

A POSITIVE-DEFINITE INNER PRODUCT FOR VECTOR-VALUED MACDONALD POLYNOMIALS

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ABSTRACT. In a previous paper J.-G. Luque and the author (Sem. Loth. Combin. 2011) developed the theory of nonsymmetric Macdonald polynomials taking values in an irreducible module of the Hecke algebra of the symmetric group \mathcal{S}_N . The polynomials are parametrized by (q, t) and are simultaneous eigenfunctions of a commuting set of Cherednik operators, which were studied by Baker and Forrester (IMRN 1997). In the Dunkl–Luque paper there is a construction of a pairing between (q^{-1}, t^{-1}) -polynomials and (q, t) -polynomials, and for which the Macdonald polynomials form a biorthogonal set. The present work is a sequel with the purpose of constructing a symmetric bilinear form for which the Macdonald polynomials form an orthogonal basis and of determining the region of (q, t) -values for which the form is positive-definite. Irreducible representations of the Hecke algebra are characterized by partitions of N . The positivity region depends only on the maximum hook-length of the Ferrers diagram of the partition.

1. INTRODUCTION

The theory of nonsymmetric Jack polynomials was generalized by Griffith [4] to polynomials on the complex reflection groups of type $G(n, p, N)$ taking values in irreducible modules of the groups. This theory simplifies somewhat for the group $G(1, 1, N)$, the symmetric group of N objects, where any irreducible module is spanned by standard Young tableaux all of the same shape, corresponding to a partition of N . Luque and the author [3] developed an analogous theory for vector-valued Macdonald polynomials taking values in irreducible modules of the Hecke algebra of a symmetric group. The structure has parameters (q, t) and depends on a commuting set of Cherednik operators whose simultaneous eigenfunctions are the aforementioned Macdonald polynomials. The paper showed how to construct the polynomials by means of a Yang–Baxter graph (see [5]). Also a bilinear form was

2010 *Mathematics Subject Classification*. Primary 20C08, 33D52; Secondary 05E10, 33D80.

Key words and phrases. Hecke algebra, nonsymmetric Macdonald polynomials.

defined which paired polynomials for the parameters (q^{-1}, t^{-1}) with those parametrized by (q, t) and resulted in biorthogonality relations for the Macdonald polynomials. The present paper is a sequel whose aim is to define a symmetric bilinear form for which these polynomials are mutually orthogonal. Some other natural conditions are imposed on the form to force uniqueness. The form is positive-definite for a (q, t) -region determined by the specific module.

For purposes of illustration the form is first defined for the scalar case, and leads to expressions only slightly different from the well-known hook-product formulas. For the trivial representation of the Hecke algebra, corresponding to the one-part partition, the vector-valued polynomials specialize to the scalar polynomials. Section 3 contains a short outline of representation theory of the Hecke algebra, the Yang–Baxter graph of vector-valued Macdonald polynomials and the process leading to the definition of the symmetric bilinear form, followed by the characterization of (q, t) -values yielding positivity of the form. The details of the construction of the polynomials and related operators along with the proofs of their properties are found in [3].

1.1. Notation. Let $\mathbb{N}_0 := \{0, 1, 2, 3, \dots\}$. The elements of \mathbb{N}_0^N are called *compositions*, and for $\alpha = (\alpha_1, \dots, \alpha_N) \in \mathbb{N}_0^N$ let $|\alpha| := \sum_{i=1}^N \alpha_i$. Let $\mathbb{N}_0^{N,+}$ denote the set of partitions $\{\lambda \in \mathbb{N}_0^N : \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_N\}$, and let α^+ denote the nonincreasing rearrangement of α ; for example, if $\alpha = (1, 2, 1, 4)$, then $\alpha^+ = (4, 2, 1, 1)$. There are two partial orders on compositions used in this work: for $\alpha, \beta \in \mathbb{N}_0^N$ the relation $\alpha \succ \beta$ means $\alpha \neq \beta$ and $\sum_{i=1}^j (\alpha_i - \beta_i) \geq 0$ for $1 \leq j \leq N$ (the dominance order), and $\alpha \triangleright \beta$ means $|\alpha| = |\beta|$ and $\alpha^+ \succ \beta^+$, or $\alpha^+ = \beta^+$ and $\alpha \succ \beta$. The rank function for $\alpha \in \mathbb{N}_0^N$ is

$$(1.1) \quad r_\alpha(i) := \#\{j : \alpha_j > \alpha_i\} + \#\{j : 1 \leq j \leq i, \alpha_j = \alpha_i\}, \quad 1 \leq i \leq N.$$

We have $\alpha = \alpha^+$ if and only if $r_\alpha(i) = i$ for all i .

The symmetric group \mathcal{S}_N is generated by the adjacent transpositions $s_i := (i, i+1)$ for $1 \leq i < N$, where s_i acts on an N -tuple $a = (a_1, \dots, a_N)$ by $a \cdot s_i = (\dots, a_{i+1}, a_i, \dots)$, interchanging entries $\#i$ and $\#(i+1)$. For a composition $\alpha \in \mathbb{N}_0^N$ the inversion number is $\text{inv}(\alpha) := \#\{(i, j) : 1 \leq i < j \leq N, \alpha_i < \alpha_j\}$. If $\alpha_i < \alpha_{i+1}$ then $\text{inv}(\alpha \cdot s_i) = \text{inv}(\alpha) - 1$.

The space of polynomials is $\mathcal{P} := \mathbb{K}[x_1, x_2, \dots, x_N]$, where $\mathbb{K} := \mathbb{Q}(q, t)$ and q, t are transcendental or generic, that is, complex numbers satisfying $q \neq 1$ and $q^a t^b \neq 1$ for $a, b \in \mathbb{Z}$ and $-N \leq b \leq N$. For $\alpha \in$

\mathbb{N}_0^N we write x^α for the *monomial* $\prod_{i=1}^N x_i^{\alpha_i}$. The space of homogeneous polynomials of degree n is defined as $\mathcal{P}_n := \text{span}_{\mathbb{K}} \{x^\alpha : |\alpha| = n\}$ for $n = 0, 1, 2, \dots$. The group \mathcal{S}_N acts on polynomials by permutation of coordinates, $p(x) \rightarrow (ps_i)(x) := p(x.s_i)$.

The Hecke algebra $\mathcal{H}_N(t)$ is the associative algebra generated by $\{T_1, T_2, \dots, T_{N-1}\}$ subject to the relations

$$(1.2) \quad \begin{aligned} (T_i + 1)(T_i - t) &= 0, \\ T_i T_{i+1} T_i &= T_{i+1} T_i T_{i+1}, \quad 1 \leq i \leq N-2, \\ T_i T_j &= T_j T_i, \quad 1 \leq i < j-1 \leq N-2. \end{aligned}$$

The quadratic relation implies $T_i^{-1} = \frac{1}{t}(T_i + 1 - t) \in \mathcal{H}_N(t)$. For generic t there is a linear isomorphism $\mathbb{K}\mathcal{S}_N \rightarrow \mathcal{H}_N(t)$ generated by $s_i \rightarrow T_i$.

For $p \in \mathcal{P}$ and $1 \leq i < N$ define

$$(1.3) \quad p(x) T_i := (1-t)x_{i+1} \frac{p(x) - p(x.s_i)}{x_i - x_{i+1}} + tp(x.s_i).$$

It can be shown straightforwardly that these operators satisfy the defining relations of $\mathcal{H}_N(t)$. Also $ps_i = p$ (symmetry in (x_i, x_{i+1})) if and only if $pT_i = tp$ (because $pT_i - tp = \frac{tx_i - x_{i+1}}{x_i - x_{i+1}}(p - ps_i)$), and $pT_i = -p$ if and only if $p(x) = (tx_i - x_{i+1})p_0(x)$ where $p_0 \in \mathcal{P}$ and $p_0 s_i = p_0$. Also $x_i T_i = x_{i+1}$ and $1T_i = t$.

2. SCALAR NONSYMMETRIC MACDONALD POLYNOMIALS

For $f \in \mathcal{P}$ define shift, Cherednik and Dunkl operators by (see [1], and also [3])

$$(2.1) \quad \begin{aligned} fw(x) &:= f(qx_N, x_1, x_2, \dots, x_{N-1}), \\ f\xi_i &:= t^{i-1} f T_{i-1}^{-1} T_{i-2}^{-1} \cdots T_1^{-1} w T_{N-1} T_{N-2} \cdots T_i, \\ f\mathcal{D}_N &:= (f - f\xi_N)/x_N, \quad f\mathcal{D}_i := \frac{1}{t} f T_i \mathcal{D}_{i+1} T_i. \end{aligned}$$

Note that $\xi_i = \frac{1}{t} T_i \xi_{i+1} T_i$. It is a nontrivial result that D_i maps \mathcal{P}_n to \mathcal{P}_{n-1} . The operators ξ_i commute with each other and there is a basis of simultaneous eigenfunctions, the nonsymmetric Macdonald polynomials M_α , labeled by $\alpha \in \mathbb{N}_0^N$ with \triangleright -leading term $q^a t^b x^\alpha$ with $\alpha, \beta \in \mathbb{N}_0$ such that

$$(2.2) \quad \begin{aligned} M_\alpha(x) &= q^a t^b x^\alpha + \sum_{\alpha \triangleright \beta} A_{\alpha\beta}(q, t) x^\beta \\ M_\alpha \xi_i &= q^{\alpha_i} t^{N-r_\alpha(i)} M_\alpha, \quad 1 \leq i \leq N; \end{aligned}$$

where the coefficients $A_{\alpha\beta}(q, t)$ are rational functions of (q, t) whose denominators are of the form $(1 - q^a t^b)$. The *spectral vector* is $\zeta_\alpha(i) = q^{\alpha_i} t^{N - r_\alpha(i)}$, $1 \leq i \leq N$. There is a simple relation between M_α and $M_{\alpha.s_i}$ when $\alpha_i < \alpha_{i+1}$ and $\rho = \zeta_\alpha(i+1)/\zeta_\alpha(i) = q^{\alpha_{i+1} - \alpha_i} t^{r_\alpha(i) - r_\alpha(i+1)}$, namely

$$(2.3) \quad M_\alpha T_i = M_{\alpha.s_i} - \frac{1-t}{1-\rho} M_\alpha,$$

$$(2.4) \quad M_{\alpha.s_i} T_i = \frac{(1-\rho t)(t-\rho)}{(1-\rho)^2} M_\alpha + \frac{\rho(1-t)}{(1-\rho)} M_{\alpha.s_i},$$

and $\zeta_{\alpha.s_i} = \zeta_\alpha.s_i$. If $\alpha_i = \alpha_{i+1}$ then

$$(2.5) \quad M_\alpha T_i = t M_\alpha.$$

The other step needed to construct any M_α starting from 1 is the affine step

$$(2.6) \quad \begin{aligned} M_{\alpha\Phi} &= x_N (M_\alpha w), \\ \alpha\Phi &:= (\alpha_2, \alpha_3, \dots, \alpha_N, \alpha_1 + 1), \\ \zeta_{\alpha\Phi} &= (\zeta_\alpha(2), \dots, \zeta_\alpha(N), q\zeta_\alpha(1)). \end{aligned}$$

Formulas (2.3) and (2.6) can be interpreted as edges of a Yang–Baxter graph for generating the polynomials (see [5, Sec. 9]). This graph has the root $(\mathbf{0}, [t^{N-i}]_{i=1}^N, 1)$ and nodes $(\alpha, \zeta_\alpha, M_\alpha)$. There are steps $(\alpha, \zeta_\alpha, M_\alpha) \xrightarrow{s_i} (\alpha.s_i, \zeta_\alpha.s_i, M_{\alpha.s_i})$ for $\alpha_{i+1} > \alpha_i$ given by

$$M_{\alpha.s_i} = M_\alpha T_i + \frac{1-t}{1-\zeta_\alpha(i+1)/\zeta_\alpha(i)} M_\alpha,$$

and affine steps $(\alpha, \zeta_\alpha, M_\alpha) \xrightarrow{\Phi} (\alpha\Phi, \zeta_{\alpha\Phi}, M_{\alpha\Phi})$ (given by (2.6)).

There is a short proof using Macdonald polynomials that \mathcal{D}_N maps \mathcal{P}_N to \mathcal{P}_{N-1} : when $\alpha_N = 0$ then $r_\alpha(N) = N$, $\zeta_\alpha(N) = 1$ and $M_\alpha \xi_N = M_\alpha$, thus $M_\alpha \mathcal{D}_N = 0$; if $\alpha_N \geq 1$ then the raising (affine) formula is $M_\alpha(x) = x_N M_\beta w(x)$ where $\beta = (\alpha_N - 1, \alpha_1, \alpha_2, \dots, \alpha_{N-1})$, thus

$$M_\alpha(1 - \xi_N) = (1 - \zeta_\alpha(N)) M_\alpha,$$

which is divisible by x_N .

