*Séminaire Lotharingien de Combinatoire* **89B** (2023) Article #72, 12 pp.

# A unipotent realization of the chromatic quasisymmetric function

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**Abstract.** The chromatic quasisymmetric function is a *t*-analogue of Stanley's chromatic symmetric function, and has recently been at the center of a number of exciting developments in algebraic combinatorics. This extended abstract contributes to this trend, describing a novel realization of certain chromatic quasisymmetric functions as characters of the finite general linear group  $GL_n(\mathbb{F}_q)$ . Additional results tie these characters to other aspects of the chromatic quasisymmetric function: point counting in Hessenberg varieties over  $\mathbb{F}_q$ , realizing the plethystic connection with unicellular LLT polynomials, and re-interpreting positivity conjectures.

**Keywords:** Chromatic quasisymmetric function; unicellular LLT polynomial; unipotent group; combinatorial Hopf algebra

# 1 Introduction

The chromatic symmetric function sits at a nexus of disparate areas of mathematics. At face value, this symmetric function encodes the coloring problem of a graph as an analogue of the chromatic polynomial [18]. However, through a well-known equivalence between the ring of symmetric functions and the representation theory of the symmetric groups (see e.g. [14]), some chromatic symmetric functions are also complex characters of the symmetric group [7]. Moreover, by way of a *t*-analogue known as the chromatic quasisymmetric function, Brosnan and Chow [3] and Guay-Paquet [10] independently proved that the characters corresponding to indifference graphs are afforded by symmetric group modules on the cohomology rings of regular semisimple Hessenberg varieties, as predicted by a conjecture of Shareshian and Wachs [17]. Thus, certain questions about graphs, representation theory, and algebraic geometry coincide in the structure of these symmetric functions, and vice versa.

Based on the paper [6], this extended abstract describes yet another connection to the chromatic quasisymmetric function, this time from the general linear group  $GL_n(\mathbb{F}_q)$  over the finite field with q elements. The maximal unipotent subgroup  $UT_n(\mathbb{F}_q)$  of

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 $GL_n(\mathbb{F}_q)$  has a family of characters indexed by indifference graphs, and Theorem 3.1 shows that and up to a factor of  $(q-1)^n$  the induction functor gives a map

 $\left\{ \begin{array}{c} \text{indifference graph} \\ \text{indexed characters} \end{array} \right\} \xrightarrow{\operatorname{Ind}_{\operatorname{UT}_{n}(\mathbb{F}_{q})}^{\operatorname{GL}_{n}(\mathbb{F}_{q})}} \left\{ \begin{array}{c} \text{chromatic quasisymmetric functions for} \\ \text{indifference graphs evaluated at } t = q \end{array} \right\}$ 

where unipotently supported class functions of  $GL_n(\mathbb{F}_q)$  are identified with symmetric functions via the Hall algebra. Section 3 describes this result and its proof using a Hopf algebraic interpretation of induction established in a second paper of the author [5].

The remaining sections of this abstract explore implications of Theorem 3.1. While the theorem is reminiscent of the Brosnan–Chow–Guay-Paquet theorem, the underlying association between symmetric functions and  $GL_n(\mathbb{F}_q)$  characters in this paper is quite different and offers a complementary perspective. Moreover, the relevant characters are elementary in nature and may be more accessible from a module theoretic standpoint.

Section 4 gives a geometric interpretation of the characters in Theorem 3.1: their values count the points of Hessenberg varieties associated to an ad-nilpotent ideal over  $\mathbb{F}_q$ . Even over  $\mathbb{C}$ , Hessenberg varieties associated to ad-nilpotent ideals are markedly different from the ones in the Brosnan–Chow–Guay-Paquet theorem, and there is no known module structure on their cohomology rings. However, Precup and Sommers [16] have given a geometric connection between the Poincaré polynomials of these Hessenberg varieties and the chromatic quasisymmetric function, and Corollary 4.4 shows how Theorem 3.1 can be seen as a representation theoretic manifestation of this phenomenon.

Section 5 revisits a well-known relationship between chromatic quasisymmetric functions and the family of unicellular LLT polynomials [4] from the perspective of  $GL_n(\mathbb{F}_q)$ . The unipotent characters of  $GL_n(\mathbb{F}_q)$  give another realization of symmetric functions, and any character can be projected onto its unipotent summands to produce a symmetric function. For the characters in Theorem 3.1, this association turns out to be a twisted version of the relationship between chromatic quasisymmetric functions and unicellular LLT polynomials, and Theorem 5.3 gives a map

$$\left\{\begin{array}{l} \text{indifference graph} \\ \text{indexed characters} \end{array}\right\} \xrightarrow{\omega \circ \text{projection} \circ \text{Ind}_{\text{UT}_n(\mathbb{F}_q)}^{\text{GL}_n(\mathbb{F}_q)}} \left\{\begin{array}{l} \text{unicellular LLT polyno-} \\ \text{mials evaluated at } t = q \end{array}\right\}.$$

No previous connection between LLT polynomials and  $GL_n(\mathbb{F}_q)$  representation theory was known, though similar associations exist for quantum groups [13], affine Hecke algebras [8], and the symmetric groups [10, 12].

