix}_{a,b;q,p} = \begin{bmatrix} n \\ k \end{bmatrix}_{a,b;q,p} + \begin{bmatrix} n \\ k-1 \end{bmatrix}_{a,b;q,p} W_{a,b;q,p}(k, n+1-k),$$

for positive integers n and k with $n \geq k$, where

$$W_{a,b;q,p}(s, t) := \frac{\theta(aq^{s+2t}, bq^{2s}, bq^{2s-1}, aq^{1-s}/b, aq^{-s}/b; p)}{\theta(aq^s, bq^{2s+t}, bq^{2s+t-1}, aq^{1+t-s}/b, aq^{t-s}/b; p)} q^t.$$

If we let $p \rightarrow 0$, $a \rightarrow 0$, then $b \rightarrow 0$ (in this order), the above relations reduce to

$$\begin{bmatrix} n \\ 0 \end{bmatrix}_q = \begin{bmatrix} n \\ n \end{bmatrix}_q = 1,$$

$$\begin{bmatrix} n+1 \\ k \end{bmatrix}_q = \begin{bmatrix} n \\ k \end{bmatrix}_q + \begin{bmatrix} n \\ k-1 \end{bmatrix}_q q^{n+1-k},$$

for positive integers n and k with $n \geq k$, which is a well-known recursion for the q -binomial coefficients.

(There exists a second recursion formula for the q -binomial coefficients; that can also be generalized to the elliptic level.)

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For $p, q \in \mathbb{C}$ with $|p| < 1$, and two commuting variables a and b , let $\mathbb{E}_{a,b;q,p}[x, y]$ be the associative algebra over $\mathbb{E}_{a,b;q,p}$ with 1 generated by x and y , satisfying the following relations:

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$\mathbb{E}_{a,b;q,p}[x, y]$ formally reduces to $\mathbb{C}_q[x, y]$ if one lets $p \rightarrow 0$, $a \rightarrow 0$, then $b \rightarrow 0$ (in this order), and drops the conditions of ellipticity.

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Now let $n > 0$ (n being fixed) and assume that we have already shown the formula for all nonnegative integers $\leq n$. We need to show

$$(x + y)^{n+1} = \sum_{k=0}^{n+1} \begin{bmatrix} n+1 \\ k \end{bmatrix}_{a,b;q,p} x^k y^{n+1-k}.$$

By the recursion formula for the elliptic binomial coefficients, the right-hand side is

$$\begin{aligned}
 & \sum_{k=0}^{n+1} \begin{bmatrix} n \\ k \end{bmatrix}_{a,b;q,p} x^k y^{n+1-k} \\
 & + \sum_{k=0}^{n+1} \begin{bmatrix} n \\ k-1 \end{bmatrix}_{a,b;q,p} W_{a,b;q,p}(k, n+1-k) x^k y^{n+1-k} \\
 & = \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix}_{a,b;q,p} x^k y^{n-k} y \\
 & + \sum_{k=0}^n \begin{bmatrix} n \\ k \end{bmatrix}_{a,b;q,p} W_{a,b;q,p}(k+1, n-k) x^{k+1} y^{n-k},
 \end{aligned}$$

with $W_{a,b;q,p}(k+1, n-k)$ defined earlier.

It remains to be shown that

$$W_{a,b;q,p}(k+1, n-k) x^{k+1} y^{n-k} = x^k y^{n-k} x.$$

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However, using the defining relations of the algebra $\mathbb{E}_{a,b;q,p}[x, y]$ it is straightforward to show by induction with respect to k and l (we omit the details here) that

$$\begin{aligned} x^k W_{a,b;q,p}(s, t) &= W_{a,b;q,p}(s+k, t) x^k \\ y^l W_{a,b;q,p}(s, t) &= \frac{W_{a,b;q,p}(s, t+l)}{W_{a,b;q,p}(s, l)} y^l, \end{aligned}$$

from which, together with the first defining relation of the algebra $\mathbb{E}_{a,b;q,p}[x, y]$ that can be written in the form

$$yx = W_{a,b;q,p}(1, 1) xy,$$

one readily establishes the formula, as stated. □

This elliptic binomial theorem can be used to recover Frenkel and Turaev's ${}_{10}V_9$ summation in the following form (where the requirement of n and m being nonnegative integers can be removed by **analytic continuation**):

Frenkel and Turaev's ${}_{10}V_9$ summation. Let n , m , and k be nonnegative integers, let a , b , q , and p be complex numbers with $|p| < 1$. Then there holds the following convolution formula:

$$\begin{aligned} & \begin{bmatrix} n+m \\ k \end{bmatrix}_{a,b;q,p} \\ &= \sum_{j=0}^k \begin{bmatrix} n \\ j \end{bmatrix}_{a,b;q,p} \begin{bmatrix} m \\ k-j \end{bmatrix}_{aq^{2n-j}, bq^{n+j};q,p} \prod_{i=1}^{k-j} W_{a,b;q,p}(i+j, n-j). \end{aligned}$$

