

CARD SHUFFLING,
 q -ANALOGUES,
AND
DERANGEMENTS

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JOINT WORK WITH...

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"Invariant theory of
the free left-regular
band and a
 q -analogue"

- +
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BIG IDEA: Answer questions in probability theory using
COMBINATORICS & REPRESENTATION THEORY

OUTLINE:

I. SHUFFLING

- * $R2T_n$
 - * $T2R_n$
 - * $R2R_n$
- } Random walks on permutations

II. q -ANALOGUES

- * $R2T_n^{(q)}$
 - * $T2R_n^{(q)}$
 - * $R2R_n^{(q)}$
- } Random walks on complete flags
of \mathbb{F}_q^n

III ANSWERS AND CONJECTURES

- GOAL : + eigen values
* eigenspaces as $S_n \otimes GL_n(\mathbb{F}_q)$ - reps...

I. SHUFFLING

SCENARIO:

You have a deck of cards:

shuffle via RANDOM-TO-TOP

* pick a card at random

* move that card to the top of the deck

EXAMPLE:



PICK 1:



PICK 2:



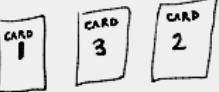
PICK 3:



QUESTION: How many times do you need to do this? ?

SIMPLIFICATION: Let's think of a deck of cards as a word of length n in the set $\{1, 2, \dots, n\}$.

EXAMPLE:

DECK	WORD
	123
	132
	213
	231
	312
	321

For a word (w_1, \dots, w_n) define (and extend linearly ...)

RANDOM-TO-TOP : bring any card to the front with equal probability

$$\cdot R2T_n(w_1, \dots, w_n) = \frac{1}{n} \left(\sum_{i=1}^n w_i w_1 \dots \hat{w}_i \dots w_n \right)$$

$$R2T_3(123) = \frac{1}{3} (123 + 213 + 312)$$

$$+ 0 (132 + 231 + 321)$$

FOR EXPERTS : This is a linear map $\mathbb{Q}[S_n] \rightarrow \mathbb{Q}[S_n]$

We can similarly define...

TOP-T0-RANDOM : move top card anywhere in deck with equal probability

$$\cdot T2R_n(w_1 \dots w_n) := \frac{1}{n} \left(\sum_{i=1}^n w_2 \dots w_{i-1} w_i \dots w_n \right)$$

$$T2R_3(123) = \frac{1}{3} (123 + 213 + 231)$$

$$+ 0 (132 + 312 + 321).$$

RANDOM-T0-RANDOM : move random card to random spot in deck

$$\cdot R2R_n := T2R_n \circ R2T_n$$

$$R2R_3(123) = \frac{1}{3} (123) + \frac{2}{9} (213) + \frac{2}{9} (132) \\ + \frac{1}{9} (231) + \frac{1}{9} (312) + 0 (321)$$

These shuffling processes are **MARKOV CHAINS**

initial state:
word w



word u
with some probability

IDEA: For **K** large enough...

initial state:
a word



any word will
appear with equal
probability!

How DO WE MAKE THIS PRECISE?

ANSWER: PROBABILITY MATRIX

* Rows and Columns are indexed by all "states"

FOR US: all words of length n

* j^{th} column and i^{th} row:

PROBABILITY of going from \boxed{j} to \boxed{i}

EXAMPLE 1. PROBABILITY MATRIX: $R2T_3$

$$R2T_3(123) = \frac{1}{3} \cdot (123) + 0 \cdot (132) + \frac{1}{3} \cdot (213) + 0 \cdot (231) + \frac{1}{3} \cdot (312) + 0 \cdot (321)$$

$R2T_3 :=$

	123	132	213	231	312	321
123	$\frac{1}{3}$	0	$\frac{1}{3}$	$\frac{1}{3}$	0	0
132	0	$\frac{1}{3}$	0	0	$\frac{1}{3}$	$\frac{1}{3}$
213	$\frac{1}{3}$	$\frac{1}{3}$	$\frac{1}{3}$	0	0	0
231	0	0	0	$\frac{1}{3}$	$\frac{1}{3}$	$\frac{1}{3}$
312	$\frac{1}{3}$	$\frac{1}{3}$	0	0	$\frac{1}{3}$	0
321	0	0	$\frac{1}{3}$	$\frac{1}{3}$	0	$\frac{1}{3}$

EXAMPLE 2.