Finally, Section 6 describes the  $GL_n(\mathbb{F}_q)$  representation theoretic meaning of two "positivity conjectures" about the chromatic quasisymmetric function and unicellular LLT polynomial. Each conjecture postulates that when the symmetric function in question is expressed in a chosen basis, each coefficient will be nonnegative. For the chromatic quasisymmetric functions, the modified Stanley–Stembridge conjecture [17, Conjecture 1.3] (see also [20]) concerns positivity in the elementary symmetric function basis,

and is entirely open. For the LLT polynomials, positivity in the Schur basis has been established by Grojnowski and Haiman [8], but no positive combinatorial formula for the coefficients is known [11]. While no immediate progress is made on either conjecture in this abstract, the  $GL_n(\mathbb{F}_q)$  interpretations may be a useful starting point for future work.

The remainder of this abstract is organized as follows. Section 2 gives general background material. Section 3 contains the main result, Theorem 3.1, and Section 4 relates this result to Hessenberg varieties. Section 5 concerns the unicellular LLT polynomial. Finally, Section 6 discusses the aforementioned positivity conjectures.

### 2 Preliminaries

This section contains preliminary material on Hopf algebras and symmetric functions (Section 2.1), chromatic quasisymmetric functions (Section 2.2), the character theory of  $UT_n(\mathbb{F}_q)$  (Section 2.3), and its relation to  $GL_n(\mathbb{F}_q)$  characters (Section 2.4).

#### 2.1 (Quasi-)Symmetric functions and combinatorial Hopf algebras

This section describes the Hopf algebraic techniques required to prove the main result. Throughout, the term *Hopf algebra* will refer to a graded connected  $\mathbb{C}$ -vector space

$$H_{\bullet} = \bigoplus_{n \ge 0} H_n$$
 such that  $H_0 \cong \mathbb{C}$ ,

equipped with a C-bialgebra structure and a compatible antipode map. This extra structure is not used prominently in this work, which instead focuses on Hopf algebra homomorphisms; these can be thought of as a well-behaved subclass of graded linear maps.

Two particularly important examples now follow. A *composition* of  $n \in \mathbb{Z}_{\geq 0}$  is a sequence of positive integers  $\alpha = (\alpha_1, ..., \alpha_k)$  with  $\alpha_1 + \cdots + \alpha_k = n$ . Call each  $\alpha_i$  a *part* of  $\alpha$ . The *monomial quasisymmetric function* associated to the composition  $\alpha$  is

$$M_{\alpha} = \sum_{i_1 < \cdots < i_{\ell}} x_{i_1}^{\alpha_1} x_{i_2}^{\alpha_2} \cdots x_{i_{\ell}}^{\alpha_{\ell(\alpha)}} \in \mathbb{C}[[\mathbf{x}]].$$

where  $\mathbf{x} = \{x_1, x_2, ...\}$  is an infinite, totally ordered set of indeterminates. With grading given by degree, the *Hopf algebra of quasisymmetric functions* is

$$Q$$
Sym =  $\mathbb{C}$  -span{ $M_{\alpha} \mid \alpha$  is a composition}.

A *partition of n* is a composition of *n* with non-increasing parts. Let

$$\mathcal{P} = \bigsqcup_{n \ge 0} \mathcal{P}_n$$
 with  $\mathcal{P}_n = \{ \text{partitions of } n \}$ 

The Hopf algebra of symmetric functions is the subspace

Sym = 
$$\mathbb{C}$$
-span{ $m_{\lambda} \mid \lambda \in \mathcal{P}$ }  $\subseteq \mathcal{Q}$ Sym with  $m_{\lambda} = \sum_{\operatorname{sort}(\alpha) = \lambda} M_{\alpha}$ ,

where sort( $\alpha$ ) is the partition obtained by listing the parts of  $\alpha$  in non-increasing order.

This abstract will use several standard bases of Sym found in [14]: the elementary symmetric functions  $\{e_{\lambda} \mid \lambda \in \mathcal{P}\}$  [14, I.2], the Schur functions  $\{s_{\lambda} \mid \lambda \in \mathcal{P}\}$  [14, I.3], and the degree-shifted Hall-Littlewood symmetric functions  $\{\widetilde{P}_{\lambda}(\mathbf{x};t) \mid \lambda \in \mathcal{P}\}$  [14, IV.4], which depend on an additional parameter *t*. We will also use the involution  $\omega$  : Sym  $\rightarrow$  Sym, given by  $\omega(s_{\lambda}) = s_{\lambda'}$ , where  $\lambda'$  denotes the transpose of  $\lambda$ .

The paper [1] gives classification of all homomorphisms from an arbitrary Hopf algebra *H* to QSym via certain algebra homomorphisms  $\zeta : H \to \mathbb{C}$ , called *linear characters* herein in order to avoid confusion with the group characters in this work. The Hopf algebra QSym has a linear character known as the *first principal specialization*,

$$ps_1: \mathcal{Q}Sym \longrightarrow \mathbb{C}$$
$$M_{\alpha} \longmapsto \begin{cases} 1 & \text{if } \alpha = () \text{ or } (n) \text{ for } n \ge 0, \\ 0 & \text{otherwise.} \end{cases}$$

**Theorem 2.1** ([1] Theorem 4.1). Let H be a Hopf algebra. Then the map

$$\begin{array}{rcl} \{homomorphisms \ H \to \mathcal{Q}Sym\} & \longrightarrow & \{linear \ characters \ of \ H\} \\ & \Psi & \longmapsto & ps_1 \circ \Psi \end{array}$$

*is a bijection. In particular, for each linear character*  $\zeta$  *of* H*, there is a unique homomorphism*  $\Psi : H \to QSym$  *for which*  $\zeta = ps_1 \circ \Psi$ .

