#### $\mathcal{N} = 1$ CFTs, dualities, integrable models

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• I am a physicist, I am sorry

• The talk will lack proofs

• It will have consistency checks

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• Compute the same thing in different ways

• The result is the same, the computations might differ vastly

• Calculate with the symmetry of the problem not manifest

• The result should exhibit the symmetry

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• How we know what we know?

• What we think we know?

• What we know we do not know?

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#### **Dimensional Reductions**

• Reduce physics in D dimension to D' (< D) dimensions on compact manifold

• Choice of theory in D dimensions and the choices made during compactification will determine the model in D' dimensions

• Same compactifications (even if looking differently) lead to same theories, and many properties such as symmetries are implied from the compactification

• In our discussion D' = 4 and D = 6

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• We start with a model in six dimensions,  $\mathcal{T}^{6d}$ 

• Vast number of possibilities

• A nice set of models labeled by a pair of ADE groups,  $T_{ADE_1,ADE_2}^{6d}$ 

•  $ADE_1$  type of M5 branes probing  $ADE_2$  type singularity

•  $T^{6d}_{ADE,A_0}$  widely studied (Gaiotto ), we will discuss  $T^{6d}_{A_{N-1},A_{k-1}}$ 

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#### Six dimensions, symmetry

•  $T^{6d}_{ADE_1,ADE_2}$  are strongly coupled SCFTs

 $\bullet\,$  We know they have flavor symmetry G

• 
$$ADE_1 = A_{N-1}$$
 and  $ADE_2 = A_{k-1}$   
general  $N, k: \quad G = su(k)su(k)u(1)$   
 $N = 2$ , general  $k: \quad G = su(2k)$   
general  $N, k = 2: \quad G = su(2)su(2)su(2)$   
 $N = 2$  and  $k = 2: \quad G = so(7)$ 

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#### Details of compactification

• Consider  $T^{6d}$  on a compact Riemann surface  $\mathcal{C}_{g,s}$ 

- Upon compactification have discrete choices of
  - genus  $\underline{g}$
  - $\bullet$  punctures  $\underline{s}$
  - (information at the punctures)
  - Flux for G through  $C_{g,s}$ ; vector of ints  $\mathcal{F} = (n_1, \cdots, n_{rank(G)})$

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- The flux  $\mathcal{F}$  breaks G to  $G_{max}$  (containing L abelian factors)
- Upon compactification have continuous choices of
  - complex structure moduli (3g 3 complex parameters)
  - flat connections for  $G_{max}$   $((g-1)dim G_{max} + L \text{ complex parameters})$

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#### Four dimensional models

• The low energy limit of  $\mathcal{T}^{6d}$  on the Riemann surface with fluxes is described by a four dimensional theory,  $\mathcal{T}_{g,s;\mathcal{F}}^{4d|ADE_1,ADE_2}$ 

• different discrete choices  $g, s, \mathcal{F}$  give different theories

• different continuous choices complex structure and flat connections give different parameters (couplings) for a given theory

• different choices give different properties in four dimensions, different number of parameters and different flavor symmetries

- Take  $\mathcal{T}_{A_1,A_1}^{6d}$  (N = 2 and k = 2), G = so(7)
- Different choices of  $\mathcal{F} = (a, b, c)$  give theories in four dimensions with different symmetries

$G_{max}$	$u(1)^3$	$su(2)_{diag}u(1)^2$	$su(2)u(1)^2$	su(2)su(2)u(1)
$\mathcal{F}$	(a,b,c)	$(b,\pm b,c)$	(a,0,b)	(b, 0, 0)

$G_{max}$	su(3)u(1)	so(5)u(1)	so(7)
$\mathcal{F}$	$(b, 0, \pm b)$	(0,0,b)	(0, 0, 0)

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#### Four dimensional theories, dualities

• Note that to specify the compactification we need to specify a point on a moduli space (complex structure and flat connections)

• There are different ways to present the same compactification (different pairs of pants decompositions for a surface)

• The action of the mapping class group of the Riemann surface is identified with a duality group o field theory (for example  $\tau \rightarrow 1 + \tau$  and  $-\frac{1}{\tau}$  for a torus)

• This is the source of duality in four dimensions

#### Summary

• Upon compactification of six dimensional theories we can obtain a vast variety of four dimensional theories

