Proof of the *q*-TSPP Conjecture

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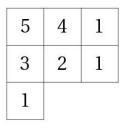
Partition

17 = 5 + 4 + 3 + 2 + 1 + 1 + 1



PP

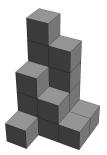
Plane Partition



- two-dimensional array $\pi = (\pi_{i,j})_{1 \leq i,j}$
- $\pi_{i,j} \in \mathbb{N}$ with finite sum $|\pi| = \sum \pi_{i,j}$
- $\pi_{i,j} \geq \pi_{i+1,j}$ and $\pi_{i,j} \geq \pi_{i,j+1}$



Plane Partition (3D Ferrers diagram)

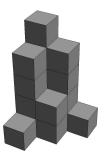


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SPP

Symmetric Plane Partition



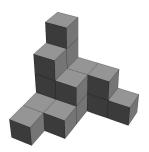
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• $\pi_{i,j} = \pi_{j,i}$



CSPP

Cyclically Symmetric Plane Partition

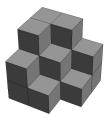


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- $\pi_{i,j} \ge \pi_{i+1,j}$ and $\pi_{i,j} \ge \pi_{i,j+1}$
- cyclically symmetric



TSPP

Totally Symmetric Plane Partition



- two-dimensional array $\pi = (\pi_{i,j})_{1 \leq i,j}$
- $\pi_{i,j} \in \mathbb{N}$ with finite sum $|\pi| = \sum \pi_{i,j}$
- $\pi_{i,j} \geq \pi_{i+1,j}$ and $\pi_{i,j} \geq \pi_{i,j+1}$
- $\pi_{i,j} = \pi_{j,i}$
- cyclically symmetric

3D Ferrers diagram is invariant under the action of S_{3} .



TSPP Count

Theorem: There are $\prod_{1 \le i \le j \le k \le n} \frac{i+j+k-1}{i+j+k-2}$ TSPPs in $[0,n]^3$.

Example: (n = 2)



 $\prod_{1 \le i \le j \le k \le 2} \frac{i+j+k-1}{i+j+k-2} = \frac{2}{1} \cdot \frac{3}{2} \cdot \frac{4}{3} \cdot \frac{5}{4} = 5.$



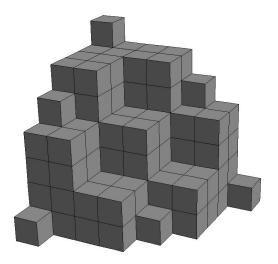
TSPP Count

 $\label{eq:theorem:Theorem:Theorem:Theorem:Theorem:Theorem:Theorem} \mathbf{Theorem:} \ \ \mathbf{TSPPs} \ \ \mathbf{i} = \frac{i+j+k-1}{i+j+k-2} \quad \mathbf{TSPPs} \ \ \mathbf{i} = [0,n]^3.$

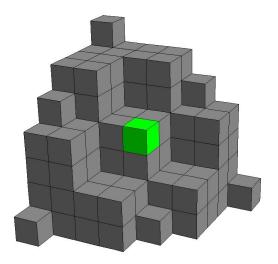
Proof: See

- John Stembridge, *The enumeration of totally symmetric plane partitions*, Advances in Mathematics **111** (1995), 227–243.
- George E. Andrews, Peter Paule, and Carsten Schneider, *Plane Partitions VI. Stembridge's TSPP theorem*, Advances in Applied Mathematics **34** (2005), 709–739.
- Christoph Koutschan, *Eliminating Human Insight: An Algorithmic Proof of Stembridge's TSPP Theorem*, Contemporary Mathematics **517** (2010), 219–230.