#### 2.2 Indifference graphs and the chromatic quasisymmetric function

This section will describe the chromatic quasisymmetric function of a graph, and go on to define a special class of graphs for which this function is particularly well behaved.

Let  $\gamma$  be a simple, undirected graph with vertex set [n] and edge set  $E(\gamma)$ . A *coloring* of  $\gamma$  is a function  $\kappa : [n] \to \mathbb{Z}_{>0}$ . A coloring  $\kappa$  of  $\gamma$  is *proper* if  $\kappa(i) \neq \kappa(j)$  for all  $\{i, j\} \in E(\gamma)$ . The  $\gamma$ -ascent number of a coloring  $\kappa$  is

$$\operatorname{asc}_{\gamma}(\kappa) = \left| \left\{ \{i, j\} \in E(\gamma) \mid i < j \text{ and } \kappa(i) < \kappa(j) \right\} \right|.$$

$$(2.2)$$

For example, if  $\kappa : [5] \to \mathbb{Z}_{>0}$  is given by  $\kappa(1) = 2$ ,  $\kappa(2) = 5$ ,  $\kappa(3) = 1$ , and  $\kappa(4) = 5$ ,

$$\operatorname{asc}_{1 \ 2 \ 3 \ 4}(\kappa) = |\{\{1,2\},\{3,4\}\}| = 2.$$

In this example,  $\kappa$  is a proper coloring of the given graph.

The chromatic quasisymmetric function of  $\gamma$  is

$$X_{\gamma}(\mathbf{x};t) = \sum_{\substack{\kappa: [n] \to \mathbb{Z}_{>0} \\ \text{proper}}} t^{\operatorname{asc}_{\gamma}(\kappa)} x_{\kappa(1)} x_{\kappa(2)} \dots x_{\kappa(n)} \in \mathcal{Q}\operatorname{Sym}[t],$$

so that  $X_{\gamma}(\mathbf{x}; t)$  is a polynomial in an indeterminate *t* whose coefficients—by properties of the ascent statistic—are quasisymmetric functions. For example,

$$X_{\underbrace{1}_{2}}(\mathbf{x};t) = t \ M_{(2,1)} + t \ M_{(1,2)} + (t^2 + 4t + 1) \ M_{(1^3)}.$$
 (2.3)

Evaluating the indeterminate t in  $X_{\gamma}(\mathbf{x}; t)$  at a complex number gives an actual quasisymmetric function. For example, taking t = 1 gives the ordinary chromatic symmetric function of  $\gamma$  as defined by Stanley in [18], and the main result of this paper concerns the evaluation of  $X_{\gamma}(\mathbf{x}; t)$  at t = q, the order of the finite field  $\mathbb{F}_q$ .

The coefficients of  $X_{\gamma}(\mathbf{x}; t)$  are known to be symmetric under certain conditions. An *indifference graph* of size  $n \ge 0$  is a simple, undirected graph  $\gamma$  on the vertex set  $[n] = \{1, ..., n\}$  with edge set  $E(\gamma)$  satisfying

for each 
$$\{i, l\} \in E(\gamma)$$
:  $\{\{j, k\} \mid i \le j < k \le l\} \subseteq E(\gamma)$ .

The empty graph on  $\emptyset$  is the unique indifference graph of size zero. Let

$$\mathcal{IG} = \bigsqcup_{n \ge 0} \mathcal{IG}_n$$
 with  $\mathcal{IG}_n = \{ \text{indifference graphs on } [n] \}.$ 

For example,

$$\gamma = \overbrace{1 \ 2 \ 3 \ 4}^{\circ} \in \mathcal{IG}_4$$
 but  $\sigma = \overbrace{1 \ 2 \ 3 \ 4}^{\circ} \notin \mathcal{IG}_4$ ,

as  $\{1,4\} \in E(\sigma)$  but  $\{3,4\} \notin E(\sigma)$ .

**Proposition 2.4** ([17, Theorem 4.5]). For an indifference graph  $\gamma \in IG_n$ , the coefficients of each power of t in  $X_{\gamma}(\mathbf{x};t)$  is a symmetric function.

