

# Eigenspace Decompositions with Respect to Symmetrized Incidence Mappings

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## Abstract

Let  $\mathbb{K}$  denote one of the fields  $\mathbb{Q}, \mathbb{F}_2$  and define  $H(t, q)$ ,  $t \leq q$ , to be the  $\mathbb{K}$ -incidence matrix of the  $t$ -sets vs. the  $q$ -sets of the  $n$ -set  $\{1, 2, \dots, n\}$ . This matrix is considered as a linear map of  $\mathbb{K}$ -vector spaces

$$\mathbb{K}C_q(n) \longrightarrow \mathbb{K}C_t(n),$$

where  $\mathbb{K}C_s(n)$  ( $s \leq n$ ) is the  $\mathbb{K}$ -vector space having the  $s$ -sets as a basis. The symmetrized  $\mathbb{K}$ -incidence matrix (of  $H(t, q)$ ) is defined to be the symmetric matrix  $\tilde{H}(t, q) := H(t, q)^T \cdot H(t, q)$  which is also considered as an endomorphism of  $\mathbb{K}C_q(n)$ . In case  $\mathbb{K} = \mathbb{Q}$  we exhibit explicitly a decomposition of  $\mathbb{Q}C_q(n)$  into eigenspaces with respect to  $\tilde{H}(t, q)$ . A closer examination of the proof of this result yields a canonical decomposition of  $\ker H(t, q)$  (provided  $\binom{n}{t} < \binom{n}{q}$ ) extending work done by J.B. Graver and W.B. Jurkat.

In case  $\mathbb{K} = \mathbb{F}_2$  denote  $\tilde{H}(q | n) := \tilde{H}(q - 1, q)$ . Then  $\tilde{H}(q | n)$  is a projection hence diagonalizable if  $n$  is odd (otherwise nilpotent). In both cases the rank of  $\tilde{H}(q | n)$  is determined; among other results an explicit decomposition of  $\mathbb{F}_2C_q(n)$  into the two eigenspaces with respect to  $\tilde{H}(q | n)$  is obtained provided  $n$  is odd.

As a basic tool we use the graded commutative  $\mathbb{K}$ -algebra

$$\mathbb{K}\mathfrak{C}_*(n) = \mathbb{K}[T_1, \dots, T_n]/(T_1^2, T_2^2, \dots, T_n^2).$$

Here the  $\mathbb{K}$ -vector spaces of the elements of degree  $q$  of  $\mathbb{K}\mathfrak{C}_*(n)$  are isomorphic to  $\mathbb{K}C_q(n)$ .

1. We denote for  $n \in \mathbb{N}$

$$\underline{n} = \{1, 2, \dots, n\},$$

let in addition  $\mathbb{K}$  be a field. Assume  $0 \leq t \leq q \leq n$ . Then  $H(t, q)$  denotes the  $\mathbb{K}$ -incidence matrix  $(\iota(N, M))$ . Here  $M$  runs through the  $q$ -sets of  $\underline{n}$ ,  $N$  runs through the  $t$ -sets of  $\underline{n}$  and we define

$$\iota(N, M) = \begin{cases} 1, & N \subseteq M, \\ 0, & \text{otherwise.} \end{cases} \quad (0, 1 \in \mathbb{K}).$$

Let  $\mathbb{K}C_q(n)$  be the  $\mathbb{K}$ -vector space with basis  $\{[M]\}_{M \in \binom{\underline{n}}{q}}$ , such that  $H(t, q)$  defines a linear mapping

$$\mathbb{K}C_q(n) \longrightarrow \mathbb{K}C_t(n), [M] \longrightarrow \sum_{\substack{N, \\ |N|=t}} \iota(N, M)[N]$$

which again is denoted by the same symbol  $H(t, q)$ . The transposed matrix  $H(t, q)^T$  defines a linear mapping

$$\mathbb{K}C_t(n) \longrightarrow \mathbb{K}C_q(n), [N] \longrightarrow \sum_{\substack{M, \\ |M|=q}} \iota(N, M)[M],$$

which again is denoted by the same symbol  $H(t, q)^T$ . Finally we define an “augmentation map”

$$H(-1, 0) : \mathbb{K}C_0(n) \longrightarrow 0.$$

We are dealing here with the “symmetrized incidence mapping”  $\tilde{H}(t, q)$ . This is defined to be the mapping

$$\tilde{H}(t, q) := H(t, q)^T \circ H(t, q) : \mathbb{K}C_q(n) \longrightarrow \mathbb{K}C_q(n)$$

which is already diagonalizable in case  $\mathbb{K} = \mathbb{Q}$  as we soon will see.

The following proposition is well known.

**Proposition 1.** *We have*

$$\tilde{H}(t, q)([M]) = \sum_{\substack{M', \\ |M'|=q}} 1 \cdot \binom{|M \cap M'|}{t} \cdot [M'].$$

*Proof.* We have

$$\tilde{H}(t, q)([M]) = \sum_{\substack{N, \\ |N|=t}} \sum_{\substack{M', \\ |M'|=q}} \iota(N, M) \iota(N, M') \cdot [M'],$$

in addition

$$\begin{aligned} \sum_{|N|=t}^N \iota(N, M) \cdot \iota(N, M') &= 1 \cdot \#\{N \mid |N|=t, N \subseteq M \cap M'\} \\ &= 1 \cdot \binom{|M \cap M'|}{t}. \end{aligned}$$

□

Therefore all entries of the matrix  $\tilde{H}(t, q)$  are non-negative in case  $\mathbb{K} = \mathbb{Q}$ . If  $\mathfrak{z}(M)$  denotes the row sum of the matrix  $\tilde{H}(t, q)$  indexed by the  $q$ -set  $M$  we have

$$\mathfrak{z}(M) = \sum_{\substack{M', \\ |M'|=q}} \binom{|M \cap M'|}{t}$$

and this sum is independent from  $M$ ; so we denote the constant row sum by  $\mathfrak{z}$ .

