## Proof of the $q$-TSPP Conjecture

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Séminaire Lotharingien de Combinatoire 65

## P

## Partition

$$
\begin{gathered}
17= \\
5+4+3+2+1+1+1
\end{gathered}
$$

PP

## Plane Partition



- two-dimensional array

$$
\pi=\left(\pi_{i, j}\right)_{1 \leq i, j}
$$

- $\pi_{i, j} \in \mathbb{N}$ with finite sum

$$
|\pi|=\sum \pi_{i, j}
$$

- $\pi_{i, j} \geq \pi_{i+1, j}$ and $\pi_{i, j} \geq \pi_{i, j+1}$

PP

## Plane Partition (3D Ferrers diagram)

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## SPP

## Symmetric Plane Partition



- two-dimensional array

$$
\pi=\left(\pi_{i, j}\right)_{1 \leq i, j}
$$

- $\pi_{i, j} \in \mathbb{N}$ with finite sum
$|\pi|=\sum \pi_{i, j}$
- $\pi_{i, j} \geq \pi_{i+1, j}$ and
$\pi_{i, j} \geq \pi_{i, j+1}$
- $\pi_{i, j}=\pi_{j, i}$


## CSPP

## Cyclically Symmetric Plane Partition

- two-dimensional array

$$
\pi=\left(\pi_{i, j}\right)_{1 \leq i, j}
$$

- $\pi_{i, j} \in \mathbb{N}$ with finite sum
$|\pi|=\sum \pi_{i, j}$
- $\pi_{i, j} \geq \pi_{i+1, j}$ and $\pi_{i, j} \geq \pi_{i, j+1}$
- cyclically symmetric


## TSPP

## Totally Symmetric Plane Partition

- two-dimensional array

$$
\pi=\left(\pi_{i, j}\right)_{1 \leq i, j}
$$

- $\pi_{i, j} \in \mathbb{N}$ with finite sum
$|\pi|=\sum \pi_{i, j}$
- $\pi_{i, j} \geq \pi_{i+1, j}$ and $\pi_{i, j} \geq \pi_{i, j+1}$
- $\pi_{i, j}=\pi_{j, i}$
- cyclically symmetric

3D Ferrers diagram is invariant under the action of $S_{3}$.

## TSPP Count

Theorem: There are $\prod_{1 \leq i \leq j \leq k \leq n} \frac{i+j+k-1}{i+j+k-2}$ TSPPs in $[0, n]^{3}$.

Example: $(n=2)$

$\prod_{1 \leq i \leq j \leq k \leq 2} \frac{i+j+k-1}{i+j+k-2}=\frac{2}{1} \cdot \frac{3}{2} \cdot \frac{4}{3} \cdot \frac{5}{4}=5$.

## TSPP Count

Theorem: There are $\prod_{1 \leq i \leq j \leq k \leq n} \frac{i+j+k-1}{i+j+k-2}$ TSPPs in $[0, n]^{3}$.

Proof: See

- John Stembridge, The enumeration of totally symmetric plane partitions, Advances in Mathematics 111 (1995), 227-243.
- George E. Andrews, Peter Paule, and Carsten Schneider, Plane Partitions VI. Stembridge's TSPP theorem, Advances in Applied Mathematics 34 (2005), 709-739.
- Christoph Koutschan, Eliminating Human Insight: An Algorithmic Proof of Stembridge's TSPP Theorem, Contemporary Mathematics 517 (2010), 219-230.


## Example: TSPP and orbits



## Example: TSPP and orbits



## Example: TSPP and orbits



## Example: TSPP and orbits



## Example: TSPP and orbits



## Orbits



N

## Orbits



## Orbits



## Orbits



## Orbits



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N

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## Orbits



## 36 Orbits



Let $T(n)$ denote set of TSPPs with largest part at most $n$.


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$q^{0}$

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$q^{0}$

$q^{1}$


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$q^{0}$

$q^{2}$
$q^{3}$
$q^{4}$

Let $T(n)$ denote set of TSPPs with largest part at most $n$.