THE UNIFORM MATRIX:

$$M = \begin{matrix} & \begin{matrix} 123 & 132 & 213 & 231 & 312 & 321 \end{matrix} \\ \begin{matrix} 123 \\ 132 \\ 213 \\ 231 \\ 312 \\ 321 \end{matrix} & \left[\begin{matrix} \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} \\ \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} & \frac{1}{6} \end{matrix} \right] \end{matrix}$$

INTERPRETATION: No matter where I start,
I could end anywhere with equal probability

KEY IDEA:

shuffling K times \longleftrightarrow

$$\begin{aligned} & (R2T_n)^K \\ & (T2R_n)^K \\ & (R2R_n)^K \end{aligned}$$

CLAIM:

(Perron-Frobenius Theorem + theory of Markov chains)

* For K large enough,

$(R2T_n)^K$, $(T2R_n)^K$, $(R2R_n)^K$ will converge to THE UNIFORM MATRIX

* The EIGENVALUES of the transition matrix tell us how large K must be ...

GOAL:

(1) Determine EIGENVALUES of
 $R2T_n$ } $n! \times n!$ matrices
 $T2R_n$
 $R2R_n$

(2) Describe their EIGENSPACES
as S_n -representations...

SIMPLIFICATION:

(i) $(R_2 T_n)^T = T_2 R_n$, so eigenvalues are the same

$$R_2 T_3 = \begin{bmatrix} 123 & 132 & 213 & 231 & 312 & 321 \\ 123 & \frac{1}{3} & 0 & \frac{1}{3} & \frac{1}{3} & 0 & 0 \\ 132 & 0 & \frac{1}{3} & 0 & 0 & \frac{1}{3} & \frac{1}{3} \\ 213 & \frac{1}{3} & \frac{1}{3} & \frac{1}{3} & 0 & 0 & 0 \\ 231 & 0 & 0 & 0 & \frac{1}{3} & \frac{1}{3} & \frac{1}{3} \\ 312 & \frac{1}{3} & \frac{1}{3} & 0 & 0 & \frac{1}{3} & 0 \\ 321 & 0 & 0 & \frac{1}{3} & \frac{1}{3} & 0 & \frac{1}{3} \end{bmatrix}$$

$$T_2 R_3 = \begin{bmatrix} 123 & 132 & 213 & 231 & 312 & 321 \\ 123 & \frac{1}{3} & 0 & \frac{1}{3} & 0 & \frac{1}{3} & 0 \\ 132 & 0 & \frac{1}{3} & \frac{1}{3} & 0 & \frac{1}{3} & 0 \\ 213 & \frac{1}{3} & 0 & \frac{1}{3} & 0 & 0 & \frac{1}{3} \\ 231 & \frac{1}{3} & 0 & 0 & \frac{1}{3} & 0 & \frac{1}{3} \\ 312 & 0 & \frac{1}{3} & 0 & \frac{1}{3} & \frac{1}{3} & 0 \\ 321 & 0 & \frac{1}{3} & 0 & \frac{1}{3} & 0 & \frac{1}{3} \end{bmatrix}$$

(ii) Scale operators so matrices have entries in \mathbb{Z}

$$\begin{bmatrix} 123 & 132 & 213 & 231 & 312 & 321 \\ 123 & \frac{1}{3} & 0 & \frac{1}{3} & \frac{1}{3} & 0 & 0 \\ 132 & 0 & \frac{1}{3} & 0 & 0 & \frac{1}{3} & \frac{1}{3} \\ 213 & \frac{1}{3} & \frac{1}{3} & \frac{1}{3} & 0 & 0 & 0 \\ 231 & 0 & 0 & 0 & \frac{1}{3} & \frac{1}{3} & \frac{1}{3} \\ 312 & \frac{1}{3} & \frac{1}{3} & 0 & 0 & \frac{1}{3} & 0 \\ 321 & 0 & 0 & \frac{1}{3} & \frac{1}{3} & 0 & \frac{1}{3} \end{bmatrix}$$



$$\begin{bmatrix} 123 & 132 & 213 & 231 & 312 & 321 \\ 123 & 1 & 0 & 1 & 1 & 0 & 0 \\ 132 & 0 & 1 & 0 & 0 & 1 & 1 \\ 213 & 1 & 1 & 1 & 0 & 0 & 0 \\ 231 & 0 & 0 & 0 & 1 & 1 & 1 \\ 312 & 1 & 1 & 0 & 0 & 1 & 0 \\ 321 & 0 & 1 & 1 & 0 & 0 & 1 \end{bmatrix}$$

REPRESENTATION THEORY OF THE SYMMETRIC GROUP

* BIG IDEA: We can study S_n -reps using combinatorics of tableaux!