• ([3], **Lemma 5.1.1**) *Suppose  $A$  is a real  $n \times n$ -matrix with non-negative entries and constant row sum  $k$ . Then  $(1, 1, \dots, 1)^T$  is an eigenvector of  $A$  with eigenvalue  $k$ . Moreover if  $\mu$  is another (complex) eigenvalue of  $A$  then it holds that*

$$|\mu| \leq k.$$

*Suppose now  $n > 1$ . Then  $k$  is an eigenvalue of geometric multiplicity 1 if and only if  $A$  is irreducible.*

The last assertion follows from the so-called Perron-Frobenius-Theory.

We note another result which applies to the matrix  $\tilde{H}(t, q)$ :

•• ([3], **Theorem 3.2.1**) *Suppose that  $A$  is a real or complex  $n \times n$ -matrix. Then  $A$  is irreducible if and only if the directed graph  $D(A)$  associated to  $A$  is strongly connected.*

Now if  $A = \tilde{H}(t, q)$ ,  $t < q$ ,  $\mathbb{K} = \mathbb{Q}$ , the graph  $D(A)$  has  $\binom{n}{q}$  as set of vertices  $V$ . If  $L, M \in V$  then the directed arc  $(L, M)$  is in the set  $E$  of edges of  $D(A)$  if and only if  $\binom{|L \cap M|}{t} \neq 0$ , that is  $|L \cap M| \geq t$  ist. In this case  $(M, L)$  is an arc in  $D(A)$ , too.

We conclude therefore that  $D(A)$  is strongly connected if and only if the corresponding undirected graph is connected. This is indeed the case as can be easily seen as follows: Fix  $L, M \in V$ . Then there exist  $q$ -sets  $L = L_1, L_2, \dots, L_r = M$  with the property

$$|L_i \cap L_{i+1}| = q - 1 \geq t, \quad 1 \leq i \leq r - 1.$$

If one denotes the eigenspace of  $\tilde{H}(t, q)$  with eigenvalue  $\lambda \in \mathbb{R}$  by

$$\text{Eig} \left( \tilde{H}(t, q), \lambda \right) \subset {}_{\mathbb{R}}C_q(n)$$

then the arguments stated above yield

$$\text{Eig} \left( \tilde{H}(t, q), \mathfrak{z} \right) = \mathbb{R} \cdot \left( \sum_{\substack{M, \\ |M|=q}} [M] \right).$$

In the following we make the convention  $\binom{n}{-1} = 0$ .

**Theorem 1.** *We assume  $\mathbb{K} = \mathbb{Q}$  and  $0 \leq t < q \leq n$ . Then  $\tilde{H}(t, q)$  is diagonalizable (as a mapping of  $\mathbb{Q}$ -vector spaces). More exactly the following holds: In case  $0 \leq s \leq \min\{q, n - q\}$  we define*

$$\mu(q, t; s) = \binom{q-s}{q-t} \cdot \binom{n-t-s}{q-t}.$$

1) *Assume  $t \geq \min\{q, n - q\}$ . Then we have*

*i)  $\mu(q, t; 0) > \mu(q, t; 1) > \dots > \mu(q, t; \min\{q, n - q\}) > 0$  and*

*ii)  $\text{Eig} \left( \tilde{H}(t, q), \mu(q, t; s) \right) = H(s, q)^T \left( \ker H(s - 1, s) \right)$ ,*

$$iii) \dim H(s, q)^T(\ker H(s-1, s)) = \binom{n}{s} - \binom{n}{s-1},$$

such that

$$iv) \mathbb{Q}C_q(n) = \bigoplus_{s=0}^{\min\{q, n-q\}} H(s, q)^T(\ker H(s-1, s))$$

is a decomposition of  $\mathbb{Q}C_q(n)$  into eigenspaces with respect to the endomorphism  $\tilde{H}(t, q)$ .

2) Assume  $t < \min\{q, n-q\}$ . Then we have

$$i) \mu(q, t; 0) > \mu(q, t; 1) > \dots > \mu(q, t; t) > 0,$$

$$\mu(q, t; t+1) = \dots = \mu(q, t; \min\{q, n-q\}) = 0.$$

In case  $0 \leq s \leq t$  we have

$$ii) \text{Eig}(\tilde{H}(t, q), \mu(q, t; s)) = H(s, q)^T(\ker H(s-1, s)),$$

$$iii) \dim H(s, q)^T(\ker H(s-1, s)) = \binom{n}{s} - \binom{n}{s-1}.$$

Furthermore it holds that

$$iv) \text{Eig}(\tilde{H}(t, q), 0) = \ker H(t, q),$$

$$v) \dim \ker H(t, q) = \binom{n}{q} - \binom{n}{t},$$

such that

$$vi) \mathbb{Q}C_q(n) = \left( \bigoplus_{s=0}^t H(s, q)^T(\ker H(s-1, s)) \right) \oplus \ker H(t, q)$$

is a decomposition of  $\mathbb{Q}C_q(n)$  into eigenspaces with respect to the endomorphism  $\tilde{H}(t, q)$ .

