# INTRODUCTION TO ASSOCIATION SCHEMES

J.J. Seidel

# Abstract

The present paper gives an introduction to the theory of association schemes, following Bose-Mesner (1959), Biggs (1974), Delsarte (1973), Bannai-Ito (1984) and Brouwer-Cohen-Neumaier (1989). Apart from definitons and many examples, also several proofs and some problems are included. The paragraphs have the following titles:

- 1. Introduction
- 5. Representations
- Distance regular graphs
   Minimal idempotents
- Root lattices
   Generalizations
- 4. A-modules
- 8. References

# §1. Introduction

An ordinary graph on n vertices (symmetric relation  $\Gamma$  on an n-set  $\Omega$ ) is described by its symmetric  $n \times n$  adjacency matrix A. We paint the edges of the complete graph on n vertices in s colours:

$$J - I = A_1 + A_2 + \dots + A_s ,$$

and require that the vector space

$$\mathcal{A} = \langle A_0 = I, A_1, A_2, ..., A_s \rangle_{\mathbb{R}}$$

is a symmetric algebra w.r.t. matrix multiplication, that is,

$$A_i A_j = A_j A_i = \sum_{k=0}^{s} a_{ij}^k A_k ; \quad i, j = 0, 1, ..., s .$$

We call this algebra the Bose-Mesner algebra of the s-association scheme  $(\Omega, \{id, \Gamma_1, \Gamma_2, ..., \Gamma_s\})$ , where colour *i* corresponds to relation (graph)  $\Gamma_i$  and adjacency matrix  $A_i$ . The intersection numbers  $a_{ij}^k$  and the valencies  $v_i = a_{ii}^0$  have the following interpretation:



These notions go back to Bose and Mesner (1959).

#### Example 1.

A strongly regular graph is a 2-association scheme, where  $A_1$  and  $A_2$  denote the adjacency matrices of the graph and its complement.

In the next example we use the *distance*  $\partial(u, v)$  of the vertices u and v of a graph, and the relations  $\Gamma_i$ , defined by  $\{u, v\} \in \Gamma_i$  iff  $\partial(u, v) = i$ , for i = 0, 1, ..., d = diameter.

# $\frac{\text{Example 2}}{\text{The hexagon}}$





gives rise to a 3-association scheme, since the distance i matrices  $A_i$  read:

$$A_1 = \begin{bmatrix} 0 & J-I \\ J-I & 0 \end{bmatrix}, \quad A_2 = \begin{bmatrix} J-I & 0 \\ 0 & J-I \end{bmatrix}, \quad A_3 = \begin{bmatrix} 0 & I \\ I & 0 \end{bmatrix}.$$

# Problem.

Prove that the distance relations in the cube graph form a 3-association scheme. Determine the valencies and the intersection numbers.

# Example 3 Hamming scheme $H(v, \mathbb{F}_2)$ .

Consider  $\Omega := (\mathbb{F}_2)^v$  with Hamming distance  $\partial_H(x, y)$ , that is, the number of coordinates in which x and  $y \in \Omega$  differ. Denote by  $\Gamma_i$  the relation

$$\{x,y\}\in \Gamma_i ext{ iff } \partial_H(x,y)=i$$
 .

Then we have a v-association scheme with

$$n=2^{v}\,, \;\; v_{i}=\left(egin{array}{c} v \ i \end{array}
ight), \;\; a_{ij}^{k}=\left(egin{array}{c} k \ rac{1}{2}\left(i-j+k
ight)
ight)\left(egin{array}{c} v-k \ rac{1}{2}\left(i+j-k
ight)
ight)\,.$$

Example 4 Johnson scheme J(v, k).