The indifference graphs of size  $n \ge 0$  are enumerated by the *n*th Catalan number, as seen in the following bijection, found in [19, Sol. 187]. Associate to each  $\gamma \in IG_n$  the southeast lattice path from (0,0) to (n, -n) which lies directly above the diagonal x = -y and the unit squares centered at  $(j - \frac{1}{2}, \frac{1}{2} - i)$  for each  $\{i, j\} \in E(\gamma)$ . For example,

$$\underbrace{\overbrace{1\ 2\ 3}}_{1\ 2\ 3} \longleftrightarrow \underbrace{\overbrace{2.3}}_{1\ 2\ 3} = (EESESS). \tag{2.5}$$

#### 2.3 Linear algebraic groups and class functions

For a finite group *G*, the space of complex valued class functions on *G* is

$$cf(G) = \{ \psi : G \longrightarrow \mathbb{C} \mid \psi(g) = \psi(hgh^{-1}) \text{ for all } g, h \in G \}.$$

Under the usual inner product  $\langle \cdot, \cdot \rangle : cf(G) \otimes cf(G) \rightarrow \mathbb{C}$ , there are two orthogonal bases of cf(G): the irreducible characters of *G* and the conjugacy class identifier functions,

$$\{\delta_K \mid K \in C1\} \quad \text{with} \quad \delta_K(g) = \begin{cases} 1 & \text{if } g \in K. \\ 0 & \text{otherwise.} \end{cases}$$
(2.6)

The remainder of this section will construct a subspace of class functions of a particular family of groups. Fix a prime power q, let  $\mathbb{F}_q$  denote the field with q elements, and let  $GL_n = GL_n(\mathbb{F}_q)$ . The *unipotent upper triangular group* is the subgroup

$$UT_n = \{g \in GL_n \mid (g - 1_n)_{i,j} \neq 0 \text{ only if } i < j \}$$

where  $1_n \in GL_n$  is the identity matrix. The set  $\mathcal{IG}_n$  indexes a family of normal subgroups in UT<sub>n</sub> known as *normal pattern subgroups* [15, Lemma 4.1]: for  $\gamma \in \mathcal{IG}_n$ , let

$$\mathrm{UT}_{\gamma} = \{g \in \mathrm{UT}_n \mid g_{i,j} = 0 \text{ if } \{i,j\} \in E(\gamma) \},\$$

where  $E(\gamma)$  denotes the edge set of  $\gamma$ . If  $\pi$  is the Dyck path corresponding to  $\gamma$ , then  $UT_{\gamma}$  is the subset of elements of  $UT_n$  with nonzero entries occurring only on the diagonal or above the path  $\pi$ . For example using the graph and Dyck path from Equation (2.5),

$$UT_{\underbrace{1\ 2\ 3}} = \underbrace{\begin{bmatrix} 1 & 0 & * \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}}_{0\ 0\ 0\ 1}.$$

The characters appearing in the main results below span a subspace of  $cf(UT_n)$  which was initially constructed in [2]. For  $\gamma \in IG_n$ , let

$$\overline{\chi}^{\gamma} = \operatorname{Ind}_{\operatorname{UT}_{\gamma}}^{\operatorname{UT}_{n}}(\mathbb{1}),$$

the character of the  $UT_n$ -module  $C[UT_n/UT_{\gamma}]$ , so that

$$\overline{\chi}^{\gamma} = q^{|E(\gamma)|} \delta_{\mathrm{UT}_{\gamma}}.$$
(2.7)

The characters  $\overline{\chi}^{\gamma}$  are linearly independent, and span a self-dual subspace

$$\operatorname{scf}(\operatorname{UT}_n) = \mathbb{C}\operatorname{-span}\{\overline{\chi}^{\gamma} \mid \gamma \in \mathcal{IG}_n\},\$$

known as the subspace of *superclass functions*.

**Remark 2.8.** The space scf(UT<sub>n</sub>) comes from a supercharacter theory of UT<sub>n</sub> [2], and has a number of interesting bases indexed by  $\mathcal{IG}_n$ . One of these—the "supercharacter basis"—is typically denoted by  $\chi^{\gamma}$  and has the property that  $\overline{\chi}^{\gamma} = \sum_{\sigma \subseteq \gamma} \chi^{\sigma}$ , from which the notation  $\overline{\chi}^{\gamma}$  is derived. More details can be found in the extended version of this abstract [6, Section 2.3].

#### 2.4 Homomorphisms between Hopf algebras of class functions

In [21, III], Zelevinsky defines a graded connected Hopf algebra on the space

$$\mathsf{cf}(\mathsf{GL}_{ullet}) = \bigoplus_{n \ge 0} \mathsf{cf}(\mathsf{GL}_n),$$

with structure maps coming from the parabolic induction and restriction functors. The paper [5] defines a similar Hopf structure on the spaces

$$\operatorname{scf}(\operatorname{UT}_{\bullet}) = \bigoplus_{n \ge 0} \operatorname{scf}(\operatorname{UT}_n), \quad \text{and} \quad \operatorname{cf}(\operatorname{UT}_{\bullet}) = \bigoplus_{n \ge 0} \operatorname{cf}(\operatorname{UT}_n),$$

in which the former is a sub-Hopf algebra of the latter. This section will describe several homomorphisms involving these Hopf algebras.

In [10, Section 6], Guay-Paquet defines a  $\mathbb{C}[t]$ -Hopf algebra on the free  $\mathbb{C}[t]$ -module  $\mathbb{C}[t][\mathcal{IG}]$ , and specializing  $t \mapsto q^{-1}$  gives a Hopf algebra over  $\mathbb{C}$ ; see [5, Section 7].

**Theorem 2.9** ([5, Corollary 7.6]). The map  $\gamma \mapsto q^{-|E(\gamma)|} \overline{\chi}^{\gamma}$  is an isomorphism from Guay-Paquet's specialized Hopf algebra to scf(UT<sub>•</sub>).