**Corollary 1.** We assume  $q+t=n$ . Then the following identity holds

$$|\det H(t, q)| = \prod_{s=0}^{t-1} \binom{q-s}{q-t}^{\binom{n}{s} - \binom{n}{s-1}}.$$

This statement can also be derived from [7], Theorem 2.

**2.** For the proof of the theorem we make use of a graded  $\mathbb{K}$ -algebra which was essentially introduced in the previous paper [6]. We denote this algebra by  $\mathbb{K}\mathfrak{C}_*(n)$ . It is defined by

$$\mathbb{K}\mathfrak{C}_*(n) = \mathbb{K}[T_1, \dots, T_n]/(T_1^2, \dots, T_n^2) = \mathbb{K}[X_1, \dots, X_n],$$

here  $T_1, \dots, T_n$  are algebraically independent elements and  $X_j$  denotes the residue-class  $T_j \bmod (T_1^2, \dots, T_n^2)$ ,  $j \in \underline{n}$ . This algebra will be used in the sequel in the cases  $\mathbb{K} = \mathbb{Q}$  and  $\mathbb{K} = \mathbb{Z}/2\mathbb{Z} = \mathbb{F}_2$ . Let  $\mathbb{K}\mathfrak{C}_*(n)_p$  denote the  $\mathbb{K}$ -vector space of the elements of degree  $p$  in this algebra; then we have that

$$\mathbb{K}\mathfrak{C}_*(n)_p = 0, \quad p > n,$$

and

$$\mathbb{K}\mathfrak{C}_*(n)_p \cong \mathbb{K}C_p(n), \quad 0 \leq p \leq n.$$

The isomorphisms under consideration are induced by the mappings

$$\begin{aligned} \mathbb{K} \ni 1 &\longrightarrow [\emptyset], \\ X_{j_1} \cdot X_{j_2} \cdot \dots \cdot X_{j_p} &\longrightarrow [\{j_1, j_2, \dots, j_p\}], \\ (1 \leq j_1 < j_2 < \dots < j_p \leq n). \end{aligned}$$

In case  $0 \leq q \leq n$  we will identify the spaces  $\mathbb{K}\mathfrak{C}_*(n)_q$  and  $\mathbb{K}C_q(n)$ . – To the incidence mappings  $H(q-1, q)$ ,  $0 \leq q \leq n$ , corresponds the  $\mathbb{K}$ -linear map  $\Delta$  of  $\mathbb{K}\mathfrak{C}_*(n)$  with degree  $-1$  induced by

$$\begin{aligned} \Delta \Big|_{\mathbb{Q}} &= 0, \quad \Delta X_j = 1, \quad j \in \underline{n}, \\ \Delta(X_{j_1} \cdot X_{j_2} \cdot \dots \cdot X_{j_q}) &= \sum_{k=1}^q X_{j_1} \cdot \dots \cdot \widehat{X}_{j_k} \cdot \dots \cdot X_{j_q}, \quad 2 \leq q \leq n, \end{aligned}$$

where we assume that the  $X_{j_k}$  are pairwise distinct and  $\widehat{\phantom{x}}$  denotes the deletion operator.

Finally we define  $\mathbb{X} := \sum_{j=1}^n X_j$ .

**Proposition 2.** *We agree upon  $\Delta^\circ = \text{id}$ ,  $\mathbb{X}^\circ = 1$ . Then we have*

*i) in case  $\mathbb{K} = \mathbb{Q}$*

$$\begin{aligned} (q-t)! H(t, q) &= \Delta^{q-t} \Big|_{C_q(n)}, \\ (q-t)! H(t, q)^T(w) &= \mathbb{X}^{q-t} \cdot w, \quad w \in C_t(n), \end{aligned}$$

*ii) in case  $\mathbb{K} = \mathbb{F}_2$*

$$\begin{aligned} \Delta^2 &= 0, \quad \mathbb{X}^2 = 0, \\ H(t, t+1)^T(w) &= \mathbb{X} \cdot w, \quad w \in C_t(n), \quad 0 \leq t \leq n-1. \end{aligned}$$

*Proof.* For the proof of i) we refer to [6], Proposition 1. –

In the second statement it is obvious that  $\Delta^2$  vanishes on the vectorspace  $\mathbb{F}_2 C_1(n)$ . In case  $2 \leq q \leq n$  we rewrite

$$\Delta(X_{j_1} \cdots X_{j_q}) = \sum_{k=1}^q (-1)^k X_{j_1} \cdots \widehat{X}_{j_k} \cdots X_{j_q}$$

and apply a standard argument from simplicial homology. The remaining assertions are obvious.  $\square$

We remark that  $(\mathbb{F}_2 \mathfrak{C}_*(n), \Delta)$  is isomorphic to a Koszul-complex. We will return to this topic in the last section of this paper.

Let us write  $w \in \mathbb{K} \mathfrak{C}_*(n)$  as a sum of monomials (with respect to  $X_1, \dots, X_n$ ) with coefficients from  $\mathbb{K}$ . Then we have defined in [6] the foundation of  $w$  (in signs  $\text{Fund}(w)$ ), to be the product of all  $X_j$  which appear in this decomposition with non-vanishing coefficients. Sometimes we will identify  $\text{Fund}(w)$  with a subset of  $\underline{n}$ . This convention is used in the next proposition.