Take  $\Omega$  the set of all k-subsets of a v-set, and  $\{w, w'\} \in \Gamma_i$  iff  $|w \cap w'| = k - i$ . Then

$$n=\left(egin{array}{c} v \ k \end{array}
ight), \ \ v_i=\left(egin{array}{c} k \ i \end{array}
ight)\left(egin{array}{c} v-k \ i \end{array}
ight) \,.$$

In an association scheme  $(\Omega, \{\Gamma_i\})$  we will be interested in *special subsets*  $X \subset \Omega$ , for instance:

- blue cliques X : only blue edges in X,
- blue cocliques X : no blue edges in X,
- code X at min. distance  $\delta$  : no  $\Gamma_1, \Gamma_2, ..., \Gamma_{\delta-1}$  in X,
- few-distance sets X in  $\mathbb{R}^d$ , etc., etc.

The problem then will be to find *bounds* for the cardinality |X| of the special subsets  $X \subset \Omega$ , and to investigate the case of equality.

# §2. Distance-regular graphs

In a graph  $\Gamma = (\Omega, E)$  of diameter d we define:

distance  $\partial(u,v) = ext{length of shortest path between } u,v\in\Omega$  ,

$$\Gamma_i(u) \ := \ \{x \in \Omega \ : \ \partial(x,u) = i\}\,, \ \ |\Gamma_i(u)| \ =: \ k_i \ .$$

Definition.

A graph  $\Gamma$  is distance regular if for all  $u \in \Omega$ , for i = 0, 1, 2, ..., d,

each  $v \in \Gamma_i(u)$  has  $c_i$  neighbours in  $\Gamma_{i-1}(u)$ , has  $b_i$  neighbours in  $\Gamma_{i+1}(u)$ ,

has  $a_i$  neighbours in  $\Gamma_i(u)$ .



Then

 $a_i + b_i + c_i = k$ ,  $k_{i+1}c_{i+1} = k_i b_i$ ,  $b_0 = k$ ,  $c_1 = 1$ ,  $a_1 = \lambda$ .

So the independent parameters are

$$\{k = b_0, b_1, b_2, ..., b_{d-1}; 1 = c_1, c_2, ..., c_d\}$$
.

It is convenient to arrange the parameters into the  $(d + 1) \times (d + 1)$  tridiagonal matrix T:



The definition of distance-regularity translates in terms of the  $n \times n$  distance i matrices  $A_i$ , which are defined by

$$A_i(x,y) = 1$$
 if  $\partial(x,y) = i$ ,  $= 0$  otherwise. (So  $A_1 = A, A_0 = I$ .)

Theorem.

 $\Gamma$  is distance regular iff, for  $1 \leq i \leq d-1$ ,

$$AA_i = b_{i-1}A_{i-1} + a_iA_i + c_{i+1}A_{i+1} .$$

Proof.

$$(AA_i)(x,y)=\#\left\{z\in\Omega\ :\ \partial(x,z)=1,\partial(y,z)=i
ight\}$$
 .

There are such z only if  $\partial(x,y) = i - 1, i, i + 1$ , and their numbers are  $b_{i-1}, a_i, c_{i+1},$ respectively.

## Corollary.

In a distance regular graph the distance i matrices  $A_i$  are polynomials  $p_i$  of degree i in the adjacency matrix A, for i = 0, 1, ..., d.

<u>Proof.</u> By recursive application of the theorem.

# Corollary.

For a distance regular graph of diameter d, the distance i relations constitute a d-association scheme.

<u>Proof.</u> Conversely to  $A_i = p_i(A)$ , deg  $p_i = i$ , the powers  $I, A, A^2, ..., A^d$  are linear combinations of  $A_0, A_1, ..., A_d$ . This implies that  $\langle A_0 = I, A_1 = A, A_2, ..., A_d \rangle_{\mathbb{R}}$  is a Bose-Mesner algebra.

# Example.

The distance 1 relation in the Hamming scheme  $H(d, F_2)$  defines a distance regular graph.

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The vertices are the vectors of  $\mathbb{F}_2^d$ , two vertices being adjacent whenever they differ in one coordinate. Hence

$$k=d\,,\ \ c_i=i\,,\ \ b_i=d-i\,,\ \ k_i=\left(egin{array}{c} d\ i\end{array}
ight)\,.$$

Problem.

