

Crystal graph theory and some of its generalizations II: Kostka polynomials

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I. Kostka-Foulkes polynomials in type A

$$\mathbb{Z}^n = \bigoplus_{i=1}^n \mathbb{Z}\varepsilon_i.$$

Remind that the root lattice Q of \mathfrak{sl}_n is the sublattice of \mathbb{Z}^n generated by the vectors $\varepsilon_i - \varepsilon_{i+1}$, $1 \leq i < n$.

For $\beta = (\beta_1, \dots, \beta_n) \in \mathbb{Z}^n$, set $x^\beta = x_1^{\beta_1} \cdots x_n^{\beta_n}$.

The q -Kostant partition function of type A_{n-1} is defined by

$$\prod_{1 \leq i < j \leq n} \frac{1}{1 - q^{\frac{x_i}{x_j}}} = \sum_{\beta \in \mathbb{Z}^n} \mathcal{P}_q(\beta) x^\beta.$$

- $\mathcal{P}_q(\beta) \in \mathbb{Z}_{\geq 0}[q]$

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- $\mathcal{P}_1(\beta)$ gives the number of nonnegative decompositions of β as a sum of $\varepsilon_i - \varepsilon_j$, $1 \leq i < j \leq n$.
- $\mathcal{P}_q(\beta) = 0$ when $\beta \notin Q$.

A **partition** is a sequence $\lambda = (\lambda_1 \geq \dots \geq \lambda_n \geq 0) \in \mathbb{Z}^n$.

Each partition is encoded by its **Young diagram**. For example

$$\lambda = (4, 3, 2, 1) \leftrightarrow \begin{array}{cccc} \square & \square & \square & \square \\ \square & \square & \square & \\ \square & \square & & \\ \square & & & \end{array} \quad \text{and } |\lambda| = 10.$$

Let $\rho = (n - 1, n - 2, \dots, 1, 0)$.

Let \mathfrak{S}_n be the symmetric group of rank n .

The group \mathfrak{S}_n acts on \mathbb{Z}^n by permutation
 $\sigma \cdot (\beta_1, \dots, \beta_n) = (\beta_{\sigma(1)}, \dots, \beta_{\sigma(n)}).$

Consider λ and μ two partitions with at most n parts.

They can be identified with **dominant weights** of \mathfrak{sl}_n

$$\lambda = \sum_{i=1}^{n-1} (\lambda_i - \lambda_{i+1}) \omega_i \text{ and } \mu = \sum_{i=1}^{n-1} (\mu_i - \mu_{i+1}) \omega_i$$

Definition

The **Kostka polynomial** $K_{\lambda,\mu}(q)$ is the polynomial of $\mathbb{Z}[q]$ s.t.

$$K_{\lambda,\mu}(q) = \sum_{\sigma \in \tilde{\mathfrak{S}}_n} \varepsilon(\sigma) \mathcal{P}_q(\sigma(\lambda + \rho) - \rho - \mu)$$

where $\rho = (n-1, \dots, 1, 0) \in \mathbb{Z} = \mathbb{N}^n$.

By the **Weyl character formula**

$$K_{\lambda,\mu}(1) = \dim V(\lambda)_\mu$$

where $V(\lambda)_\mu$ is the space of weight μ in the f.d. representation $V(\lambda)$.

A **semistandard tableau** T of shape λ is a filling of λ by letters in $\{1, \dots, n\}$ with

- **strictly increasing columns** from top to bottom
- **weakly increasing rows** from left to right.

Its **weight** is $\text{wt}(T) = (\mu_1, \dots, \mu_n)$ with $\mu_i = \#$ letters i in T

Example

$$T = \begin{array}{|c|c|c|c|} \hline 1 & 1 & 2 & 4 \\ \hline 2 & 3 & 5 & \\ \hline 3 & 4 & & \\ \hline 5 & & & \\ \hline \end{array}$$

with weight $\text{wt}(T) = (2, 2, 2, 2, 2)$ and row reading

$$w(T) = 4211532435$$

Theorem

- 1 $K_{\lambda,\mu}(1)$ is equal to *the number of SST* of shape λ and weight μ .
- 2 $K_{\lambda,\mu}(q) \in \mathbb{Z}_{\geq 0}[q]$ and $K_{\lambda,\mu}(q) \neq 0$ only if $\lambda - \mu \in Q_+ = \bigoplus_{i=1}^{n-1} \mathbb{N}\alpha_i$.