**Proposition 3.** *Assume  $v, w \in \mathbb{K} \mathfrak{C}_*(n)$  and  $\text{Fund}(v) \cap \text{Fund}(w) = \emptyset$ . Then it holds that*

$$\Delta(v \cdot w) = w \Delta(v) + v \Delta(w).$$

For the proof we refer to [6], Prop. 2. □

Finally we define the “falling factorial”

$$[r]_k = r(r-1)(r-2) \cdots (r-k+1), [r]_0 = 1.$$

**Proposition 4.** *i) In case  $\mathbb{K} = \mathbb{Q}$  let  $\alpha, \beta$  be non-negative integers. We assume  $0 \leq s \leq n-1$ ,  $1 \leq \alpha$ ,  $\alpha + s \leq n$ ,  $0 \leq \beta \leq \alpha$ , and  $w \in {}_{\mathbb{Q}}C_s(n)$ . Then the following identity holds*

$$\Delta^\beta(\mathbb{X}^\alpha \cdot w) = \sum_{k=0}^{\beta} \binom{\beta}{k} [\alpha]_k [n - \alpha - 2s + \beta]_k \cdot \mathbb{X}^{\alpha-k} \cdot \Delta^{\beta-k}(w).$$

*ii) In case  $\mathbb{K} = \mathbb{F}_2$  we assume  $0 \leq s \leq n-1$  and  $w \in {}_{\mathbb{F}_2}C_s(n)$ . Then the following identity holds*

$$\Delta(\mathbb{X}w) = \mathbb{X} \cdot \Delta(w) + (n \cdot 1) \cdot w.$$

*Proof.* For the first statement we refer to [6], Prop. 4.

The second statement is obvious in case  $s = 0$ . Assume now  $s \geq 1$ . Let  $\tilde{w} \in {}_{\mathbb{Q}}C_s(n)$  be a sum of monomials (with respect to  $X_1, \dots, X_n$ ) with integer coefficients. Then as we have seen in the first part of the proof it holds that

$$\Delta(\mathbb{X}\tilde{w}) = \mathbb{X} \cdot \Delta(\tilde{w}) + (n - 2s) \cdot \tilde{w}.$$

Reducing this equation modulo 2 now yields the claim. □

**Proposition 5.** ([4], Chapt. 15, COROLLARY 8.5).

*We assume  $\mathbb{K} = \mathbb{Q}$  and  $s \leq \min\{q, n - q\}$ . Then the mapping*

$$H(s, q)^T : {}_{\mathbb{Q}}C_s(n) \longrightarrow {}_{\mathbb{Q}}C_q(n)$$

*is injective.*

*Proof.* We use the relation derived in Prop. 4, i) and assume  $\alpha = \beta = q - s \geq 0$ . Let us rewrite this relation in terms of matrices. The left hand side of the relation is  $\left((q - s)!\right)^2 H(s, q) \circ H(s, q)^T$ ; the right hand side is sum of the positive semi-definite matrices

$$H(2s - q + k, s)^T \circ H(2s - q + k, s), \quad q - 2s \leq k \leq q - s,$$

with non-negative integer coefficients. Also the unit matrix occurs here (take  $k = q - s$ ) with the coefficient

$$[q - s]_{q-s} \cdot [n - 2s]_{q-s}$$

which doesn't vanish since  $s \leq n - q$ . We conclude that in case  $s \leq n - q$

$$H(s, q) \circ H(s, q)^T$$

is an isomorphism, hence the mapping  $H(s, q)^T$  is injective.  $\square$

**3.** In this section we first come to the proof of Theorem 1.

Ad 1) So assume  $t \geq \min\{q, n - q\}$ . Suppose  $\binom{q-s}{q-t} = 0$ . This yields

$$t < s \leq \min\{q, n - q\},$$

a contradiction. In the same straightforward manner we conclude that the second factor occurring in  $\mu(q, t; s)$  doesn't vanish. Now it is easily seen that the  $\mu(q, t; s)$ ,  $k = 0, 1, \dots, \min\{q, n - q\}$  are strictly decreasing. This establishes statement i). –

Assume now  $w \in \ker \Delta = \ker H(s - 1, s) \subset C_s(n)$ . According to Prop. 4 we have that

$$\Delta^{q-t}(\mathbb{X}^{q-s}w) = [q - s]_{q-t} \cdot [n - s - t]_{q-t} \cdot \mathbb{X}^{t-s} \cdot w.$$

We multiply this equation with  $\mathbb{X}^{q-t}$  and obtain

$$(\mathbb{X}^{q-t} \cdot \Delta^{q-t}) \cdot (\mathbb{X}^{q-s}w) = [q - s]_{q-t} \cdot [n - s - t]_{q-t} \cdot \mathbb{X}^{q-s} \cdot w.$$

Now we use Prop. 2. This yields

$$\begin{aligned} \mathbb{X}^{q-s} \cdot w &= (q - s)! H(s, q)^T(w), \\ \mathbb{X}^{q-t} \cdot \Delta^{q-t}(w) &= \left((q - t)!\right)^2 \tilde{H}(t, q)(w). \end{aligned}$$

Therefore we have now

$$\tilde{H}(t, q) \left( H(s, q)^T \cdot w \right) = \mu(q, t; s) \cdot \left( H(s, q)^T \cdot w \right),$$

and in turn

$$(1) \dots \quad H(s, q)^T \left( \ker H(s-1, s) \right) \subseteq \text{Eig} \left( \tilde{H}(t, q), \mu(q, t; s) \right).$$

Since  $s \leq \min\{q, n-q\}$  the inequality  $s \leq \lfloor \frac{n}{2} \rfloor$  holds.

Now we use the following

**Lemma.** *Assume  $h, k \in \{0, 1, \dots, n\}$  and  $\binom{n}{h} \leq \binom{n}{k}$ . Then the mapping  $H(h, k) : C_k(n) \rightarrow C_h(n)$  is surjective.*

For a proof of the Lemma we refer to [5], 2.3., 2.4.

