

# Crystal graph theory and some of its generalizations I: basics on crystals

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# Plan of the mini-course

- 1 Basics on crystals
- 2 Crystals and Kostka polynomials
- 3 Crystals and random processes

# Some classical problems in representation theory

Let  $\mathfrak{g}$  be a simple Lie algebra of rank  $n$  over  $\mathbb{C}$ .

The following questions are classical.

- 1 How to compute the character of a representation ?
- 2 How to decompose the tensor product of two representations into irreducible components ?
- 3 How to define and compute a “canonical” basis of representations ?

## Problem

*Find a general frame to answer problems 1,2,3*

# The prototype of a Lie algebra

We have

$$\mathfrak{sl}_2 = \mathbb{C} \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \oplus \mathbb{C} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \oplus \mathbb{C} \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} = \mathbb{C}e \oplus \mathbb{C}h \oplus \mathbb{C}f.$$

It can be embedded in its **universal enveloping algebra**  $U(\mathfrak{sl}_2)$ , the **associative**  $\mathbb{C}$ -algebra generated by **e, f, h** with the relations

$$\begin{aligned} [\mathbf{h}, \mathbf{e}] &= \mathbf{he} - \mathbf{eh} = 2\mathbf{e} \\ [\mathbf{h}, \mathbf{f}] &= \mathbf{hf} - \mathbf{fh} = -2\mathbf{f} \\ [\mathbf{e}, \mathbf{f}] &= \mathbf{ef} - \mathbf{fe} = \mathbf{h} \end{aligned}$$

## Example

$\mathfrak{sl}_{n+1} = \{M \in \mathfrak{gl}_{n+1} \mid \text{tr}(M) = 0\}$  satisfies

$$\mathfrak{sl}_{n+1} = \mathfrak{t}_+ \oplus \mathfrak{h} \oplus \mathfrak{t}_-$$

with root system in

$$E = \mathfrak{h}_{\mathbb{R}}^* = \{(x_1, \dots, x_{n+1}) \in \mathbb{R}^{n+1} \mid x_1 + \dots + x_{n+1} = 0\}$$

such that

$$S = \{\varepsilon_i - \varepsilon_{i+1} \mid i = 1, \dots, n\} \quad R_+ = \{\varepsilon_i - \varepsilon_j \mid 1 \leq i < j \leq n+1\}$$
$$W \simeq \mathfrak{S}_{n+1} = \langle s_{\varepsilon_i - \varepsilon_{i+1}} \mid 1, \dots, n \rangle.$$

Its Chevalley generators are

$$e_i = E_{i,i+1}, \quad h_i = E_{i,i} - E_{i+1,i+1}, \quad f_i = E_{i+1,i}$$

# Root system

Each simple Lie algebra over  $\mathbb{C}$  has a **triangular decomposition**

$$\mathfrak{g} = \mathfrak{g}_+ \oplus \mathfrak{h} \oplus \mathfrak{g}_-$$

where  $\mathfrak{h}$  is the **cartan subalgebra** of  $\mathfrak{g}$ .

Its root system  $(S, R, Q, P, W)$  is realized in the Euclidean space  $E = \mathfrak{h}_{\mathbb{R}}^*$ :

- $S = \{\alpha_1, \dots, \alpha_n\}$  is the set of **simple roots**
- $R = R_+ \sqcup -R_+$  is the set of positive roots of  $\mathfrak{g}$
- $Q = \bigoplus_{i=1}^n \mathbb{Z}\alpha_i$  is the **root lattice**
- $P = \bigoplus_{i=1}^n \mathbb{Z}\omega_i$  is the **weight lattice** such that  $(\omega_i, \alpha_j^\vee) = \delta_{i,j}$  where  $\alpha_j^\vee = \frac{2\alpha_j}{(\alpha_j, \alpha_j)}$ .
- $W = \langle s_i = s_{\alpha_i^\perp} \mid i = 1, \dots, n \rangle$  is the **Weyl group**

To each  $\alpha \in R_+$  corresponds a triple  $(e_\alpha, h_\alpha, f_\alpha)$  in  $\mathfrak{g}$  such that

$$\mathbb{C}e_\alpha \oplus \mathbb{C}h_\alpha \oplus \mathbb{C}f_\alpha \simeq \mathfrak{sl}_2(\mathbb{C}).$$