For Assertion 2 :

- sophisticated geometric or algebraic proofs ([affine Kazhdan-Lusztig polynomials](#), Brilinsky-Kostant filtration 1989).

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- sophisticated geometric or algebraic proofs ([affine Kazhdan-Lusztig polynomials](#), Brilinsky-Kostant filtration 1989).
- a **combinatorial proof and description by Lascoux & Schützenberger** based on the charge statistics on SST.

Hall-Littlewood polynomials

For any $P \in \mathbb{Z}[x_1, \dots, x_n]$ set

$$J(P) = \sum_{w \in W} \varepsilon(w) w \cdot P$$

The **HL-polynomial** associated to μ is defined by

$$P_\mu = \frac{1}{W_\mu(q)} \frac{J(\prod_{\alpha \in R_+} (1 - qe^{-\alpha}) e^{\mu + \rho})}{J(e^\rho)}$$

where $W_\mu(q) = \sum_{\sigma \in \mathfrak{S}_n | \sigma(\mu) = \mu} q^{\ell(\sigma)}$.

Theorem

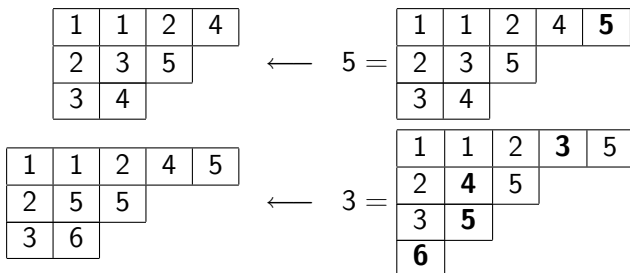
The HL polynomials are *symmetric polynomials* and for any partition λ

$$s_\lambda = \sum_{\mu} K_{\lambda, \mu}(q) P_\mu.$$

This permits to prove that $K_{\lambda, \mu}(q)$ is an **affine KL-polynomial** (Kato 1982).

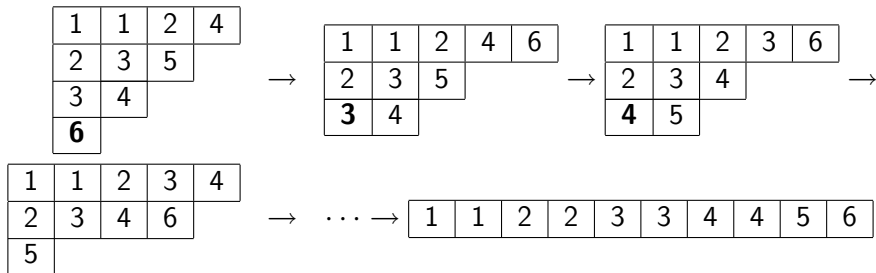
II. The charge statistics

Row insertions of letters in a SST.



Cyclage from T of weight μ :

Remove the southwest entry and insert it in the remaining tableau.



Theorem

Cocyclage operations eventually ends at the unique row R_μ of weight μ

Definition

For T of weight μ , set

$$\text{ch}_n(T) = \sum_{i=1}^n (i-1)\mu_i - l = \text{ch}_n(R_\mu) - l$$

where l the number of cyclage operations needed to get R_μ .

Theorem (LS 1980)

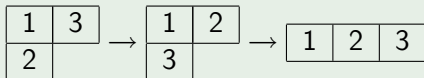
We have

$$K_{\lambda,\mu}(q) = \sum_{T \in \text{SST}_\mu(\lambda)} q^{\text{ch}_n(T)}$$

where $\text{SST}_\mu(\lambda)$ is the set of SST of shape λ and weight μ .