Proof. (Working in $\mathbb{E}_{a,b;q,p}[x,y]$) we expand $(x+y)^{n+m}$ in two different ways and then suitably extract coefficients. On the one hand, we have

$$(x+y)^{n+m} = \sum_{k=0}^{n+m} \begin{bmatrix} n+m \\ k \end{bmatrix}_{a,b;q,p} x^k y^{n+m-k}.$$

On the other hand, we have

$$\begin{aligned} (x+y)^{n+m} &= (x+y)^n (x+y)^m \\ &= \sum_{j=0}^n \begin{bmatrix} n \\ j \end{bmatrix}_{a,b;q,p} x^j y^{n-j} \sum_{l=0}^m \begin{bmatrix} m \\ l \end{bmatrix}_{a,b;q,p} x^l y^{m-l} \\ &= \sum_{j=0}^n \sum_{l=0}^m \begin{bmatrix} n \\ j \end{bmatrix}_{a,b;q,p} \begin{bmatrix} m \\ l \end{bmatrix}_{a q^{2n-j}, b q^{n+j}; q, p} x^j y^{n-j} x^l y^{m-l}. \end{aligned}$$

We now apply

$$\begin{aligned}x^j y^{n-j} x^l y^{m-l} &= x^j \left(\prod_{i=1}^l W_{a,b;q,p}(i, n-j) \right) x^l y^{n+m-j-l} \\ &= \left(\prod_{i=1}^l W_{a,b;q,p}(i+j, n-j) \right) x^{j+l} y^{n+m-j-l}\end{aligned}$$

and after extracting and equating (left) coefficients of $x^k y^{n+m-k}$ on the two right-hand sides of the equations on the previous page, we immediately obtain the convolution formula as stated. □

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Elliptic commuting variables can also be used to prove other **combinatorial identities**.

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A.M. Navon (1973) showed that the normal order coefficients of a word in the Weyl algebra are **rook numbers**.

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I. **Schur's q -analogue of the Fibonacci numbers**, $F_n(q)$,

$$F_0(q) = F_1(q) = 1, \quad F_n(q) = F_{n-1}(q) + q^{a+n-2} F_{n-2}(q), \quad \text{for } n \geq 2,$$

satisfy

$$F_{n+1}(q) = \sum_{k=0}^n \left[\begin{matrix} n-k \\ k \end{matrix} \right]_q q^{k(k-1)+ak},$$

where $\left[\begin{matrix} n \\ k \end{matrix} \right]_q = \prod_{j=1}^k \frac{[n-j+1]_q}{[j]_q}$ is the q -binomial coefficient.

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This immediately yields the **recurrence**

$${}_v S_W(n+1, k) = V_k {}_v S_W(n, k-1) + (W_1 + \dots + W_k) {}_v S_W(n, k).$$

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→ Assign weight W_j to the element $n+1$.

This immediately yields the **recurrence**

$${}_v S_W(n+1, k) = V_k {}_v S_W(n, k-1) + (W_1 + \dots + W_k) {}_v S_W(n, k).$$

Carlitz' q -case is obtained when $V_j = W_j = q^{j-1}$ for all j .

Taking $V_j = W_j = W_{a,b;q,p}(j-1)$, where

$$W_{a,b;q,p}(j-1) = \frac{\theta(aq^{-1+2j}, bq, bq^2, a/b, a/bq; p)}{\theta(aq, bq^j, bq^{1+j}, aq^{j-2}/b, aq^{j-1}/b; p)} q^{j-1},$$

and using

$$\sum_{j=1}^k W_{a,b;q,p}(j-1) = [k]_{a,b;q,p} = \frac{\theta(q^k, aq^k, bq^2, a/b; p)}{\theta(q, aq, bq^{1+k}, aq^{k-1}/b; p)},$$

which **telescopes** due to the $n = k - 1$ case of

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$$[n]_{a,b;q,p} + W_{a,b;q,p}(n) [k-n]_{aq^{2n}, bq^n; q,p} = [k]_{a,b;q,p},$$

we obtain the following **elliptic extension of the Stirling numbers of the second kind**:

$$S_{a,b;q,p}(n+1, k) = W_{a,b;q,p}(k-1) S_{a,b;q,p}(n, k-1) + [k]_{a,b;q,p} S_{a,b;q,p}(n, k).$$

Weighted unsigned Stirling numbers of the first kind

[de Médicis & Leroux, 1995; Kereskényiné Balogh & M.S.]

