

Distributional curvature and the focusing of geodesics

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The wider topic

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- physically reasonable assumptions lead to singularities of spacetime
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- recent extensions to non-smooth spacetimes

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Here: bring out the analysis underneath the geometry

- classical: analysis of Riccati equation, comparison results
- low regularity: distributional curvature, regularization, focusing

The structure of the singularity theorems

Pattern theorem

[José Senovilla, 1998]

A spacetime (M, g) is singular if it satisfies:

- (I) A suitable initial condition,
- (E) an energy or curvature condition,
- (C) a causality condition.

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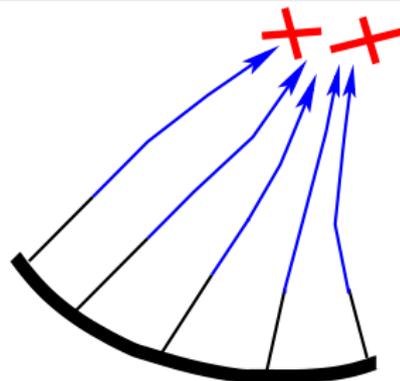
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- (I) \leadsto causal geodesics start focusing
- (E) \leadsto focusing goes on (Raychaud. Riccati)
 \leadsto focal pt. \leadsto geos. stop maximising
- (C) \leadsto there are maximising causal geos
resolution: some causal geodesics stop
existing before conj. pt.



Geodesics, maximisers & Jacobi tensors

- Geodesics: $\gamma : I \rightarrow M$ with $\nabla_{\dot{\gamma}}\dot{\gamma} = 0$

$$\ddot{\gamma}^i(s) = \Gamma_{jk}^i(\gamma(s)) \dot{\gamma}^j(s) \dot{\gamma}^k(s) \quad \text{with } \Gamma \sim g^{-1} \partial g$$

- For data $p \in M$, $v \in T_p M$ unique max. extended sol.
- Locally causal geodesics ($g(\dot{\gamma}, \dot{\gamma}) \leq 0$) **maximise** Lor. distance

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- Locally causal geodesics ($g(\dot{\gamma}, \dot{\gamma}) \leq 0$) **maximise** Lor. distance
- Stop maximising after first **conjugate point**, i.e. $\gamma(a)$ such that exists Jacobi tensor $A(s) : \dot{\gamma}^\perp(s) \rightarrow \dot{\gamma}^\perp(s)$, i.e. unique sol. of

$$\ddot{A} + R A = 0, \text{ with } \mathbf{tidal\ force\ op. } R : v \mapsto \text{Riem}(v, \dot{\gamma})\dot{\gamma}$$

with $A(0) = 0$, $\dot{A}(0) = \text{id}$ satisfies $\ker A(a) \neq \{0\}$

- **Key idea:** estimates on curvature say when geos stop maximising

Geodesic focusing

- Raychaudhuri eq. for **expansion** $\theta := \operatorname{tr}(\dot{A}A^{-1}) = (\det A)^{-1} (\det \dot{A})$

$$\dot{\theta} = -\operatorname{Ric}(\dot{\gamma}, \dot{\gamma}) - \operatorname{tr}(\sigma^2) - \frac{\theta^2}{d}$$

(SEC) $\operatorname{Ric}(\dot{\gamma}, \dot{\gamma}) \geq 0$ “generates” conjugate points:

$\theta(0) < 0$ then $\theta \rightarrow -\infty$ for $t \in [0, -d/\theta(0))$.

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Advanced focusing

All Lagrange¹Jacobi tensors with $[A(0)] = \text{id}$ and $\theta(0) \leq 0$ become singular for some $t > 0$.

- Needs analysis of full matrix Riccati eq. for $B = \dot{A}A^{-1}$:

$$\dot{B} + B^2 + R = 0$$

- Needs (SEC) and **genericity condition**:

tidal force operator R nontrivial at some $\gamma(t)$ (GC)

¹ $W(A, A) := \dot{A}^\dagger A - A^\dagger \dot{A} = 0$

The Hawking-Penrose theorem

Theorem

[Hawking & Penrose, 1970]

Let (M, g) be a spacetime such that

- (E) (SEC) holds, i.e., $\text{Ric}(X, X) \geq 0$ for all X timelike, and
(GC) holds along any causal geodesic γ
- (C) it is chronological.