Find the parameters  $b_i$  and  $c_i$  for the distance regular graph formed by the *d*-subsets of an *n*-set,  $n \ge 2d$ , adjacency whenever two *d*-subsets differ in one element.

The tridiagonal matrix T, of size d + 1, is useful for eigenvalues.

# <u>Lemma</u>.

The eigenvalues of A are those of T (not counting multiplicities).

<u>Proof</u>. Let  $\lambda$  be an eigenvalue of A. Then  $A_i = p_i(A)$  has the eigenvalue  $p_i(\lambda)$ . The theorem implies

$$\lambda p_i(\lambda) = b_{i-1}p_{i-1}(\lambda) + a_i p_i(\lambda) + c_{i+1}p_{i+1}(\lambda)$$
.

But this reads

$$T^t \underline{p}(\lambda) = \lambda \underline{p}(\lambda)\,, \;\; ext{for}\; \underline{p}(\lambda) \;:=\; \left( p_0(\lambda), p_1(\lambda), ..., p_d(\lambda) 
ight)\,.$$

and  $\lambda$  is an eigenvalue of  $T^t$ , hence of T. There are d+1 distinct eigenvalues of A, hence of T.

Although T and  $T^t$  have the same eigenvalues, they do not have the same eigenvectors. We shall denote by  $\underline{u}(\vartheta)$  the eigenvector of T corresponding to the eigenvalue  $\vartheta$ :

hence

 $T^t \underline{p}(\lambda) = \lambda \underline{p}(\lambda); \ T \underline{u}(\vartheta) = \vartheta \underline{u}(\vartheta); \ u_0 = p_0 = 1 ,$ 

$$c_i u_{i-1} + a_i u_i + b_i u_{i+1} = \vartheta u_i ; \quad i = 1, ..., d-1$$

 $\left(u(\vartheta), v(\lambda)\right) = 0$  for  $\vartheta \neq \lambda$ 

Lemma.

Proof.

$$\vartheta \left( \underline{u}(\vartheta), \underline{p}(\lambda) \right) = \left( T \underline{u}(\vartheta), \underline{p}(\lambda) \right) = \left( \underline{u}(\vartheta), T^{t} \underline{p}(\lambda) \right) = \lambda \left( \underline{u}(\vartheta), \underline{p}(\lambda) \right) .$$

Theorem.

Let the adjacency matrix A of a distance regular graph have the eigenvalue  $\vartheta$  of multiplicity f. Let the tridiagonal T have eigenvector  $\underline{u}(\vartheta)$ . Then

$$L := \frac{f}{n} (I + u_1 A_1 + u_2 A_2 + ... + u_d A_d)$$

is an idempotent matrix of rank f.

<u>Proof.</u> If  $\lambda$  is any other eigenvalue of A, then the corresponding eigenvalue of L equals

$$rac{f}{n} \sum_{i=0}^d \ u_i(artheta) p_i(\lambda) = rac{f}{n} \left( \underline{u}(artheta), \underline{p}(\lambda) 
ight) = \delta_{artheta, \lambda} \; .$$

Indeed, the lemma gives 0 for  $\lambda \neq \vartheta$ . For  $\lambda = \vartheta$  the corresponding eigenvalue of L, which also has multiplicity f, equals 1, since trace L = f.

Remark.

The theory in this section goes back to Biggs (1974). By the present theorem a distance regular graph may be viewed as a set of vectors at equal length in  $\mathbb{R}^{f}$ , at cosines  $u_{i}$ . For certain classes of DRG this paves the way to characterization, by use of root lattices, cf. BCN (1989) and §6.