We have

$$\mathfrak{g}_+ = \bigoplus_{\alpha \in R_+} \mathbb{C}e_\alpha \quad \mathfrak{h} = \bigoplus_{\alpha \in R_+} \mathbb{C}h_\alpha \quad \mathfrak{g}_- = \bigoplus_{\alpha \in R_+} \mathbb{C}f_\alpha.$$

$\mathfrak{g}$  has a **presentation in terms of its Chevalley generators**

$$\{e_i = e_{\alpha_i}, f_i = f_{\alpha_i}, h_i = h_{\alpha_i} \mid i \in I\}$$

and relations depending of the **Cartan matrix**

$$A = (a_{i,j})_{(1 \leq i,j \leq n)} \text{ where } a_{i,j} = \frac{2(\alpha_j, \alpha_i)}{(\alpha_i, \alpha_i)}.$$

Also  $\{\omega_i, i = 1, \dots, n\} \subset \mathfrak{h}_{\mathbb{R}}^*$  is the dual basis of  $\{h_i, i = 1, \dots, n\} \subset \mathfrak{h}_{\mathbb{R}}$ .

# Representation theory

Since  $(\omega_i, \alpha_j^\vee) = \delta_{i,j}$  for any  $(i, j) \in \{1, \dots, n\}^2$ , we have

$$P_+ = \{\lambda \in P \mid (\lambda, \alpha_i^\vee) \geq 0, i = 1, \dots, n\} = \bigoplus_{i=1}^n \mathbb{Z}_{\geq 0} \omega_i.$$

This is the **cone of dominant weights**.

The **f.d. irreducible representations** of  $\mathfrak{g}$  are parametrized by  $P_+$ .

Let  $V(\lambda)$  be the f.d. irr. rep associated to  $\lambda \in P_+$ . Then for any  $\beta \in P$

$$V(\lambda)_\beta = \{v \in V(\lambda) \mid h(v) = \beta(h)v \text{ for any } h \in \mathfrak{h}\}$$

is the subspace of weight  $\beta$  in  $V(\lambda)$ . We have

$$V(\lambda) = \bigoplus_{\beta \in P} V(\lambda)_\beta$$



By writing  $\mathbb{Z}[P] = \{e^\beta \mid \beta \in P\}$  and setting  $\dim V(\lambda)_\beta = K_{\lambda,\beta}$ , we get the character of  $V(\lambda)$

$$s_\lambda = \sum_{\beta \in P} K_{\lambda,\beta} e^\beta \in \mathbb{Z}[P]$$

In fact,  $K_{\lambda,w(\beta)} = K_{\lambda,\beta}$  for any  $w \in W$  so that  $s_\lambda \in \mathbb{Z}^W[P]$ .

The Weyl character formula gives

$$s_\lambda = \frac{\sum_{w \in W} \varepsilon(w) e^{w(\lambda+\rho)}}{\sum_{w \in W} \varepsilon(w) e^{w(\rho)}} \text{ where } \rho = \frac{1}{2} \sum_{\alpha \in R_+} \alpha.$$

# The type A case

One identifies the weight lattice with

$$P = \{\beta \in \mathbb{Z}^n \mid \beta_1 + \cdots + \beta_n = 0\}$$

and the dominant weights of  $\mathfrak{sl}_n$  with the partitions

$$\lambda = (\lambda_1 \geq \cdots \geq \lambda_{n-1} \geq \lambda_n = 0)$$

$$\lambda = \sum_{i=1}^{n-1} (\lambda_i - \lambda_{i+1}) \omega_i$$

and by setting

$$x_1 = e^{\omega_1}, \quad x_i = e^{\omega_i - \omega_{i-1}}, i = 2, \dots, n-1, \quad x_n = e^{-\omega_{n-1}}$$

the character  $s_\lambda$  is the image of the **Schur function**  $s_\lambda(x_1, \dots, x_n)$  in  $\text{Sym}[x_1, \dots, x_n] / (x_1 \cdots x_n = 1)$ .