Example

For $\lambda = (2, 1, 0)$ we have



Thus

$$\text{ch}_n \left(\begin{array}{|c|c|c|} \hline 1 & 2 & 3 \\ \hline \end{array} \right) = 0 \times 1 + 1 \times 1 + 2 \times 1 = 3,$$

$$\text{ch}_n \left(\begin{array}{|c|c|} \hline 1 & 3 \\ \hline 2 & \\ \hline \end{array} \right) = 1 \text{ and } \text{ch}_n \left(\begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & \\ \hline \end{array} \right) = 2.$$

We get

$$K_{(2,1,0)}(q) = q + q^2.$$

Problem

Interpret the charge in crystal theory.

Let $w = x_1 \cdots x_\ell$ be a word on $\{1 < \cdots < n\}$.

For each $i = 1, \dots, n-1$, form w_i : the subword of w contained only the letters i and $i+1$.

Remind the definition of ε_i and φ_i (Part I).

Example: $w = \mathbf{241153243131}$ with $n = 5$ $w_1 = 21(12)11$ and $w_1^{\text{red}} = 2111$. Thus $\varepsilon_1(w) = 1$ and $\varphi_1(w) = 3$.

Remind also the action of the Weyl group: s_i acts by symmetrizing each i -chain :

$$s_i(w) = \mathbf{242153243231}.$$

Let $O(T)$ be the **orbit of the tableau** T under the \mathfrak{S}_n -crystal action.

Theorem (LLT 1995)

For any tableau T of dominant weight

$$\text{ch}_n(T) = \frac{1}{|O(T)|} \sum_{T' \in O(T)} \chi(T')$$

where

$$\chi(T') = \sum_{i=1}^{n-1} (n-i) \varepsilon_i(\mathbf{w}(T)).$$

Fact

This gives an interpretation of ch_n independent of the tableau model.

III Affine type A quantum groups and 1-d sums

$U_q(\widehat{\mathfrak{sl}}_n)$ is the quantum group associated to the affine Lie algebra

$$\widehat{\mathfrak{sl}}_n = \mathfrak{sl}_n \otimes \mathbb{C}[t, t^{-1}] \oplus \mathbb{C}d \oplus \mathbb{C}K.$$

It admits

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It admits

- 1 highest weight representations but infinite dimensional (quite simple)
- 2 finite dimensional representations but without h.w. vectors (more complicated).

K-R modules and crystals

For $\mu = (\mu_1, \dots, \mu_m) \in \mathbb{Z}_{\geq 0}^m$, consider

$$B_\mu = B(\mu_1 \omega_1) \otimes \cdots \otimes B(\mu_m \omega_1)$$

the crystal of the $U_q(\mathfrak{sl}_n)$ -module $V_{q,\mu}$

$$V_{q,\mu} = V_q(\mu_1 \omega_1) \otimes \cdots \otimes V_q(\mu_m \omega_1).$$

Theorem

- 1 $V_{q,\mu}$ has also the structure of an *irreducible affine* $U_q(\widehat{\mathfrak{sl}}_n)$ -module $\widehat{V}_{q,\mu}$.
- 2 $\widehat{V}_{q,\mu}$ has a crystal \widehat{B}_μ obtained by adding *arrows of color 0* in B_μ .

An example

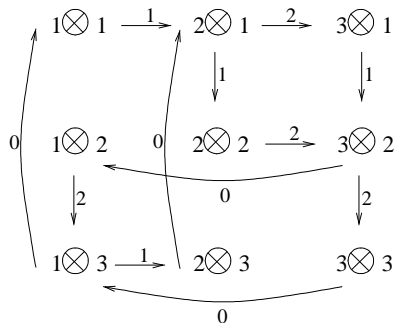


Figure: The affine $U_q(\widehat{\mathfrak{sl}}_3)$ -crystal $\widehat{B}_{(1^2)}$

We have

$$\tilde{f}_0 = \text{pr}^{-1} \circ \tilde{f}_1 \circ \text{pr}$$

where the **promotion operator** pr changes each $i = 1, \dots, n$ in $i + 1 \pmod n$.