Our logical outline is to first state a number of hypotheses to be satisfied by the inner product, then deduce consequences leading to a formula which is used as a definition. To finish one has to show that the hypotheses are satisfied. The presentation is fairly sketchy for the scalar case which is mostly intended as illustration. The material for vector-valued Macdonald polynomials is more detailed.

The **hypotheses** (BF1) for the symmetric bilinear form $\langle \cdot, \cdot \rangle$ on \mathcal{P} , with $w^* := T_{N-1}^{-1} \cdots T_1^{-1} w T_{N-1} \cdots T_1$, are (for $f, g \in \mathcal{P}$):

$$(2.7) \quad \langle 1, 1 \rangle = 1,$$

$$(2.8) \quad \langle f T_i, g \rangle = \langle f, g T_i \rangle, \quad 1 \leq i < N,$$

$$(2.9) \quad \langle f \xi_N, g \rangle = \langle f, g \xi_N \rangle,$$

$$(2.10) \quad \langle f \mathcal{D}_N, g \rangle = (1 - q) \langle f, x_N (g w^* w) \rangle.$$

From the definition of $w^* = T_{N-1}^{-1} \cdots T_1^{-1} \xi_1$ it follows that

$$(2.11) \quad \begin{aligned} \langle f, g w^* \rangle &= \langle f, g T_{N-1}^{-1} \cdots T_1^{-1} \xi_1 \rangle = \langle f \xi_1, g T_{N-1}^{-1} \cdots T_1^{-1} \rangle \\ &= \langle f \xi_1 T_1^{-1} \cdots T_{N-1}^{-1}, g \rangle = \langle f w, g \rangle. \end{aligned}$$

(It is a trivial exercise to show $\langle f T_i^{-1}, g \rangle = \langle f, g T_i^{-1} \rangle$.) Here w^* is taken as a symbolic name without claiming that it is the adjoint. Since it is possible that there is a subspace \mathcal{N} of \mathcal{P} such that $\langle f, h \rangle = 0$ for all $f \in \mathcal{P}$ and $h \in \mathcal{N}$, the adjoint of an operator is only defined modulo \mathcal{N} . It follows from (2.8), (2.9) and $\xi_i = \frac{1}{t} T_i \xi_{i+1} T_i$ that $\langle f \xi_i, g \rangle = \langle f, g \xi_i \rangle$ for all $f, g \in \mathcal{P}$ and all i . This implies the mutual orthogonality of $\{M_\alpha : \alpha \in \mathbb{N}_0^N\}$ because the spectral vector ζ_α determines α . Implicitly $t \in \mathbb{R}$ since the eigenvalues of T_i are $t, -1$. If $\deg f \neq \deg g$ then $\langle f, g \rangle = 0$ because the Macdonald polynomials form a homogeneous basis. For convenience denote $\langle f, f \rangle = \|f\|^2$ (no claim is being made about positivity).

Definition 1. For $z \in \mathbb{K}$ let

$$(2.12) \quad u(z) := \frac{(t - z)(1 - zt)}{(1 - z)^2}.$$

Note that $u(z^{-1}) = u(z)$.

Proposition 1. Suppose (BF1) holds, $a_i < \alpha_{i+1}$ and

$$\rho = q^{\alpha_{i+1} - \alpha_i} t^{r_\alpha(i) - r_\alpha(i+1)}.$$

Then

$$\|M_{\alpha.s_i}\|^2 = u(\rho) \|M_\alpha\|^2.$$

Proof. From equation (2.3) we infer $\langle M_\alpha T_i, M_{\alpha.s_i} \rangle = \|M_{\alpha.s_i}\|^2$ (by hypothesis $\langle M_\alpha, M_{\alpha.s_i} \rangle = 0$), and by equation (2.4) we have

$$\begin{aligned} \langle M_\alpha T_i, M_{\alpha.s_i} \rangle &= \langle M_\alpha, M_{\alpha.s_i} T_i \rangle \\ &= \frac{(1 - \rho t)(t - \rho)}{(1 - \rho)^2} \|M_\alpha\|^2 = u(\rho) \|M_\alpha\|^2. \quad \square \end{aligned}$$

Definition 2. For $\alpha \in \mathbb{N}_0^N$ let

$$(2.13) \quad \mathcal{E}(\alpha) := \prod_{1 \leq i < j \leq N, \alpha_i < \alpha_j} u(q^{\alpha_j - \alpha_i} t^{r_\alpha(i) - r_\alpha(j)}).$$

Proposition 2. Suppose (BF1) holds and $\alpha \in \mathbb{N}_0^N$. Then $\|M_{\alpha^+}\|^2 = \mathcal{E}(\alpha) \|M_\alpha\|^2$.

Proof. Arguing by induction on $\text{inv}(\alpha)$ it suffices to show that $\alpha_i < \alpha_{i+1}$ implies $\mathcal{E}(\alpha) / \mathcal{E}(\alpha.s_i) = u(q^{\alpha_{i+1} - \alpha_i} t^{r_\alpha(i) - r_\alpha(i+1)})$. The factors corresponding to pairs (l, j) with $l, j \neq i, i+1$ are the same in the products, and the pairs with just one of $i, i+1$ are interchanged in $\mathcal{E}(\alpha), \mathcal{E}(\alpha.s_i)$. There is only one factor in $\mathcal{E}(\alpha)$ that is not in $\mathcal{E}(\alpha.s_i)$, namely $u(q^{\alpha_{i+1} - \alpha_i} t^{r_\alpha(i) - r_\alpha(i+1)})$ coming from $(i, i+1)$. Thus

$$\mathcal{E}(\alpha) \|M_\alpha\|^2 = \mathcal{E}(\alpha.s_i) \|M_{\alpha.s_i}\|^2. \quad \square$$

Lemma 1. Suppose $\alpha \in \mathbb{N}_0^N$. Then $M_{\alpha\Phi} \mathcal{D}_N = (1 - q\zeta_\alpha(1)) M_\alpha w$.

Proof. By definition we have

$$\begin{aligned} M_{\alpha\Phi} \mathcal{D}_N &= (1/x_N) M_{\alpha\Phi} (1 - \xi_N) \\ &= (1/x_N) (1 - \zeta_{\alpha\Phi}(N)) M_{\alpha\Phi} = (1 - q\zeta_\alpha(1)) M_\alpha w. \quad \square \end{aligned}$$

Remark 1. It is incompatible with (2.7), (2.8) and (2.9) to require either $\langle f \mathcal{D}_N, g \rangle = c \langle f, x_N g \rangle$ with some constant c , or $\langle x_N f, x_N g \rangle = \langle f, g \rangle$. Let $f = M_{\alpha\Phi}$ and $g = M_\beta w$ with $|\alpha| = |\beta|$; then $\langle f, x_N g \rangle = \langle M_{\alpha\Phi}, M_{\beta\Phi} \rangle$ while

$$\langle f \mathcal{D}_N, g \rangle = (1 - q\zeta_\alpha(1)) \langle M_\alpha w, M_\beta w \rangle = (1 - q\zeta_\alpha(1)) \langle M_\alpha, M_\beta w w^* \rangle.$$

If $\alpha \neq \beta$ then $\langle f, x_N g \rangle = 0$ but in general $\langle M_\alpha, M_\beta w w^* \rangle \neq 0$; for example $\alpha = (1, 0, 0, 0)$ and $\beta = (0, 1, 0, 0)$. For the second part let $f = M_\alpha w$ so that $\langle x_N f, x_N g \rangle = \langle M_{\alpha\Phi}, M_{\beta\Phi} \rangle$, while $\langle f, g \rangle = \langle M_\alpha, M_\beta w w^* \rangle$.

Proposition 3. Suppose (BF1) holds and $\alpha \in \mathbb{N}_0^N$. Then $\|M_{\alpha\Phi}\|^2 = \frac{1 - q\zeta_\alpha(1)}{1 - q} \|M_\alpha\|^2$.

Proof. Let $g \in \mathcal{P}$ with $\deg g = |\alpha|$. Then by the previous lemma

$$\langle M_{\alpha\Phi} \mathcal{D}_N, g \rangle = (1 - q\zeta_\alpha(1)) \langle M_\alpha w, g \rangle = (1 - q\zeta_\alpha(1)) \langle M_\alpha, g w^* \rangle.$$

Specialize to $g w^* = M_\alpha$ to obtain

$$\langle M_{\alpha\Phi} \mathcal{D}_N, M_\alpha (w^*)^{-1} \rangle = (1 - q\zeta_\alpha(1)) \langle M_\alpha, M_\alpha \rangle.$$

By (2.10) we have

$$\begin{aligned} \langle M_{\alpha\Phi} \mathcal{D}_N, M_\alpha (w^*)^{-1} \rangle &= (1 - q) \langle M_{\alpha\Phi}, x_N (M_\alpha (w^*)^{-1} w^* w) \rangle \\ &= (1 - q) \langle M_{\alpha\Phi}, M_{\alpha\Phi} \rangle. \end{aligned}$$

This completes the proof. □

Next we use (BF1) to derive a formula for $\|M_\lambda\|^2$ for any $\lambda \in \mathbb{N}_0^{N,+}$. Suppose $\lambda_m \geq 1$ and $\lambda_i = 0$ for $i > m$. Let

$$\begin{aligned}\alpha &= (\lambda_1, \dots, \lambda_{m-1}, 0, \dots, 0, \lambda_m), \\ \beta &= (\lambda_m - 1, \lambda_1, \dots, \lambda_{m-1}, 0, \dots),\end{aligned}$$

so that $\alpha = \beta\Phi$, and $\gamma = \beta^+ = (\lambda_1, \dots, \lambda_{m-1}, \lambda_m - 1, 0, \dots)$. Then $\|M_\lambda\|^2 = \mathcal{E}(\alpha) \|M_\alpha\|^2$, $\|M_\alpha\|^2 = \frac{1-q\zeta_\beta(1)}{1-q} \|M_\beta\|^2$ and $\|M_\gamma\|^2 = \mathcal{E}(\beta) \|M_\beta\|^2$. The rank vectors for α, β are $(\dots, m+1, \dots, N, m)$ and $(m, 1, 2, \dots, m-1, m+1 \dots)$ respectively. Then

(2.14)

$$\begin{aligned}\mathcal{E}(\alpha) &= \prod_{i=m+1}^N u(q^{\lambda_m} t^{i-m}) = t^{N-m} \frac{(1 - q^{\lambda_m}) (1 - q^{\lambda_m} t^{N-m+1})}{(1 - q^{\lambda_m} t) (1 - q^{\lambda_m} t^{N-m})}, \\ \zeta_\beta(1) &= q^{\lambda_m - 1} t^{N-m}, \\ \mathcal{E}(\beta) &= \prod_{i=1}^{m-1} u(q^{\lambda_i - \lambda_m + 1} t^{m-i})\end{aligned}$$

and

$$\|M_\lambda\|^2 = \frac{1 - q^{\lambda_m} t^{N-m}}{1 - q} \frac{\mathcal{E}(\alpha)}{\mathcal{E}(\beta)} \|M_\gamma\|^2.$$

(The product $\mathcal{E}(\alpha)$ telescopes. If $m = N$ then $\mathcal{E}(\alpha) = 1$.) This is the key ingredient for an inductive argument. Denote the transpose of (the Ferrers diagram) $\lambda \in \mathbb{N}_0^{N,+}$ by λ' , so that arm $(\lambda; i, j) = \lambda_i - j$ and leg $(\lambda; i, j) = \lambda'_j - i$, and define the hook product

$$(2.15) \quad h_{q,t}(\lambda; z) := \prod_{(i,j) \in \lambda} (1 - zq^{\text{arm}(i,j)} t^{\text{leg}(i,j)}).$$