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Consider a **permutation** of $[n + 1]$, decomposed into exactly k **cycles**, ordered by their minima from left-to-right.

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If the element $n + 1$ forms a separate cycle, that cycle must be the k -th one and $n + 1$ the minimum of that cycle.

→ Assign weight v_{n+1} to the element $n + 1$.

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A relevant q -case is obtained when $v_j = w_j = q^{1-j}$ for all j .

Likewise, we can assign elliptic weights to give an

elliptic extension of the unsigned Stirling numbers of the first kind.

Basis transitions

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We denote the **falling factorials** by

$$z^{\overline{n}} := \begin{cases} z(z-1)\dots(z-n+1) & \text{if } n = 1, 2, \dots, \\ 1 & \text{if } n = 0, \end{cases}$$

and denote the **raising factorials** by

$$z^{\underline{n}} := \begin{cases} z(z+1)\dots(z+n-1) & \text{if } n = 1, 2, \dots, \\ 1 & \text{if } n = 0. \end{cases}$$

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This is easily seen (use $z = (z - k) + k$) to be equivalent to the recursion

$$\begin{aligned} S(n, 0) &= \delta_{n,0}, \\ S(n, k) &= 0 \quad \text{for } k > n, \\ S(n+1, k) &= S(n, k-1) + k S(n, k). \end{aligned}$$

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it follows from the $x = z$ and $y = n - 1$ case immediately that

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The Lah numbers count the number of **placements** of $1, 2, \dots, n$ into exactly k nonempty **tubes** with **linear order** on its elements.

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These are defined, for $c \in \mathbb{N}$, as the following connection coefficients:

$$z(z + cn)^{n-1} = \sum_{k=0}^n A_c(n, k) z^k.$$

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The Abel numbers $A(n, k)$ count the number of **forests** of n **labelled vertices** composed of k **rooted trees** where each of the vertices can have one of c **colors** but the k roots must all have the first color.

We denote the **elliptic falling factorials** by

$$[z]_{a,b;q,p}^n := \begin{cases} [z]_{a,b;q,p} [z-1]_{aq^2,bq;q,p} \cdots [z-n+1]_{aq^{2n-2},bq^{n-1};q,p} & \text{if } n = 1, 2, \dots, \\ 1 & \text{if } n = 0. \end{cases}$$

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Similarly, we denote the **elliptic raising factorials** by

$$[z]_{a,b;q,p}^{\bar{n}} := \begin{cases} [z]_{a,b;q,p} [z+1]_{aq^{-2},bq^{-1};q,p} \cdots [z+n-1]_{aq^{2-2n},bq^{1-n};q,p} & \text{if } n = 1, 2, \dots, \\ 1 & \text{if } n = 0. \end{cases}$$

The **elliptic Stirling numbers of the second kind** $S_{a,b;q,p}(n, k)$ satisfy the following connection identity.

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On the contrary, the above connection identity can be used to define the sequence $S_{a,b;q,p}(n, k)$.

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As one can verify, the $s_{a,b;q,\rho}(n, k)$ satisfy the following **recursion**:

$$s_{a,b;q,\rho}(n, 0) = \delta_{n,0},$$

$$s_{a,b;q,\rho}(n, k) = 0 \quad \text{for } k > n,$$

$$s_{a,b;q,\rho}(n+1, k) = W_{a,b;q,\rho}^{-1}(n) (s_{a,b;q,\rho}(n, k-1) - [n]_{a,b;q,\rho} s_{a,b;q,\rho}(n, k)).$$

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Clearly,

$$\sum_{k=l}^n S_{a,b;q,\rho}(n, k) s_{a,b;q,\rho}(k, l) = \delta_{n,l},$$

$$\text{or} \quad (S_{a,b;q,\rho}(n, k))_{n,k \in \mathbb{N}_0}^{-1} = (s_{a,b;q,\rho}(k, l))_{k,l \in \mathbb{N}_0}.$$

Summary

Various **elliptic extensions** of combinatorial special numbers
(a lot of these have been obtained in joint work with **Meesue Yoo**,
and with **Zsófia R. Kereskényiné Balogh**):

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Various **elliptic extensions** of combinatorial special numbers (a lot of these have been obtained in joint work with **Meesue Yoo**, and with **Zsófia R. Kereskényiné Balogh**):

- Elliptic binomial coefficients
- Elliptic Fibonacci and Lucas numbers
- Elliptic Stirling numbers of the second kind,
- Elliptic Stirling numbers of the first kind,
- Elliptic Lah numbers,
- Elliptic Abel numbers,
- r -Restricted versions and other generalizations of the above,
- Elliptic rook numbers (in different models: see talk of **Meesue Yoo**).