A second map comes from the induction functors  $\operatorname{Ind}_{UT_n}^{\operatorname{GL}_n} : \operatorname{cf}(UT_n) \to \operatorname{cf}(\operatorname{GL}_n)$ ; let

$$\operatorname{Ind}_{\operatorname{UT}}^{\operatorname{GL}} = \bigoplus_{n \ge 0} \operatorname{Ind}_{\operatorname{UT}_n}^{\operatorname{GL}_n} : \operatorname{cf}(\operatorname{UT}_{\bullet}) \longrightarrow \operatorname{cf}(\operatorname{GL}_{\bullet}).$$

**Theorem 2.10** ([5, Theorem 6.1]). *The map* Ind<sup>GL</sup><sub>UT</sub> *is a Hopf algebra homomorphism.* 

The image of Ind<sup>GL</sup><sub>UT</sub> is a sub-Hopf algebra, the *class functions with unipotent support*:

$$\mathsf{cf}^{\mathrm{uni}}_{\mathrm{supp}}(\mathrm{GL}_{ullet}) = \mathrm{Ind}^{\mathrm{GL}}_{\mathrm{UT}}(\mathsf{cf}(\mathrm{UT}_{ullet})) \subseteq \mathsf{cf}(\mathrm{GL}_{ullet}).$$

The space  $cf_{supp}^{uni}(GL_{\bullet})$  can also be constructed more explicitly, as follows. An element  $g \in GL_n$  is *unipotent* if g is conjugate to an element of  $UT_n$ . The conjugacy classes of unipotent elements in  $GL_n$  are indexed by  $\mathcal{P}_n$ : the partition  $\lambda = (\lambda_1, \lambda_2, ..., \lambda_\ell)$  corresponds to the conjugacy class  $O_{\lambda}$  of the Jordan matrix

$$J_{\lambda} = J_{\lambda_1} \oplus J_{\lambda_2} \oplus \dots \oplus J_{\lambda_\ell} \quad \text{with} \quad (J_k)_{i,j} = \begin{cases} 1 & \text{if } j \in \{i, i+1\} \\ 0 & \text{otherwise} \end{cases}$$

Thus, writing  $\delta_{\lambda} = \delta_{O_{\lambda}}$ , standard properties of induction imply that

$$\mathsf{cf}_{\mathrm{supp}}^{\mathrm{uni}}(\mathrm{GL}_{\bullet}) = \mathbb{C}\operatorname{-span}\{\delta_{\lambda} \mid \lambda \in \mathcal{P}\}$$

Zelevinsky [21, 10.13] (see also [14, IV.4.1]) constructs a Hopf algebra isomorphism

where  $\widetilde{P_{\lambda}}$  is the degree shifted Hall–Littlewood polynomial mentioned in Section 2.1.

# **3** Realizing $X_{\gamma}(\mathbf{x}; t)$ as a $GL_n$ character

This section gives the main result. Recall the definitions of  $\mathcal{IG}_n$  and  $X_{\gamma}(\mathbf{x};t)$  from Section 2.2, the groups  $UT_{\gamma}$  from Section 2.3, and the maps  $Ind_{UT}^{GL}$  and  $\mathbf{p}_{\{1\}}$  from Section 2.4. **Theorem 3.1.** *For*  $n \ge 0$  *and*  $\gamma \in \mathcal{IG}_n$ ,

$$\operatorname{Ind}_{\operatorname{UT}_{\gamma}}^{\operatorname{GL}_{n}}(\mathbb{1}) = (q-1)^{n} \mathbf{p}_{\{1\}}^{-1} (X_{\gamma}(\mathbf{x};q)).$$

A full proof of Theorem 3.1 is given in [6, Section 3], but we will give a short sketch below, after a few preliminaries. Theorem 2.1 states that the homomorphism

$$\operatorname{cano}_{\operatorname{CQS}} : \operatorname{scf}(\operatorname{UT}_{\bullet}) \xrightarrow{\operatorname{Ind}_{\operatorname{UT}}^{\operatorname{GL}}} \operatorname{cf}_{\operatorname{supp}}^{\operatorname{uni}}(\operatorname{GL}_{\bullet}) \xrightarrow{\mathbf{p}_{\{1\}}} \operatorname{Sym} \xrightarrow{\operatorname{inclusion}} \mathcal{Q}\operatorname{Sym}$$

is uniquely determined by the linear character  $ps_1 \circ p_{\{1\}} \circ Ind_{UT}^{GL}$  of  $scf(UT_{\bullet})$ . The proof of Theorem 3.1 amounts to showing that this linear character also corresponds to the homomorphism

$$\begin{array}{rcl} \mathsf{scf}(\mathsf{UT}_{\bullet}) & \longrightarrow & \mathcal{Q}\mathsf{Sym} \\ & & \overline{\chi}^{\gamma} & \longmapsto & (q-1)^n X_{\gamma}(\mathbf{x};q), \end{array} \tag{3.2}$$

where for each  $\gamma \in \mathcal{IG}_n$ ,  $\overline{\chi}^{\gamma} = \operatorname{Ind}_{\operatorname{UT}_{\gamma}}^{\operatorname{UT}_n}(\mathbb{1})$  as in Section 2.3.