The changes in the hook product going from λ to γ come from the hooks at $\{(i, \lambda_m) : 1 \leq i \leq m-1\}$ and $\{(m, j) : 1 \leq j \leq \lambda_m\}$. Thus

$$(2.16) \quad \frac{h_{q,t}(\lambda; z)}{h_{q,t}(\gamma; z)} = \prod_{i=1}^{m-1} \frac{1 - zq^{\lambda_i - \lambda_m} t^{m-i}}{1 - zq^{\lambda_i - \lambda_m} t^{m-i-1}} (1 - zq^{\lambda_m - 1}),$$

because $\prod_{j=1}^{\lambda_m - 1} \frac{1 - zq^{\lambda_m - j}}{1 - zq^{\lambda_m - j - 1}} (1 - z) = 1 - zq^{\lambda_m - 1}$ by telescoping (this telescoping property is unique to the scalar case and the norm formulas for the vector-valued case look quite different). Furthermore

$$\frac{h_{q,t}(\lambda; tz) h_{q,t}(\gamma; z)}{h_{q,t}(\gamma; tz) h_{q,t}(\lambda; z)} = t^{1-m} \prod_{i=1}^{m-1} u(zq^{\lambda_i - \lambda_m} t^{m-i}) \frac{1 - ztq^{\lambda_m - 1}}{1 - zq^{\lambda_m - 1}}.$$

Set $z = q$ to obtain

$$\mathcal{E}(\beta) = t^{m-1} \left(\frac{1 - q^{\lambda_m}}{1 - tq^{\lambda_m}} \right) \frac{h_{q,t}(\lambda; tq) h_{q,t}(\gamma; q)}{h_{q,t}(\gamma; tq) h_{q,t}(\lambda; q)}$$

and

$$(2.17) \quad \begin{aligned} \frac{\|M_\lambda\|^2}{\|M_\gamma\|^2} &= t^{1-m} \left(\frac{1 - q^{\lambda_m} t^{N-m}}{1 - q} \right) \left(\frac{1 - q^{\lambda_m} t}{1 - q^{\lambda_m}} \right) \\ &\quad \times \frac{h_{q,t}(\lambda; q) h_{q,t}(\gamma; tq)}{h_{q,t}(\gamma; q) h_{q,t}(\lambda; tq)} t^{N-m} \frac{(1 - q^{\lambda_m}) (1 - q^{\lambda_m} t^{N-m+1})}{(1 - q^{\lambda_m} t) (1 - q^{\lambda_m} t^{N-m})} \\ &= t^{N-2m+1} \left(\frac{1 - q^{\lambda_m} t^{N-m+1}}{1 - q} \right) \frac{h_{q,t}(\lambda; q) h_{q,t}(\gamma; tq)}{h_{q,t}(\gamma; q) h_{q,t}(\lambda; tq)}. \end{aligned}$$

Define the generalized q, t factorial for $\lambda \in \mathbb{N}_0^{N,+}$ by $(z; q, t)_\lambda = \prod_{i=1}^N (zt^{1-i}; q)_{\lambda_i}$, where $(z; q)_n := \prod_{i=1}^n (1 - zq^{i-1})$.

Theorem 1. *Suppose (BF1) holds and $\lambda \in \mathbb{N}_0^{N,+}$. Then*

$$(2.18) \quad \|M_\lambda\|^2 = t^{k(\lambda)} \frac{h_{q,t}(\lambda; q) (qt^{N-1}; q)_\lambda}{h_{q,t}(\lambda; qt) (1 - q)^{|\lambda|}},$$

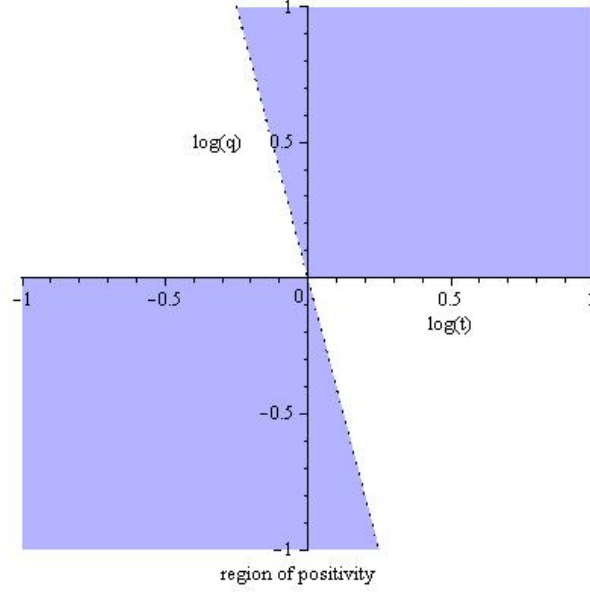
$$k(\lambda) := \sum_{i=1}^N (N - 2i + 1) \lambda_i.$$

Proof. The formula gives the trivial result $\|1\|^2 = 1$, where $M_{\mathbf{0}} = 1$. One needs only check

$$\frac{(qt^{N-1}; q, t)_\lambda}{(qt^{N-1}; q, t)_\gamma} = \frac{(qt^{N-m}; q)_{\lambda_m}}{(qt^{N-m}; q)_{\lambda_{m-1}}} = 1 - q^{\lambda_m} t^{N-m}$$

and $k(\lambda) - k(\gamma) = N - 2m + 1$. \square

Note that $k(\lambda) = \sum_{i=1}^{\lfloor N/2 \rfloor} (\lambda_i - \lambda_{N+1-i}) (N - 2i + 1) \geq 0$. We now use formula (2.18), together with $\langle M_\alpha, M_\beta \rangle = 0$ for $\alpha \neq \beta$ and $\|M_\alpha\|^2 = \mathcal{E}(\alpha)^{-1} \|M_{\alpha^+}\|^2$, as definition of the form. It is straightforward to check properties (2.7), (2.8) and (2.9). For (2.10) we need to show $\|M_{\alpha\Phi}\|^2 = \frac{1 - q\zeta_\alpha(1)}{1 - q} \|M_\alpha\|^2$ (detailed argument in Section 3) and the formula $\langle f\mathcal{D}_N, g \rangle = (1 - q) \langle f, x_N(gw^*w) \rangle$. It suffices to prove this for $f = M_\gamma$ and $gw^* = M_\beta$ with $|\gamma| = |\beta| + 1$; indeed $\langle M_\gamma \mathcal{D}_N, M_\beta (w^*)^{-1} \rangle = \langle M_\gamma \mathcal{D}_N w^{-1}, M_\beta \rangle$ and $\langle M_\gamma, x_N M_\beta w \rangle = \langle M_\gamma, M_{\beta\Phi} \rangle$. If $\gamma = \alpha\Phi$ for some α then both terms vanish for $\alpha \neq \beta$, otherwise the equation


 FIGURE 1. Logarithmic coordinates, $N = 4$

$\|M_{\alpha\Phi}\|^2 = \frac{1-q\zeta_\alpha(1)}{1-q} \|M_\alpha\|^2$ holds. If $\gamma_N = 0$ then $M_\gamma \mathcal{D}_N = 0$ and $\langle M_\gamma, M_{\beta\Phi} \rangle = 0$ (since $\gamma \neq \beta\Phi$).

The last of our concerns here is to determine the (q, t) region of positivity of $\langle \cdot, \cdot \rangle$. Inspection of the norm formula shows that there is an even number of factors of the form $1 - q^{at^b}$ where $a \geq 1$ and $0 \leq b \leq N$. There are two possibilities: either each such factor is positive or each is negative. Always assume $q, t > 0$ and $q \neq 1$. If each is positive then $0 < q < 1$ and $q^{at^b} \leq qt^b$. If $0 < t \leq 1$ then $qt^b \leq q < 1$, or if $t > 1$ then $qt^b \leq qt^N < 1$, that is $q < t^{-N}$. If each factor is negative then $q > 1$: if $t \geq 1$ then $q^{at^b} \geq q > 1$, or if $0 < t \leq 1$ then $q^{at^b} \geq qt^b \geq qt^N > 1$, that is, $q > t^{-N}$.

Proposition 4. *The inner product $\langle \cdot, \cdot \rangle$ is positive-definite, that is, $\langle M_\alpha, M_\alpha \rangle > 0$ for all $\alpha \in \mathbb{N}_0^N$ provided $q, t > 0$, and $q < \min(1, t^{-N})$ or $q > \max(1, t^{-N})$.*

Figure 1 is an illustration of the positivity region with $N = 4$ using logarithmic coordinates.

3. VECTOR-VALUED MACDONALD POLYNOMIALS.

These are polynomials whose values lie in an irreducible $\mathcal{H}_N(t)$ -module. The generating relations for the Hecke algebra $\mathcal{H}_N(t)$ are stated in (1.2). For the purpose of constructing a positive symmetric bilinear form we make the restriction $t > 0$. Also throughout $q, t \neq 0, 1$.

3.1. Representations of the Hecke algebra. The irreducible modules of $\mathcal{H}_N(t)$ correspond to partitions of N and are constructed in terms of Young tableaux (see [2]).

Let τ be a partition of N , that is, $\tau \in \mathbb{N}_0^{N,+}$ and $|\tau| = N$. Thus $\tau = (\tau_1, \tau_2, \dots)$ and often the trailing zero entries are dropped when writing τ . The length of τ is $\ell(\tau) = \max\{i : \tau_i > 0\}$. There is a Ferrers diagram of shape τ (given the same label), with boxes at points (i, j) with $1 \leq i \leq \ell(\tau)$ and $1 \leq j \leq \tau_i$. A *tableau* of shape τ is a filling of the boxes with numbers, and a *reverse standard Young tableau* (RSYT) is a filling with the numbers $\{1, 2, \dots, N\}$ so that the entries decrease in each row and each column. Denote the set of RSYT's of shape τ by $\mathcal{Y}(\tau)$ and let $V_\tau = \text{span}_{\mathbb{K}}\{S : S \in \mathcal{Y}(\tau)\}$ with orthogonal basis $\mathcal{Y}(\tau)$ (recall $\mathbb{K} = \mathbb{Q}(q, t)$). Set $n_\tau := \dim V_\tau = \#\mathcal{Y}(\tau)$. The formula for the dimension is a hook-length product. For $1 \leq i \leq N$ and $S \in \mathcal{Y}(\tau)$ the entry i is at coordinates $(\text{row}(i, S), \text{col}(i, S))$ and the *content* of the entry is $c(i, S) := \text{col}(i, S) - \text{row}(i, S)$. Each $S \in \mathcal{Y}(\tau)$ is uniquely determined by its *content vector* $[c(i, S)]_{i=1}^N$. For example let $\tau = (4, 3)$

and $S = \begin{array}{cccc} 4 & 3 & 1 & \\ 7 & 6 & 5 & 2 \end{array}$. Then the content vector is $[1, 3, 0, -1, 2, 1, 0]$.

There is a representation of $\mathcal{H}_N(t)$ on V_τ , also denoted by τ (slight abuse of notation). The description will be given in terms of the actions of $\{T_i\}$ on the basis elements.

Definition 3. *The representation τ of $\mathcal{H}_N(t)$ is defined by the action of the generators specified as follows: for $1 \leq i < N$ and $S \in \mathcal{Y}(\tau)$,*

- (1) *if $\text{row}(i, S) = \text{row}(i+1, S)$ (implying $\text{col}(i, S) = \text{col}(i+1, S) + 1$ and $c(i, S) - c(i+1, S) = 1$) then*

$$S\tau(T_i) = tS;$$

- (2) *if $\text{col}(i, S) = \text{col}(i+1, S)$ (implying $\text{row}(i, S) = \text{row}(i+1, S) + 1$ and $c(i, S) - c(i+1, S) = -1$) then*

$$S\tau(T_i) = -S;$$

- (3) *if $\text{row}(i, S) < \text{row}(i+1, S)$ and $\text{col}(i, S) > \text{col}(i+1, S)$ then $c(i, S) - c(i+1, S) \geq 2$; the tableau $S^{(i)}$ obtained from S by*

exchanging i and $i + 1$, is an element of $\mathcal{Y}(\tau)$ and

$$S\tau(T_i) = S^{(i)} + \frac{t-1}{1-t^{c(i+1,S)-c(i,S)}}S;$$

- (4) if $c(i, S) - c(i + 1, S) \leq -2$, thus $\text{row}(i, S) > \text{row}(i + 1, S)$ and $\text{col}(i, S) < \text{col}(i + 1, S)$, then with $b = c(i, S) - c(i + 1, S)$,

$$S\tau(T_i) = \frac{t(t^{b+1} - 1)(t^{b-1} - 1)}{(t^b - 1)^2}S^{(i)} + \frac{t^b(t-1)}{t^b - 1}S.$$

The formulas in (4) are consequences of those in (3) by interchanging S and $S^{(i)}$ and applying the relations $(\tau(T_i) + I)(\tau(T_i) - tI) = 0$ (where I denotes the identity operator on V_τ). There is a partial order on $\mathcal{Y}(\tau)$ related to the inversion number, namely

$$(3.1) \quad \text{inv}(S) := \#\{(i, j) : 1 \leq i < j \leq N, c(i, S) \geq c(j, S) + 2\},$$

so $\text{inv}(S^{(i)}) = \text{inv}(S) - 1$ in (3) above. The *inv*-maximal element S_0 of $\mathcal{Y}(\tau)$ has the numbers $N, N - 1, \dots, 1$ entered column-by-column, and the *inv*-minimal element S_1 of $\mathcal{Y}(\tau)$ has the numbers $N, N - 1, \dots, 1$ entered row-by-row. The set $\mathcal{Y}(\tau)$ can be given the structure of a Yang–Baxter graph, with root S_0 , sink S_1 with arrows labeled by T_i joining S to $S^{(i)}$ as in (3). Some properties can be proved by induction on the inversion number. Recall $u(z) = \frac{(t-z)(1-tz)}{(1-z)^2} = u(z^{-1})$.