$q$-TSPP conjecture:

$$
\sum_{\pi \in T(n)} q^{\left|\pi / S_{3}\right|}=\prod_{1 \leq i \leq j \leq k \leq n} \frac{1-q^{i+j+k-1}}{1-q^{i+j+k-2}}
$$

Stembridge's theorem:

$$
|T(n)|=\prod_{1 \leq i \leq j \leq k \leq n} \frac{i+j+k-1}{i+j+k-2}
$$

## The $q$-TSPP conjecture

## Conjectured independently by <br> George Andrews and David Robbins (ca. 1983)

Last surviving conjecture of the collection by Richard Stanley: A baker's dozen of conjectures concerning plane partitions (1986) (alternating sign matrix conjecture, TSPP conjecture, etc.)

```
Conjecture 7. (see [11, Case 4]). The number of totally symmetric
plane partitions with largest part < n is equal to
\[
T_{n}=\underset{1 \leq i \leq j \leq k \leq n}{\pi} \frac{i+j+k-1}{i+j+k-2}
\]
```


## The $q$-TSPP conjecture

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Note. All quantities arising in connection with Conjecture 7 have natural $q$-analogues. The $q$-analogue of $T_{n}$ is

$$
T_{n}(q)=\underset{1 \leq i \leq j \leq k \leq n}{\pi} \frac{1-q^{i+j+k-1}}{1-q^{i+j+k-2}}
$$

The $q$-analogue of the number of totally symmetric nlane nartitions with largest part $\leq n$ is the polynomial $N_{G}^{1}(B ; q)$ defined in [11], where $B=B(n, n, n)$ and $G=S_{3}$.

All these problems had been solved, except one: $q$-TSPP.

## Determinantal formulation

Also in Stanley's paper, we find:

Note. It is not hard to show that the number of totally symmetric plane partitions with largest part $\leq n$ is also equal to
c) the sum of the minors of all orders (including the void.minor equal to 1) of the matrix whose (i,j)-entry is ( $j_{j}^{i}$ ) for $0 \leq i, j \leq n-1$.

## Okada's determinant

Soichi Okada: On the generating functions for certain classes of plane partitions, Journal of Combinatorial Theory, Series A (1989).

Rewrite "the sum of all minors" as a single determinant!
The $q$-TSPP conjecture is true if

$$
\operatorname{det}\left(a_{i, j}\right)_{1 \leq i, j \leq n}=\prod_{1 \leq i \leq j \leq k \leq n}\left(\frac{1-q^{i+j+k-1}}{1-q^{i+j+k-2}}\right)^{2}=: b_{n}
$$

where
$a_{i, j}:=q^{i+j-1}\left(\left[\begin{array}{c}i+j-2 \\ i-1\end{array}\right]_{q}+q\left[\begin{array}{c}i+j-1 \\ i\end{array}\right]_{q}\right)+\left(1+q^{i}\right) \delta_{i, j}-\delta_{i, j+1}$.

## Zeilberger's holonomic ansatz

Doron Zeilberger: The HOLONOMIC ANSATZ II. Automatic DISCOVERY(!) and PROOF(!!) of Holonomic Determinant Evaluations, Annals of Combinatorics (2007).

Problem: Given $a_{i, j}$ and $b_{n} \neq 0$. Show $\operatorname{det}\left(a_{i, j}\right)_{1 \leq i, j \leq n}=b_{n}$. Method: "Pull out of the hat" a function $c_{n, j}$ and prove

$$
\begin{aligned}
c_{n, n} & =1 & & (n \geq 1) \\
\sum_{j=1}^{n} c_{n, j} a_{i, j} & =0 & & (1 \leq i<n) \\
\sum_{j=1}^{n} c_{n, j} a_{n, j} & =\frac{b_{n}}{b_{n-1}} & & (n \geq 1)
\end{aligned}
$$

Then $\operatorname{det}\left(a_{i, j}\right)_{1 \leq i, j \leq n}=b_{n}$ holds.