A relative of the map in Equation (3.2) has been studied by Guay-Paquet in [10]. Translating through the isomorphism of Theorem 2.9 and a  $q \leftrightarrow q^{-1}$  interchange property established in [17, Proposition 2.6], we have the following.

**Theorem 3.3** ([10, Theorem 57]). The map  $\overline{\chi}^{\gamma} \mapsto X_{\gamma}(\mathbf{x};q)$  is a Hopf algebra homomorphism from scf(UT<sub>•</sub>) to QSym. Writing  $\zeta_0 : \text{scf}(\text{UT}_{•}) \to \mathbb{C}$  for the corresponding linear character,

$$\zeta_0(\overline{\chi}^{\gamma}) = \begin{cases} q^{|E(\gamma)|} & \text{if } \gamma = ([n], \emptyset), \\ 0 & \text{otherwise.} \end{cases}$$

We now relate  $\zeta_0$  to the linear character of cano<sub>CQS</sub>. Zelevinsky [21, 10.8] has shown that for a unipotently supported class function  $\psi \in cf_{supp}^{uni}(GL_{\bullet})$ ,

$$\mathrm{ps}_1 \circ \mathbf{p}_{\{1\}}(\psi) = \psi(J_{(n)}),$$

where  $J_{(n)}$  is the unipotent Jordan matrix corresponding to the partition (n), as in Section 2.4. Taking  $\psi = \text{Ind}_{\text{UT}}^{\text{GL}}(\overline{\chi}^{\gamma})$ , standard facts about induction and the conjugacy class of  $J_{(n)}$  give the following result, proved in [6, Proposition 3.12].

**Proposition 3.4.** *Let*  $\gamma$  *be an indifference graph of size*  $n \ge 0$ *. Then* 

$$\mathrm{ps}_{1} \circ \mathbf{p}_{\{1\}} \circ \mathrm{Ind}_{\mathrm{UT}}^{\mathrm{GL}}(\overline{\chi}^{\gamma}) = \begin{cases} (q-1)^{n} q^{|E(\gamma)|} & \text{if } \gamma = ([n], \emptyset), \\ 0 & \text{otherwise.} \end{cases}$$

*Proof sketch of Theorem 3.1.* Starting from Theorem 3.3, it can be verified directly that the map in Equation (3.2) is a Hopf algebra homomorphism. By Proposition 3.4, this map has the same linear character as  $cano_{COS}$ , so by Theorem 2.1, they are the same.

## **4** Connections to Hessenberg varieties

This section will describe a relationship between the characters in Theorem 3.1 and Hessenberg varieties. Take  $n \ge 0$  and let  $\mathbb{K}$  be a field. For each element  $A \in Mat_n(\mathbb{K})$  and subspace  $M \subseteq Mat_n(\mathbb{K})$  which is stable under conjugation by the subgroup of upper triangular matrices  $B_n(\mathbb{K}) \subseteq GL_n(\mathbb{K})$ , the *Hessenberg variety* associated to A and M is

$$\mathcal{B}_A^M = \{ gB_n(\mathbb{K}) \in \mathrm{GL}_n(\mathbb{K}) / B_n(\mathbb{K}) \mid g^{-1}Ag \in M \}.$$

The following results exclusively concern Hessenberg varieties associated to strictly upper triangular subspaces known as *ad-nilpotent ideals*. For  $\gamma \in IG_n$ , let

$$\mathfrak{ut}_{\gamma}(\mathbb{K}) = \{A \in \operatorname{Mat}_{n}(\mathbb{K}) \mid A_{i,j} \neq 0 \text{ only if } i < j \text{ and } \{i, j\} \notin E(\gamma)\} = \operatorname{UT}_{\gamma}(\mathbb{K}) - 1_{n}.$$

Some key examples of Hessenberg varieties of the form  $\mathcal{B}_A^{\mathrm{ut}_{\gamma}(\mathbb{K})}$  are well-known, but a specific study of these varieties is quite recent; see [16] and the references therein.

**Proposition 4.1.** Let  $n \ge 0$  and  $\gamma \in \mathcal{IG}_n$ . For  $A \in Mat_n(\mathbb{F}_q)$  with  $1_n + A \in GL_n(\mathbb{F}_q)$ ,

$$\operatorname{Ind}_{\operatorname{UT}_{\gamma}(\mathbb{F}_q)}^{\operatorname{GL}_n(\mathbb{F}_q)}(\mathbb{1})(\mathbb{1}_n+A) = (q-1)^n q^{|E(\gamma)|} |\mathcal{B}_A^{\operatorname{ut}_{\gamma}(\mathbb{F}_q)}|$$

*Proof sketch.* The left side is equal to  $q^{|E(\gamma)|}$  times the number of cosets  $hUT_n(\mathbb{F}_q) \in GL_n(\mathbb{F}_q)/UT_n(\mathbb{F}_q)$  with  $h^{-1}(1_n + A)h \in UT_{\gamma}(\mathbb{F}_q)$ . Each such coset satisfies  $hB_n(\mathbb{F}_q) \in \mathcal{B}_A^{\mathfrak{ut}_{\gamma}(\mathbb{F}_q)}$ , with  $(q-1)^n$  cosets  $hUT_n(\mathbb{F}_q)$  for each each element of  $\mathcal{B}_A^{\mathfrak{ut}_{\gamma}(\mathbb{F}_q)}$ .