For another independent proof see [6], Theorem 1. □

According to the Lemma we have

$$\dim \ker H(s-1, s) = \binom{n}{s} - \binom{n}{s-1}.$$

We now invoke Prop. 5 and obtain

$$\dim H(s, q)^T \left( \ker H(s-1, s) \right) = \binom{n}{s} - \binom{n}{s-1}.$$

Since eigenspaces to different eigenvalues are independent, we conclude

$$\sum_{s=0}^{\min\{q, n-q\}} H(s, q)^T \left( \ker H(s-1, s) \right) = \bigoplus_{s=0}^{\min\{q, n-q\}} H(s, q)^T \left( \ker H(s-1, s) \right),$$

and this subspace of  ${}_{\mathbb{Q}}C_q(n)$  has the dimension

$$\sum_{s=0}^{\min\{q, n-q\}} \left( \binom{n}{s} - \binom{n}{s-1} \right) = \binom{n}{q} = \dim {}_{\mathbb{Q}}C_q(n).$$

Therefore strict equality must hold in Eq (1). At the same time all other statements are proved.

ad 2): The proof of assertion i) is straightforward. Also, along the same lines as in the corresponding statement in case 1) we conclude

$$(2) \dots H(s, q)^T \left( \ker H(s-1, s) \right) \subseteq \text{Eig} \left( \tilde{H}(t, q), \mu(q, t; s) \right), \\ 0 \leq s \leq \min \{1, n - q\},$$

and

$$\dim H(t, q)^T \left( \ker H(s-1, s) \right) = \binom{n}{s} - \binom{n}{s-1}$$

provided  $0 \leq s \leq \min\{q, n - q\}$ .

Now we assume  $t < \min\{q, n - q\}$  and obtain  $t + q + 1 \leq n$ . This inequality is equivalent to the condition  $\binom{n}{t} < \binom{n}{q}$ . According to the Lemma in the first part of the proof  $H(t, q)$  is surjective, in turn

$$\dim \ker H(t, q) = \binom{n}{q} - \binom{n}{t}.$$

Obviously it holds that

$$(3) \dots \ker H(t, q) \subseteq \text{Eig} \left( \tilde{H}(t, q), 0 \right).$$

Now we apply the first half of assertion i) and obtain

$$\sum_{s=0}^t H(s, q)^T \left( \ker H(s-1, s) \right) + \ker H(t, q) = \\ = \bigoplus_{s=0}^t H(s, q)^T \left( \ker H(s-1, s) \right) \oplus \ker H(t, q).$$

This subspace of  ${}_{\mathbb{Q}}C_q(n)$  therefore has the dimension

$$\sum_{s=0}^t \left( \binom{n}{s} - \binom{n}{s-1} \right) + \binom{n}{q} - \binom{n}{t} = \binom{n}{q} = \dim {}_{\mathbb{Q}}C_q(n).$$

We conclude that strict equality must hold in Eq (2), (3). At the same time, all other statements have been proved.  $\square$

**Remarks:**

a) We note the particular result

$$\text{Eig}\left(\tilde{H}(t, q), \mu(q, t; 0)\right) = \mathbb{Q} \cdot \left( \sum_{\substack{M, \\ |M|=q}} [M] \right).$$

This allows us to compute the constant row-sums  $\mathfrak{z}$  of  $\tilde{H}(t, q)$ . We obtain

$$\mathfrak{z} = \mu(q, t; 0) = \binom{q}{t} \cdot \binom{n-t}{q-t}.$$

b) From the second part of the proof we derive

$$\ker H(t, q) = \text{Eig}\left(\tilde{H}(t, q), 0\right) = \ker\left(H(t, q)^T \circ H(t, q)\right).$$

Of course this is also a consequence of the following well-known equality

$$\text{rank} H(t, q) = \text{rank}\left(H(t, q)^T \circ H(t, q)\right) \left( = \text{rank}\left(H(t, q) \circ H(t, q)^T\right) \right),$$

(see for instance [1], Chapt. II, 2.5 Lemma).

Now we turn to the proof of the corollary.

Assume first  $t = q$ . The claim is trivially true since  $\tilde{H}(t, q)$  is the unit matrix.

Now assume  $t < q$ . Of course

$$|\det H(t, q)| = \sqrt{\det \tilde{H}(t, q)},$$

and  $\det \tilde{H}(t, q)$  is the product of the eigenvalues counted with the corresponding multiplicities.

Since  $q = n - t$ , case 1) of the Theorem applies and yields

$$\mu(q, t; s) = \binom{q-s}{q-t}^2, \quad 0 \leq s \leq t = \min\{q, n-q\}. \quad \square$$

Let us once again return to the proof of the Theorem, case 2). We consider the sum

$$U = \sum_{s=0}^{\min\{q, n-q\}} H(s, q)^T(\ker H(s-1, s)).$$

Our arguments have shown that all subspaces occurring in this sum are subspaces of eigenspaces with respect to  $\widetilde{H}(t, q)$  but the eigenspaces under consideration do not necessarily have *distinct* eigenvalues. In fact the last  $\min\{q, n - q\} - t$  eigenvalues are zero according to assertion i). So in general we cannot conclude by standard arguments that  $U$  is a direct sum. However, this is true as can be seen from our next result which was announced in the previous paper ([6], Theorem 3).