\widehat{B}_μ is graded by the “energy” D defined from the graph structure.
 D is constant on the classical connected components.

D is given on \widehat{B}_{1^ℓ} by

$$D(b) = \sum_{k=1}^{\ell-1} (\ell - k) H(x_k \otimes x_{k+1}) \text{ where } H(x_k \otimes x_{k+1}) = \begin{cases} 1 & \text{if } x_k \leq x_{k+1} \\ 0 & \text{if } x_k > x_{k+1} \end{cases}$$

for any $b = x_1 \otimes \cdots \otimes x_\ell$.

Example

On $\widehat{B}_{(1^2)}$, we have $D = 1$ on $\widehat{B}(1 \otimes 1)$ and $D = 0$ on $\widehat{B}(1 \otimes 2)$.

The definition is more complicated for a general μ .

One-dimensional sums and Kostka polynomials

For any partition λ , set

$$E_{\lambda,\mu} = \{b \in \widehat{B}_\mu \mid b \text{ is of highest weight } \lambda\}.$$

Definition

The **one-dimensional sum** $X_{\lambda,\mu}$ is defined by

$$X_{\lambda,\mu}(q) = \sum_{b \in E_{\lambda,\mu}} q^{D(b)}.$$

It is related to particle theory in mathematical physics.

Theorem (Nakayashiki-Yamada 1996)

*The 1-d sums coincide with the **Kostka polynomials**.*

Idea of the proof

Key ingredient: “Schur duality”:

$$K_{\lambda, \mu} = \dim V_q(\lambda)_\mu = [V_{q, \mu} : V_q(\lambda)]$$

SST T of weight $\mu \xleftrightarrow{1:1} b \in \widehat{B}_\mu$ of h.w. λ

Example

with $\lambda = (5, 4, 1)$ and $\mu = (2, 2, 3, 3)$

$$T = \begin{array}{|c|c|c|c|c|} \hline 1 & 1 & 2 & 3 & 4 \\ \hline 2 & 3 & 3 & 4 & \\ \hline 4 & & & & \\ \hline \end{array} \xleftrightarrow{1:1}$$

$$\boxed{1 \ 1} \otimes \boxed{1 \ 2} \otimes \boxed{1 \ 2 \ 2} \otimes \boxed{1 \ 2 \ 3} = b$$

where b is indeed of highest weight λ in \widehat{B}_μ for

$$w(b) = 1121221321.$$

It remains to prove that

$$\text{ch}_n(T) = D(b).$$

This can be done

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- either by using another expression of ch_n in terms of indices of T (NY 1995)
- or directly by proving that cyclage on T corresponds to promotion on b (Gerber-L 2021).

Beyond type A

The Kostka polynomial $K_{\lambda,\mu}(q)$ with $\lambda, \mu \in P_+$ is defined similarly as a q -analogue of $\dim V(\lambda)_\mu$.

Problem

Find a description of $K_{\lambda,\mu}(q)$.

This is a **elementary but difficult question** and only partial answers are known.

Theorem (L-Okado-Shimozono 2011)

One-dimensional sums defined from classical types KR crystals of row or column shapes are Kostka polynomials.

Unfortunately, **the converse is false**, for example

$$K_{\lambda,0}(q) \text{ i.e. for } \mu = 0$$

is not a 1-d sum in general.

- ① A conjectural description of the $K_{\lambda,\mu}(q)$ in type C based on cyclage on Kashiwara-Nakashima tableaux (L-2005).

All these results seems to indicate that the **crystal structure does not suffice to capture the combinatorial complexity of the KF-polynomials.**

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- 4 A proof of 1 for λ row partition (Gerber-Dolega-Torres 2020).
- 5 A new approach based on atomic decomposition of characters (L-Lenart 2020).

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