Definition 4. The bilinear symmetric form $\langle \cdot, \cdot \rangle_0$ on V_τ is defined to be the linear extension of

$$(3.2) \quad \langle S, S' \rangle_0 = \delta_{S, S'} \prod_{\substack{i < j \\ c(j, S) - c(i, S) \geq 2}} u(t^{c(i, S) - c(j, S)}).$$

Proposition 5. Suppose $f, g \in V_\tau$. Then $\langle f\tau(T_i), g \rangle_0 = \langle f, g\tau(T_i) \rangle_0$ for $1 \leq i < N$. If $c(i, S) - c(i + 1, S) \geq 2$ for some i, S then $\langle S^{(i)}, S^{(i)} \rangle_0 = u(t^{c(i, S) - c(i + 1, S)}) \langle S, S \rangle_0$.

Proof. If $\text{row}(i, S) = \text{row}(i + 1, S)$ or $\text{col}(i, S) = \text{col}(i + 1, S)$ then

$$\langle S\tau(T_i), S \rangle_0 = t \langle S, S \rangle_0 = \langle S, S\tau(T_i) \rangle_0$$

or

$$\langle S\tau(T_i), S \rangle_0 = -\langle S, S \rangle_0 = \langle S, S\tau(T_i) \rangle_0$$

respectively. If $c(i, S) - c(i + 1, S) \geq 2$ and $b = c(i + 1, S) - c(i, S)$ then $\langle S^{(i)}, S^{(i)} \rangle_0 / \langle S, S \rangle_0 = u(t^{-b})$ (in the product the only difference

is the term $(i, i + 1)$, appearing in $\langle S^{(i)}, S^{(i)} \rangle_0$. Then

$$\begin{aligned} \langle S\tau(T_i), S^{(i)} \rangle_0 &= \langle S^{(i)}, S^{(i)} \rangle_0 + \frac{t-1}{1-t^b} \langle S^{(i)}, S \rangle_0 = \langle S^{(i)}, S^{(i)} \rangle_0, \\ \langle S^{(i)}\tau(T_i), S \rangle_0 &= \frac{t(t^{b+1}-1)(t^{b-1}-1)}{(t^b-1)^2} \langle S, S \rangle_0 + \frac{t^b(t-1)}{t^b-1} \langle S^{(i)}, S \rangle_0 \\ &= \frac{t(t^{b+1}-1)(t^{b-1}-1)}{(t^b-1)^2} \langle S, S \rangle_0 = u(t^b) \langle S, S \rangle_0, \end{aligned}$$

thus $\langle S\tau(T_i), S^{(i)} \rangle_0 = \langle S^{(i)}\tau(T_i), S \rangle_0$. These statements imply that $\langle f\tau(T_i), g \rangle_0 = \langle f, g\tau(T_i) \rangle_0$ for $f, g \in V_\tau$. \square

Furthermore if $t > 0$ then $\langle S, S \rangle_0 \geq 0$; each term is of the form $\frac{(t-t^m)(1-t^{m+1})}{(1-t^m)^2}$ with $m \geq 2$; either all parts are positive or all are negative depending on $0 < t < 1$ or $t > 1$ respectively (the limit as $t \rightarrow 1$ is $\frac{m^2-1}{m^2} > 0$). Denote $\langle f, f \rangle_0 = \|f\|_0^2$ for $f \in V_\tau$.

There is a commutative set of Jucys–Murphy elements in $\mathcal{H}_N(t)$ which are diagonalized with respect to the basis $\mathcal{Y}(\tau)$.

Definition 5. Set $\phi_N := 1$ and $\phi_i := \frac{1}{t}T_i\phi_{i+1}T_i$ for $1 \leq i < N$.

Proposition 6. Suppose $1 \leq i \leq N$ and $S \in \mathcal{Y}(\tau)$. Then $S\tau(\phi_i) = t^{c(i,S)}S$.

Proof. Arguing inductively suppose that $S\tau(\phi_{i+1}) = t^{c(i+1,S)}S$ for all $S \in \mathcal{Y}(\tau)$; this is trivially true for $i = N-1$ since $c(N, S) = 0$ and $\phi_N = 1$. If $\text{row}(i, S) = \text{row}(i+1, S)$ then $S\tau(\phi_i) = \frac{1}{t}S\tau(T_i)\tau(\phi_{i+1})\tau(T_i) = t^{c(i+1,S)+1}S$ and $c(i, S) = c(i+1, S) + 1$. If $\text{col}(i, S) = \text{col}(i+1, S)$ then $S\tau(\phi_i) = \frac{1}{t}S\tau(T_i)\tau(\phi_{i+1})\tau(T_i) = \frac{1}{t}t^{c(i+1,S)}S$ and $c(i, S) = c(i+1, S) - 1$ (since $S\tau(T_i) = -S$). Suppose $c(i, S) - c(i+1, S) \geq 2$. Then the matrices \mathcal{T}, Φ of $\tau(T_i), \tau(\phi_{i+1})$ respectively with respect to the basis $[S, S^{(i)}]$ are

$$\mathcal{T} = \begin{bmatrix} -\frac{1-t}{1-\rho} & 1 \\ \frac{(1-\rho t)(t-\rho)}{(1-\rho)^2} & \frac{\rho(1-t)}{1-\rho} \end{bmatrix}, \quad \Phi = \begin{bmatrix} t^{c(i+1,S)} & 0 \\ 0 & t^{c(i,S)} \end{bmatrix},$$

where $\rho = t^{c(i+1,S)-c(i,S)}$. A simple calculation shows

$$\frac{1}{t}\mathcal{T}\Phi\mathcal{T} = \begin{bmatrix} t^{c(i,S)} & 0 \\ 0 & t^{c(i+1,S)} \end{bmatrix}. \quad \square$$

3.2. Polynomials and operators. Let $\mathcal{P}_\tau := \mathcal{P} \otimes V_\tau$. The equation $\deg(f) = n$ means $f \in \mathcal{P}_n \otimes V_\tau$. The action of $\mathcal{H}_N(t)$ and the operators

are defined as follows: with $p \in \mathcal{P}$, $S \in \mathcal{Y}(\tau)$ and $1 \leq i < N$,

(3.3)

$$(p(x) \otimes S) \mathbf{T}_i := (1-t) x_{i+1} \frac{p(x) - p(x.s_i)}{x_i - x_{i+1}} \otimes S + p(x.s_i) \otimes S\tau(T_i),$$

(3.4)
$$\omega := T_1 T_2 \cdots T_{N-1},$$

(3.5)

$$(p(x) \otimes S) \mathbf{w} := p(qx_N, x_1, \dots, x_{N-1}) \otimes S\tau(\omega),$$

(3.6)
$$\xi_i := t^{i-N} \mathbf{T}_{i-1}^{-1} \cdots \mathbf{T}_1^{-1} \mathbf{w} \mathbf{T}_{N-1} \cdots \mathbf{T}_i,$$

(3.7)
$$\mathcal{D}_N := (1 - \xi_N) / x_N, \quad \mathcal{D}_i := \frac{1}{t} \mathbf{T}_i \mathcal{D}_{i+1} \mathbf{T}_i.$$

By the braid relations we have

$$\begin{aligned} T_{i+1}\omega &= T_1 \cdots T_{i+1} T_i T_{i+1} T_{i+2} \cdots T_{N-1} \\ &= T_1 \cdots T_i T_{i+1} T_i T_{i+2} \cdots T_{N-1} = \omega T_i, \end{aligned}$$

for $1 \leq i < N - 1$. It follows that $\mathbf{T}_{i+1} \mathbf{w} = \mathbf{w} \mathbf{T}_i$ acting on \mathcal{P}_τ . The operators $\{\xi_i\}$ mutually commute and the simultaneous polynomial eigenfunctions are the vector-valued (nonsymmetric) Macdonald polynomials. The factor t^{i-N} in ξ_i appears to differ from the scalar case, but if $\tau = (N)$, the trivial representation, then $S\tau(T_i) = tS$ (the unique RSYT of shape (N)) and $S\tau(\omega) = t^{N-1}S$, and thus ξ_i coincides with (2.1). The operator ξ_i acting on constants coincides with $I \otimes \tau(\phi_i)$:

$$\begin{aligned} (1 \otimes S) \xi_i &= t^{i-N} \otimes S\tau(T_{i-1}^{-1} \cdots T_1^{-1} T_1 T_2 \cdots T_{N-1} T_{N-1} \cdots T_i) \\ &= t^{i-N} \otimes S\tau(T_i \cdots T_{N-1} T_{N-1} \cdots T_i) \\ &= 1 \otimes S\tau(\phi_i) = t^{c(i,S)} (1 \otimes S). \end{aligned}$$

For each $\alpha \in \mathbb{N}_0^N$ and $S \in \mathcal{Y}(\tau)$ there is an $\{\xi_i\}$ eigenfunction

(3.8)
$$M_{\alpha,S}(x) = \eta(\alpha, S) x^\alpha \otimes S\tau(R_\alpha) + \sum_{\alpha \triangleright \beta} x^\beta \otimes B_{\alpha,\beta,S}(q, t),$$

where $\eta(\alpha, S) = q^a t^b$ with $a, b \in \mathbb{N}_0$, $R_\alpha \in \mathcal{H}_N(t)$, $B_{\alpha,\beta,S}(q, t) \in V_\tau$. Furthermore R_α is an analog of r_α (see [3, p. 9]); if $\alpha \in \mathbb{N}_0^{N,+}$ then $R_\alpha = I$, and if $\alpha_i < \alpha_{i+1}$ then $R_{\alpha.s_i} = R_\alpha T_i$ (there is a definition of R_α below). Furthermore

(3.9)
$$\begin{aligned} M_{\alpha,S} \xi_i &= \zeta_{\alpha,S}(i) M_{\alpha,S}, \quad 1 \leq i \leq N, \\ \zeta_{\alpha,S}(i) &= q^{\alpha_i} t^{c(r_\alpha(i), S)}. \end{aligned}$$

These polynomials are produced with the Yang–Baxter graph. The typical node (labeled by (α, S)) is

$$(\alpha, S, \zeta_{\alpha, S}, R_\alpha, M_{\alpha, S})$$

and the root is $(\mathbf{0}, S_0, [t^{c(i, S_0)}]_{i=1}^N, I, 1 \otimes S_0)$.

There are steps:

- if $\alpha_i < \alpha_{i+1}$ there is a step labeled s_i

$$(3.10) \quad \begin{aligned} & (\alpha, S, \zeta_{\alpha, S}, R_\alpha, M_{\alpha, S}) \rightarrow (\alpha.s_i, S, \zeta_{\alpha.s_i, S}, R_{\alpha.s_i}, M_{\alpha.s_i, S}), \\ & M_{\alpha.s_i, S} = M_{\alpha, S} \mathbf{T}_i + \frac{t-1}{\zeta_{\alpha, S}(i+1)/\zeta_{\alpha, S}(i) - 1} M_{\alpha, S}, \\ & R_{\alpha.s_i} = R_\alpha T_i, \quad \eta(\alpha.s_i, S) = \eta(\alpha, S) \end{aligned}$$

(note that $(x_i^{\alpha_i} x_{i+1}^{\alpha_{i+1}} \otimes S R_\alpha) \mathbf{T}_i = x_i^{\alpha_{i+1}} x_{i+1}^{\alpha_i} \otimes S \tau(R_\alpha T_i) + \dots$);

- if $\alpha_i = \alpha_{i+1}$, $j = r_\alpha(i)$ (thus $j+1 = r_\alpha(i+1)$, and $R_\alpha T_i = T_j R_\alpha$; see [3, Lemma 2.14]) and $c(j, S) - c(j+1, S) \geq 2$ there is a step

$$(3.11) \quad \begin{aligned} & (\alpha, S, \zeta_{\alpha, S}, R_\alpha, M_{\alpha, S}) \rightarrow (\alpha, S^{(j)}, (\zeta_{\alpha, S}) .s_i, R_\alpha, M_{\alpha, S^{(j)}}), \\ & M_{\alpha, S^{(j)}} = M_{\alpha, S} \mathbf{T}_i + \frac{t-1}{\zeta_{\alpha, S}(i+1)/\zeta_{\alpha, S}(i) - 1} M_{\alpha, S}, \\ & \frac{\zeta_{\alpha, S}(i+1)}{\zeta_{\alpha, S}(i)} = t^{c(j+1, S) - c(j, S)}, \quad \eta(\alpha, S^{(j)}) = \eta(\alpha, S); \end{aligned}$$

For these formulas to be valid it is required that the denominators $\zeta_{\alpha, S}(i+1)/\zeta_{\alpha, S}(i) - 1 \neq 0$, that is, $q^{\alpha_{i+1} - \alpha_i} t^{c(r_\alpha(i+1), S) - c(r_\alpha(i), S)} \neq 1$. From the bound $|c(j, S) - c(j', S)| \leq \tau_1 + \ell(\tau) - 2$ we obtain the necessary condition $q^a t^b \neq 1$ for $a \geq 0$ and $|b| \leq \tau_1 + \ell(\tau) - 2$. These conditions are satisfied in the region of positivity described in Proposition 11.