## Zeilberger's holonomic ansatz

Laplace expansion w.r.t. the $n$-th row:

$$
b_{n}=\operatorname{det}\left(a_{i, j}\right)_{1 \leq i, j \leq n} \quad=\sum_{j=1}^{n} a_{n, j}(-1)^{n+j} M_{n, j}
$$

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\frac{b_{n}}{b_{n-1}}=\frac{\operatorname{det}\left(a_{i, j}\right)_{1 \leq i, j \leq n}}{b_{n-1}}=\sum_{j=1}^{n} a_{n, j} \underbrace{\frac{(-1)^{n+j} M_{n, j}}{b_{n-1}}}_{=: c_{n, j}}
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c_{n, n} & =\frac{(-1)^{n+n} M_{n, n}}{b_{n-1}}
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Now copy the $i$-th row $(1 \leq i<n)$ into the $n$-th row:

$$
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$$
\begin{aligned}
\frac{b_{n}}{b_{n-1}} & =\frac{\left(q^{2 n} ; q\right)_{n}^{2}}{\left(q^{n} ; q^{2}\right)_{n}^{2}} \\
c_{n, n} & =1
\end{aligned}
$$

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Then $\operatorname{det}\left(a_{i, j}\right)_{1 \leq i, j \leq n}=b_{n}$ holds.

## Advocatus Diaboli



What if $\operatorname{det}\left(a_{i, j}\right)_{1 \leq i, j \leq m}=0$ for some $m$ ???

Then $c_{n, j}$ is not uniquely determined!

## Proof is wrong!

## Advocatus Diaboli



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No! Argue by induction on $n$.

Holonomic systems
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Example: The binomial coefficient $f_{n, k}=\binom{n}{k}$ can be described by

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\begin{aligned}
(n-k+1) f_{n+1, k} & =(n+1) f_{n, k} \\
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\end{aligned}
$$

Analogously, we get for the $q$-binomial coefficient $\bar{f}_{n, k}=\left[\begin{array}{l}n \\ k\end{array}\right]_{q}$ :

$$
\begin{aligned}
\left(q^{n+1}-q^{k}\right) \bar{f}_{n+1, k} & =\left(q^{k+n+1}-q^{k}\right) \bar{f}_{n, k} \\
\left(q^{2 k+1}-q^{k}\right) \bar{f}_{n, k+1} & =\left(q^{n}-q^{k}\right) \bar{f}_{n, k} \\
\bar{f}_{0,0} & =1
\end{aligned}
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\end{aligned}
$$

All linear combinations of shifts are again valid recurrences:

$$
\begin{aligned}
& (n-k) f_{n+1, k+1}-(n+1) f_{n, k+1}=0 \\
& (k+1) f_{n+1, k+1}-(n-k+1) f_{n+1, k}=0 \\
& \hline(n+1) f_{n+1, k+1}-(n-k+1) f_{n+1, k}-(n+1) f_{n, k+1}=0
\end{aligned}
$$

They form a left ideal in some noncommutative operator algebra.

## Holonomic systems

Of course, it is unlikely to get a closed-form description for $c_{n, j}$ ! Instead we aim at some "suitable description", viz. implicitly via linear recurrences ("holonomic system") plus initial values.

But there is no reason why $c_{n, j}$ should admit such a recursive description.

## Guessing

Manuel Kauers guessed some recurrences for $c_{n, j}$.

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Manuel Kauers guessed some recurrences for $c_{n, j}$.
Their Gröbner basis has the form