#### §3. Minimal idempotents

We return to the general case of an association scheme with Bose-Mesner algebra

$$\mathcal{A} = \langle A_0 = I, A_1, A_2, \dots, A_s \rangle_{\mathbb{R}} .$$

The commuting  $A_i$  are simultaneously diagonalizable, hence there exists a basis of minimal orthogonal idempotents:

$$\mathcal{A} = \left\langle E_0 = \frac{1}{n} J, E_1, ..., E_s \right\rangle_{\mathbb{R}}$$

Example.

s = 2, spec  $A = (k^1, r^f, s^g)$ .

$$E_1 = rac{1}{r-s} \left(A-sI-rac{k-s}{n}\,J
ight)\,, \quad ext{of rank } f \;, 
onumber \ E_2 = rac{1}{r-s} \left(rI-A+rac{k-r}{n}\,J
ight)\,, \quad ext{of rank } g \;.$$

The algebra  $\mathcal{A}$  is closed with respect to matrix multiplication. It is also closed with respect to Schur (= entry-wise) multiplication with idempotents  $A_0, A_1, ..., A_s$ . We have:

Matrix multiplication · , Schur multiplication o

$$E_i E_j = \delta_{ij} E_i \qquad , \qquad A_i \circ A_j = \delta_{ij} A_i$$
$$A_i A_j = \sum_{k=0}^s a_{ij}^k A_k \qquad , \qquad E_i \circ E_j = \sum_{k=0}^s b_{ij}^k E_k$$

intersection numbers  $a_{ij}^k \in \mathbb{N}$  , Krein parameters  $b_{ij}^k \geq 0$ 

Transition between the two bases of  $\mathcal{A}$ :

$A_k = \sum_{i=1}^s p_{ik} E_i$	,	$E_i = rac{1}{n} \; \sum_{k=0}^{s} \; q_{ki} A_k$
$A_{k}E_{i}=p_{ik}E_{i}$	,	$E_i \circ A_k = rac{1}{n} q_{ki} A_k$
$valency \ v_{k} = p_{ok}$	,	multiplicity $f_i = q_{oi}$
$\Delta_{oldsymbol{v}} \ := \ \mathrm{diag}(v_k)$	,	$\Delta_f :=  ext{diag}(f_i)$
- [mu] the character table		Ofrem DO at OD

 $P = [p_{ik}]$ , the character table , Q from PQ = nI = QP.

Theorem.

$$\Delta_f P = Q^t \Delta_v \; .$$

Proof.

$$f_i p_{ik} = p_{ik} \operatorname{tr} E_i = \operatorname{tr} A_k E_i = \sum E_i \circ A_k = \frac{1}{n} q_{ki} \sum A_k = q_{ki} v_k ,$$
  
with trace  $MN^t = \sum_{\text{elts}} M \circ N$ .

Problem.

Prove the Krein inequalities  $b_{ij}^k \ge 0$ , by considering  $E_i \circ E_j$  and  $E_i \otimes E_j$ , and by using that, for fixed *i*, *j*, the matrix  $E_i \circ E_j$  has the eigenvalues  $b_{ij}^k$ .

# Remark.

For strongly regular graphs the vanishing of the Krein parameter  $b_{11}^1$  allows the following combinatorial interpretation.

Let  $\Gamma$  be a strongly regular graph having  $b_{11}^1 = 0$ . Then, for every vertex x, the subconstituents  $\Gamma(x)$  and  $\Delta(x)$  are both strongly regular.

Essentially, also the converse holds (under the assumption that  $\Gamma$ ,  $\Gamma(x)$ ,  $\Delta(x)$  are strongly regular for some vertex x). Such graphs are called *Smith graphs*. For r = 1, 2 they are the following unique graphs, with order and eigenvalues (n, k, r, s):

$$(16,5,1,-3)$$
 ,  $(27,10,1,-5)$  ,  $(100,22,2,-8)$   
 $(112,30,2,-10)$  ,  $(162,56,2,-16)$  ,  $(275,112,2,-28)$ 

The automorphism groups of these graphs are well-known groups, such as the 27 linesgroup, the Higman-Sims group on 100, the McLaughlin group on 275 vertices, cf. BCN (1989).