The other possibilities for the action of \mathbf{T}_i are:

- if $\alpha_i > \alpha_{i+1}$ set $\rho := \zeta_{\alpha, S}(i)/\zeta_{\alpha, S}(i+1)$ then

$$(3.12) \quad M_{\alpha, S} \mathbf{T}_i = \frac{(1-t\rho)(t-\rho)}{(1-\rho)^2} M_{\alpha.s_i, S} + \frac{\rho(1-t)}{(1-\rho)} M_{\alpha, S};$$

- if $\alpha_i = \alpha_{i+1}$ and $j = r_\alpha(i)$, $c(j, S) - c(j+1, S) \leq 2$, $\rho = t^{c(j, S) - c(j+1, S)}$ then

$$(3.13) \quad M_{\alpha, S} \mathbf{T}_i = \frac{(1-t\rho)(t-\rho)}{(1-\rho)^2} M_{\alpha, S^{(j)}} + \frac{\rho(1-t)}{(1-\rho)} M_{\alpha, S};$$

- if $\alpha_i = \alpha_{i+1}$ and $j = r_\alpha(i)$, $\text{row}(j, S) = \text{row}(j+1, S)$ then $M_{\alpha, S} \mathbf{T}_i = t M_{\alpha, S}$;

- if $\alpha_i = \alpha_{i+1}$ and $j = r_\alpha(i)$, $\text{col}(j, S) = \text{col}(j+1, S)$ then $M_{\alpha, S} \mathbf{T}_i = -M_{\alpha, S}$.

The degree-raising operation, namely, the affine step, takes α to $\alpha\Phi := (\alpha_2, \alpha_3, \dots, \alpha_N, \alpha_1 + 1)$:

$$(3.14) \quad \begin{aligned} (\alpha, S, \zeta_{\alpha, S}, R_\alpha, M_{\alpha, S}) &\rightarrow (\alpha\Phi, S, \zeta_{\alpha\Phi, S}, R_{\alpha\Phi}, M_{\alpha\Phi, S}), \\ M_{\alpha\Phi, S} &= x_N (M_{\alpha, S} \mathbf{w}), \\ \alpha\Phi &= (\alpha_2, \alpha_3, \dots, \alpha_N, \alpha_1 + 1), \\ \zeta_{\alpha\Phi, S} &= (\zeta_{\alpha, S}(2), \dots, \zeta_{\alpha, S}(N), q\zeta_{\alpha, S}(1)). \end{aligned}$$

The inversion number $\text{inv}(\alpha)$ of $\alpha \in \mathbb{N}_0^N$ is the length of the shortest product $g = s_{i_1} s_{i_2} \cdots s_{i_m}$ such that $\alpha.g = \alpha^+$. From this and the Yang–Baxter graph we deduce that the series of steps $s_{i_1}, s_{i_2}, \dots, s_{i_m}$ leads from $M_{\alpha, S}$ to $M_{\alpha^+, S}$ and $R_\alpha T_{i_1} T_{i_2} \cdots T_{i_m} = R_{\alpha^+} = I$.

Definition 6. Suppose $\alpha \in \mathbb{N}_0^N$. Then $R_\alpha := (T_{i_1} T_{i_2} \cdots T_{i_m})^{-1}$ where $\alpha.s_{i_1} s_{i_2} \cdots s_{i_m} = \alpha^+$ and $m = \text{inv}(\alpha)$.

There may be different products $\alpha.s_{j_1} s_{j_2} \cdots s_{j_l} = \alpha^+$ of length $\text{inv}(\alpha)$ but they all give the same value of R_α by the braid relations. It is shown in [3, p. 10, Eq. (2.15)] that $R_\alpha \omega = t^{N-m} \phi_m R_{\alpha\Phi}$ with $m = r_\alpha(1)$.

3.3. The bilinear symmetric form. We will define a symmetric bilinear form $\langle \cdot, \cdot \rangle$ on \mathcal{P}_τ satisfying certain postulates, using the same logical outline as in Section 2; first we derive consequences from these, then state the definition and show that the desired properties apply.

The **hypotheses** (BF2) for the symmetric bilinear form $\langle \cdot, \cdot \rangle$ on \mathcal{P}_τ , with $\mathbf{w}^* := \mathbf{T}_{N-1}^{-1} \cdots \mathbf{T}_1^{-1} \mathbf{w} \mathbf{T}_{N-1} \cdots \mathbf{T}_1$, are (for $f, g \in \mathcal{P}_\tau$, $S, S' \in \mathcal{Y}(\tau)$, $1 \leq i < N$):

$$(3.15a) \quad \langle 1 \otimes S, 1 \otimes S' \rangle = \langle S, S' \rangle_0,$$

$$(3.15b) \quad \langle f \mathbf{T}_i, g \rangle = \langle f, g \mathbf{T}_i \rangle,$$

$$(3.15c) \quad \langle f \xi_N, g \rangle = \langle f, g \xi_N \rangle,$$

$$(3.15d) \quad \langle f \mathcal{D}_N, g \rangle = (1 - q) \langle f, x_N (g \mathbf{w}^* \mathbf{w}) \rangle.$$

Properties (3.15b) and (3.15c) imply $\langle f \xi_i, g \rangle = \langle f, g \xi_i \rangle$ for each i and thus the $M_{\alpha, S}$'s are mutually orthogonal. As in the scalar case $\langle f \mathbf{w}, g \rangle = \langle f, g \mathbf{w}^* \rangle$. As before denote $\langle f, f \rangle = \|f\|^2$. First we will show that these hypotheses determine the form uniquely when $q, t \neq 0, 1$ without recourse to the Macdonald polynomials. We use the commutation relationships $(x_{i+1} f) \mathbf{T}_i = x_i (f \mathbf{T}_i) + (t - 1) x_{i+1} f$ and $(x_j f) \mathbf{T}_i = x_j (f \mathbf{T}_i)$ for $f \in \mathcal{P}_\tau$ and $j \neq i, i+1$ (a simple direct computation).

Proposition 7. *Suppose (BF2) holds. Then for $1 \leq i \leq j \leq N$ and $q, t \neq 0, 1$ there are operators $A_{i,j}, B_{i,j}$, on \mathcal{P}_τ preserving degree of homogeneity such that $A_{i,i}$ and $B_{i,i}$ are invertible and for $f, g \in \mathcal{P}_\tau$*

$$\begin{aligned}\langle f\mathcal{D}_i, g \rangle &= \sum_{j=i}^N \langle f, x_j (gA_{i,j}) \rangle, \\ \langle f, x_i g \rangle &= \sum_{j=i}^N \langle f\mathcal{D}_j, gB_{i,j} \rangle.\end{aligned}$$

Proof. Suppose $i = N$. Then $A_{N,N} = (1 - q)\mathbf{w}^*\mathbf{w}$ and $B_{N,N} = A_{N,N}^{-1}$ by (3.15d). Arguing by induction suppose the statement is true for $k + 1 \leq i \leq N$. Then for any $f, g \in \mathcal{P}_\tau$

$$\begin{aligned}\langle f\mathcal{D}_k, g \rangle &= \frac{1}{t} \langle f\mathbf{T}_k \mathcal{D}_{k+1} \mathbf{T}_k, g \rangle = \frac{1}{t} \langle f\mathbf{T}_k \mathcal{D}_{k+1}, g\mathbf{T}_k \rangle \\ &= \frac{1}{t} \sum_{j=k+1}^N \langle f\mathbf{T}_k, x_j (g\mathbf{T}_k A_{k+1,j}) \rangle \\ &= \frac{1}{t} \sum_{j=k+1}^N \langle f, \{x_j (g\mathbf{T}_k A_{k+1,j})\} \mathbf{T}_k \rangle.\end{aligned}$$

Hence

$$\begin{aligned}\{x_{k+1} (g\mathbf{T}_k A_{k+1,k+1})\} \mathbf{T}_k &= x_k (g\mathbf{T}_k A_{k+1,k+1} \mathbf{T}_k) \\ &\quad + (t - 1) x_{k+1} (g\mathbf{T}_k A_{k+1,k+1}), \\ \{x_j (g\mathbf{T}_k A_{k+1,j})\} \mathbf{T}_k &= x_j (g\mathbf{T}_k A_{k+1,j} \mathbf{T}_k).\end{aligned}$$

Thus set $A_{k,k} := \frac{1}{t} \mathbf{T}_k A_{k+1,k+1} \mathbf{T}_k$, $A_{k,k+1} := \frac{t-1}{t} \mathbf{T}_k A_{k+1,k+1}$ and $A_{k,j} := \frac{1}{t} \mathbf{T}_k A_{k+1,j} \mathbf{T}_k$ for $j > k + 1$. Next

$$\langle f, x_k (gA_{k,k}) \rangle = \langle f\mathcal{D}_k, g \rangle - \sum_{j=k+1}^N \langle f, x_j (gA_{k,j}) \rangle.$$

Replace g by $gA_{k,k}^{-1}$ and use the inductive hypothesis to get

$$\begin{aligned}\langle f, x_k g \rangle &= \langle f\mathcal{D}_k, gA_{k,k}^{-1} \rangle + \sum_{m=k+1}^N \langle f\mathcal{D}_m, gB_{k,m} \rangle, \\ B_{k,m} &:= - \sum_{j=k+1}^m A_{k,k}^{-1} A_{k,j} B_{j,m}, \quad B_{k,k} := A_{k,k}^{-1}.\end{aligned}$$

This completes the induction. \square

Corollary 1. *The symmetric bilinear form is uniquely determined by the hypotheses (BF2). If $\deg(f) < \deg(g)$ then $\langle f, g \rangle = 0$.*

Proof. If $\deg(g) = n \geq 1$ then g can be expressed as a sum $g = \sum_{i=1}^N x_i g_i$ with $\deg(g_i) = n - 1$ for i such that $g_i \neq 0$. This shows that if $f = 1 \otimes S$ and $\deg(g) \geq 1$ then $\langle f, g \rangle = 0$ because $f\mathcal{D}_i = 0$ for all i . Arguing inductively suppose the stated orthogonality property holds for all h with $\deg(h) \leq k$ and let $\deg(f) = k + 1$, $\deg(g) > k$. Then $\langle f, x_i g \rangle = \sum_{j=i}^N \langle f\mathcal{D}_j, gB_{i,j} \rangle = 0$ because $\deg(f\mathcal{D}_j) = k < \deg(gB_{i,j})$. Thus the orthogonality property holds for $k + 1$. The form is uniquely defined for $\mathcal{P}_0 \otimes V_\tau$ and a similar inductive argument shows that $\langle f, g \rangle$ is uniquely determined when $\deg(f) = \deg(g) > 0$. \square

However the result does not prove existence. A closer look at the formulas shows that $(1 - q)^{|\alpha|} \langle x^\alpha \otimes S, x^\beta \otimes S' \rangle$ is a Laurent polynomial in q, t (a sum of $q^a t^b$ with $a, b \in \mathbb{Z}$) for any $\alpha, \beta \in \mathbb{N}_0^N$, $S, S' \in \mathcal{Y}(\tau)$.

Recall $u(z) := \frac{(1-tz)(t-z)}{(1-z)^2}$.