• One six dimensional theory can produce a plethora of four dimensional models depending on choices made upon compactification

• Some compactifications are equivalent though are constructed differently, giving duality in four dimensions

• What are the theories in four dimensions? (We do not know in general!! This is the number one motivation to work on the subject)

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# Computations

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#### Supersymmetric Partition Functions

- What can we compute for the four dimensional theories?
- Even when the theories are known they are complicated QFTs and computations are difficult
- For some special computations the integration over the space of fields localizes to finite dimensional sums and integrals; supersymmetric localization

- We can compute the partition functions explicitly using this localization when the Lagrangians are known
- Usually these are given in terms of integrals and sums over special functions. Well defined mathematical expressions which encode PHYSICS in numerous intricate ways.

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#### Partition functions

• Supersymmetric index, partition function on  $\mathbb{S}^3 \times \mathbb{S}^1$  (integrals over elliptic gamma functions)

• Lens index, partition function on  $\mathbb{S}^3/\mathbb{Z}_r \times \mathbb{S}^1$  (sums of integrals over elliptic gamma functions)

• "Twisted" partition functions, partition functions over  $S \times S^1$ where S is Seifert manifold (simpler special functions, complicated contours (JK))

- The above do not depend on continuous parameters
- partition function on S<sup>4</sup>. Depends on couplings.

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#### Supersymmetric Index

• Typical computation for theory with a Lagrangian (SQCD with  $N_f$  flavors of SU(N))

$$\mathcal{I}(t_{l}, r_{l}) = \frac{((q; q)(p; p))^{N-1}}{N!} \oint \prod_{j=1}^{N-1} \frac{dz_{j}}{2\pi i z_{j}} \frac{1}{\prod_{k \neq j} \Gamma_{e}(z_{j}/z_{k})} \prod_{j=1}^{N} \prod_{l=1}^{N_{f}} \Gamma_{e}(t_{l}z_{j}) \Gamma_{e}(r_{l}\frac{1}{z_{j}})$$

- The parameters  $t_l$  and  $r_l$  label the maximal torus of the flavor symmetry  $(SU(N_f)^2 \times U(1))$
- The index can be thought of as a thermal partition function of the model with  $(-1)^F$

#### Index from reductions

• In the construction of the four dimensional theories from reductions we thus can compute functions

 $\mathcal{I}_{g,s,\mathcal{F}}^{ADE_1,ADE_2}(t_i)$ 

where  $t_i$  label the maximal torus of flavor symmetry  $(G_{max})$ 

• These functions should be invariant under dualities

• These functions should exhibit all the expected properties

• Index can be thought as sum over characters of the superconformal group

• It encodes non trivial physics

• For example,  $\mathcal{I} = 1 + \dots + (M - J)pq + \dots$ 

• *M* are marginal operators in the theory and *J* are the conserved currents. *J* has to be in the adjoint of the flavor.

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• Many non trivial identities follow from dualities

• Dualities correspond to different pair of pants decompositions of a given Riemann surface

• In general we do not know how to write explicitly indices of theories corresponding to a compactification

• In some cases  $(\mathcal{T}_{A_1,A_0}^{6d}, \mathcal{T}_{A_2,A_0}^{6d}, \text{ and } \mathcal{T}_{A_1,A_1}^{6d})$  we know all the indices and can write all the non trivial relations

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$$\Gamma_e(u) = \prod_{\kappa,\lambda=0}^{\infty} \frac{1 - \frac{pq}{u} p^{\kappa} q^{\lambda}}{1 - u p^{\kappa} q^{\lambda}}$$

• 
$$\prod_{i=1}^{k} \beta_i = \prod_{j=1}^{k} \gamma_j = 1$$
 (Cartans of  $su(k)su(k)$ )