# Example.

Elimination of Q from  $\Delta_f P = Q^t \Delta_v$ , PQ = QP = nI yields

$$P^t \Delta_f P = n \Delta_v$$
,  $\sum_{z=0}^s f_z p_{zk} p_{zl} = n v_k \delta_{k,l}$ .

In the case of distance regular graphs, the

 $p_{zi}$  are (degree i)-polynomials in  $p_{z1}$   $(0 \le i \le s)$ .

From the equations above it follows that the  $p_{zi}$  form a family of orthogonal polynomials with weights  $f_z$ . For the Hamming scheme  $H(v, \mathbb{F}_2)$  these are the Krawchouk polynomials, for the Johnson scheme J(v, l) the dual Hahn polynomials, cf. Delsarte (1973).

# <u>Remark</u>.

Similarly, elimination of P leads to Q-polynomial association schemes, cf. the classification theorems in Bannai-Ito (1984).

#### §4. The A-module V

Let  $\mathcal{A}$  be the Bose-Mesner algebra of an association scheme on  $\Omega$ . Consider the vector space

$$V=\mathbb{R}\Omega=\{x=\sum_{w\in\Omega}\;x(w)w\}=\{f\;:\;\Omega o\mathbb{R}\}\;,$$

provided with the inner product  $(x, y) = \sum_{w \in \Omega} x(w)y(w)$ . A acts on V, with simultaneous eigenspaces

$$V = V_0 \perp V_1 \perp \ldots \perp V_s ; \quad \pi_i : V \to V_i ;$$

 $A_kV_i=p_{ik}V_i\;,\quad E_i=\operatorname{Gram}\left\{\pi_iw\;:\;w\in\Omega
ight\}\;.$ 

A subset  $X = \{w_1, ..., w_m\} \subset \{w_1, ..., w_n\} = \Omega$  is represented by its characteristic vector

$$x = (111..100..0) \in \mathbb{R}\Omega$$
 .

Then  $|X| = (x,x), |X \cap Y| = (x,y)$ , and the average valency of  $A_k$  over S is

$$a_k \ := \ rac{(x,A_kx)}{(x,x)} \ , \qquad k=0,1,...,s \ .$$

Example.

For a code X in the Hamming scheme:  $a_1 = a_2 = ... = a_{\delta-1} = 0$ .

Theorem.

$$\sum_{k=0}^{s} \frac{(x, A_k x)}{v_k} A_k = \sum_{i=0}^{s} \frac{(x, E_i x)}{f_i} n E_i .$$

Proof. Apply §3, then

$$\operatorname{left} = \sum_{k,i,j} (x, E_i x) E_j p_{ik} p_{jk} / v_k = \sum_{k,i,j} (x, E_i x) E_j p_{ik} q_{kj} / f_j = \operatorname{right} . \qquad \Box$$

Corollary.

$$Q^{t}\underline{a} \geq 0$$
, for  $\underline{a} = (1, a_1, a_2, ..., a_s)$ .

<u>Proof</u>. Multiply the theorem by  $E_i$ , then

$$(x,x) \sum_{k=0}^{s} a_k q_{ki} = n(x, E_i x) \ge 0$$
.

Remark.

The constraints  $Q^{t}\underline{a} \geq 0$ ,  $\underline{a} \geq \underline{0}$ , and  $|X| = 1 + a_{1} + a_{2} + ... + a_{s}$ , provide a setting for the application of *linear programming*, cf. Delsarte (1973).

A further application is the following Code-Clique theorem.

Let  $T = \{1, 2, ..., t\} \subset S = \{1, 2, ..., s\}$ .  $X \subset \Omega$  is called a *T*-clique if only *T*-relations in *X*,  $Y \subset \Omega$  is called a *T*-code if no *T*-relations in *Y*:  $(x, A_k x) = 0$  for  $t < k \le s$ ;  $(y, A_k y) = 0$  for  $1 \le k \le t$ .