**Theorem 2.** *Assume  $\binom{n}{t} < \binom{n}{q}$ . Then it holds that*

$$\ker H(t, q) = \bigoplus_{s=t+1}^{\min\{q, n-q\}} H(s, q)^T \left( \ker H(s-1, s) \right).$$

*Proof.* Assume  $t + 1 \leq s \leq \min\{q, n - q\}$  and define

$$V_s := H(s, q)^T \left( \ker H(s-1, s) \right).$$

We have already remarked that the condition imposed in Theorem 2 is equivalent to  $t + q + 1 \leq n$ . Now we use the following

**Lemma.** *Assume  $0 \leq s \leq \min\{q, n - q\}$  and  $w_s \in \ker H(s-1, s)$ . Then we have*

$$\Delta^{q-r}(\mathbb{X}^{q-s} \cdot w_s) = \begin{cases} \alpha(q, s) \cdot w_s, & \alpha(q, s) \neq 0, \quad \text{if } r = s, \\ 0, & \text{if } r < s. \end{cases}$$

*Proof (of the lemma):* From Prop. 4 we derive

$$\begin{aligned} \Delta^{q-s}(\mathbb{X}^{q-s} \cdot w_s) &= \alpha(q, s) \cdot w_s \\ \alpha(q, s) &= [q - s]_{q-s} \cdot [n - 2s]_{q-s} \neq 0 \end{aligned}$$

provided  $s \leq \min\{q, n - q\}$ .

Now assume  $r < s$ . Then we obtain

$$\Delta^{q-r}(\mathbb{X}^{q-s} \cdot w_s) = \Delta^{s-r} \left( \Delta^{q-s}(\mathbb{X}^{q-s} \cdot w_s) \right) = \Delta^{s-r} \left( \alpha(q, s) \cdot w_s \right) = 0.$$

□

Now take  $r = t$  in the lemma and apply Prop. 2. Then we have proved anew that  $V_s$  are contained in  $\ker H(t, q)$ . – Let us show now that the sum

$$V := \sum_{s=t+1}^{\min\{q, n-q\}} V_s$$

is direct.

We take  $v \in V$  and write

$$(4) \dots \quad v = \sum_{s=t+1}^{\min\{q, n-q\}} \mathbb{X}^{q-s} w_s = 0, \quad w_s \in \ker H(s-1, s).$$

In case  $t+1 = \min\{q, n-q\}$ , nothing is to be proved. Otherwise apply  $\Delta^{q-(t+1)}$  in Eq (4). According to the Lemma we obtain

$$\Delta^{q-(t+1)}(v) = \alpha(q, t+1) w_{t+1} = 0,$$

which in turn shows  $w_{t+1} = 0$ . Suppose now that it has already be shown that in Eq (4) the following equalities hold

$$w_{t+1} = w_{t+2} = \dots = w_p = 0, \quad p < \min\{q, n-q\}.$$

Then we obtain again according to the Lemma

$$\Delta^{q-(p+1)}(v) = \alpha(q, p+1) \cdot w_{p+1} = 0,$$

and therefore  $w_{p+1} = 0$ . –

We recall from the proof of Theorem 1

$$\dim V_s = \binom{n}{s} - \binom{n}{s-1}.$$

This in turn implies now

$$\dim V = \sum_{s=t+1}^{\min\{q, n-q\}} \left( \binom{n}{s} - \binom{n}{s-1} \right) = \binom{n}{q} - \binom{n}{t} = \dim \ker H(t, q)$$

which finishes the proof of the Theorem. □

We recall that the two conditions imposed in Theorem 1, viz “ $t \geq \min\{q, n - q\}$ ” and “ $t < \min\{q, n - q\}$ ”, respectively, are equivalent to the conditions “ $\binom{n}{t} \geq \binom{n}{q}$ ” and “ $\binom{n}{t} < \binom{n}{q}$ ”. In the first case  $\tilde{H}(t, q)$  is an isomorphism according to Theorem 1. If we use only [6] in the proof of that theorem, which is possible, then we have proved anew independently from [5], 2.3, 2.4 that  $H(t, q)$  is an isomorphism provided  $\binom{n}{t} \geq \binom{n}{q}$ .

(Of course this proof is (much) more complicated.) In particular we conclude that  $\ker H(q - 1, q) \neq 0$  if and only if  $q \leq \lfloor \frac{n}{2} \rfloor$ . Now we quote

••• ([5], 4.2, [6], 4.). *Assume  $q \leq \lfloor \frac{n}{2} \rfloor$ . Then  $\ker H(q - 1, q)$  is generated by elements of the type*

$$(X_{j_1} - X_{j_2})(X_{j_3} - X_{j_4}) \cdot \dots \cdot (X_{j_{2q-1}} - X_{j_{2q}}).$$

If we combine this result with Theorem 2 we obtain systems of generators of  $\ker H(t, q)$ ; however, these systems are in general different from those exhibited in [5]. – In the same way we have explicit systems of generators of the eigenspaces with respect to  $\tilde{H}(t, q)$ .