$$
\begin{aligned}
& \bigcirc c_{n, j+4}= \bigcirc c_{n, j}+\bigcirc c_{n, j+1}+\bigcirc c_{n, j+2}+\bigcirc c_{n, j+3} \\
&+\bigcirc c_{n+2, j}+\bigcirc c_{n+2, j+1} \\
& \bigcirc c_{n+1, j+3}= \bigcirc c_{n, j}+\bigcirc c_{n, j+1}+\bigcirc c_{n, j+2}+\bigcirc c_{n, j+3} \\
&+\bigcirc c_{n+1, j} \bigcirc c_{n+1, j+1}+\bigcirc c_{n+1, j+2} \\
&+\bigcirc c_{n+2, j}+\bigcirc c_{n+2, j+1}+\bigcirc c_{n+3, j} \\
& \bigcirc c_{n+2, j+2}= \bigcirc c_{n, j}+\bigcirc c_{n, j+1}+\bigcirc c_{n, j+2}+\bigcirc c_{n, j+3} \\
&+\bigcirc c_{n+2, j}+\bigcirc c_{n+2, j+1} \\
& \bigcirc c_{n+3, j+1}= \bigcirc c_{n, j}+\bigcirc c_{n, j+1}+\bigcirc c_{n, j+2}+\bigcirc c_{n, j+3} \\
&+\bigcirc c_{n+1, j}+\bigcirc c_{n+1, j+1}+\bigcirc c_{n+1, j+2} \\
&+\bigcirc c_{n+2, j+\bigcirc c_{n+2, j+1}+\bigcirc c_{n+3, j}}=\bigcirc c_{n+4, j}= \\
& \bigcirc c_{n, j}+\bigcirc c_{n, j+1} \text { O} c_{n, j+2}+\bigcirc c_{n, j+3} \\
&+\bigcirc c_{n+2, j}+\bigcirc c_{n+2, j+1}
\end{aligned}
$$

where each $\bigcirc$ is a polynomial in $\mathbb{Q}\left[q, q^{j}, q^{n}\right]$ of total degree $\leq 100$.

## Guessing

Manuel Kauers guessed some recurrences for $c_{n, j}$.
The staircase of the Gröbner basis:


## Guessing

Manuel Kauers guessed some recurrences for $c_{n, j}$.
The total size is 244 MB (several 1000 pages of paper)!
Great! We found the certificate for the determinant evaluation!

## Advocatus Diaboli



The guessed recurrences can be artifacts, that do not describe the true function $c_{n, j}!!!$

## Artifacts?

The guessed recurrences are very unlikely to be artifacts for several reasons:

- solutions of a dense overdetermined linear systems
- many polynomial coefficients factor nicely
- recurrences produce correct values for $c_{n, j}$ that were not used for guessing


## Advocatus Diaboli



## Convincing, but not a proof!

And even if the recursive description of $c_{n, j}$ is correct, this wouldn't prove anything yet!!!

Show:

$$
c_{n, n}=1
$$

$$
\sum_{j=1}^{n} c_{n, j} a_{i, j}=0
$$

$$
\sum_{j=1}^{n} c_{n, j} a_{n, j}=\frac{b_{n}}{b_{n-1}}
$$

## The first identity

Prove the identities using the recursive description of $c_{n, j}$. How to prove $c_{n, n}=1$ for all $n \geq 1$ ?

## The first identity

Prove the identities using the recursive description of $c_{n, j}$.
How to prove $c_{n, n}=1$ for all $n \geq 1$ ?

- We find an element in the annihilating ideal of $c_{n, j}$ of the form

$$
\begin{aligned}
& \quad p_{v} c_{n+v, j+v}=p_{v-1} c_{n+v-1, j+v-1}+\cdots+p_{1} c_{n+1, j+1}+p_{0} c_{n, j} \\
& \text { with } v \in \mathbb{N} \text { and } p_{i} \in \mathbb{Q}\left[q, q^{j}, q^{n}\right] \text {. }
\end{aligned}
$$

- Substituting $j \rightarrow n$ yields a recurrence for the diagonal sequence $c_{n, n}$.
- Show that the corresponding operator factors into $P_{1} P_{2}$ where $P_{2}$ corresponds to $c_{n+1, n+1}=c_{n, n}$.
- Show that $c_{1,1}=\cdots=c_{v, v}=1$.
$\longrightarrow$ works with $v=7$.


## Advocatus Diaboli



The leading coefficient $p_{7}$ could have singularities!!!

## Advocatus Diaboli



The leading coefficient $p_{7}$ could have singularities!!!

For $n \geq 7$ we have $p_{7}\left(q, q^{n}\right) \neq 0$.