* Smallest building blocks: χ^λ where $\lambda \vdash n$

* Write λ as a YOUNG DIAGRAM

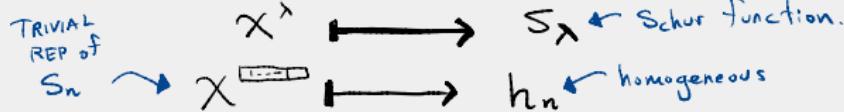


$$(3, 2, 2) \vdash 7$$

* There is a way to think of them as SYMMETRIC FUNCTIONS

The Frobenius characteristic map

(virtual) representations of $S_n \longrightarrow$ Symmetric functions

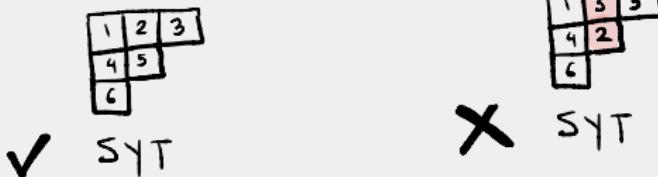


MOTIVATION TO STUDY EIGENSPACE REPS...

1. Can help answer eigenvalue questions
↳ In particular $R2R_n$ (+ other operators studied by Lafrenière)
2. Motivation from monoid theory
↳ Invariant theory of the "free left regular band".
3. Kernel of $R2T_n, R2R_n$ known to have
a very nice description
↳ THE DERANGEMENT REPRESENTATION...

THE DERANGEMENT REPRESENTATION...

- * Standard Young tableau (SYT) : label boxes of Young diagram $1, 2, \dots, n$, s.t. rows and columns increase south and east.



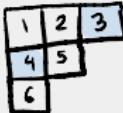
- * Given Q a SYT of shape λ ,
 $\text{Des}(Q) = \{i \in [n-1] : i+1 \text{ appears } \underline{\text{south}} \text{ and } \underline{\text{weakly west}} \text{ of } i\}$

$$\text{Des} \left(\begin{array}{ccc} 1 & 2 & 3 \\ & 4 & 5 \\ & & 6 \end{array} \right) = \{3, 5\} \quad \text{Des} \left(\begin{array}{cc} 1 & 3 \\ 2 & 6 \\ & 4 \\ & 5 \end{array} \right) = \{1, 3, 4\}$$

THE DERANGEMENT REPRESENTATION...

A DESARRANGEMENT TABLEAU is a SYT Q

such that the MINIMUM of $\{1, \dots, n\} \setminus \text{Des}(Q)$ is EVEN

*  is NOT DESARRANGEMENT because

$$\{1, 2, \dots, 6\} \setminus \text{Des}\left(\begin{array}{|c|c|c|} \hline 1 & 2 & 3 \\ \hline 4 & 5 & \\ \hline 6 & & \\ \hline \end{array}\right) = \{1, 2, 4, 6\}$$

*  is DESARRANGEMENT because

$$\{1, 2, \dots, 6\} \setminus \text{Des}\left(\begin{array}{|c|c|c|} \hline 1 & 3 & \\ \hline 2 & 6 & \\ \hline 4 & 5 & \\ \hline \end{array}\right) = \{2, 5, 6\}$$

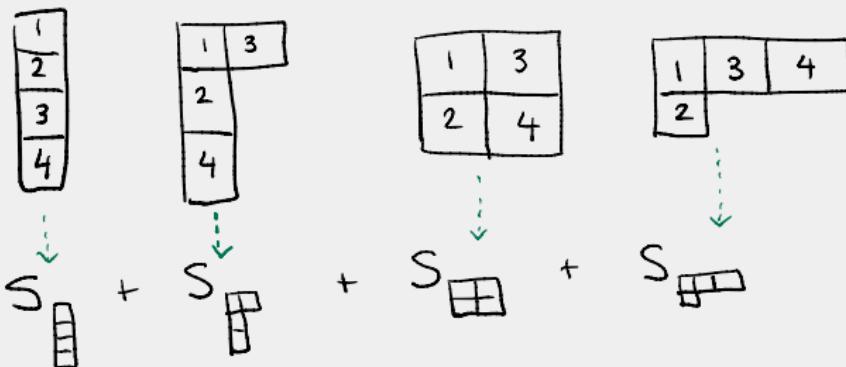
(Definition due to Désarménian and Wachs)

DEFINITION: (Désarménian and Wachs):

The DERANGEMENT REPRESENTATION is the symmetric function $\mathbb{Q}_n := \sum s_{\lambda(Q)}$

where the sum is over all DESARRANGEMENT TABLEAUX of size n

EXAMPLE: When $n=4$, the DESARRANGEMENT TABLEAUX are:



PREVIEW: $\ker(R^2 T_n)$ has Frobenius image \mathbb{Q}_n

Where is the name from?