Lemma 2. *Suppose (BF2) holds and suppose (α, S) satisfies $\alpha_i < \alpha_{i+1}$. Then with $\rho = \zeta_{\alpha, S}(i + 1) / \zeta_{\alpha, S}(i)$ we have*

$$\|M_{\alpha, s_i, S}\|^2 = u(\rho) \|M_{\alpha, S}\|^2.$$

Proof. From (3.10) and (3.12) we have

$$\begin{aligned} M_{\alpha, S} \mathbf{T}_i &= -\frac{1-t}{1-\rho} M_{\alpha, S} + M_{\alpha, s_i, S}, \\ M_{\alpha, s_i, S} \mathbf{T}_i &= \frac{(1-t\rho)(t-\rho)}{(1-\rho)^2} M_{\alpha, S} + \frac{\rho(1-t)}{(1-\rho)} M_{\alpha, s_i, S}. \end{aligned}$$

Take the inner product of the first equation with $M_{\alpha, s_i, S}$ and use $\langle M_{\alpha, S}, M_{\alpha, s_i, S} \rangle = 0$, then take the inner product of the second equation with $M_{\alpha, S}$ and again use $\langle M_{\alpha, S}, M_{\alpha, s_i, S} \rangle = 0$ to obtain

$$\begin{aligned} \langle M_{\alpha, S} \mathbf{T}_i, M_{\alpha, s_i, S} \rangle &= \|M_{\alpha, s_i, S}\|^2, \\ \langle M_{\alpha, S}, M_{\alpha, s_i, S} \mathbf{T}_i \rangle &= \frac{(1-t\rho)(t-\rho)}{(1-\rho)^2} \|M_{\alpha, S}\|^2. \end{aligned}$$

The hypothesis $\langle M_{\alpha, S} \mathbf{T}_i, M_{\alpha, s_i, S} \rangle = \langle M_{\alpha, S}, M_{\alpha, s_i, S} \mathbf{T}_i \rangle$ completes the proof. \square

Lemma 3. *Suppose (BF2) holds and suppose (α, S) satisfies $\alpha_i = \alpha_{i+1}$, $j = r_\alpha(i)$, $c(j, S) - c(j + 1, S) \geq 2$. Then with*

$$\rho = \zeta_{\alpha, S}(i + 1) / \zeta_{\alpha, S}(i) = t^{c(j+1, S) - c(j, S)}$$

we have

$$\|M_{\alpha, S^{(j)}}\|^2 = u(\rho) \|M_{\alpha, S}\|^2 = \frac{\|S^{(j)}\|_0^2}{\|S\|_0^2} \|M_{\alpha, S}\|^2.$$

Proof. Using the same argument as in the previous lemma on formulas (3.11) and (3.13), one shows $\|M_{\alpha, S^{(j)}}\|^2 = u(\rho) \|M_{\alpha, S}\|^2$. Proposition 5 asserted that $u(\rho) = \|S^{(j)}\|_0^2 / \|S\|_0^2$. \square

Definition 7. For $\alpha \in \mathbb{N}_0^N$, $S \in \mathcal{Y}(\tau)$ let

$$(3.16) \quad \mathcal{E}(\alpha, S) := \prod_{\substack{1 \leq i < j \leq N \\ \alpha_i < \alpha_j}} u(q^{\alpha_j - \alpha_i} t^{c(r_\alpha(j), S) - c(r_\alpha(i), S)}).$$

There are $\text{inv}(\alpha)$ terms in $\mathcal{E}(\alpha, S)$.

Lemma 4. Suppose $\alpha \in \mathbb{N}_0^N$, $S \in \mathcal{Y}(\tau)$. Then

$$M_{\alpha\Phi, S} \mathcal{D}_N = (1 - q\zeta_{\alpha, S}(1)) M_{\alpha, S} \mathbf{w}.$$

Proof. By definition we have

$$\begin{aligned} M_{\alpha\Phi, S} \mathcal{D}_N &= (1/x_N) M_{\alpha\Phi, S} (I - \xi_N) = (1/x_N) (1 - \zeta_{\alpha\Phi, S}(N)) M_{\alpha\Phi, S} \\ &= (1 - q\zeta_{\alpha, S}(1)) M_{\alpha, S} \mathbf{w}. \end{aligned} \quad \square$$

The following is proved exactly like Propositions 2 and 3.

Proposition 8. Suppose (BF2) holds and $\alpha \in \mathbb{N}_0^N$, $S \in \mathcal{Y}(\tau)$. Then

$$\begin{aligned} \|M_{\alpha^+, S}\|^2 &= \mathcal{E}(\alpha, S) \|M_{\alpha, S}\|^2, \\ \|M_{\alpha\Phi, S}\|^2 &= \frac{1 - q^{\alpha_1 + 1} t^{c(r_\alpha(1), S)}}{1 - q} \|M_{\alpha, S}\|^2. \end{aligned}$$

The intention here is to find the explicit formula for $\|M_{\alpha, S}\|^2$ implied by (BF2) and then prove that, as a definition, it satisfies (BF2). We use the same inductive scheme as in Section 2.

Suppose (BF2) holds and $\lambda \in \mathbb{N}_0^{N,+}$, $S \in \mathcal{Y}(\tau)$ and $\lambda_m > 0 = \lambda_{m+1}$. Then set

$$(3.17) \quad \begin{aligned} \alpha &:= (\lambda_1, \dots, \lambda_{m-1}, 0, \dots, 0, \lambda_m), \\ r_\alpha &= (1, \dots, m-1, m+1, \dots, N, m), \\ \beta &:= (\lambda_m - 1, \lambda_1, \dots, \lambda_{m-1}, 0, \dots), \\ r_\beta &= (m, 1, \dots, m-1, m+1, \dots, N), \\ \gamma &:= (\lambda_1, \dots, \lambda_{m-1}, \lambda_m - 1, 0, \dots) = \beta^+. \end{aligned}$$

Thus $\|M_{\lambda,S}\|^2 = \mathcal{E}(\alpha, S) \|M_{\alpha,S}\|^2$ and $\|M_{\beta,S}\|^2 = \mathcal{E}(\beta, S)^{-1} \|M_{\gamma,S}\|^2$; by Proposition 8 we have $\|M_{\alpha,S}\|^2 = \frac{1-q^{\lambda_m} t^{c(m,S)}}{1-q} \|M_{\beta,S}\|^2$. Also $\alpha.(s_{N-1}s_{N-2}\cdots s_m) = \lambda$ and $\beta.(s_1s_2\cdots s_{m-1}) = \gamma$ thus $R_\alpha = T_m^{-1}\cdots T_{N-1}^{-1}$ and $R_\beta = T_{m-1}^{-1}\cdots T_1^{-1}$. The leading term of $M_{\beta,S}$ is $\eta(\beta, S) x^\beta \otimes S\tau(R_\beta)$, so the leading term of $M_{\gamma,S}$ is $\eta(\beta, S) x^\gamma \otimes S$ (and $\eta(\gamma, S) = \eta(\beta, S)$).

Apply w to $M_{\beta,S}$. Then we have

$$(3.18) \quad \begin{aligned} x_N((x^\beta)w)S\tau(R_\beta\omega) &= q^{\beta_1}x^\alpha \otimes S\tau((T_{m-1}^{-1}\cdots T_1^{-1})T_1\cdots T_{N-1}) \\ &= q^{\beta_1}x^\alpha \otimes S\tau(T_m\cdots T_{N-1}), \end{aligned}$$

and

$$(3.19) \quad \begin{aligned} S\tau(T_m\cdots T_{N-1}) &= S\tau((T_m\cdots T_{N-1})(T_{N-1}\cdots T_m)R_\alpha) \\ &= t^{N-m}S\tau(\phi_m R_\alpha) = t^{N-m+c(m,S)}S\tau(R_\alpha). \end{aligned}$$

Thus

$$(3.20) \quad \begin{aligned} \eta(\alpha, S) &= q^{\lambda_m-1}t^{N-m+c(m,S)}\eta(\beta, S), \\ \eta(\lambda, S) &= \eta(\alpha, S) = q^{\lambda_m-1}t^{N-m+c(m,S)}\eta(\gamma, S). \end{aligned}$$

Compute

$$(3.21) \quad \begin{aligned} \mathcal{E}(\alpha, S) &= \prod_{j=m+1}^N u(q^{\lambda_m} t^{c(m,S)-c(j,S)}), \\ \mathcal{E}(\beta, S) &= \prod_{i=1}^{m-1} u(q^{\lambda_i-\lambda_m+1} t^{c(i,S)-c(m,S)}). \end{aligned}$$

The argument also shows that $\eta(\lambda, S) = q^{\Sigma_1(\lambda)} t^{\Sigma_2(\lambda, S)}$ where $\Sigma_1(\lambda) := \frac{1}{2} \sum_{i=1}^N \lambda_i (\lambda_i - 1)$ and $\Sigma_2(\lambda, S) = \sum_{i=1}^N \lambda_i (N - i + c(i, S))$. Recall $k(\lambda) = \sum_{i=1}^N (N - 2i + 1) \lambda_i$ for $\lambda \in \mathbb{N}_0^{N,+}$.

Theorem 2. *Suppose (BF2) holds, $\lambda \in \mathbb{N}_0^{N,+}$ and $S \in \mathcal{Y}(\tau)$. Then*

$$\begin{aligned} \|M_{\lambda,S}\|^2 &= t^{k(\lambda)} \|S\|_0^2 (1-q)^{-|\lambda|} \prod_{i=1}^N (qt^{c(i,S)}; q)_{\lambda_i} \\ &\quad \times \prod_{1 \leq i < j \leq N} \frac{(qt^{c(i,S)-c(j,S)-1}; q)_{\lambda_i-\lambda_j} (qt^{c(i,S)-c(j,S)+1}; q)_{\lambda_i-\lambda_j}}{(qt^{c(i,S)-c(j,S)}; q)_{\lambda_i-\lambda_j}^2}. \end{aligned}$$

Proof. Denote the (i, j) -product by Π_λ . Suppose $\lambda_m > 0 = \lambda_{m+1}$ and $\gamma = (\lambda_1, \dots, \lambda_{m-1}, \lambda_m - 1, 0, \dots)$. with α, β as in (3.17). Then

$$\begin{aligned}
(3.22) \quad \frac{\Pi_\lambda}{\Pi_\gamma} &= \prod_{i=1}^{m-1} \frac{(1 - q^{\lambda_i - \lambda_m + 1} t^{c(i,S) - c(m,S)})^2}{(1 - q^{\lambda_i - \lambda_m + 1} t^{c(i,S) - c(m,S) - 1}) (1 - q^{\lambda_i - \lambda_m + 1} t^{c(i,S) - c(m,S) + 1})} \\
&\quad \times \prod_{j=m+1}^N \frac{(1 - q^{\lambda_m} t^{c(m,S) - c(j,S) - 1}) (1 - q^{\lambda_m} t^{c(m,S) - c(j,S) + 1})}{(1 - q^{\lambda_m} t^{c(m,S) - c(j,S)})^2} \\
&= t^{2m-1-N} \prod_{i=1}^{m-1} u(q^{\lambda_i - \lambda_m + 1} t^{c(i,S) - c(m,S)})^{-1} \prod_{j=m+1}^N u(q^{\lambda_m} t^{c(m,S) - c(j,S)}) \\
&= t^{2m-1-N} \mathcal{E}(\alpha, S) / \mathcal{E}(\beta, S).
\end{aligned}$$

Also $\prod_{i=1}^N (qt^{c(i,S)}; q)_{\lambda_i} / \prod_{i=1}^N (qt^{c(i,S)}; q)_{\gamma_i} = 1 - q^{\lambda_m} t^{c(m,S)}$. The formula satisfies the relation $\|M_{\lambda,S}\|^2 = \frac{1 - q^{\lambda_m} t^{c(m,S)}}{1 - q} \frac{\mathcal{E}(\alpha, S)}{\mathcal{E}(\beta, S)} \|M_{\gamma,S}\|^2$ and is valid at $\lambda = \mathbf{0}$ since $M_{\mathbf{0},S} = 1 \otimes S$ and $\|1 \otimes S\|^2 = \|S\|_0^2$. \square

Definition 8. *The symmetric bilinear form is given by (3.22) for $\lambda \in \mathbb{N}_0^{N,+}$, $S \in \mathcal{Y}(\tau)$, by $\|M_{\alpha,S}\|^2 = \mathcal{E}(\alpha, S)^{-1} \|M_{\alpha^+,S}\|^2$ for $\alpha \in \mathbb{N}_0^N$ and by $\langle M_{\alpha,S}, M_{\beta,S'} \rangle = 0$ for $(\alpha, S) \neq (\beta, S')$.*

Next we show that the definition satisfies the hypotheses (BF2).