Theorem.

 $|X| \cdot |Y| \le |\Omega|$  and equality iff  $|X \cap Y| = 1$ .

Proof.

$$n(x,x)(y,y) = n \sum_{k=0}^{s} (x,A_kx)(y,A_ky)/v_k =$$

$$egin{array}{rcl} &=& n^2 \; \sum_{i=0}^s \; (x,E_i x)(y,E_i y)/f_i \geq n^2(x,E_0 x)(y,E_0 y) \; = \ &=& |X|^2 |Y|^2 \; . \end{array}$$

#### Problem.

Handle the case of equality.

#### §5. Representations

Combinatorial objects are represented as sets X of vectors in Euclidean space  $\mathbb{R}^d$ . The set X can be investigated by means of its Gram matrix. Another way is to confront L(X) and  $L(\mathbb{R}^d)$ , where L denotes a linear space of certain test functions.

### Theorem.

Any real symmetric semidefinite marix of rank m is the Gram matrix of n vectors in Euclidean space  $\mathbb{R}^m$ .

<u>Proof</u>. Use diagonalization of symmetric matrices:



As an example we consider a graph  $\Gamma$  on n vertices, say regular of valency k, whose adjacency matrix A has smallest eigenvalue s of multiplicity n - d - 1. From A the following matrix G is constructed:

$$AJ=kJ\,,\;\;G\;:=\;c\Big(A-sI-rac{k-s}{n}\,J\Big)=\left[egin{array}{cccc} 1&lpha/eta\ &\ddots\ &\ lpha/eta& 1 \end{array}
ight]$$

Then G is symmetric, positive semidefinite of rank d, has constant diagonal (say 1) and two off-diagonal entries. By the theorem, G is the Gram matrix of a two-distance set X on the unit sphere S in Euclidean space  $\mathbb{R}^d$ . The following general geometric theorem has consequences for graph theory.

Theorem.

Any 2-distance set X on the unit sphere S in Euclidean  $\mathbb{R}^d$  has cardinality at most  $\frac{1}{2} d(d+3)$ .

<u>Proof.</u> For any  $y \in X$  we define the polynomial

$$F_y(\xi) \; := \; rac{igl((y,\xi)-lphaigr)igl((y,\xi)-etaigr)}{(1-lpha)(1-eta)} \;, \quad \xi\in S \;.$$

The *n* polynomials in  $\xi \in S$ , thus obtained, have degree  $\leq 2$  and are independent, as a consequence of

$$F_{oldsymbol{y}}(oldsymbol{x})=\delta_{oldsymbol{y},oldsymbol{x}}\;;\quadoldsymbol{x},oldsymbol{y}\in X\;.$$

Therefore, their number n is at most the dimension of the space of all polynomials of degree  $\leq 2$  in d variables, restricted to S. This dimension equals  $\frac{1}{2}d(d+1) + d + 0 = \frac{1}{2}d(d+3)$ .

Only three examples are known for the case of equality, viz.

(n,d) = (5,2), (27,6), (275,22).

These 2-distance sets correspond to the pentagon graph, and the graphs of Schäfli, and McLaughlin, respectively. We illustrate the second case.

#### Example.

The 28 vectors  $(3^2, (-1)^6)$  in 7-space span 28 lines which are equiangular at  $\cos \varphi = 1/3$ . Select a unit vector z along any line, then the 27 unit vectors along the other lines at  $\cos \varphi = -1/3$  with z determine a 2-distance set in 6-space at

$$\cos \alpha = 1/4$$
,  $\cos \beta = -1/2$ .

Problem.

From the Johnson scheme J(8,2) find the Schäfli graph on 27 vertices (which corresponds to the 2-distance set just constructed). Find the parameters of the Schäfli graph.