•  $\prod_{j=1}^N z_j = 1$ 

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#### Simple identities

 $\bullet$  For general case of  $\mathcal{T}^{6d}_{A_{N-1},A_k}$  we know the indices for certain four punctured spheres

$$\begin{aligned} \mathcal{I}_{N,k}(\mathbf{h}, a, \mathbf{w}, b | p, q, t, \beta, \gamma) &= \left(\frac{((q; q)(p; p))^{N-1}}{N!}\right)^{k} \\ \oint \prod_{i=1}^{k} \prod_{j=1}^{N-1} \frac{dz_{i;j}}{2\pi i z_{i;j}} \frac{\prod_{i=1}^{k} \prod_{j,l=1}^{N} \Gamma_{e}(\frac{pq}{t} \beta_{i} \gamma_{i} z_{i,j} z_{i+1,l}^{-1})}{\prod_{i=1}^{k} \prod_{j\neq l}^{N} \Gamma_{e}(z_{i,j} z_{i,l}^{-1})} \\ \\ \prod_{i=1}^{k} \prod_{j,l=1}^{N} \Gamma_{e}(t^{\frac{1}{2}} \beta_{i}^{-1} a z_{i;j} h_{i;l}^{-1}) \Gamma_{e}(t^{\frac{1}{2}} \beta_{i}^{-1} b w_{i;j} z_{i-1;l}^{-1})}{\Gamma_{e}(t^{\frac{1}{2}} \gamma_{i}^{-1} b^{-1} w_{i;j}^{-1} z_{i;l})} \end{aligned}$$

This is invariant under exchange of a ↔ b
k = 1 and N = 2 proven by Van de Bult.

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• The identity on previous slide follows from k = 1 case and identities proven by Rains

• The k being one identity is

$$\oint \prod_{l=1}^{N-1} \frac{du_l}{2\pi i u_l} \prod_{m \neq s} \frac{\Gamma_e(\frac{pq}{t} u_s/u_m)}{\Gamma_e(u_m/u_s)} \prod_{l,s=1}^N \Gamma_e(t^{\frac{1}{2}}(\mathbf{a}h_s u_l)^{\pm 1}) \Gamma_e(t^{\frac{1}{2}}(\mathbf{b}v_s u_l^{-1})^{\pm 1})$$

 $\bullet$  is invariant under exchange of a and b

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#### Non obvious symmetry

• The index of the three punctured sphere of  $\mathcal{T}_{A_2,A_0}^{6d}$  is

$$\begin{split} \mathcal{I}((w,r),\mathbf{u},\mathbf{v}) &= \frac{(q,q)(p,p)}{2} \\ \oint \frac{dz}{2\pi i z} \frac{\Gamma_e(t^{-\frac{1}{2}}z^{\pm 1}w^{\pm 1})}{\Gamma_e(z^{\pm 2})\Gamma_e(\frac{pq}{t}w^{\pm 2})\Gamma_e(t^{-1})} \mathcal{I}_{3,1}(\mathbf{u},s^{\frac{1}{3}}r,\mathbf{v},s^{-\frac{1}{3}}r) \end{split}$$

- This is explicitly invariant under Weyl of  $su(3)_{\mathbf{u}} \times su(3)_{\mathbf{v}} \times su(2)_{w}$
- Physically we can argue that  $su(2)_w u(1)_r$  enhances to su(3) and then the three su(3)s enhance to  $E_6$ .
- To derive the above we used Spiridonov-Warnaar inversion formula.
- We will soon mention that this function is a Kernel function for  $A_2$  RS integrable system.

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## Trinion A of $\mathcal{T}^{4d}_{A_1,A_1}$

 $\bullet$  For  $\mathcal{T}^{6d}_{A_1,A_1}$  we can compute indices of some three punctured spheres

$$\begin{aligned} \mathcal{I}_{T_A^+}(\mathbf{v}, \mathbf{w}, \mathbf{c}) &= \Gamma_e(t(\frac{\gamma}{\beta}v_2)^{\pm 1}v_1^{\pm 1})\Gamma_e(p\,q\frac{1}{\beta^2\gamma^2})(p; p)(q; q)\\ \oint \frac{dz}{4\pi i z} \frac{\Gamma_e(\frac{pq}{t\gamma\beta}(\frac{\beta}{\gamma v_2})^{\pm 1}z^{\pm 1})}{\Gamma_e(z^{\pm 2})}\Gamma_e(\gamma\beta z^{\pm 1}v_1^{\pm 1})\mathcal{I}_{2,2}(\mathbf{c}, \sqrt{zv_2}, \mathbf{w}, \sqrt{\frac{v_2}{z}}) \end{aligned}$$