The step s_i with $\alpha_i < \alpha_{i+1}$ satisfies (3.15b) because of the value $\frac{\mathcal{E}(\alpha, s_i, S)}{\mathcal{E}(\alpha, S)}$. It remains to check the step with $\alpha_i = \alpha_{i+1}$ and the affine step. The (i, j) -product in (3.22) can be written as (note $t^{-1}u(z) = \frac{(1-z/t)(1-tz)}{(1-z)^2}$)

$$\prod_{1 \leq i < j \leq N} t^{\lambda_j - \lambda_i} \prod_{l=1}^{\lambda_i - \lambda_j} u(q^l t^{c(i,S) - c(j,S)}).$$

Suppose $\alpha \in \mathbb{N}_0^N$ and $\lambda := \alpha^+$; in the formula for $\mathcal{E}(\alpha, S)$ the condition $(i < j) \& (\alpha_i < \alpha_j)$ is equivalent to $(i < j) \& (r_\alpha(i) > r_\alpha(j))$. Let $v_\alpha = r_\alpha^{-1}$ so that $\lambda_i = \alpha_{v_\alpha(i)}$. Then the product can be indexed by $(v_\alpha(i') < v_\alpha(j')) \& (i' > j')$ (where $i' = r_\alpha(i)$, $j' = r_\alpha(j)$). Thus

$$\mathcal{E}(\alpha, S) = \prod_{1 \leq j' < i' \leq N, v_\alpha(i') < v_\alpha(j')} u\left(q^{\lambda_{i'} - \lambda_{j'}} t^{c(i',S) - c(j',S)}\right).$$

Proposition 9. *Suppose $\alpha_i = \alpha_{i+1}$, $j = r_\alpha(i)$ and $m = c(j, S) - c(j+1, S) \geq 2$. Then $\|M_{\alpha,S(j)}\|^2 = \frac{(1-t^{1-m})(t-t^{-m})}{(1-t^{-m})^2} \|M_{\alpha,S}\|^2$.*

Proof. By hypothesis $\zeta_{\alpha,S}(i) = q^{\alpha_i} t^{c(j,S)}$ and $\zeta_{\alpha,S}(i+1) = q^{\alpha_i} t^{c(j+1,S)}$ so that $\zeta_{\alpha,S}(i+1)/\zeta_{\alpha,S}(i) = t^{-m}$. Also by Proposition 5 we have $\|S^{(j)}\|_0^2 = u(t^{-m}) \|S\|_0^2$. Suppose first that $\alpha \in \mathbb{N}_0^{N,+}$. Then $j = i$. In the formula for $\|M_{\alpha,S}\|^2$ the first product does not change when S is replaced by $S^{(j)}$; the factors $(qt^{c(i,S)}; q)_{\lambda_i}, (qt^{c(i+1,S)}; q)_{\lambda_i}$ trade places. By a similar argument the (i, j) -product also does not change, and $\|M_{\alpha,S^{(j)}}\|^2 / \|S^{(j)}\|_0^2 = \|M_{\alpha,S}\|^2 / \|S\|_0^2$. Otherwise $\alpha \neq \alpha^+$ and

$$(3.23) \quad \frac{\|M_{\alpha,S^{(j)}}\|^2}{\|S^{(j)}\|_0^2} = \frac{\|M_{\alpha^+,S^{(j)}}\|^2}{\mathcal{E}(\alpha, S^{(j)}) \|S^{(j)}\|_0^2} = \frac{\|M_{\alpha^+,S}\|^2}{\mathcal{E}(\alpha, S^{(j)}) \|S\|_0^2} \\ = \frac{\mathcal{E}(\alpha, S)}{\mathcal{E}(\alpha, S^{(j)})} \frac{\|M_{\alpha,S}\|^2}{\|S\|_0^2}.$$

Recall $\mathcal{E}(\alpha, S) = \prod_{1 \leq l < n \leq N, \alpha_l < \alpha_n} u(q^{\alpha_n - \alpha_l} t^{c(r_\alpha(n), S) - c(r_\alpha(l), S)})$ and the product does not change when S is replaced by $S^{(j)}$ (the factors involving $l = i$ or $n = i$ are interchanged with those involving $l = i+1$ or $n = i+1$). Thus $\mathcal{E}(\alpha, S^{(j)}) = \mathcal{E}(\alpha, S)$. \square

Proposition 10. *Suppose $\alpha \in \mathbb{N}_0^N, S \in \mathcal{Y}(\tau)$. Then*

$$\|M_{\alpha\Phi, S}\|^2 = \frac{1 - q^{\alpha_1+1} t^{c(r_\alpha(1), S)}}{1 - q} \|M_{\alpha, S}\|^2.$$

Proof. We need to compute various ratios of $\mathcal{E}(\alpha, S), \|M_{\alpha^+, S}\|^2, \mathcal{E}(\alpha\Phi, S), \|M_{(\alpha\Phi)^+, S}\|^2$. Also $r_\alpha(i+1) = r_{\alpha\Phi}(i)$ for $1 \leq i < N$, $r_\alpha(1) = r_{\alpha\Phi}(N)$. Let $\lambda := \alpha^+$. Then $\lambda_{r_\alpha(i)} = \alpha_i$ for all i . Let $m := r_\alpha(1)$. This implies $\#\{i : \alpha_i > \alpha_1\} = m - 1$, thus $\lambda_{m-1} > \lambda_m$ and $(\alpha\Phi)_m^+ = \lambda_m + 1$. Also $k((\alpha\Phi)^+) - k(\lambda) = N - 2m + 1$. This implies

$$\frac{\|M_{(\alpha\Phi)^+, S}\|^2}{\|M_{\lambda, S}\|^2} = t^{N-2m+1} \frac{1 - q^{\lambda_m+1} t^{c(m, S)}}{1 - q} \\ \times t^{m-1} \prod_{i=1}^{m-1} u(q^{\lambda_i - \lambda_m} t^{c(i, S) - c(m, S)})^{-1} \\ \times t^{m-N} \prod_{j=m+1}^N u(q^{\lambda_m+1 - \lambda_j} t^{c(m, S) - c(j, S)}).$$

Let $\mu := (\alpha\Phi)^+$. Then

$$\mathcal{E}(\alpha\Phi, S) = \prod_{\substack{i < j \\ v_{\Phi}(i) > v_{\alpha\Phi}(j)}} u(q^{\mu_i - \mu_j} t^{c(i,S) - c(j,S)})$$

and

$$\mathcal{E}(\alpha, S) = \prod_{\substack{i < j \\ v_{\alpha}(i) > v_{\alpha}(j)}} u(q^{\lambda_i - \lambda_j} t^{c(i,S) - c(j,S)}).$$

From $v_{\alpha}(i) = v_{\alpha\Phi}(i) + 1$ except $v_{\alpha}(m) = 1$, $v_{\alpha\Phi}(m) = N$ the inversions $\{(i, j) : i < j, v_{\alpha}(i) > v_{\alpha}(j)\}$ occur in both the products provided that $j \neq m$ in which case the pairs $\{(i, m) : 1 \leq i \leq m-1\}$ do not occur in $\mathcal{E}(\alpha\Phi, S)$, or if $i = m$ and the pairs $\{(m, j) : m < j \leq N\}$ do not occur in $\mathcal{E}(\alpha, S)$. Also $\mu_i = \lambda_i$ for all i except $\mu_m = \lambda_m + 1$. Thus

$$\begin{aligned} \frac{\mathcal{E}(\alpha, S)}{\mathcal{E}(\alpha\Phi, S)} &= \prod_{i=1}^{m-1} u(q^{\lambda_i - \lambda_m} t^{c(i,S) - c(m,S)}) \\ &\quad \times \prod_{j=m+1}^N u(q^{\lambda_m + 1 - \lambda_j} t^{c(m,S) - c(j,S)})^{-1}, \end{aligned}$$

and

$$\frac{\|M_{\alpha\Phi, S}\|^2}{\|M_{\alpha, S}\|^2} = \frac{\|M_{(\alpha\Phi)^+, S}\|^2}{\|M_{\lambda, S}\|^2} \frac{\mathcal{E}(\alpha, S)}{\mathcal{E}(\alpha\Phi, S)} = \frac{1 - q^{\lambda_m + 1} t^{c(m,S)}}{1 - q}.$$

Finally $\zeta_{\alpha, S}(1) = q^{\alpha_1} t^{c(r_{\alpha}(1), S)} = q^{\lambda_m} t^{c(m,S)}$. \square

Corollary 2. *The bilinear form satisfies (3.15d).*

Proof. By Lemma 4 we have

$$\begin{aligned} \langle M_{\alpha\Phi, S} \mathcal{D}_N, M_{\alpha, S} (\mathbf{w}^*)^{-1} \rangle &= (1 - q \zeta_{\alpha, S}(1)) \langle M_{\alpha, S} \mathbf{w}, M_{\alpha, S} (\mathbf{w}^*)^{-1} \rangle \\ &= (1 - q \zeta_{\alpha, S}(1)) \|M_{\alpha, S}\|^2 \end{aligned}$$

and

$$\begin{aligned} \langle M_{\alpha\Phi, S}, x_N (M_{\alpha, S} (\mathbf{w}^*)^{-1}) \mathbf{w}^* \mathbf{w} \rangle &= \langle M_{\alpha\Phi, S}, M_{\alpha\Phi, S} \rangle \\ &= \frac{1 - q \zeta_{\alpha, S}(1)}{1 - q} \|M_{\alpha, S}\|^2 \end{aligned}$$

by the proposition, thus $(1 - q) \langle M_{\alpha\Phi, S}, x_N g \mathbf{w}^* \mathbf{w} \rangle = \langle M_{\alpha\Phi, S} \mathcal{D}_N, g \rangle$ when $g = M_{\alpha, S} (\mathbf{w}^*)^{-1}$. It suffices to prove

$$\langle f \mathcal{D}_N, g \rangle = (1 - q) \langle f, x_N (g \mathbf{w}^* \mathbf{w}) \rangle$$

for $f = M_{\gamma,S}$ and $g\mathbf{w}^* = M_{\beta,S'}$ with $|\gamma| = |\beta| + 1$. If $\gamma_N = 0$ then $M_{\gamma,S}\mathcal{D}_N = 0$ and $\langle M_{\gamma,S}\mathcal{D}_N, M_{\beta,S'}(\mathbf{w}^*)^{-1} \rangle = 0$ while

$$\langle M_{\gamma,S}, x_N(M_{\beta,S'}\mathbf{w}) \rangle = \langle M_{\gamma,S}, M_{\beta\Phi,S'} \rangle = 0$$

because $\gamma \neq \beta\Phi$. If $\gamma = \alpha\Phi$ for some α with $(\alpha, S) \neq (\beta, S')$ then

$$\langle M_{\alpha\Phi,S}, x_N(M_{\beta,S'}\mathbf{w}) \rangle = \langle M_{\alpha\Phi,S}, M_{\beta\Phi,S'} \rangle = 0$$

and

$$\begin{aligned} \langle M_{\alpha\Phi,S}\mathcal{D}_N, M_{\beta,S'}(\mathbf{w}^*)^{-1} \rangle &= (1 - q\zeta_\alpha(1)) \langle M_{\alpha,S}\mathbf{w}, M_{\beta,S'}(\mathbf{w}^*)^{-1} \rangle \\ &= (1 - q\zeta_\alpha(1)) \langle M_{\alpha,S}, M_{\beta,S'} \rangle = 0. \end{aligned}$$

The case $(\alpha, S) = (\beta, S')$ is already done. \square

Proposition 11. *Suppose $\dim V_\tau \geq 2$, $q, t > 0$ and $q \neq 1$. Then the form $\langle \cdot, \cdot \rangle$ is positive-definite provided $0 < q < \min(t^{-h_\tau}, t^{h_\tau})$ or $q > \max(t^{-h_\tau}, t^{h_\tau})$, that is, $\min(q^{-1/h_\tau}, q^{1/h_\tau}) < t < \max(q^{-1/h_\tau}, q^{1/h_\tau})$.*

Proof. In the definition of $\langle M_{\alpha,S}, M_{\alpha,S} \rangle$ there is an even number of factors of the form $1 - q^a t^b$ where $a = 1, 2, 3, \dots$ and b is one of $c(i, S)$, $c(i, S) - c(j, S)$, or $c(i, S) - c(j, S) \pm 1$. The $c(i, S)$ values lie in $[1 - \ell(\tau), \tau_1 - 1]$; thus $-h_\tau \leq b \leq h_\tau$ where $h_\tau = \tau_1 + \ell(\tau) - 1$, the maximum hook length in the Ferrers diagram λ . Consider the four cases