* The DERANGEMENT number is

$$d_n := n! \sum_{k=0}^n \frac{(-1)^k}{k!} = n! \left(\frac{1}{1!} - \frac{1}{2!} + \frac{1}{3!} - \cdots \frac{(-1)^n}{n!} \right)$$

* d_n COUNTS ...

- $\#\{\sigma \in S_n : \# \text{Fix}(\sigma) = 0\}$, e.g. derangements of S_n ,
- $\dim(D_n)$
- $\#\{\sigma \in S_n : \sigma \text{ is a Desarrangement}\}$
 ↗ defined similarly to our
 def for SYT ...

II. q -ANALOGUES

IDEA: + Introduce a parameter q

* we recover classical objects as $q \rightarrow 1$

assume $q = p^l$
for p a prime...

CLASSICAL OBJECT	q -ANALOGUE
$k \in \mathbb{Z}_{\geq 0}$	$[k]_q := 1 + q + q^2 + \cdots + q^{k-1}$
$n! = n \cdot (n-1) \cdots 2 \cdot 1$	$[n]!_q := [n]_q \cdot [n-1]_q \cdots [2]_q \cdot [1]_q$
set $\{1, 2, \dots, n\}$	Vector space \mathbb{F}_q^n , for \mathbb{F}_q a finite field
$S_n = \text{Aut} \{1, 2, \dots, n\}$	$GL_n(\mathbb{F}_q) = \text{Aut} (\mathbb{F}_q^n)$

FOR US: replace words with complete flags

e.g. $V_1 \subset V_2 \subset \dots \subset V_n = \mathbb{F}_q^n$

where $V_k = k\text{-diml subspace of } \mathbb{F}_q^n$

EXAMPLE: $V = \mathbb{F}_q^n$ for $n=2$, $q=2$.

so $V = \langle e_1 = [0], e_2 = [1] \rangle$.

The complete flags are

$$f_1 = \langle e_1 \rangle \subset \langle e_1, e_2 \rangle = V$$

$$f_2 = \langle e_2 \rangle \subset \langle e_1, e_2 \rangle = V$$

$$f_3 = \langle e_1 + e_2 \rangle \subset \langle e_1, e_2 \rangle = V$$

With Cominns and Reiner, we introduced q -shuffling operators...
on the space of complete flags $\mathcal{F}(V)$...

DEFINE:

$R2T_n^{(q)}$: Pick any line L in \mathbb{F}_q^n and "bring it to the front"
with equal probability... remove repeats
↓

$$R2T_n^{(q)}(v_1 < v_2 < \dots < v_n) = \sum_{\substack{\text{lines} \\ L \subset V}} (L < L+v_1 < L+v_2 < \dots < L+v_n)$$

EXAMPLE : $V = \mathbb{F}_q^n$ for $n=2$, $q=2$.

$$\begin{aligned} R2T_2^{(2)}(\langle e_1 \rangle < \langle e_1, e_2 \rangle) &= (\langle e_1 \rangle < \cancel{\langle e_1 \rangle + \langle e_1 \rangle} < \langle e_1 \rangle + V)^{\wedge} \\ &\quad + (\langle e_2 \rangle < \cancel{\langle e_2 \rangle + \langle e_1 \rangle} < \cancel{\langle e_2 \rangle + V})^{\wedge} \\ &\quad + (\langle e_1 + e_2 \rangle < \cancel{\langle e_1 + e_2 \rangle + \langle e_1 \rangle} < \cancel{\langle e_1 + e_2 \rangle + V})^{\wedge} \end{aligned}$$

We can similarly define...

$$T2R_n^{(q)} := [R2T_n^{(q)}]^T$$

$$R2R_n^{(q)} := T2R_n^{(q)} \circ R2T_n^{(q)}$$

FOR EXPERTS: $\mathcal{F}(V)$ = set of complete flags of $V = \mathbb{F}_q^n$.
(e.g. $GL_n(\mathbb{F}_q)/B$)...