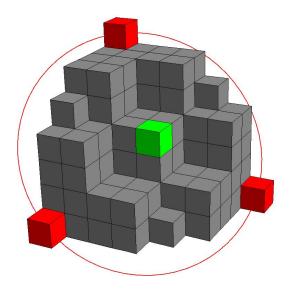




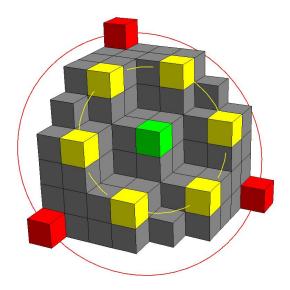




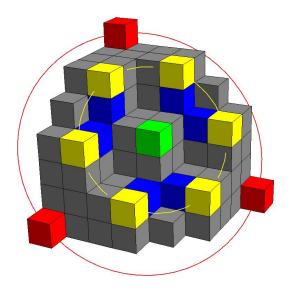




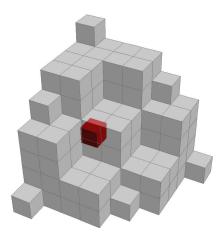




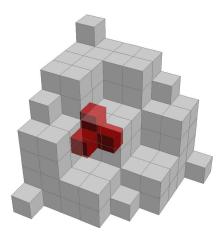




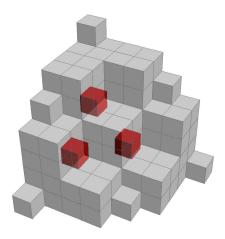




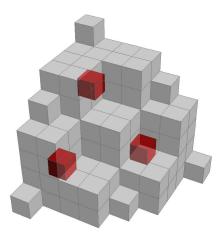




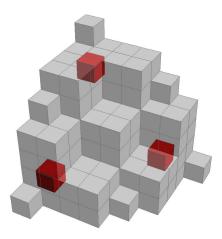




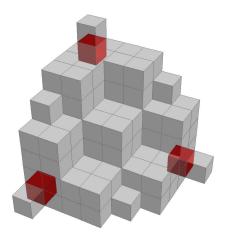




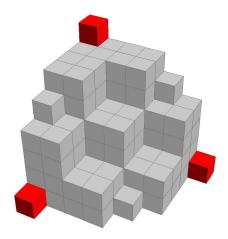




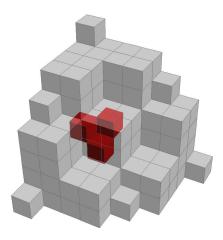




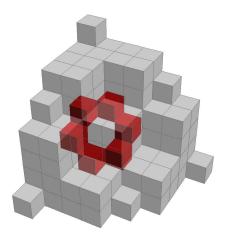




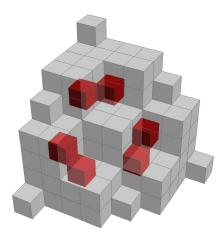




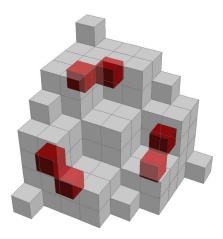




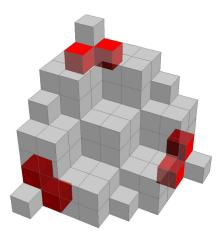




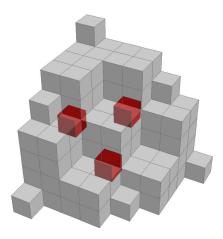




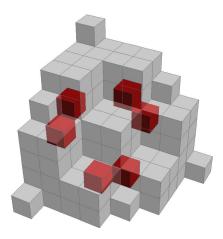




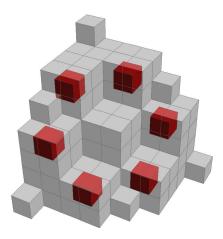




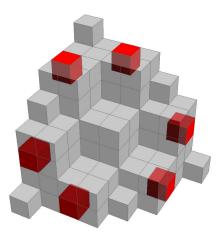




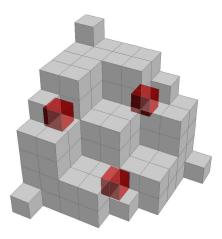




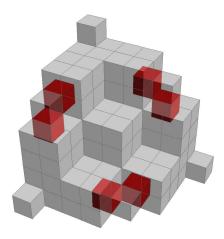




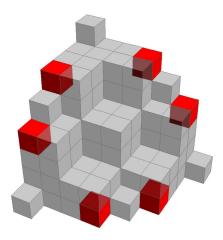




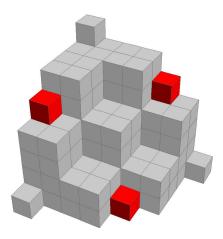




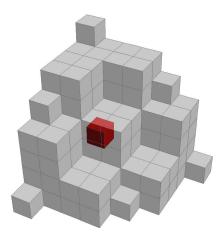




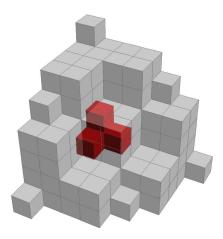




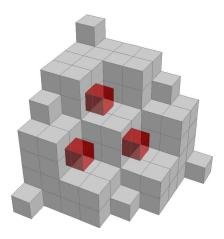




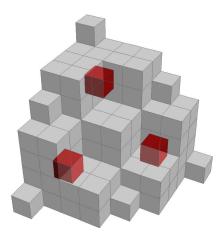




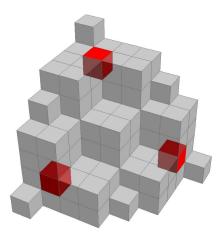




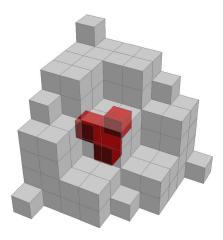




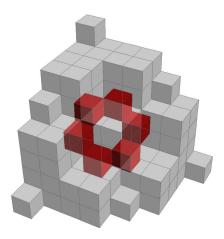




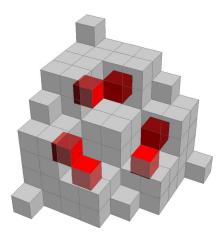