Each f.d. representation of  $\mathfrak{g}$  (or  $\mathfrak{g}$ -module)  $M$  decomposes on the form

$$M \simeq \bigoplus_{\lambda \in P_+} V(\lambda)^{\oplus m_\lambda}.$$

The module  $M$  is irreducible (or simple) and isomorphic to  $V(\lambda)$  when its **highest weight vectors space**

$$M^h := \{v \in M \mid \text{weight vectors } v \text{ s.t. } e_i \cdot v = 0 \text{ for any } i = 1, \dots, n\}$$

has dimension 1 and coincides with the weight space

$$M_\lambda = \{v \in M \mid h_i \cdot v = \lambda(h_i)v \text{ for any } i = 1, \dots, n\}$$

# Quantum groups

Each simple Lie algebra  $\mathfrak{g} = \mathfrak{g}_+ \oplus \mathfrak{h} \oplus \mathfrak{g}_-$  is embedded in its **universal enveloping algebra**  $U(\mathfrak{g})$ .

The **quantum group**  $U_q(\mathfrak{g})$  is obtained by deforming  $U(\mathfrak{g})$  with a formal parameter  $q$ .

## Example

$U_q(\mathfrak{sl}_2)$  is the associative algebra over  $\mathbb{C}(q)$  generated by  $E, F, T$  et  $T^{-1}$  and the relations

$$\left\{ \begin{array}{l} T = q^h \\ TET^{-1} = q^2 E \\ TFT^{-1} = q^{-2} F \\ [E, F] = EF - FE = \frac{T - T^{-1}}{q - q^{-1}} \end{array} \right. \quad \text{versus} \quad \left\{ \begin{array}{l} [\mathbf{h}, \mathbf{e}] = \mathbf{h}\mathbf{e} - \mathbf{e}\mathbf{h} = 2\mathbf{e} \\ [\mathbf{h}, \mathbf{f}] = \mathbf{h}\mathbf{f} - \mathbf{f}\mathbf{h} = -2\mathbf{f} \\ [\mathbf{e}, \mathbf{f}] = \mathbf{e}\mathbf{f} - \mathbf{f}\mathbf{e} = \mathbf{h} \end{array} \right.$$

The Cartan subalgebra is now  $U_q(\mathfrak{g}) = \{q^h \mid h \in \mathfrak{h}\}$ .

F.d.  $U_q(\mathfrak{g})$ -modules are just  $q$ -deformations of  $U(\mathfrak{g})$ -modules. Their weights yet belong to  $P$

A **weight vector** of  $M_q$  of weight  $\mu \in \mathfrak{h}^*$  is a vector  $v$  s.t.  $q^h \cdot v = q^{\mu(h)} v$  for any  $q^h \in U_q(\mathfrak{h})$ .

The **highest weight vectors** of  $M_q$  is a weight vector  $v$  s.t.  $E_i \cdot v = 0$  for any  $i = 1, \dots, n$ . Its weight is dominant.

## Theorem

- *The irreducible f.d.  $U_q(\mathfrak{g})$ -modules are indexed by the dominant weights  $\lambda$ .*
- $V_q(\lambda) = U_q(\mathfrak{g}) \cdot v_\lambda$  where  $v_\lambda$  is of h.w.  $\lambda$ .
- “ $\lim_{q \rightarrow 1} V_q(\lambda) = V(\lambda)$ .”
- $M_q$  is irreducible i.i.f it admits up to a constant only one h.w.v.

# An example

The irreducible f.d. rep. of  $U_q(\mathfrak{sl}_n)$  are indexed by the **partitions**

$$\lambda = (\lambda_1 \geq \dots \geq \lambda_{n-1} \geq 0) \in \mathbb{Z}_{\geq 0}^{n-1}.$$

The Chevalley generators  $E_i, H_i, F_i, i = 1, \dots, n-1$  of  $U_q(\mathfrak{sl}_n)$  act on

$$V_q(\square) = \bigoplus_{j=1}^n \mathbb{C}(q)v_j$$

by

$$E_i(v_{j+1}) = \delta_{i,j}v_j \quad H_i(v_j) = q^{(\delta_{i,j} - \delta_{i,i+1})}v_j \quad F_i(v_j) = \delta_{i,j}v_{i+1}.$$

This can be encoded by the graph:

$$v_1 \xrightarrow{F_1} v_2 \xrightarrow{F_2} v_3 \xrightarrow{F_3} \dots \xrightarrow{F_{n-2}} v_{n-1} \xrightarrow{F_{n-1}} v_n.$$

# Tensor product of two modules

Given  $M_q$  and  $N_q$  two  $U_q(\mathfrak{g})$ -modules, the Chevalley generators act on  $M_q \otimes N_q$  by

$$H_i(u \otimes v) = H_i(u) \otimes H_i(v)$$

$$E_i(u \otimes v) = E_i(u) \otimes H_i^{-1}(v) + u \otimes E_i(v)$$

$$F_i(u \otimes v) = F_i(u) \otimes v + H_i(u) \otimes F_i(v)$$

## Example

The  $U_q(\mathfrak{sl}_3)$ -module  $V_q(\square)^{\otimes 3}$  has four irreducible components of h.w.  $v$ .