We now turn to representation in eigenspaces. Let the real symmetric  $n \times n$  matrix A have an eigenvalue  $\vartheta$  of multiplicity m, and a corresponding eigenmatrix U of size  $n \times m$ :

$$AU = \vartheta U, \quad U^t U = I_m, \quad UU^t = E.$$

Then the  $n \times n$  matrix E is idempotent of rank m. The n row vectors  $u_i \in \mathbb{R}^m$  of the matrix U have E as their Gram matrix. Now let A be the adjacency matrix of a graph  $\Gamma = (V, A)$  on n vertices. Then U defines a representation of the graph in  $\mathbb{R}^m$ :

$$u : \Gamma \to \mathbb{R}^m : V \to U : i \mapsto u_i$$
.

For distance regular graphs the inner products  $(u_i, u_j)$  are determined by the distance  $\partial(i, j) =: r$ , hence

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$$(u_i,u_i)= ext{constant}\,, \;\; w_r\;:=\; rac{(u_i,u_j)}{(u_i,u_i)}=\cos \, arphi_{ij}\;.$$

The adjacencies imply

$$artheta u_i = \sum_{j \sim i} \; u_j \,, \;\; artheta = \sum_{j \sim i} \; rac{(u_i, u_j)}{(u_i, u_i)} = k w_1$$

and the first cosines are

$$w_0=1\,,\;\;w_1(artheta)=artheta/k\,,\;\;w_2(artheta)=(artheta^2-a_1artheta-k)/kb_1\;.$$

#### Theorem.

Let m > 2 denote the multiplicity of an eigenvalue of a distance regular graph. Then the valency k and the diameter d satisfy Godsil's bound

$$k \leq (m-1)(m+2)/2 \;, \quad (d \leq 3m-4) \;.$$

<u>Proof.</u> For any vector p of a distance regular graph let K denote the set of the neighbours of p. For any  $i, j \in K$  their distance  $\partial(i, j)$  equals 1 or 2, hence u(K) is a 2-distance set of k vectors in  $\mathbb{R}^{m-1}$ . Now apply the bound above to obtain the inequality for k.  $\Box$ 

# <u>Problem</u>.

Prove Godsil's diameter bound.

#### §6. Euclidean root lattices

A lattice is a free Abelian subgroup of rank d in Euclidean  $\mathbb{R}^d$ . The lattice is *integral* if the inner products of its vectors are integral, and *even* if its vectors have even norm (x,x). A root is a vector of norm 2. A root lattice is a lattice generated by roots. A root lattice is invariant under the *reflection* in the hyperplane perpendicular to any root r:

$$x\mapsto x-2rac{(x,r)}{(r,r)}\,r=x-(x,r)r$$

The Weyl group of the root lattice is the group generated by the reflections on the roots.

### Theorem (Witt).

The only irreducible root lattices in  $\mathbb{R}^d$  are those of type  $A_d$ ,  $D_d$ ,  $E_6$ ,  $E_7$ ,  $E_8$ .

To explain the root systems of type  $D_d$  and  $E_8$  (which contain the others:  $A_d \subset D_{d+1}$ ;  $E_6, E_7 \subset E_8$ ), we select an orthonormal basis  $e_1, e_2, ..., e_d$  in  $\mathbb{R}^d$ .

$$D_d \ := \ \left\{ oldsymbol{x} \in \mathbb{R}^d \ : \ oldsymbol{x}_i \in \mathbb{Z}, \sum_1^d \ oldsymbol{x}_i \in 2\mathbb{Z} 
ight\} \ ;$$

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the root system consists of the 2d(d-1) vectors  $\pm e_i \pm e_j$   $(i \neq j)$ , and is situated on d(d-1) lines at 60° and 90° in  $\mathbb{R}^d$ .

$$E_8 := \left\langle D_8, \frac{1}{2} \left( e_1 + e_2 + \ldots + e_8 \right) \right\rangle_{\mathbb{Z}};$$

the root system consists of the 240 = 112 + 128 vectors  $\pm e_i \pm e_j$  and  $\frac{1}{2} (\pm e_1 \pm e_2 \pm ... \pm e_8)$ , even number of minusses, on 120 lines at 60°, 90° in  $\mathbb{R}^8$ .