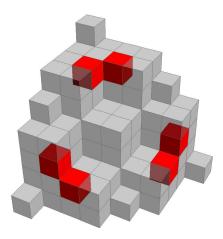




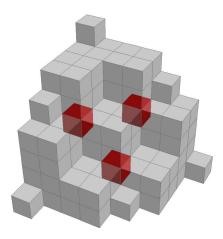




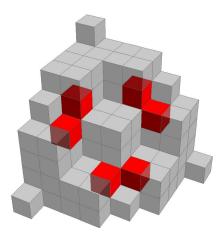




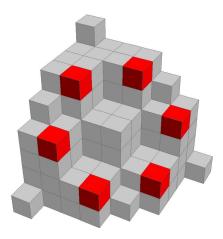




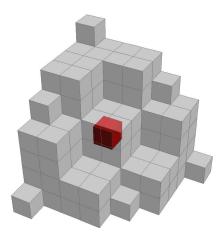




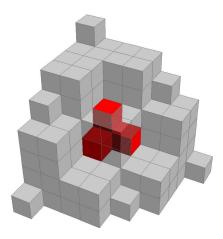




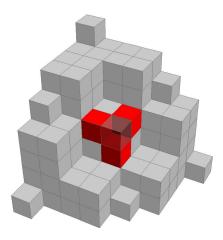




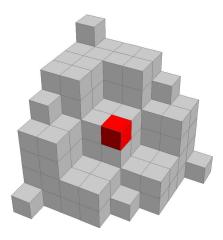




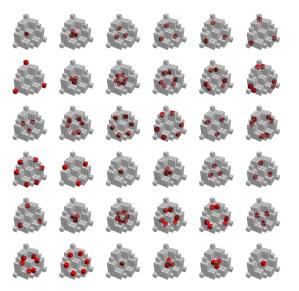








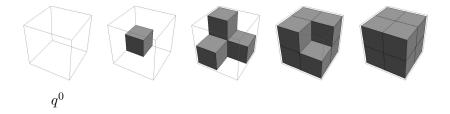




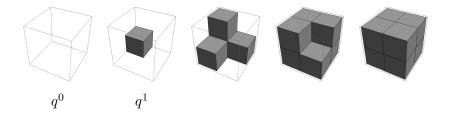




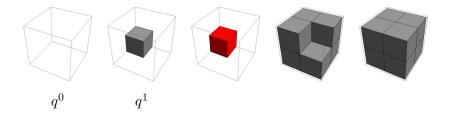




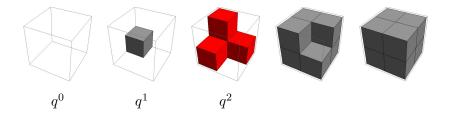




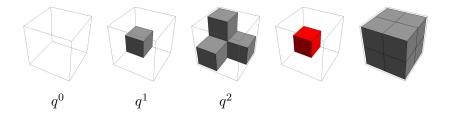




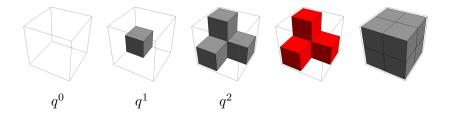




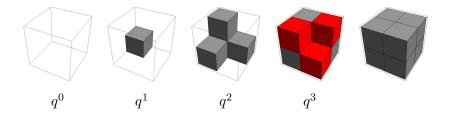




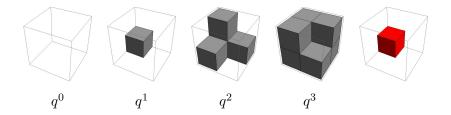




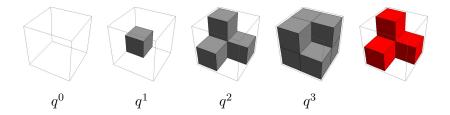




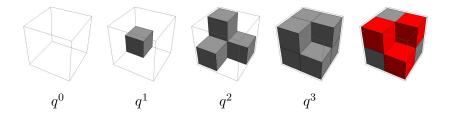




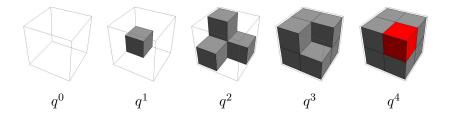




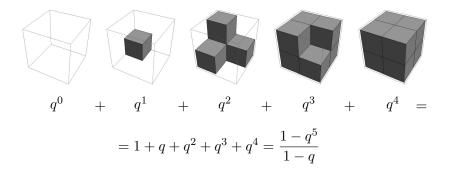












q-TSPP conjecture: $\sum_{\pi \in T(n)} q^{|\pi/S_3|} = \prod_{1 \le i \le j \le k \le n} \frac{1 - q^{i+j+k-1}}{1 - q^{i+j+k-2}}$

Stembridge's theorem:

$$|T(n)| = \prod_{1 \le i \le j \le k \le n} \frac{i+j+k-1}{i+j+k-2}$$

The *q*-TSPP conjecture

Conjectured independently by George Andrews and David Robbins (ca. 1983)