- 1  $v_1 \otimes v_1 \otimes v_1$  of weight  $(3, 0)$
- 2  $v_1 \otimes (v_1 \otimes v_2 - qv_2 \otimes v_1)$  and  $(v_1 \otimes v_2 - qv_2 \otimes v_1) \otimes v_1$  of weight  $(2, 1)$
- 3  $\sum_{\sigma \in S_3} (-q)^{l(\sigma)} v_{\sigma(1)} \otimes v_{\sigma(2)} \otimes v_{\sigma(3)}$  of weight  $(0, 0)$ .

So

$$V_q(\square)^{\otimes 3} \simeq V(3, 0) \oplus V(2, 1)^{\oplus 2} \oplus V(0, 0).$$



# Crystals in rank 1

For  $U_q(\mathfrak{sl}_2)$ , we have  $P_+ = \mathbb{Z}_{\geq 0}\omega_1$ .

Set

$$[a]_q = \frac{q^a - q^{-a}}{q - q^{-1}}$$

Given  $k \in \mathbb{Z}_{\geq 0}$ , the irr. rep.  $V_q(k)$  is

$$V_q(k) = \bigoplus_{a=0}^k \mathbb{C}(q)v_a$$

where

$$F(v_a) = [a+1]_q v_{a+1}, \quad E(v_{a+1}) = [k-a]_q v_a \text{ and } H(v_a) = q^{k-2a} v_a$$

This suggests to introduce the graph

$$B(k) : v_0 \rightarrow v_1 \rightarrow \cdots \rightarrow v_k$$

by "renormalizing" the actions of  $F$  and  $E$ .

# Crystal graph of a simple module

The **crystal graph**  $B(\lambda)$  of  $V_q(\lambda)$  is a **colored oriented graph**: an arrow  $b \xrightarrow{i} b'$  means

$$b' = \tilde{F}_i(b) \text{ i.e. } "b' = F_i(b) \text{ at } q = 0"$$

Each  $b \in B(\lambda)$  belongs to an  $i$ -chain starting at  $s(b)$  and ending at  $e(b)$ .  
Set

$$\varepsilon_i(b) = d(b, b_s) \text{ and } \varphi_i(b) = d(b, b_e).$$

$$b_s \underbrace{\xrightarrow{i} \dots \xrightarrow{i}}_{\varepsilon_i(b)} b \underbrace{\xrightarrow{i} \dots \xrightarrow{i}}_{\varphi_i(b)} b_e$$

## Example

The crystal of  $V(1)$  for  $U_q(\mathfrak{sl}_n)$  is simply

$$B(1) : 1 \xrightarrow{1} 2 \xrightarrow{2} 3 \xrightarrow{3} \dots \xrightarrow{n-2} n-1 \xrightarrow{n-1} n.$$

## Theorem (Kashiwara-Littelmann 1992)

For any dominant weight  $\lambda$

$$\text{char}V(\lambda) = \sum_{b \in B(\lambda)} e^{\text{wt}(b)} \text{ where } \text{wt}(b) = \sum_{i=1}^n (\varphi_i(b) - \varepsilon_i(b))\omega_i.$$

This answers to Problem 1.