Finally we make a remark concerning the eigenspaces of  $H(t, q) \circ H(t, q)^T$ . We restrict ourselves to quote the following result:

•••• ([4], Chapt. 10, LEMMA 3.2) *For any matrix  $A$  the non-zero eigenvalues of  $AA^T$  and  $A^T A$  are the same, and have the same multiplicities.*

4. In this last section we take  $\mathbb{K} = \mathbb{F}_2$ . We investigate now the mappings

$$\tilde{H}_q(q|n) =: \tilde{H}(q - 1, q) : \mathbb{F}_2 C_q(n) \longrightarrow \mathbb{F}_2 C_q(n),$$

using the algebra  $\mathbb{F}_2 \mathfrak{C}_*(n)$ . According to Prop. 1 we have

$$\tilde{H}(q|n) \left( [M] \right) = (q \cdot 1) \cdot [M] + \sum_{\substack{|M'|=q \\ |M \cap M'|=q-1}} 1 \cdot [M'].$$

The reader might have wondered why we admit a field of positive characteristic. In fact, as we soon will see,  $\tilde{H}(q|n)$  is a projection (hence diagonalizable) if  $n$  is odd (otherwise nilpotent). We have already observed in **3.** that  $(\mathbb{F}_2 \mathfrak{C}_*(n), \Delta)$  is a complex in the sense of homological algebra. Let us rewrite this complex  $\mathfrak{K}_n$  in the following way

$$0 \longrightarrow C_n(n) \xrightarrow{\Delta_n} C_{n-1}(n) \xrightarrow{\Delta_{n-1}} \dots \xrightarrow{\Delta_2} C_1(n) \xrightarrow{\Delta_1} C_0(n) \longrightarrow 0,$$

where of course we use the notation  $\Delta_q = \Delta \Big|_{C_q(n)}$ .

**Proposition 6.** *The complex  $\mathfrak{K}_n$  is exact.*

This can be seen in different ways: First, the homology of the ball vanishes over any field. Or secondly,  $\mathfrak{K}_n$  is isomorphic to a Koszulcomplex. The claim now follows from standard arguments about the vanishing of the homology modules of this complex. Third, the claim follows also from the much more general considerations in [2].

However, it will be useful for our purposes to prove the exactness of  $\mathfrak{K}_n$  as follows: Since the statement is trivial if  $n = 1$ , we assume in the sequel always  $n \geq 2$ .

**Proposition 7.**

- i)  $\text{Im } \Delta_q$  is already generated by the images of the elements  $X_n \cdot u$ , where  $u \in C_{q-1}(n-1)$ .
- ii)  $\text{rank } \Delta_q = \binom{n-1}{q-1}$ .

*Proof.* The elements different from zero recorded in assertion i) are exactly those  $w \in C_q(n)$  with the property  $\text{Fund}(w) \cap \{X_n\} \neq \emptyset$ . If  $q = n$ , the claim is obvious. So assume now  $1 \leq q \leq n-1$  and take  $w \in C_q(n)$ ,  $\text{Fund}(w) \cap \{X_n\} = \emptyset$ . It follows that  $X_n \cdot w \in C_{q+1}(n)$  and according to Prop. 3

$$\Delta_{q+1}(X_n \cdot w) = w + X_n \cdot \Delta_q(w).$$

But  $\Delta_q \circ \Delta_{q+1} = 0$ , so we obtain

$$\Delta_q(w) = \Delta_q(X_n \cdot \Delta_q(w))$$

which proves the first claim.

To prove ii) it is sufficient to show that  $\Delta_q$  restricted to the subspace  $X_n \cdot C_{q-1}(n-1)$  is injective. So assume  $X_n \cdot u$  is contained in that subspace. Then again according to Prop. 3 we obtain

$$0 = \Delta_q(X_n \cdot u) = u + X_n \cdot \Delta_{q-1}(u)$$

and hence  $u = 0$ , since  $X_n$  is no factor of  $u$ . Combined with assertion i) we obtain now

$$\text{rank } \Delta_q = \dim C_{q-1}(n-1) = \binom{n-1}{q-1}.$$

□

As announced we prove again the exactness of  $\mathfrak{K}_n$  as follows: Assume  $1 \leq q \leq n-1$ . Then it holds that

$$\dim \ker \Delta_q = \binom{n}{q} - \binom{n-1}{q-1} = \binom{n-1}{q} = \dim \text{Im } \Delta_{q+1}.$$

The exactness of  $\mathfrak{K}_n$  at the positions  $0, n$  is obvious.

The rank-formula in Prop. 7 is also a consequence of the more general considerations in [7]. Here the rank of the integer valued incidence matrix  $H(t, q)$  reduced mod  $p\mathbb{Z}$ ,  $p$  any prime, was determined. The rank-formula obtained there (loc. cit., Theorem 1) applied to our case yields

$$\text{rank } \Delta_q = \binom{n}{q-1} - \binom{n}{q-2} + \binom{n}{q-3} \mp \dots + (-1)^{q+1} \binom{n}{0}.$$

For a proof that both expressions obtained for the rank of  $\Delta_q$ , coincide we refer to [6], Theorem 2, Lemma.

**Theorem 3.**    i)  $\tilde{H}(q|n)^2 = \begin{cases} \tilde{H}(q|n), & \text{if } n \text{ is odd,} \\ 0, & \text{if } n \text{ is even.} \end{cases}$

ii) *If  $n$  is odd then*

$$\text{rank } \tilde{H}(q|n) = \binom{n-1}{q-1}.$$

*Assume  $1 \leq q \leq n-1$ . Then*

$$\ker \tilde{H}(q|n) = \text{Im } H(q, q+1).$$

iii) *If  $n$  is even then*

$$\text{rank } \tilde{H}(q|n) = \binom{n-2}{q-1}.$$

*Assume  $1 \leq q \leq n-1$ . Then*

$$\ker \tilde{H}(q|n) = X_n \cdot \text{Im } \tilde{H}(q-1|n-1) \oplus \text{Im } H(q, q+1).$$

*Proof.* ad i). Suppose  $u \in C_{q-1}(n)$ . Then according to Prop. 4, ii) we have that

$$\Delta(\mathbb{X}u) = \mathbb{X} \cdot \Delta(u) + (n \cdot 1) \cdot u.$$

But  $\mathbb{X}^2 = 0$ , so we obtain

$$\mathbb{X}\Delta\mathbb{X}(u) = (n \cdot 1)\mathbb{X}u.$$

Now we take  $u = \Delta w$ ,  $w \in C_q(n)$ . This yields

$$\mathbb{X}\Delta\mathbb{X}\Delta(w) = (n \cdot 1) \cdot \mathbb{X}\Delta(w).$$

We observe  $\mathbb{X}\Delta(w) = \tilde{H}(q|n)(w)$ . The claim now follows.