Last surviving conjecture of the collection by Richard Stanley: A baker's dozen of conjectures concerning plane partitions (1986) (alternating sign matrix conjecture, TSPP conjecture, etc.)

<u>Conjecture 7</u>. (see [11, Case 4]). The number of totally symmetric plane partitions with largest part $\leq n$ is equal to

$$T_n = \prod_{1 \le i \le j \le k \le n} \frac{i+j+k-1}{i+j+k-2} \cdot$$

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<u>Note</u>. All quantities arising in connection with Conjecture 7 have natural q-analogues. The q-analogue of T_n is $T_n(q) = \frac{\pi}{1 \le i \le j \le k \le n} \frac{1 - q^{i+j+k-1}}{1 - q^{i+j+k-2}}$

The q-analogue of the number of totally symmetric plane partitions with largest part $\leq n$ is the polynomial $N_G^r(B;q)$ defined in [11], where B = B(n,n,n) and G = S_3 .

All these problems had been solved, except one: q-TSPP.



Determinantal formulation

Also in Stanley's paper, we find:

<u>Note</u>. It is not hard to show that the number of totally symmetric plane partitions with largest part \leq n is also equal to

...

c) the sum of the minors of all orders (including the void.minor equal to 1) of the matrix whose (i,j)-entry is $\binom{i}{j}$ for $0 \le i, j \le n-1$.



Okada's determinant

Soichi Okada: On the generating functions for certain classes of plane partitions, Journal of Combinatorial Theory, Series A (1989).

Rewrite "the sum of all minors" as a single determinant!