## The third identity

Recall:

$$
\sum_{j=1}^{n} c_{n, j} a_{n, j}=\frac{b_{n}}{b_{n-1}}
$$

with
$a_{i, j}=q^{i+j-1}\left(\left[\begin{array}{c}i+j-2 \\ i-1\end{array}\right]_{q}+q\left[\begin{array}{c}i+j-1 \\ i\end{array}\right]_{q}\right)+\left(1+q^{i}\right) \delta_{i, j}-\delta_{i, j+1}$.
gives

$$
\left(1+q^{n}\right)-c_{n, n-1}+\sum_{j=1}^{n} c_{n, j}^{\prime}=\frac{b_{n}}{b_{n-1}}
$$

with

$$
c_{n, j}^{\prime}=q^{n+j-1}\left(\left[\begin{array}{c}
n+j-2 \\
n-1
\end{array}\right]_{q}+q\left[\begin{array}{c}
n+j-1 \\
n
\end{array}\right]_{q}\right) c_{n, j}
$$

## The third identity

How to prove $\left(1+q^{n}\right)-c_{n, n-1}+\sum_{j=1}^{n} c_{n, j}^{\prime}=\frac{b_{n}}{b_{n-1}}$ ?

- Compute an annihilating ideal for $c_{n, j}^{\prime}$ via closure properties.
- Find a relation in this ideal of the form

$$
p_{v} c_{n+v, j}^{\prime}+\cdots+p_{1} c_{n+1, j}^{\prime}+p_{0} c_{n, j}^{\prime}=t_{n, j+1}-t_{n, j}
$$

where the $p_{v}, \ldots, p_{0}$ are rational functions in $\mathbb{Q}\left(q, q^{n}\right)$ and $t_{n, j}$ is a $\mathbb{Q}\left(q, q^{j}, q^{n}\right)$-linear combination of certain shifts of $c_{n, j}^{\prime}$.

- Creative telescoping yields a recurrence for the sum.


## The third identity

How to prove $\left(1+q^{n}\right)-c_{n, n-1}+\sum_{j=1}^{n} c_{n, j}^{\prime}=\frac{b_{n}}{b_{n-1}}$ ?

- Compute an annihilating ideal for $c_{n, j}^{\prime}$ via closure properties.
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- Creative telescoping yields a recurrence for the sum.
- Closure properties yield a recurrence for the left-hand side.
- Recurrence for right-hand side is a right factor.
- Compare finitely many initial values (again $v=7$ ).


## A computational challenge

How to find the certificate

$$
p_{v}\left(q, q^{n}\right) c_{n+v, j}^{\prime}+\cdots+p_{0}\left(q, q^{n}\right) c_{n, j}^{\prime}=t_{n, j+1}-t_{n, j}
$$

where $t_{n, j}=r_{1}\left(q, q^{n}, q^{j}\right) c_{n+3, j+2}^{\prime}+\cdots+r_{10}\left(q, q^{n}, q^{j}\right) c_{n, j}^{\prime}$ ?

## A computational challenge

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Zeilberger's slow algorithm: eliminate (e.g. with Gröbner bases) the variable $q^{j}$.
Input recurrences have j-degrees between 24 and 30 (in the $q=1$ case). After 48h, this was reduced to 23 .
Estimate: 1677721600 days

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Takayama's algorithm: a faster variant which is also based on elimination.
Estimate: 52428800 days

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Chyzak's algorithm: ansatz with unknown $p_{i}\left(q, q^{n}\right)$ and $r_{k}\left(q, q^{n}, q^{j}\right)$. Leads to a coupled first-order parametrized linear system of $q$-difference equations.
Estimate: $\infty$ ?

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CK's polynomial ansatz: refine

$$
r_{k}\left(q, q^{n}, q^{j}\right)=\sum_{l=0}^{L} r_{k, l}\left(q, q^{n}\right)\left(q^{j}\right)^{l} .
$$

Leads to a linear system over $\mathbb{Q}\left(q, q^{n}\right)$. We used this ansatz for proving TSPP (took about 40 days).
Estimate: 4000 days

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CK's rational ansatz: ansatz with

$$
r_{k}\left(q, q^{n}, q^{j}\right)=\frac{\sum_{l=0}^{L} r_{k, l}\left(q, q^{n}\right)\left(q^{j}\right)^{l}}{d_{k}\left(q, q^{n}, q^{j}\right)}
$$

where the denominators $d_{k}$ can be "guessed" by looking at the leading coefficients of the Gröbner basis.
Leads to a linear system over $\mathbb{Q}\left(q, q^{n}\right)$ with 377 unknowns.