Witt's theorem plays a role in the proof of the following theorems, cf. CGSS (1976), Terw (1986), Neu (1985), BCN (1989).

#### Theorem.

All graphs having smallest eigenvalue -2 are represented in the root systems of types  $D_d$  and  $E_8$ .

#### Theorem.

The Hamming graphs H(d,q) for  $q \neq 4$ , and the Johnson graphs J(d,k) for  $(d,k) \neq (8,2)$  are characterized by their parameters.

In order to illustrate this, we mention an ingredient used by Terwilliger:

$$E_1 = rac{1}{n} \, \sum_{i=0}^d \, q_{i1} A_i = \sum_{i=0}^d \, (a-bi) A_i = \operatorname{Gram}(x,y,z,... \in \mathbb{R}^f) \; .$$

Then

$$\left\langle \left. rac{1}{\sqrt{b}} \left( x - y 
ight) \, : \, \left( x, y 
ight) \in A_1 
ight
angle_{\mathbb{Z}} 
ight.$$
 is a root lattice, etc.

An ingredient used by Neumaier:

 $G = I + u_1 A_1 + \ldots + u_d A_d$ 

is an idempotent matrix; for

$$artheta=k-\lambda-2\,,\ \ u_i=rac{k}{\lambda+2}-i\,\,,$$

this leads to root lattices, etc.

#### §7. Generalizations

We briefly indicate three recent developments which generalize the theory exposed in the present survey.

a. Coherent algebras, cf. Higman (1987).

These are subalgebras of the matrix algebra  $M_n(\mathbb{C})$  which are closed under Schur multiplication, and contain J. No symmetry, commutativity, containment of I is presupposed. This leads to the earlier coherent configurations by the same author. b. Association schemes on triples, cf. Mesner, Bhattacharya (1990).

The paper deals with partitions of  $\Omega \times \Omega \times \Omega$  into m + 1 relations  $R_i$ , and with 3-dimensional matrices satisfying

$$A_i A_j A_k = \sum_{l=0}^m p_{ijk}^l A_l$$
 .

Here the triple product D = ABC is the  $v \times v \times v$  matrix having the entries

$$D_{xyz} = \sum_{w \in \Omega} A_{wyz} B_{xwz} C_{xyw}$$

c. Polynomial spaces, cf. Godsil (1988).

$$egin{array}{rcl} \Omega:&J(n,k) &S\subset \mathbb{R}^n & ext{Sym}(n) &O(n) \ &
ho(x,y):&|x\cap y| &(x,y) &| ext{fix}\,x^{-1}y| & ext{tr}(x^ty) \end{array}$$

The paper deals with a general set-up involving linear inner-product spaces of polynomials defined on a set  $\Omega$  provided with a distance function  $\rho : \Omega \times \Omega \to \mathbb{R}$ . The axioms are:

$$ho(x,y)=
ho(y,x)\,,\ \ \dim {
m Pol}(\Omega,1)<\infty\,\,,$$

and for the inner products:

$$\langle f,g 
angle = \langle 1,fg 
angle$$

 $\operatorname{and}$ 

$$\langle 1, f \rangle \geq 0 \ \ {
m for} \ \ f \geq 0 \ , \ = \ 0 \ \ {
m iff} \ \ f = 0 \ .$$

The polynomials are defined in terms of zonals  $\zeta_a(f)$ , defined by

$$ig(\zeta_a(f)ig)(x) \; := \; fig(
ho(a,x)ig) \;, \quad x\in\Omega \;.$$

We refer to the original papers for further details.

#### §8. References

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Address of the author:

J.J.Seidel Fakulteit Wiskunde en Informatica Techn. Univ. Eindhoven NL-5644 HK Eindhoven The Netherlands