The q-TSPP conjecture is true if

$$\det (a_{i,j})_{1 \le i,j \le n} = \prod_{1 \le i \le j \le k \le n} \left(\frac{1 - q^{i+j+k-1}}{1 - q^{i+j+k-2}} \right)^2 =: b_n.$$

where

$$a_{i,j} := q^{i+j-1} \left(\begin{bmatrix} i+j-2\\ i-1 \end{bmatrix}_q + q \begin{bmatrix} i+j-1\\ i \end{bmatrix}_q \right) + (1+q^i)\delta_{i,j} - \delta_{i,j+1} + q^{i-1} = 0$$



Doron Zeilberger: The HOLONOMIC ANSATZ II. Automatic DISCOVERY(!) and PROOF(!!) of Holonomic Determinant Evaluations, Annals of Combinatorics (2007).

Problem: Given $a_{i,j}$ and $b_n \neq 0$. Show det $(a_{i,j})_{1 \leq i,j \leq n} = b_n$. **Method:** "Pull out of the hat" a function $c_{n,j}$ and prove

$$c_{n,n} = 1 \qquad (n \ge 1),$$

$$\sum_{j=1}^{n} c_{n,j} a_{i,j} = 0 \qquad (1 \le i < n)$$

$$\sum_{j=1}^{n} c_{n,j} a_{n,j} = \frac{b_n}{b_{n-1}} \qquad (n \ge 1).$$

Then det $(a_{i,j})_{1 \le i,j \le n} = b_n$ holds.



Laplace expansion w.r.t. the n-th row:

$$b_n = \det(a_{i,j})_{1 \le i,j \le n} = \sum_{j=1}^n a_{n,j} (-1)^{n+j} M_{n,j}$$

m



Laplace expansion w.r.t. the n-th row:

$$\frac{b_n}{b_{n-1}} = \frac{\det (a_{i,j})_{1 \le i,j \le n}}{b_{n-1}} \qquad = \sum_{j=1}^n a_{n,j} \underbrace{\frac{(-1)^{n+j} M_{n,j}}{b_{n-1}}}_{=:c_{n,j}}$$



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$$\frac{b_n}{b_{n-1}} = \frac{\det (a_{i,j})_{1 \le i,j \le n}}{b_{n-1}} \qquad = \sum_{j=1}^n a_{n,j} c_{n,j}$$

$$c_{n,n} = 1$$

$$0 = \sum_{j=1}^{n} a_{i,j} c_{n,j}$$



Laplace expansion w.r.t. the n-th row:

$$\frac{b_n}{b_{n-1}} = \frac{(q^{2n}; q)_n^2}{(q^n; q^2)_n^2} \qquad \qquad = \sum_{j=1}^n a_{n,j} c_{n,j}$$

$$c_{n,n} = 1$$

$$0 = \sum_{j=1}^{n} a_{i,j} c_{n,j}$$



Problem: Given $a_{i,j}$ and $b_n \neq 0$. Show $\det(a_{i,j})_{1 \leq i,j \leq n} = b_n$. **Method:** "Pull out of the hat" a function $c_{n,j}$ and prove

$$c_{n,n} = 1$$
 $(n \ge 1),$
 $\sum_{j=1}^{n} c_{n,j} a_{i,j} = 0$ $(1 \le i < n),$
 $\sum_{j=1}^{n} c_{n,j} a_{n,j} = \frac{b_n}{b_{n-1}}$ $(n \ge 1).$

Then det $(a_{i,j})_{1 \le i,j \le n} = b_n$ holds.



Advocatus Diaboli



What if $\det(a_{i,j})_{1 \le i,j \le m} = 0$ for some m???

Then $c_{n,j}$ is not uniquely determined!

Proof is wrong!



Advocatus Diaboli



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Then $c_{n,j}$ is not uniquely determined!

Proof is wrong!

No! Argue by induction on n.



Of course, it is unlikely to get a closed-form description for $c_{n,j}$!



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Example: The binomial coefficient $f_{n,k} = \binom{n}{k}$ can be described by

$$(n-k+1)f_{n+1,k} = (n+1)f_{n,k}$$

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Analogously, we get for the $q\text{-binomial coefficient } \bar{f}_{n,k} = {n \brack k}_q$:

$$(q^{n+1} - q^k)\bar{f}_{n+1,k} = (q^{k+n+1} - q^k)\bar{f}_{n,k}$$

$$(q^{2k+1} - q^k)\bar{f}_{n,k+1} = (q^n - q^k)\bar{f}_{n,k}$$

$$\bar{f}_{0,0} = 1$$



Of course, it is unlikely to get a closed-form description for $c_{n,j}$! Instead we aim at some "suitable description", viz. implicitly via linear recurrences ("holonomic system") plus initial values.