The paper [16] gives a similar result for the analogous Hessenberg varieties over  $\mathbb{C}$ , which involves the modified Poincaré polynomial

$$\mathsf{Poin}^{\mathfrak{ut}_{\gamma}(\mathbb{C})}_{A}(t) = \sum_{k\geq 0} \beta_{2k} t^{k},$$

where  $\beta_i$  is the *i*th Betti number of  $\mathcal{B}_A^{\mathfrak{ut}_\gamma(\mathbb{C})}$ . Recall the Hall–Littlewood symmetric function  $\widetilde{P_\lambda}(\mathbf{x};t)$  from Section 2.1, and define expressions  $d_\lambda^\gamma(t)$  for each partition  $\lambda$  of *n* by

$$X_{\gamma}(\mathbf{x};t) = \sum_{\lambda \in \mathcal{P}_n} d_{\lambda}^{\gamma}(t) \widetilde{P_{\lambda}}(\mathbf{x};t).$$
(4.2)

Also note that partitions of *n* index the similarity classes of nilpotent matrices over any field:  $\lambda \in \mathcal{P}_n$  corresponds to the class of  $J_{\lambda} - 1_n$ , where  $J_{\lambda}$  is as defined in Section 2.4.

**Theorem 4.3** ([16, Equation (4.7)]). For  $n \ge 0$ , take  $\gamma \in \mathcal{IG}_n$  and  $\lambda \in \mathcal{P}_n$ . For a nilpotent matrix  $A \in \operatorname{Mat}_n(\mathbb{C})$  in the similarity class indexed by  $\lambda$ ,  $\operatorname{Poin}_A^{\operatorname{ut}_{\gamma}(\mathbb{C})}(t) = t^{-|E(\gamma)|} d_{\lambda}^{\gamma}(t)$ .

Applying the inverse of the map  $\mathbf{p}_{\{1\}}$  defined in Equation (2.11) to Equation (4.2), Theorem 3.1 and Proposition 4.1 give the following.

**Corollary 4.4.** For  $n \ge 0$ , take  $\gamma \in \mathcal{IG}_n$  and  $\lambda \in \mathcal{P}_n$ . Let  $A \in \operatorname{Mat}_n(\mathbb{F}_q)$  and  $A' \in \operatorname{Mat}_n(\mathbb{C})$  be nilpotent elements belonging to similarity classes indexed by  $\lambda$ . Then  $\operatorname{Poin}_{A'}^{\operatorname{ut}_{\gamma}(\mathbb{C})}(q) = |\mathcal{B}_A^{\operatorname{ut}_{\gamma}(\mathbb{F}_q)}|$ .

# 5 Realizing $G_{\gamma}(\mathbf{x}; t)$ as a $GL_n$ character

For an indifference graph  $\gamma \in \mathcal{IG}$ , the *unicellular LLT polynomial* associated to  $\gamma$  is

$$G_{\gamma}(\mathbf{x};t) = \sum_{\kappa:[n] \to \mathbb{Z}_{>0}} t^{\operatorname{asc}_{\gamma}(\kappa)} x_{\kappa(1)} x_{\kappa(2)} \dots x_{\kappa(n)} \in \mathbb{C}[[\mathbf{x}]][t],$$

where the sum is over *all* colorings. This is a variation of the polynomials defined by Lascoux, Leclerc, and Thibon in [13], and is due to Carlsson and Mellit [4] (see also [12]). Like  $X_{\gamma}(\mathbf{x}; t)$ , each  $G_{\gamma}(\mathbf{x}; t)$  is a polynomial in t with symmetric function coefficients.

**Theorem 5.1** ([4, Proposition 3.5]). For  $n \ge 0$ , let  $\gamma \in IG_n$ . Using plethystic notation,

$$(t-1)^n X_{\gamma}(\mathbf{x};t) \left[\frac{\mathbf{x}}{t-1}\right] = G_{\gamma}(\mathbf{x};t).$$

Somewhat surprisingly, the plethystic substitution above has a natural meaning for  $GL_n(\mathbb{F}_q)$ . An irreducible character of  $GL_n$  is *unipotent* if it is a summand of  $Ind_{B_n}^{GL_n}(\mathbb{1})$ , where  $B_n = B_n(\mathbb{F}_q)$  is as defined in Section 4. These characters are indexed by the partitions of n, and  $\chi^{\lambda}$  will denote the irreducible unipotent character corresponding to  $\lambda \in \mathcal{P}(n)$ , with  $\chi^{(1^n)} = \mathbb{1}$  as in [14]. Zelevinksy [21, 9.4] defines a homomorphism

$$\begin{array}{ccc} \mathbf{p}_{\mathbb{1}} \colon & \mathsf{cf}(\mathsf{GL}_{\bullet}) & \longrightarrow & \mathsf{Sym} \\ & \psi & \longmapsto & \sum_{\lambda} \langle \psi, \chi^{\lambda} \rangle s_{\lambda}, \end{array}$$
(5.2)

so that for any character  $\psi$  of  $GL_n$ , the coefficient of  $s_\lambda$  in  $\mathbf{p}_1(\psi)$  is the multiplicity of  $\chi^{\lambda}$  in  $\psi$ . Recalling the map  $\mathbf{p}_{\{1\}}$  from Section 2.4, it is known [14, IV.4] that the composite

$$\operatorname{Sym} \xrightarrow{\mathbf{p}_{\{1\}}^{-1}} \operatorname{cf}_{\operatorname{supp}}^{\operatorname{uni}}(\operatorname{GL}_{\bullet}) \xrightarrow{} \operatorname{cf}(\operatorname{GL}_{\bullet}) \xrightarrow{\mathbf{p}_{1}} \operatorname{Sym}$$

can be expressed in plethystic notation as  $f \mapsto \omega f[\frac{x}{t-1}]|_{t=q}$ . With Theorem 5.1, this implies the following result; see [6, Theorem 5.1] for a complete proof.