### Example

With  $B(1)$  for  $U_q(\mathfrak{sl}_n)$ , we get  $\text{wt}(i) = \omega_i - \omega_{i-1}$  and with  $x_i = e^{\omega_i - \omega_{i-1}}$   
 $\text{char}V(1) = x_1 + \cdots + x_n.$

The Weyl group  $W$  also acts on  $B(\lambda)$  by **symmetrizing each  $i$ -chain**:

$$s_i(b_e) \underbrace{\overset{i}{\rightarrow} \cdots \overset{i}{\rightarrow}}_{\varepsilon_i(s_i(b)) = \varphi_i(b)} s_i(b) \underbrace{\overset{i}{\rightarrow} \cdots \overset{i}{\rightarrow}}_{\varphi_i(s_i(b)) = \varepsilon_i(b)} s_i(b_s).$$

# Crystal of a tensor product

The crystal graph  $B(\lambda) \otimes B(\mu)$  of  $V_q(\lambda) \otimes V_q(\mu)$  has vertices

$$b \otimes b' \text{ s.t. } b \in B(\lambda), b' \in B(\mu)$$

and the action of the  $\tilde{F}_i$  is

$$\tilde{F}_i(b \otimes b') = \begin{cases} \tilde{F}_i(b) \otimes b' & \text{if } \varphi_i(b) > \varepsilon_i(b') \\ b \otimes \tilde{F}_i(b') & \text{otherwise} \end{cases} .$$

## Theorem (Kashiwara 1992)

The decomposition of  $B(\lambda) \otimes B(\mu)$  into its *connected components* gives that of  $V_q(\lambda) \otimes V_q(\mu)$  into *its irreducible components*.

This answers to Problem 2.

# An example

Crystal of the defining rep. of  $U_q(\mathfrak{sl}_3)$ .

$$1 \xrightarrow{1} 2 \xrightarrow{2} 3$$

and its tensor square

$$\begin{array}{cccccc} & & 1 & \xrightarrow{1} & 2 & \xrightarrow{2} & 3 \\ 1 & 1 \otimes 1 & \xrightarrow{1} & 2 \otimes 1 & \xrightarrow{2} & 3 \otimes 1 \\ 1 \downarrow & & & 1 \downarrow & & 1 \downarrow \\ 2 & 1 \otimes 2 & & 2 \otimes 2 & \xrightarrow{2} & 3 \otimes 2 \\ 2 \downarrow & 2 \downarrow & & & & 2 \downarrow \\ 3 & 1 \otimes 3 & \xrightarrow{1} & 2 \otimes 3 & & 3 \otimes 3 \end{array}$$

We get

$$V^{\otimes 2} \simeq V(2, 0) \oplus V(1, 1)$$

# The crystal structure on words

Each vertex  $b \in B(1)^{\otimes \ell}$  can be identified with the word  $w = x_1 \cdots x_\ell$  on the alphabet  $\{1 < \cdots < n\}$ .

For each  $i = 1, \dots, n-1$ , form  $w_i$  the subword of  $w$  containing only the letters  $i$  and  $i+1$ .

$w_i^{\text{red}} = (i+1)^{\varepsilon_i(w)} i^{\varphi_i(w)}$  is obtained by recursive deletion of factors  $i(i+1)$  in  $w_i$ .

**Example:**  $w = 212111322313$  with  $n = 3$

- 1  $w_1 = 2(12)1[1(12)2]1$  and  $w_1^{\text{red}} = 211$ . Thus  $\varepsilon_1(w) = 1$  and  $\varphi_1(w) = 2$
- 2  $w_2 = 2(23)[2(23)3]$  and  $w_2^{\text{red}} = 2$ . Thus  $\varepsilon_2(w) = 0$  and  $\varphi_3(w) = 1$ .

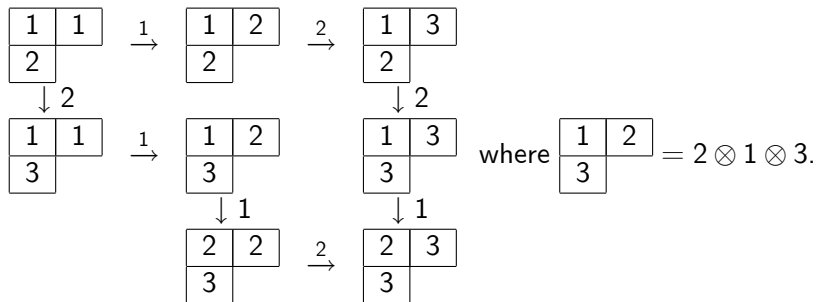
## Fact

$\tilde{f}_i$  (resp.  $\tilde{e}_i$ ) is obtained by modifying the leftmost surviving  $i$  (resp. rightmost  $i+1$ ) into  $i+1$  (resp.  $i$ ).

**Example:**  $\tilde{f}_1(w) = 212211322313$

# Tableaux and crystals

By identifying each tableau with its row reading,  $B(\lambda)$  can be labelled by semistandard tableaux of shape  $\lambda$ .