Moreover, assume it contains at least one of the following:

- (I1) a compact achronal set without edge, (cosmological case)
- (I2) a closed future trapped surface P , (grav. collapse)
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- Basis: chartwise regularisation by convolution

$$g_\varepsilon(x) := g \star_M \rho_\varepsilon(x) := \sum \chi_i(x) \psi_i^* \left((\psi_{i*}(\zeta_i \cdot g)) * \rho_\varepsilon \right)(x).$$

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Lemma 1 (Reg. and conv. for $g \in C^1$)

[Graf, 20]

There are smooth $\check{g}_\varepsilon \prec g \prec \hat{g}_\varepsilon$ with $\check{g}_\varepsilon, \hat{g}_\varepsilon \rightarrow g$ in C^1 , and

$$\|g - g_\varepsilon\|_{\infty, K} \leq c_K \varepsilon \quad \text{and} \quad \|\check{g}_\varepsilon - g_\varepsilon\|_{\infty, K} \leq c_K \varepsilon.$$

Analogously for \hat{g}_ε and inverses $g^{-1}, g_\varepsilon^{-1}, (\check{g}_\varepsilon)^{-1}$, and $(\hat{g}_\varepsilon)^{-1}$.

C^1 -focusing: The rough guide (1)

- 1 Formulate suitable (E) for $g \in C^1$
- 2 Derive surrogate (E) for \check{g}_ε : $\text{Ric}[\check{g}_\varepsilon](X, X) > -\delta$ (on K cp.)
- 3 still show advanced focusing for \check{g}_ε
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 \leadsto cannot carry $\text{Ric}[g](X, X) \geq 0$ through construction
- Solution:** $\text{Ric}[g] \star_M \rho_\varepsilon - \text{Ric}[g_\varepsilon], \text{Ric}[g_\varepsilon] - \text{Ric}[\check{g}_\varepsilon] \rightarrow 0$ loc. unif.

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Friedrichs Lemma for Γ -terms in Ric ($\Gamma \sim g^{-1} \partial g$)

$\partial g \in C^0, g_\varepsilon^{-1}, \check{g}_\varepsilon^{-1} \in C^1$ with $|g_\varepsilon^{-1} - g^{-1}|_{\infty, K} \leq C\varepsilon$ (Lem. 1!)

Then $g_\varepsilon^{-1}(\partial g \star \rho_\varepsilon) - (g^{-1} \partial g) \star \rho_\varepsilon \rightarrow 0$ in C^1 .

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C^1 -focusing: The rough guide (2)

- 2 Derive (SEC), $R[\check{g}_\varepsilon](t) > \text{diag}(c, -C, \dots, -C)$ (SGC). ✓
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- 3 **Proposition 1** (Advanced smooth focusing under (SEC) & (SGC))
There is $\delta > 0$, $T > 0$ such that any causal geo. γ with (r suitable)
 - (i) $\text{Ric}(\dot{\gamma}, \dot{\gamma}) \geq -\delta$ on $[-T, T]$
 - (ii) (SGC) holds on $[-r, r]$possesses a pair of conjugate points on $[-T, T]$.

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\leadsto initial condition $\tilde{B}(t_1) = \tilde{\beta}(t_1) \text{id}$, with $\tilde{\beta}(t_1) \geq$ largest eigenvalue of $B(t_1)$

$$\implies B \leq \tilde{B} \text{ on } [t_1, r] \quad [\text{Eschenburg \& Heintze, 1990}]$$

Equation for \tilde{B} diagonal \leadsto explicit solution with $B > \tilde{B} \nexists$