**Theorem 5.3.** Let  $\gamma$  be an indifference graph. Then  $\mathbf{p}_{\mathbb{1}} \circ \operatorname{Ind}_{\operatorname{UT}_{\gamma}}^{\operatorname{GL}_n}(\mathbb{1}) = \omega G_{\gamma}(\mathbf{x};q)$ .

# 6 Positivity conjectures

Recall the bases of Sym given in Section 2.1. An element  $f \in \text{Sym}[t]$  is respectively *e-positive* or *s-positive* if there are polynomials  $a_{\lambda}(t) \in \mathbb{Z}_{\geq 0}[t]$  or  $b_{\lambda}(t) \in \mathbb{Z}_{\geq 0}[t]$  for which

$$f = \sum_{\lambda \in \mathcal{P}} a_{\lambda}(t) e_{\lambda}$$
 or  $f = \sum_{\lambda \in \mathcal{P}} b_{\lambda}(t) s_{\lambda}$ 

For the chromatic quasisymmetric functions in Section 2.2, *e*-positivity generalizes the Stanley–Stembridge conjecture [20, Conjecture 5.5], which by [9] is the t = 1 case of the statement below.

**Conjecture 6.1** ([17, Conjecture 1.3]). For each  $\gamma \in IG$ ,  $X_{\gamma}(\mathbf{x}; t)$  is e-positive.

In light of Theorem 3.1, there should be a restatement of Conjecture 6.1 in terms of  $GL_n$ . However, the map  $\mathbf{p}_{\{1\}}$  defined in Section 2.4 does not associate  $e_{\lambda}$  to a character of  $GL_n$ , so some interpretation is required. One possible restatement uses the Steinberg character  $\chi^{(n)}$  of  $GL_n$  defined in Section 5, which agrees with  $\mathbf{p}_{\{1\}}^{-1}(e_n)$  on all unipotent elements. For  $\lambda = (\lambda_1, \ldots, \lambda_\ell) \in \mathcal{P}_n$ , let  $St_{\lambda}$  denote the product  $\chi^{\lambda_1} \cdots \chi^{\lambda_\ell}$  in cf(GL<sub>•</sub>).

**Conjecture 6.2.** Let  $n \ge 0$  and  $\gamma \in \mathcal{IG}_n$ . There are polynomials  $a_{\lambda}^{\gamma}(t) \in \mathbb{Z}_{\ge 0}[t]$  such that for each prime power q the character  $\eta_{\gamma} = \sum_{\lambda \in \mathcal{P}_n} a_{\lambda}^{\gamma}(q) \operatorname{St}_{\lambda}$  satisfies  $(q-1)^n \eta_{\gamma}(u) = \operatorname{Ind}_{\operatorname{UT}_{\gamma}}^{\operatorname{GL}_n}(\mathbb{1})(u)$  for every unipotent element  $u \in \operatorname{GL}_n(\mathbb{F}_q)$ .

By [6, Proposition 6.6], Conjectures 6.1 and 6.2 are equivalent. Ideally, a proof of Conjecture 6.2 would construct a module affording the character  $\eta_{\gamma}$ .

For the unicellular LLT polynomials from Section 5, Schur positivity has implications in the study of Macdonald polynomials [12] and is known to hold by [8, Corollary 6.9]. Unfortunately, the proof in [8] does not explicitly construct the Schur coefficients.

**Open Problem 6.3** ([11, Open Problem 6.6]). *Find a (manifestly positive) combinatorial formula for the Schur coefficients*  $b_{\lambda}^{\gamma}(t)$  *of*  $G_{\gamma}(\mathbf{x};t)$ .

Theorem 5.3 implies that  $b_{\lambda}^{\gamma}(q) = \langle \chi^{\lambda'}, \operatorname{Ind}_{\operatorname{UT}_{\gamma}}^{\operatorname{GL}_n}(\mathbb{1}) \rangle$  for each prime power q, which is a positive integer, but this not yet imply Schur positivity as defined above.

**Open Problem 6.4.** *Find a combinatorial formula for*  $\langle \chi^{\lambda'}, \operatorname{Ind}_{UT_{\gamma}}^{\operatorname{GL}_n}(\mathbb{1}) \rangle$  *as a function of q.* 

## Acknowledgments

This abstract is based on the paper [6] and my Ph.D. thesis, completed at the University of Colorado Boulder. I am thankful for the support of my advisor N. Thiem during this time. I am also grateful to M. Precup for a helpful conversation about Section 4, and to two anonymous referees for suggesting many small improvements to this abstract.

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