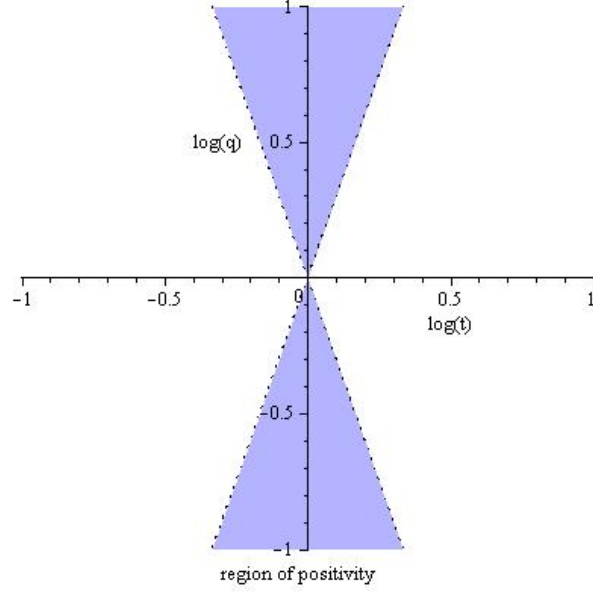
- (1) $0 < q < 1$, $0 < t < 1$. Then $q^a t^b \leq qt^{-h_\tau} < 1$ provided $q < t^{h_\tau}$.
- (2) $0 < q < 1$, $t \geq 1$. Then $q^a t^b \leq qt^{h_\tau} < 1$ provided $q < t^{-h_\tau}$.
- (3) $q > 1$, $0 < t < 1$. Then $q^a t^b \geq qt^{h_\tau} > 1$ provided $q > t^{-h_\tau}$.
- (4) $q > 1$, $t \geq 1$. Then $q^a t^b \geq qt^{-h_\tau} > 1$ provided $q > t^{h_\tau}$.

Thus $\|M_{\alpha,S}\|^2 > 0$ if $\min(q^{-1/h_\tau}, q^{1/h_\tau}) < t < \max(q^{-1/h_\tau}, q^{1/h_\tau})$. \square

There is an illustration in Figure 2 with $h_\tau = 3$ (for $\tau = (2, 1)$ or $\tau = (2, 2)$).

From a similar argument it follows that the transformation formulas for Macdonald polynomials have no poles when $\min(q^{-1/k}, q^{1/k}) < t < \max(q^{-1/k}, q^{1/k})$ with $k = h_\tau - 1$.

3.4. Singular polynomials. A singular polynomial $f \in \mathcal{P}_\tau$ is one which satisfies $f\mathcal{D}_i = 0$ for all i when (q, t) are specialized to some specific relation of the form $q^a t^b = 1$. By Proposition 7 the polynomial f satisfies $\langle f, g \rangle = 0$ for all $g \in \mathcal{P}_\tau$, and in particular $\langle f, f \rangle = 0$. Thus the singular polynomial phenomenon can not occur in the (q, t) -region of positivity. The boundary of the region does allow singular polynomials. There are Macdonald polynomials which are singular when specialized to $q = t^{h_\tau}$ or $q = t^{-h_\tau}$. These values do not produce poles in the polynomial coefficients as remarked above, since $\frac{1}{h_\tau} < \frac{1}{h_\tau - 1}$.

FIGURE 2. Logarithmic coordinates, $h = 3$

Proposition 12. *Suppose $\alpha \in \mathbb{N}_0^N$, $S \in \mathcal{Y}(\tau)$ and $\alpha_i = 0$ for $m < i \leq N$. Then $M_{\alpha,S} \mathcal{D}_j = 0$ for $m < j \leq N$.*

Proof. Arguing by induction the start is

$$M_{\alpha,S} \mathcal{D}_N = \frac{1}{x_N} M_{\alpha,S} (1 - \xi_N) = \frac{1}{x_N} (1 - \zeta_{\alpha,S}(N)) M_{\alpha,S} = 0,$$

since $\zeta_{\alpha,S}(N) = 1$. Suppose now that $\beta_i = 0$ for $i \geq k + 1$ implies $M_{\beta,S'} \mathcal{D}_j = 0$ for $j \geq k + 1$ and any (β, S') . Suppose $\alpha_i = 0$ for $i \geq k$. Then $r_\alpha(i) = i$ and $\zeta_{\alpha,S}(i) = t^{r(i,S)}$ for $i \geq k$ and $M_{\alpha,S} \mathbf{T}_k$ is one of $tM_{\alpha,S}$, $-M_{\alpha,S}$, $M_{\alpha,S^{(k)}} - \frac{t-1}{\rho-1} M_{\alpha,S}$, $\frac{(1-t\rho)(t-\rho)}{(1-\rho)^2} M_{\alpha,S^{(k)}} - \frac{t-1}{(1-\rho)} M_{\alpha,S}$ depending on $c(k+1, S) - c(k, S) = 1, = -1, \geq 2, \leq -2$ respectively and $\rho = t^{c(k+1,S) - c(k,S)}$. Then $M_{\alpha,S} \mathcal{D}_k = \frac{1}{t} (M_{\alpha,S} \mathbf{T}_k) \mathcal{D}_{k+1} \mathbf{T}_k$ and $(M_{\alpha,S} \mathbf{T}_k) \mathcal{D}_{k+1} = 0$ by the inductive hypothesis. \square

Lemma 5. *Suppose $\alpha = (\alpha_1, \dots, \alpha_{m-1}, 1, 0, \dots)$ with $\alpha_i \geq 1$ for $i \leq m$ and $S \in \mathcal{Y}(\tau)$. Then*

$$M_{\alpha,S} \mathcal{D}_m = t^{m-N} \prod_{j=m}^{N-1} u \left(qt^{c(m,S) - c(j+1,S)} \right) M_{\alpha^{(N)}, S} \mathcal{D}_N \mathbf{T}_{N-1} \cdots \mathbf{T}_m,$$

where $\alpha^{(N)} = (\alpha_1, \dots, \alpha_{m-1}, 0, 0, \dots, 1)$.

Proof. For $m \leq j \leq N$ let $\alpha^{(j)} = \left(\alpha_1, \dots, \alpha_{m-1}, 0, \dots, \overset{j}{1}, 0 \dots \right)$ so that $\alpha_i^{(j)} = \alpha_i$ except $\alpha_j^{(j)} = 1$ and $\alpha_m^{(j)} = 0$ (when $j \neq m$). Then $\zeta_{\alpha^{(j)}, S}(j) = qt^{c(m, S)}$ and $\zeta_{\alpha^{(j)}, S}(j+1) = t^{c(j+1, S)}$ (since $r_{\alpha^{(m)}}(j) = m$) and

$$M_{\alpha^{(j)}, S} \mathbf{T}_j = \frac{(1-t\rho)(t-\rho)}{(1-\rho)^2} M_{\alpha^{(j+1)}, S} + \frac{\rho(1-t)}{(1-\rho)} M_{\alpha^{(j)}, S}$$

from (3.12) with $\rho = qt^{c(m, S) - c(j+1, S)}$. Thus

$$\begin{aligned} M_{\alpha^{(j)}, S} \mathcal{D}_j &= \frac{1}{t} M_{\alpha^{(j)}, S} \mathbf{T}_j \mathcal{D}_{j+1} \mathbf{T}_j \\ &= \frac{1}{t} u \left(qt^{c(m, S) - c(j+1, S)} \right) M_{\alpha^{(j+1)}, S} \mathcal{D}_{j+1} \mathbf{T}_j, \end{aligned}$$

because $M_{\alpha^{(j)}, S} \mathcal{D}_{j+1} = 0$. Iterate this formula starting with $j = m$ and $\alpha^{(m)} = \alpha$, ending with $j = N - 1$ to obtain the stated formula. \square

Recall that S_1 is the *inv*-minimal RSYT with the numbers $N, N - 1, N - 2, \dots, 1$ entered row-by-row and let $l = \ell(\tau)$, $\alpha = (1^{\tau_1}, 0^{N-\tau_1})$. Thus the entry at $(l, 1)$ is τ_l and $c(\tau_l, S_1) = 1 - l$. The entry at $(1, \tau_1)$ is $N - \tau_1 + 1$ and $c(N - \tau_1 + 1, S_1) = \tau_1 - 1$.

Proposition 13. M_{α, S_1} is singular for $q = t^{h_\tau}$.

Proof. By the lemma with $m = \tau_l$, we have

$$M_{\alpha, S_1} \mathcal{D}_{\tau_l} = t^{\tau_l - N} \prod_{j=\tau_l}^{N-1} u \left(qt^{1-l-c(j+1, S_1)} \right) M_{\alpha^{(N)}, S_1} \mathcal{D}_N \mathbf{T}_{N-1} \cdots \mathbf{T}_{\tau_l}.$$

The factors in the denominator of the product are of the form $1 - qt^{1-l-c(j+1, S_1)}$ with $c(j+1, S_1) \leq \tau_l - 1$ so that $1 - l - c(j+1, S_1) \geq 2 - l - \tau_l = 1 - h_\tau > h_\tau$. Furthermore the numerator factor at $j = N - \tau_l$ is $(t - qt^{2-l-\tau_l})(1 - qt^{3-l-\tau_l})$ which vanishes at $qt^{-h_\tau} = 1$. By Proposition 12 we have $M_{\alpha, S_1} \mathcal{D}_i = 0$ for $i > \tau_l$. If $1 \leq i < \tau_l$ then $M_{\alpha, S_1} \mathbf{T}_i = t M_{\alpha, S_1}$ (because $i, i+1$ are in the same row of S_1), thus

$$\begin{aligned} M_{\alpha, S_1} \mathcal{D}_i &= t^{i-\tau_l} M_{\alpha, S_1} \mathbf{T}_i \mathbf{T}_{i+1} \cdots \mathbf{T}_{\tau_l-1} \mathcal{D}_{\tau_l} \mathbf{T}_{\tau_l-1} \cdots \mathbf{T}_i \\ &= M_{\alpha, S_1} \mathcal{D}_{\tau_l} \mathbf{T}_{\tau_l-1} \cdots \mathbf{T}_i = 0 \end{aligned}$$

when $q = t^{h_\tau}$. \square

We apply the same argument to S_0 where the numbers $N, N-1, \dots, 1$ are entered column-by-column. Let $m = \tau'_1$, that is, the length of the last column of τ . Then the entry at $(\tau_1, 1)$ is m and $c(m, S_0) = \tau_1 - 1$. Also the entry at $(l, 1)$ is $N - l + 1$ and $c(N - l + 1) = 1 - l$.

Proposition 14. *Set $\alpha = (1^m, 0^{N-m})$. Then M_{α, S_0} is singular for $q = t^{-h_\tau}$.*

Proof. By Lemma 5 we have

$$M_{\alpha, S_0} \mathcal{D}_m = t^{m-N} \prod_{j=m}^{N-1} u(qt^{\tau_1-1-c(j+1, S_1)}) M_{\alpha^{(N)}, S_0} \mathcal{D}_N \mathbf{T}_{N-1} \cdots \mathbf{T}_m.$$

The factors in the denominator of the product are of the form $1 - qt^{\tau_1-1-c(j+1, S_1)}$ with $c(j+1, S_1) \geq 1-l$ so that $\tau_1 - 1 - c(j+1, S_1) \leq \tau_1 + l - 2 < h_\tau$. Furthermore the numerator factor at $j = N-l$ is $(t - qt^{\tau_1+l-2})(1 - qt^{\tau_1+l-1})$ which vanishes at $qt^{h_\tau} = 1$. The rest of the argument is as in the previous proposition with the difference that $M_{\alpha, S_0} \mathbf{T}_i = -M_{\alpha, S_0}$ for $1 \leq i < m$. \square

In conclusion we have constructed a symmetric bilinear form on \mathcal{P}_τ for which the operators \mathbf{T}_i and ξ_i are self-adjoint, the Macdonald polynomials $M_{\alpha, S}$ are mutually orthogonal, and the form is positive-definite for $q > 0, q \neq 1$ and $\min(q^{-1/h_\tau}, q^{1/h_\tau}) < t < \max(q^{-1/h_\tau}, q^{1/h_\tau})$ where $h_\tau = \tau_1 + \ell(\tau) - 1$. The bound is sharp, as demonstrated by the existence of singular polynomials for $q = t^{\pm h_\tau}$.

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