Then these are maps $\mathbb{C}[\mathcal{F}(V)] \rightarrow \mathbb{C}[\mathcal{F}(V)]$

GOALS :

(1) Determine EIGENVALUES of

$$\left. \begin{array}{l} R2T_n^{(q)} \\ T2R_n^{(q)} \\ R2R_n^{(q)} \end{array} \right\} [n]!_{\mathbb{F}_q} \times [n]!_{\mathbb{F}_q} - \text{matrices}$$

(2) Describe their EIGENSPACES

as $GL_n(\mathbb{F}_q)$ - representations...

REPRESENTATION THEORY OF THE $GL_n(\mathbb{F}_q)$:

* We are interested in the UNIPOTENT REPRESENTATIONS

↳ Irreducibles indexed by partitions λ of n

$$\chi_q^\lambda \leftrightarrow \lambda \vdash n$$

↳ There is q -Fröbenius characteristic map

UNIPOTENT
REPRESENTATIONS \longrightarrow RING OF
SYMMETRIC
FUNCTIONS

TRIVIAL
REP of
 $GL_n(\mathbb{F}_q)$ \rightsquigarrow $\chi_q^{\overline{1-\text{box}}}$ \longrightarrow s_λ \leftarrow Schur function.
 \longrightarrow h_n \leftarrow homogeneous

UPSHOT: We can still use symmetric functions!

EXAMPLE : The q -derangement representation

* q -Frobenius characteristic image

$$\text{is } Q_n = \sum_{\substack{Q \text{ a} \\ \text{desarrangement} \\ \text{tableau}}} S_{\lambda(Q)}$$

* has dimension given by the
 q -derangement numbers of

Désarménian and Wachs :

$$d_n(q) = [n]! \cdot q \sum_{k=0}^n \frac{(-1)^k}{[k]!} q^k$$

III ANSWERS AND CONJECTURES

Recall our GOAL:

- (1) Determine EIGENVALUES
- (2) Describe EIGENSPACES

f...	"CLASSICAL" OBJECT	q -ANALOGUE
	R^2T_n	$R^2T_n^{(q)}$
	T^2R_n	$T^2R_n^{(q)}$
	R^2R_n	$R^2R_n^{(q)}$

For $R2T_n$...

- * Phatarfod (1991): EIGENVALUES of $R2T_n$ are

$0, 1, 2, \dots, n$, where j occurs with
multiplicity $f_j := \#\{\sigma \in S_n : \# \text{Fix}(\sigma) = j\}$
 $= \binom{n}{j} \cdot d_{n-j}$.

- * Uyemura-Reyes (2002): The j -EIGENSPACE
of $R2T_n$ has Frobenius image

$$h_j \cdot \mathbb{Q}_{n-j}$$

NOTE: This is a rephrasing of his result...

THEOREM (B, Commins, Reiner, 2022):

- * The eigenvalues of $R2T_n^{(q)}$ are $[0]_q, [1]_q, \dots, [n]_q$ where $[j]_q$ occurs with multiplicity $\begin{bmatrix} n \\ j \end{bmatrix}_q \cdot d_{n-j}(q)$
- * The $[j]_q$ -eigenspace of $R2T_n^{(q)}$ has q -Frobenius image $h_j \cdot \bigoplus_{n-j}$
- * In fact, we prove these results for $R2T_n \neq R2T_n^{(q)}$ in parallel!

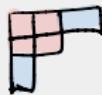
For $R2R_n$, ... this was an open problem for 20 years!

To state the solution, we need a few definitions...

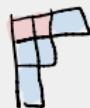
* **SKEW DIAGRAM** λ/μ :

$$\lambda = \begin{array}{|c|c|} \hline \text{blue} & \text{blue} \\ \hline \end{array} \quad \mu = \begin{array}{|c|} \hline \text{red} \\ \hline \end{array} \Rightarrow \begin{array}{|c|c|} \hline \text{red} & \text{blue} \\ \hline \end{array} \quad \text{Delete pink, keep blue}$$

* **HORIZONTAL STRIP** : skew partition with at most one cell in each column.



✓ horizontal strip.