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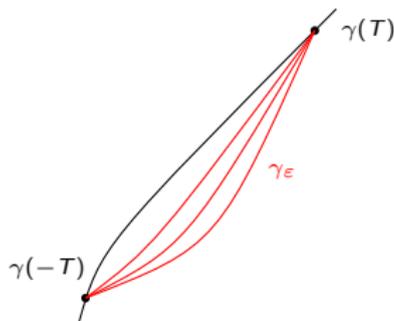
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Strategy: Ass. γ glob. g -maximiser

- approximate γ by \check{g}_ε -geos. γ_ε
 $\Rightarrow \gamma_\varepsilon$ are \check{g}_ε -maximisers
- on cp. nbhd. of γ turn
(DSEC) & (DGC) into (i), (ii)
- Prop. 1 for δ small, T large
 $\Rightarrow \gamma_\varepsilon$ has conj. pts. $\Rightarrow \not\Leftarrow$



Problem: How to approximate non-unique sols. of g -geo. eq.
by solutions to smooth \check{g}_ε geo. eq. ???

Solution: Geodesic non-branching assumption

- well motivated from metric geometry
- adds novel aspect to interpretation of HE & GL sing. thms.

The Hawking-Penrose theorem

Theorem

[Hawking & Penrose, 1970]

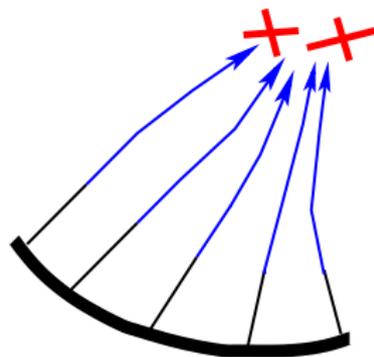
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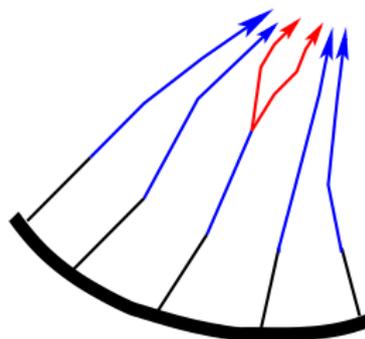
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- (I1) a compact achronal set without edge, (cosmological case)
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Then M is causal geodesically incomplete.



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The C^1 -Hawking-Penrose theorem

Theorem

[KOSS, 22]

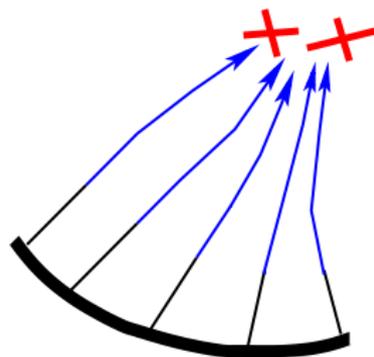
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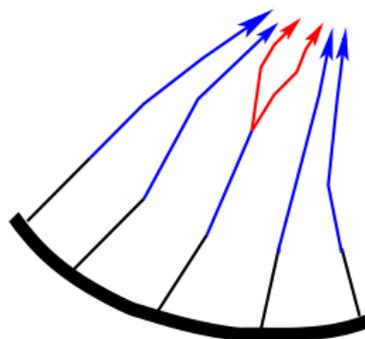
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or



Literature

- M. Graf, J. Grant, M. Kunzinger, R. Steinbauer, The Hawking-Penrose Singularity Theorem for $C^{1,1}$ -Lorentzian Metrics. *Commun. Math. Phys.*, 360(3):1009–1042, 2018.
- M. Graf, Singularity theorems for C^1 -Lorentzian metrics. *Commun. Math. Phys.*, 378(2):1417–1450, 2020.
- B. Schinnerl, R. Steinbauer, A note on the Gannon-Lee theorem. *Lett. Math. Phys.*, 111(6): 142, 2021.
- M. Kunzinger, A. Ohanyan, B. Schinnerl, R. Steinbauer, The Hawking- Penrose Singularity Theorem for C^1 -Lorentzian Metrics. *Commun. Math. Phys.*, 391:1143–1179, 2022.

Happy Birthday Nenad

Puno ti hvala