Example: The binomial coefficient $f_{n,k} = \binom{n}{k}$ can be described by

$$(n-k+1)f_{n+1,k} = (n+1)f_{n,k}$$

(k+1)f_{n,k+1} = (n-k)f_{n,k}
f_{0,0} = 1

All linear combinations of shifts are again valid recurrences:

$$\begin{aligned} & (n-k)f_{n+1,k+1} - (n+1)f_{n,k+1} = 0\\ & (k+1)f_{n+1,k+1} - (n-k+1)f_{n+1,k} = 0\\ \hline & (n+1)f_{n+1,k+1} - (n-k+1)f_{n+1,k} - (n+1)f_{n,k+1} = 0 \end{aligned}$$

They form a left ideal in some noncommutative operator algebra.



Of course, it is unlikely to get a closed-form description for $c_{n,j}$! Instead we aim at some "suitable description", viz. implicitly via linear recurrences ("holonomic system") plus initial values. But there is no reason why $c_{n,j}$ should admit such a recursive description.

Manuel Kauers guessed some recurrences for $c_{n,j}$.

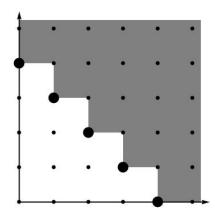


Manuel Kauers guessed some recurrences for $c_{n,j}$.

Their Gröbner basis has the form

where each \bigcirc is a polynomial in $\mathbb{Q}[q, q^j, q^n]$ of total degree ≤ 100 .

Manuel Kauers guessed some recurrences for $c_{n,j}$. The staircase of the Gröbner basis:





Manuel Kauers guessed some recurrences for $c_{n,j}$.

The total size is 244MB (several 1000 pages of paper)!

Great! We found the certificate for the determinant evaluation!



Advocatus Diaboli



The guessed recurrences can be artifacts, that do not describe the true function $c_{n,j}!!!$



Artifacts?

The guessed recurrences are very unlikely to be artifacts for several reasons:

- solutions of a dense overdetermined linear systems
- many polynomial coefficients factor nicely
- recurrences produce correct values for $\boldsymbol{c}_{n,j}$ that were not used for guessing

Advocatus Diaboli



Convincing, but not a proof!

And even if the recursive description of $c_{n,j}$ is correct, this wouldn't prove anything yet!!!

Show:

$$c_{n,n} = 1$$

$$\sum_{j=1}^{n} c_{n,j} a_{i,j} = 0$$

$$\sum_{j=1}^{n} c_{n,j} a_{n,j} = \frac{b_n}{b_{n-1}}$$

The first identity

Prove the identities using the recursive description of $c_{n,j}$.

How to prove $c_{n,n} = 1$ for all $n \ge 1$?



The first identity

Prove the identities using the recursive description of $c_{n,j}$.

How to prove $c_{n,n} = 1$ for all $n \ge 1$?

• We find an element in the annihilating ideal of $c_{n,j}$ of the form

$$p_v c_{n+v,j+v} = p_{v-1} c_{n+v-1,j+v-1} + \dots + p_1 c_{n+1,j+1} + p_0 c_{n,j}$$

with $v \in \mathbb{N}$ and $p_i \in \mathbb{Q}[q, q^j, q^n]$.

- Substituting $j \rightarrow n$ yields a recurrence for the diagonal sequence $c_{n,n}$.
- Show that the corresponding operator factors into P_1P_2 where P_2 corresponds to $c_{n+1,n+1} = c_{n,n}$.
- Show that $c_{1,1} = \cdots = c_{v,v} = 1$.
- \longrightarrow works with v = 7.

Advocatus Diaboli



The leading coefficient p_7 could have singularities!!!



Advocatus Diaboli



The leading coefficient p_7 could have singularities!!!

For $n \ge 7$ we have $p_7(q, q^n) \ne 0$.