✗ not a horizontal strip

* $\text{diag}(\lambda/\mu) \in \mathbb{Z}$ is a statistic on λ/μ

$$\text{diag}(\lambda/\mu) = \sum_{\substack{\text{cells } (i,j) \\ \text{in } \lambda/\mu}} (j-i)$$

$$\begin{matrix} & j=1 & j=2 & j=3 \\ i=1 & \text{red} & \text{red} & \text{blue} \\ i=2 & & \text{red} & \\ i=3 & & & \text{blue} \end{matrix} \Rightarrow \text{diag is } -2 + 2 = 0.$$

THEOREM

(Dieker-Saliola, 2018)

All eigenvalues of $R^T R_n$ are of the form $\text{eig}(\lambda/\mu)$, where λ/μ is a horizontal strip and

$$\text{eig}(\lambda/\mu) = \text{diag}(\lambda/\mu) + \sum_{j=1}^{|\lambda/\mu|} j + 1 \mu \in \mathbb{Z}_{\geq 0}$$

↑
BIG DEAL!

EXAMPLE : $\text{eig}\left(\begin{array}{c|cc} & \text{pink} & \text{blue} \\ \text{pink} & & \\ \text{blue} & & \end{array}\right) = \text{diag}\left(\begin{array}{c|cc} & \text{pink} & \text{blue} \\ \text{pink} & & \\ \text{blue} & & \end{array}\right) + (1+4) + (2+4)$

$$= 0 + 5 + 6 = 11.$$

CONJECTURE (Axelrod-Freed, B, Chiang, Commins, Lang, 2023⁺)

All eigenvalues of $R_2 R_n^{(q)}$ are of the form

$\text{eig}_q(\lambda/\mu)$, where (λ/μ) is a horizontal strip and

$$\text{eig}_q(\lambda/\mu) = \text{diag}_q(\lambda/\mu) + \sum_{j=1}^{|\lambda/\mu|} q^{|\lambda/\mu|-j} [j+|\mu|]_q$$

↑
statistic on λ/μ
in $\mathbb{Z}[q]$

Thus $\text{eig}_q(\lambda/\mu) \in \mathbb{Z}_{\geq 0}[q]$

EXAMPLE : $\text{eig}_q(\begin{array}{|c|c|}\hline & \color{pink}{\square} \\ \hline \color{blue}{\square} & \color{pink}{\square} \\ \hline \end{array}) = [0]_q + q^1 [5]_q + q^0 [6]_q$

$$= q^1 [5]_q + [6]_q$$

THEOREM

(Dieker-Saliola, 2018)

Every eigenvalue λ of $R^2 R_n$ has eigenspace with
Frobenius image

$$\sum_{(\lambda/\mu)} d^{\mu} \cdot S_{\lambda}$$

$\text{eig}(\lambda/\mu) = \lambda$

↗ # of derangements
tableaux of shape μ

CONJECTURE (Axelrod-Freed, B, Chiang, Commins, Lang, 2022⁺)

Every eigenvalue λ_q of $R^2 R_n^{(q)}$ has eigenspace with
 q -Frobenius image

$$\sum_{(\lambda/\mu)} d^{\mu} \cdot S_{\lambda}$$

$\text{eig}_q(\lambda/\mu) = \lambda_q$

NEXT STEPS...

- * Prove our conjectures !

STRATEGY: The Type A Hecke algebra $H_n(q)$...

- * There is a way to realize $R2T_n^{(q)}$,
 $T2R_n^{(q)}$ in $H_n(q)$
- * Goal: generalize methods of Dieker-Saliola
using $H_n(q)$ combinatorics & representation theory

THANK
YOU!

CONTACT ME!

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How does $H_n(q)$ act on permutations?

$$H_n(q) = \langle T_1, \dots, T_m : T_i^2 = (1-q)T_i + q \\ T_{i+1}T_iT_{i+1} = T_iT_{i+1}T_i \\ T_iT_jT_i = T_jT_iT_j \rangle$$

Think of $q \in [0, 1]$. Then...

$$T_i \cdot (w_1, \dots, w_i, w_{i+1}, \dots, w_n) = \begin{cases} w_1, \dots, w_{i+1}, w_i, \dots, w_n & \text{if } w_i > w_{i+1} \\ q \cdot (w_1, \dots, w_{i+1}, w_i, \dots, w_n) + (1-q) \cdot (w_1, \dots, w_i, w_{i+1}, \dots, w_n) & \text{if } w_{i+1} > w_i \end{cases}$$

* Note this is a rescaling from the usual presentation of $H_n(q)$
 $T_i \mapsto -\tilde{T}_i$ where \tilde{T}_i are "standard generators"