- This expression is again not explicitly invariant under the exchange of the three factors of  $su(2)^2$  but physics tells us it is.
- The theory corresponds to  $\mathcal{F} = (\frac{1}{4}, \frac{1}{4}, 1)$
- The index of  $T_A^-$  with  $\mathcal{F} = (-\frac{1}{4}, -\frac{1}{4}, -1)$  obtained by inverting some of the parameters  $(t \to pq/t, \gamma \to 1/\gamma \text{ and so on})$

### Trinion B of $\mathcal{T}^{4d}_{A_1,A_1}$

• We can compute index of another trinion

$$\begin{aligned} \mathcal{I}_{T_B^+}(\mathbf{v}; \mathbf{w}, \mathbf{c}) &= \Gamma_e(t(\beta \gamma v_2)^{\pm 1} v_1^{\pm 1}) \Gamma_e(p \, q \frac{\beta^2}{\gamma^2})(p; p)(q; q) \\ \oint \frac{dz}{4\pi i z} \frac{\Gamma_e(\frac{pq\beta}{t\gamma}(\beta \gamma v_2)^{\pm 1} z^{\pm 1})}{\Gamma_e(z^{\pm 2})} \Gamma_e(\frac{\gamma}{\beta} z^{\pm 1} v_1^{\pm 1}) \mathcal{I}_{2,2}(\mathbf{c}, \sqrt{zv_2}, \mathbf{w}, \sqrt{\frac{v_2}{z}}) \end{aligned}$$

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- In this trinion the first  $su(2)^2$  factor is not the same as the two others.
- The theory corresponds to  $\mathcal{F} = (-\frac{1}{4}, \frac{1}{4}, 1)$
- The index of  $T_B^-$  with  $\mathcal{F} = (\frac{1}{4}, -\frac{1}{4}, -1)$  obtained by inverting some of the parameters  $(t \to pq/t, \gamma \to 1/\gamma \text{ and so on})$

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#### Gluing

• We can take indices of two theories corresponding to Riemann surface of genus  $g_{1,2}$  and having  $s_{1,2}$  punctures and fluxes  $\mathcal{F}_{1,2}$  to construct the index of theory corresponding to model with flux  $\mathcal{F}_1 + \mathcal{F}_2$ , with  $s_2 + s_1 - 2$  punctures and genus  $g_1 + g_2$ 

$$\mathcal{I} = \frac{(q;q)^2(p;p)^2}{4} \oint \frac{da_1}{2\pi i a_1} \frac{da_2}{2\pi i a_2} \frac{\Gamma_e(\frac{pq}{t}(\beta/\gamma)^{\pm 1}a_1^{\pm 1}a_2^{\pm 1})}{\Gamma_e(a_2^{\pm 2})\Gamma_e(a_1^{\pm 2})} \mathcal{I}_1(\mathbf{a}) \mathcal{I}_2(\bar{\mathbf{a}})$$

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• With this gluing we can combine the trinions to form closed Riemann surfaces with a variety of fluxes and having any genus



- Remember that the theories are guaranteed to have symmetry  $G_{max}$  (with  $\beta$ ,  $\gamma$ , and t parametrizing the Cartan)
- This is highly non trivial as the ingredients do not have these symmetries, but the combined indices indeed exhibit it!!

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#### Index and moduli spaces

- Remember that at order *pq* the index gives marginal operators minus the conserved currents. These are precisely (in a general situation) the couplings of the models
- Computing the index of general models we obtain

$$\mathcal{I} = 1 + \dots + ((g-1)\chi_{Adj.}(G_{max}) + 3g - 3 + L - \underline{L})pq + \dots$$

- This gives us exactly 3g 3 complex structure moduli, L conserved currents of symmetries unbroken on the general point of the conformal manifold, and  $(g-1)dim G_{max} + L$  corresponding to flat connections
- The index encodes simple invariants of the underlying geometry!!