To prove the remaining assertions let us make some preliminaries: Denote by  $\tilde{H}(q|n)_r$  the restriction of  $\tilde{H}(q|n)$  to the subspace  $X_n \cdot C_{q-1}(n-1)$  of  $C_q(n)$ . Then according to Prop. 7, i)

$$\text{Im } \tilde{H}(q|n) = \text{Im } \tilde{H}(q|n)_r.$$

Take now  $u \in C_{q-1}(n-1)$  and denote  $\mathbb{X}_{(n-1)} := \sum_{j=1}^{n-1} X_j$ . Then according to Prop. 3

$$\begin{aligned} \mathbb{X}\Delta(X_n \cdot u) &= (\mathbb{X}_{(n-1)} + X_n) \cdot \left( u + X_n \cdot \Delta_{q-1}(u) \right) = \\ &= X_n \cdot \left( u + \mathbb{X}_{(n-1)}\Delta_{q-1}(u) \right) + \mathbb{X}_{(n-1)} \cdot u. \end{aligned}$$

We rewrite this equation as follows

$$(5) \dots \quad \tilde{H}(q|n)(X_n \cdot u) = X_n \cdot \left( u + \tilde{H}(q-1|n-1)(u) \right) + \mathbb{X}_{(n-1)} \cdot u.$$

(Observe that  $\tilde{H}(0|n-1)$  is the zero-mapping.)

Now assume in Eq (5) that  $X_n \cdot u$  is contained in the kernel of  $\tilde{H}(q|n)$ . Since  $\mathbb{X}_{(n-1)} \cdot u$  does not contain  $X_n$  as a factor both terms on the right-hand side in Eq (5) must be zero, in particular

$$(6) \dots \quad u + \tilde{H}(q-1|n-1)(u) = 0.$$

ad ii). We derive from the first part of the proof that now  $\tilde{H}(q-1|n-1)$  is nilpotent. Therefore Eq (6) possesses only the trivial solution  $u = 0$ , so  $\tilde{H}(q|n)_r$  is injective. In turn

$$\text{rank } \tilde{H}(q|n) = \text{rank } \tilde{H}(q|n)_r = \dim C_{q-1}(n-1) = \binom{n-1}{q-1}.$$

Now take  $1 \leq q \leq n-1$ . Since  $\mathfrak{K}_n$  is exact

$$\text{Im } \Delta_{q+1} \subseteq \ker \tilde{H}(q|n).$$

According to Prop. 7, ii) we have

$$\dim \text{Im } \tilde{H}(q|n) + \dim \text{Im } \Delta_{q+1} = \binom{n-1}{q-1} + \binom{n-1}{q} = \binom{n}{q} = \dim C_q(n).$$

This proves the remaining assertions.

ad iii). Assume first  $q \geq 2$ . Let  $X_n \cdot u$  be in the kernel of  $\tilde{H}(q|n)_r$ . Then as it was stated above  $u$  must solve Eq (6). Now according to i)  $\tilde{H}(q-1|n-1)$  is a projection. Therefore Eq (6) has exactly all  $u \in \text{Im } \tilde{H}(q-1|n-1)$  as solutions. Now we apply i) and obtain

$$\begin{aligned} \dim \ker \tilde{H}(q|n)_r &= \dim(X_n \cdot \text{Im } \tilde{H}(q-1|n-1)) = \\ &= \dim \text{Im } \tilde{H}(q-1|n-1) = \binom{n-2}{q-2}, \end{aligned}$$

in turn

$$\text{rank } \tilde{H}(q|n) = \text{rank } \tilde{H}(q|n)_r = \binom{n-1}{q-1} - \binom{n-2}{q-2} = \binom{n-2}{q-1}.$$

(Observe that  $\tilde{H}(n|n) = 0$ .) These arguments carry easily over to the case  $q = 1$ ; we leave the details to the reader whom we remind of our convention  $\binom{m}{-1} = 0$ .

Assume now  $1 \leq q \leq n-1$ . Then we claim that the subspaces  $X_n \cdot \text{Im } \tilde{H}(q-1|n-1)$  and  $\text{Im } \Delta_{q+1}$  of  $\ker \tilde{H}(q|n)$  are disjoint. In fact according to Prop. 7, i)  $\text{Im } \Delta_{q+1}$  is already generated by the  $\Delta_{q+1}(X_n \cdot u)$ ,  $u \in C_q(n-1)$ . But

$$\Delta_{q+1}(X_n \cdot u) = u + X_n \cdot \Delta_q(u).$$

Therefore we conclude

$$\begin{aligned} & \dim \operatorname{Im} \tilde{H}(q|n) + \dim \left( X_n \cdot \operatorname{Im} \tilde{H}(n-1|q-1) + \operatorname{Im} \Delta_{q+1} \right) \\ &= \binom{n-2}{q-1} + \binom{n-2}{q-2} + \binom{n-1}{q} = \binom{n-1}{q-1} + \binom{n-1}{q} \\ &= \binom{n}{q} = \dim C_q(n). \end{aligned}$$

This finishes the proof. □

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