## A computational challenge

Even generating this linear system (reducing the ansatz with the Gröbner basis) would already consume too much memory!

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We use homomorphic images (modular computations):

- do all computations modulo some prime
- plug in concrete integral values for $q$ and $q^{n}$
- requires special modular GB reduction
- fixing $q$ and varying $q^{n}$ (and vice versa) allows to estimate the necessary interpolation points:


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- plug in concrete integral values for $q$ and $q^{n}$
- requires special modular GB reduction
- fixing $q$ and varying $q^{n}$ (and vice versa) allows to estimate the necessary interpolation points:
- 1167 interpolation points for $q$
- 363 interpolation points for $q^{n}$
- each case takes about a minute (GB reduction, linear solving, for sufficiently many primes)
- estimated computation time: $1167 \cdot 363 \cdot 60 s=294$ days!


## Further improvements

- efficient Gröbner basis reduction procedure
- combination of arithmetic in $\mathbb{Q}$ and in $\mathbb{Z}_{p}$
- rewrite polynomials that have to be evaluated in compact form
- build matrices efficiently
- discard redundant equations
- compute parallel
- normalize w.r.t. a certain component in order to minimize the number of interpolation points
- guess small factors in the components of the solution


## Guess small factors

Compute, for example,

- with $q=19$
- modulo the prime 2147483629

Assume we obtain as solution (after factoring):

$$
\left(q^{n}+19\right)\left(q^{n}+2147483628\right)\left(q^{2 n}+381 q^{n}+2147483610\right) \ldots
$$

Presumably the true solution (for symbolic $q$ and over $\mathbb{Q}$ ) is

$$
\left(q^{n}+q\right)\left(q^{n}-1\right)\left(q^{2 n}+\left(q^{2}+q+1\right) q^{n}-q\right) \ldots
$$

Many such small factors can be guessed from modular results!
All these optimizations reduced the actual computation to 35 days.

## Advocatus Diaboli



The polynomial degrees of the solution are not known: not enough interpolation points???

The size of the integer coefficients is not known: not enough primes???

The guessed small factors can be wrong!!!

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The final result was reduced once again with the Gröbner basis (non-modular) and yielded 0 .

## Result

The certificate for the third identity has a size of 7 Gigabytes.


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The certificate for the third identity has a size of 7 Gigabytes.

Its principal part confirms the conjectured evaluation

$$
b_{n}=\prod_{1 \leq i \leq j \leq k \leq n}\left(\frac{1-q^{i+j+k-1}}{1-q^{i+j+k-2}}\right)^{2}
$$

## The second identity

$$
\sum_{j=1}^{n} c_{n, j} a_{i, j}=0 \quad(1 \leq i<n) .
$$

Strategy similar as before, but one variable more. This means:

- linear system over $\mathbb{Q}\left(q, q^{i}, q^{n}\right)$
- (at least) two creative telescoping relations are necessary


## Advocatus Diaboli



Zeros in the denominators of the delta part???

Singularities in the leading coefficients of the principal parts???

## Advocatus Diaboli



Zeros in the denominators of the delta part???

Singularities in the leading coefficients of the principal parts???

There are only finitely many which can be checked separately.

## Quod erat demonstrandum.

Theorem (KKZ). Let $\pi / S_{3}$ denote the set of orbits of a plane partition $\pi$ under the action of the symmetric group $S_{3}$.
Then the orbit-counting generating function is given by

$$
\sum_{\pi \in T(n)} q^{\left|\pi / S_{3}\right|}=\prod_{1 \leq i \leq j \leq k \leq n} \frac{1-q^{i+j+k-1}}{1-q^{i+j+k-2}}
$$

where $T(n)$ denotes the set of totally symmetric plane partitions with largest part at most $n$.

## Acknowledgements

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No thanks to

- the cleaning professional who unplugged and messed up my computations!