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#### Residues of the index and surface defects

• The index has many poles in the parameters

• The residues of the poles have a physical meaning

• These are indices of theories obtained in the IR of an RG flow triggered by turning on vacuum expectation values to some operators

• Certain type of poles depending on p and q correspond to turning on space-time dependent vacuum expectation values and lead to models with surface defects

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#### Residues and difference operators

• Interestingly when one computes the residues corresponding to insertion of defects one obtains the following relation

$$\begin{aligned} \operatorname{Res}_{a \to a^*} \mathcal{I}_{g,s+1}^{ADE_1,ADE_2}(a, z, y, \dots) &= \\ \mathcal{D}_{ADE_1,ADE_2}(z) \mathcal{I}_{g,s}^{ADE_1,ADE_2}(z, y, \dots) &= \\ \mathcal{D}_{ADE_1,ADE_2}(y) \mathcal{I}_{g,s}^{ADE_1,ADE_2}(z, y, \dots) \end{aligned}$$

- Here  $\mathcal{D}_{ADE_1,ADE_2}$  are certain difference operators
- Because of the duality properties of the index it does not matter on which set of parameters they act. The indices are kernel functions of these.
- In case of  $ADE_2 = A_0$  the operators are Ruijsenaars-Schneider elliptic Hamiltonians corresponding to  $ADE_1!!$

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### Operators for $\mathcal{T}_{A_1,A_1}$ case

• We can compute the operators for the  $ADE_1 = A_{N-1}$  and  $ADE_2 = A_{k-1}$  case and here is example of the simplest operator for the N = 2 k = 2 case

$$\mathcal{T}(v_1, v_2; \beta, \gamma, t) = \frac{\theta(\frac{tv_1^{-1}v_2^{-1}}{q}(\frac{\gamma}{\beta})^{\pm 1}; p)\theta(\frac{t\beta v_1}{\gamma v_2}; p)\theta(\frac{t\beta^3 \gamma v_2}{v_1}; p)}{\theta(v_1^2; p)\theta(v_2^2; p)}$$

$$\mathcal{D} \cdot f(v_1, v_2) = \sum_{a, b=\pm 1} \mathcal{T}(v_1^a, v_2^b; \beta, \gamma, t) f(q^{\frac{a}{2}}v_1, q^{\frac{b}{2}}v_2)$$

• Computing different residues a commuting set of operators can be constructed

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#### Eigenfunctions

• We can ask what are the eigenfunctions of these operators, at least in special limits (p = 0). These should be orthonormal under the measure of gluing Taking for simplicity also q = 0 and  $\beta$ ,  $\gamma$  to one, we obtain that these are given by  $\frac{1}{(1-tz_1^{\pm 1}z_2^{\pm 1})^2}$  times

$$\begin{split} \hat{\psi}_{(0)} &= 1 \qquad \hat{\psi}_{(1)\pm} = ((z_1 + z_1^{-1}) \pm (z_2 + z_2^{-1})), \\ \hat{\psi}_{(2)_0} &= ((z_1^2 + z_1^{-2}) - (z_2^2 + z_2^{-2})), \\ \hat{\psi}_{(2)\pm} &= \left( -\frac{\left(\pm\sqrt{2-t^2} + t\right)\left(z_1^4 + 1\right)}{2\left(t^2 - 1\right)z_1^2} - \frac{\left(\pm\sqrt{2-t^2} + t\right)\left(z_2^4 + 1\right)}{2\left(t^2 - 1\right)z_2^2} \right. \\ &\pm \sqrt{2-t^2} - t + \left(z_1 + \frac{1}{z_1}\right)\left(z_2 + \frac{1}{z_2}\right) \right) \,. \end{split}$$

$$k = 1 \ (\mathcal{N} = 2) \qquad \rightarrow \qquad k > 1 \ (\mathcal{N} = 1)$$

rational  $\rightarrow$  algebraic

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# Open problems

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• It should be nice to have proofs of the different statements

• From physics point of view a proof of some identity will be yet another consistency check

• However, hopefully the proofs will also give new insight to why the physics is correct

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• Having understanding of the eigenfunctions, even in limits, will be extremely helpful

• The eigenfunctions can give us a computational tool to study models about which otherwise we know little

• Some limits of the eigenfunctions, when we know them, have a physical meaning by themselves in three dimensions. Knowing these can teach us about the dimensional reductions of the theories

#### Inversions

- The Spiridonov-Warnaar inversions are extremely useful in the field theoretic constructions.
- They have physical meaning and allow to extract information about non trivial theories from duality
- However we need more inversions



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## Thank You !!

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