The third identity



$$\sum_{j=1}^{n} c_{n,j} a_{n,j} = \frac{b_n}{b_{n-1}}$$

with

$$a_{i,j} = q^{i+j-1} \left(\begin{bmatrix} i+j-2\\ i-1 \end{bmatrix}_q + q \begin{bmatrix} i+j-1\\ i \end{bmatrix}_q \right) + (1+q^i)\delta_{i,j} - \delta_{i,j+1}.$$

gives

$$(1+q^n) - c_{n,n-1} + \sum_{j=1}^n c'_{n,j} = \frac{b_n}{b_{n-1}}$$

with

$$c_{n,j}' = q^{n+j-1} \left(\begin{bmatrix} n+j-2\\n-1 \end{bmatrix}_q + q \begin{bmatrix} n+j-1\\n \end{bmatrix}_q \right) c_{n,j}$$



The third identity

How to prove $(1+q^n) - c_{n,n-1} + \sum_{j=1}^n c'_{n,j} = \frac{b_n}{b_{n-1}}$?

- Compute an annihilating ideal for $c'_{n,j}$ via closure properties.
- Find a relation in this ideal of the form

$$p_v c'_{n+v,j} + \dots + p_1 c'_{n+1,j} + p_0 c'_{n,j} = t_{n,j+1} - t_{n,j}$$

where the p_v, \ldots, p_0 are rational functions in $\mathbb{Q}(q, q^n)$ and $t_{n,j}$ is a $\mathbb{Q}(q, q^j, q^n)$ -linear combination of certain shifts of $c'_{n,j}$.

• Creative telescoping yields a recurrence for the sum.



The third identity

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- Creative telescoping yields a recurrence for the sum.
- Closure properties yield a recurrence for the left-hand side.
- Recurrence for right-hand side is a right factor.
- Compare finitely many initial values (again v = 7).



How to find the certificate

$$p_v(q,q^n)c'_{n+v,j} + \dots + p_0(q,q^n)c'_{n,j} = t_{n,j+1} - t_{n,j}$$

where $t_{n,j} = r_1(q, q^n, q^j)c'_{n+3,j+2} + \dots + r_{10}(q, q^n, q^j)c'_{n,j}$?



How to find the certificate

$$p_v(q, q^n)c'_{n+v,j} + \dots + p_0(q, q^n)c'_{n,j} = t_{n,j+1} - t_{n,j}$$

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Zeilberger's slow algorithm: eliminate (e.g. with Gröbner bases) the variable q^j . Input recurrences have j-degrees between 24 and 30 (in the q = 1 case). After 48h, this was reduced to 23. **Estimate:** 1677721600 days



How to find the certificate

$$p_v(q, q^n)c'_{n+v,j} + \dots + p_0(q, q^n)c'_{n,j} = t_{n,j+1} - t_{n,j}$$

where $t_{n,j} = r_1(q, q^n, q^j)c'_{n+3,j+2} + \dots + r_{10}(q, q^n, q^j)c'_{n,j}$?

Takayama's algorithm: a faster variant which is also based on elimination. **Estimate:** 52428800 days



How to find the certificate

$$p_v(q, q^n)c'_{n+v,j} + \dots + p_0(q, q^n)c'_{n,j} = t_{n,j+1} - t_{n,j}$$

where $t_{n,j} = r_1(q, q^n, q^j)c'_{n+3,j+2} + \dots + r_{10}(q, q^n, q^j)c'_{n,j}$?

Chyzak's algorithm: ansatz with unknown $p_i(q, q^n)$ and $r_k(q, q^n, q^j)$. Leads to a coupled first-order parametrized linear system of q-difference equations. **Estimate:** ∞ ?



How to find the certificate

$$p_v(q, q^n)c'_{n+v,j} + \dots + p_0(q, q^n)c'_{n,j} = t_{n,j+1} - t_{n,j}$$

where $t_{n,j} = r_1(q, q^n, q^j)c'_{n+3,j+2} + \dots + r_{10}(q, q^n, q^j)c'_{n,j}$?

CK's polynomial ansatz: refine

$$r_k(q, q^n, q^j) = \sum_{l=0}^{L} r_{k,l}(q, q^n) (q^j)^l.$$

Leads to a linear system over $\mathbb{Q}(q, q^n)$. We used this ansatz for proving TSPP (took about 40 days). Estimate: 4000 days



How to find the certificate

$$p_v(q, q^n)c'_{n+v,j} + \dots + p_0(q, q^n)c'_{n,j} = t_{n,j+1} - t_{n,j}$$

where $t_{n,j} = r_1(q, q^n, q^j)c'_{n+3,j+2} + \dots + r_{10}(q, q^n, q^j)c'_{n,j}$?