The crystal  $B(2, 1, 0)$  for  $U_q(\mathfrak{sl}_3)$  is labelled by the tableaux of shape  $\lambda = (2, 1, 0)$ .

$$\text{char } V_q(\lambda) = x_1^2 x_2 + x_1^2 x_3 + x_1 x_2^2 + x_1 x_3^2 + x_2^2 x_3 + x_2 x_3^2 + 2x_1 x_2 x_3.$$

# Littelmann path model

Littelmann defined operators  $\tilde{f}_i, \tilde{e}_i, i = 1, \dots, n$  on paths  $\pi : [0, 1] \rightarrow P$ .

Each vertex  $b \in B(\lambda)$  is now regarded as a path s.t.  $\pi(1) = \text{wt}(b)$ .

There are many realizations of  $B(\lambda)$  by

- 1 first choosing a h.w path  $\pi_\lambda$  such that  $\text{Im } \pi_\lambda \subset P_+$ ,

## Example

By identifying each word  $w = x_1 \cdots x_\ell$  with the piecewise paths  $\pi[0, 1] \rightarrow \mathbb{Z}^n$  s.t.

$$\pi \left( \frac{k}{\ell} \right) = \varepsilon_1 + \cdots + \varepsilon_k$$

and projecting on  $P = \{x \in \mathbb{Z}^n \mid x_1 + \cdots + x_n = 0\}$ , we get a Littelmann path model in which the h.w.v are the reverse lattice words.



# Littelman path model

Littelman defined operators  $\tilde{f}_i, \tilde{e}_i, i = 1, \dots, n$  on paths  $\pi : [0, 1] \rightarrow P$ .

Each vertex  $b \in B(\lambda)$  is now regarded as a path s.t.  $\pi(1) = \text{wt}(b)$ .

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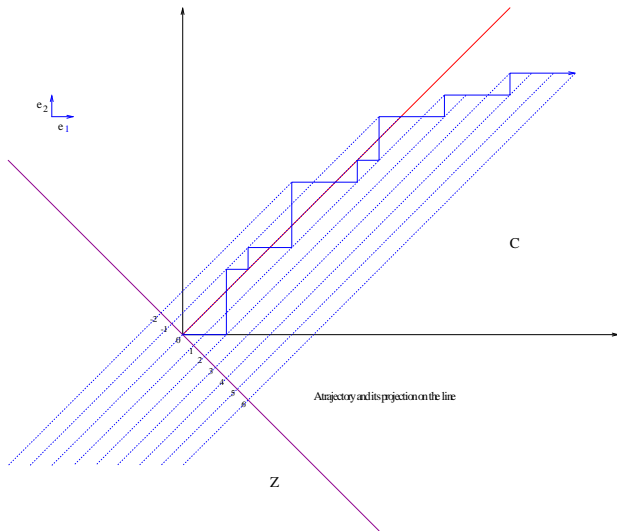
- 1 first choosing a h.w path  $\pi_\lambda$  such that  $\text{Im } \pi_\lambda \subset P_+$ ,
- 2 next applying to  $\pi_\lambda$  the Littelman operators  $\tilde{f}_i, i = 1, \dots, n - 1$

## Example

By identifying each word  $w = x_1 \cdots x_\ell$  with the piecewise paths  $\pi[0, 1] \rightarrow \mathbb{Z}^n$  s.t.

$$\pi \left( \frac{k}{\ell} \right) = \varepsilon_1 + \cdots + \varepsilon_k$$

and projecting on  $P = \{x \in \mathbb{Z}^n \mid x_1 + \cdots + x_n = 0\}$ , we get a Littelman path model in which the h.w.v are the reverse lattice words.



**Figure:** A path corresponding to a word on letters 1, 2 and its projection in  $P$  for  $\mathfrak{sl}_2$ .

## Theorem (Kashiwara-Luszig)

The *canonical basis* is the unique basis  $\{G(b) \mid b \in B(\lambda)\}$  of  $V_q(\lambda)$  such that

- 1  $G(b) = b$  when  $q = 0$ ,
- 2  $G(b) = \overline{G(b)}$  where  $\overline{\phantom{x}}$  is a simple involution defined on  $V_q(\lambda)$ .

This answers to Problem 3.