CK's rational ansatz: ansatz with

$$r_k(q, q^n, q^j) = \frac{\sum_{l=0}^{L} r_{k,l}(q, q^n) (q^j)^l}{d_k(q, q^n, q^j)}$$

where the denominators d_k can be "guessed" by looking at the leading coefficients of the Gröbner basis. Leads to a linear system over $\mathbb{Q}(q, q^n)$ with 377 unknowns.



Even generating this linear system (reducing the ansatz with the Gröbner basis) would already consume too much memory!



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We use homomorphic images (modular computations):

- do all computations modulo some prime
- plug in concrete integral values for q and q^n
- requires special modular GB reduction
- fixing q and varying q^n (and vice versa) allows to estimate the necessary interpolation points:



A computational challenge

Even generating this linear system (reducing the ansatz with the Gröbner basis) would already consume too much memory!

We use homomorphic images (modular computations):

- do all computations modulo some prime
- plug in concrete integral values for q and q^n
- requires special modular GB reduction
- fixing q and varying q^n (and vice versa) allows to estimate the necessary interpolation points:
- 1167 interpolation points for q
- 363 interpolation points for q^n
- each case takes about a minute (GB reduction, linear solving, for sufficiently many primes)
- estimated computation time: $1167 \cdot 363 \cdot 60s = 294$ days!



Further improvements

- efficient Gröbner basis reduction procedure
- combination of arithmetic in ${\mathbb Q}$ and in ${\mathbb Z}_p$
- rewrite polynomials that have to be evaluated in compact form
- build matrices efficiently
- discard redundant equations
- compute parallel
- normalize w.r.t. a certain component in order to minimize the number of interpolation points
- guess small factors in the components of the solution



Guess small factors

Compute, for example,

- with q = 19
- modulo the prime 2147483629

Assume we obtain as solution (after factoring):

$$(q^{n}+19)(q^{n}+2147483628)(q^{2n}+381q^{n}+2147483610)\dots$$

Presumably the true solution (for symbolic q and over \mathbb{Q}) is

$$(q^{n}+q)(q^{n}-1)(q^{2n}+(q^{2}+q+1)q^{n}-q)\dots$$

Many such small factors can be guessed from modular results!

All these optimizations reduced the actual computation to 35 days.





The polynomial degrees of the solution are not known: not enough interpolation points???

The size of the integer coefficients is not known: not enough primes???

The guessed small factors can be wrong!!!





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The size of the integer coefficients is not known: not enough primes???

The guessed small factors can be wrong!!!

The final result was reduced once again with the Gröbner basis (non-modular) and yielded 0.



Result

The certificate for the third identity has a size of 7 Gigabytes.





Result

The certificate for the third identity has a size of 7 Gigabytes.

Its principal part confirms the conjectured evaluation

$$b_n = \prod_{1 \le i \le j \le k \le n} \left(\frac{1 - q^{i+j+k-1}}{1 - q^{i+j+k-2}} \right)^2.$$



The second identity

$$\sum_{j=1}^{n} c_{n,j} a_{i,j} = 0 \qquad (1 \le i < n).$$

Strategy similar as before, but one variable more. This means:

- linear system over $\mathbb{Q}(q,q^i,q^n)$
- (at least) two creative telescoping relations are necessary





Zeros in the denominators of the delta part???

Singularities in the leading coefficients of the principal parts???





Zeros in the denominators of the delta part???

Singularities in the leading coefficients of the principal parts???

There are only finitely many which can be checked separately.



Quod erat demonstrandum.

THEOREM (KKZ). Let π/S_3 denote the set of orbits of a plane partition π under the action of the symmetric group S_3 . Then the orbit-counting generating function is given by

$$\sum_{\pi \in T(n)} q^{|\pi/S_3|} = \prod_{1 \le i \le j \le k \le n} \frac{1 - q^{i+j+k-1}}{1 - q^{i+j+k-2}}$$

where T(n) denotes the set of totally symmetric plane partitions with largest part at most n.



Acknowledgements

Thanks to

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No thanks to

• the cleaning professional who unplugged and messed up my computations!

